

Fault-Tolerant Secret Key Generation

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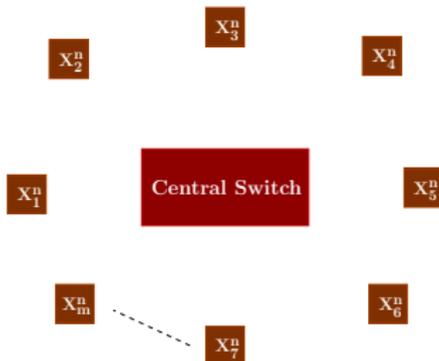
Multiterminal Source Model

Formulation

An Upper Bound

Symmetric
Observations

Exchangeability
PIN Model



Set of nodes: $\mathcal{M} = \{1, \dots, m\}$

- ▶ Observations of the i th node: $X_i^n = (X_{i1}, \dots, X_{in})$
- ▶ Denote by $X_{\mathcal{M}t}$ the correlated rvs (X_{1t}, \dots, X_{mt})
- ▶ $X_{\mathcal{M}1}, \dots, X_{\mathcal{M}n}$ are **finite, discrete valued, i.i.d. rvs**
- with known probability distribution.



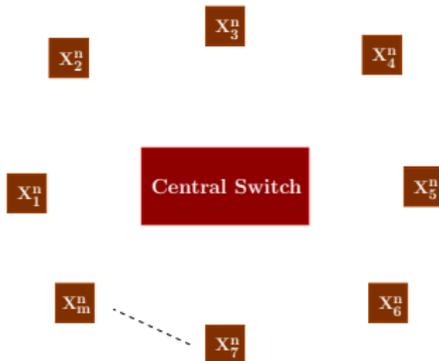
r -Rounds Adaptive Protocol

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Available Nodes: $A_0 = \mathcal{M}$



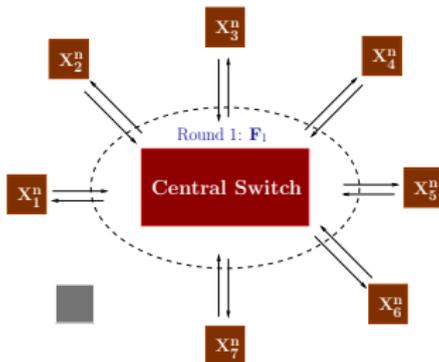
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Nodes Remaining: $A_1 = \{1, 2, 3, 4, 5, 6, 7\}$

Communication in round j depends on:

local observations and the communication in the previous rounds.



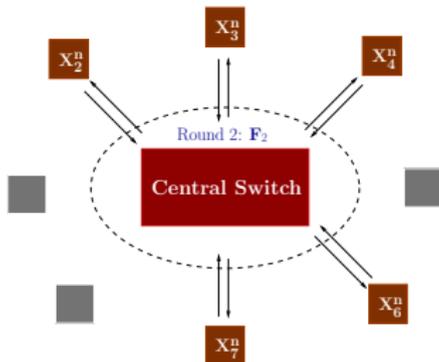
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Nodes Remaining: $A_2 = \{2, 3, 4, 6, 7\}$

Communication in round j depends on:

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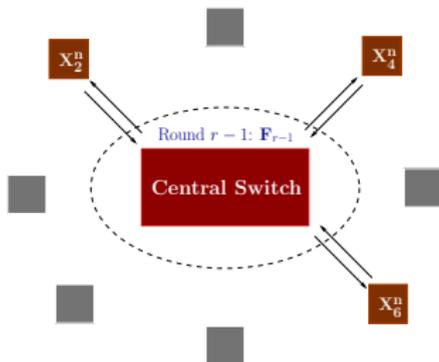
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Nodes Remaining: $A_{r-1} = \{2, 4, 6\}$

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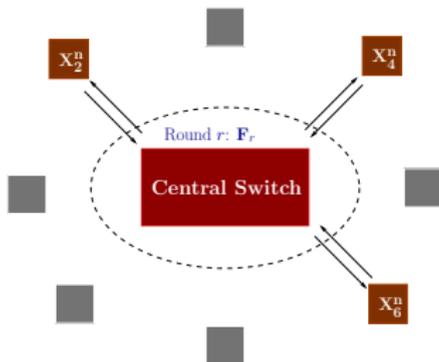
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Nodes Remaining: $A_{r-1} = \{2, 4, 6\} = A_r$

Communication in round j depends on:

local observations and the communication in the previous rounds.

Assumption: $A_r = A_{r-1}$



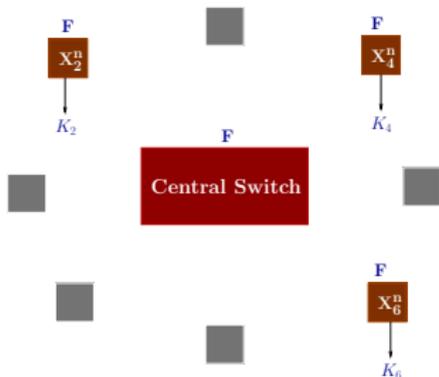
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Communication in round j depends on:

local observations and the communication in the previous rounds.

Assumption: $A_r = A_{r-1}$

The overall communication depends on $A_r = A_{r-1} \subseteq \dots \subseteq A_1$

- \mathbf{F} denotes the overall communication.



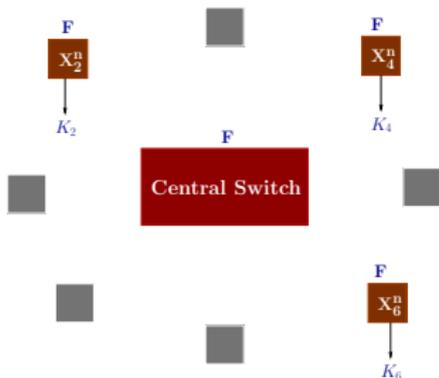
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K constitutes a *secret key* if:

1. **Recoverability:** $\Pr (K_i = K, i \in A_r) \approx 1$
2. **Security:** $I(K \wedge \mathbf{F}) \approx 0$

The rate of the SK: $\frac{1}{n}H(K)$



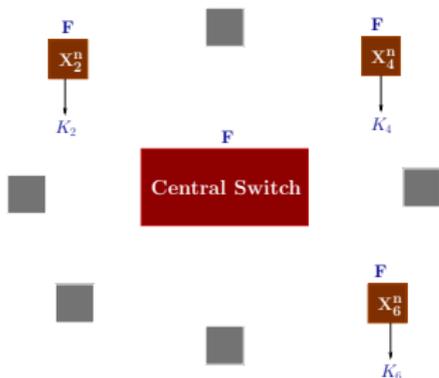
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Definition (Achievable (r, t) -fault-tolerant SK rate)

$R \geq 0$ is an achievable (r, t) -fault-tolerant SK rate if there is an r -rounds adaptive protocol that generates an SK of rate greater than R whenever not more than t nodes drop out.



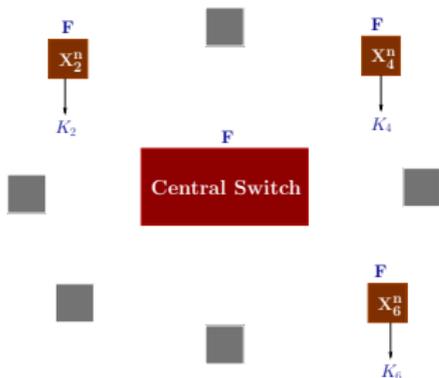
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K constitutes a **perfect secret key** if:

1. **Perfect Recoverability:** $\Pr(K_i = K, i \in A_r) = 1$
2. **Perfect Security:** $I(K \wedge \mathbf{F}) = 0$

The rate of the SK: $\frac{1}{n}H(K)$



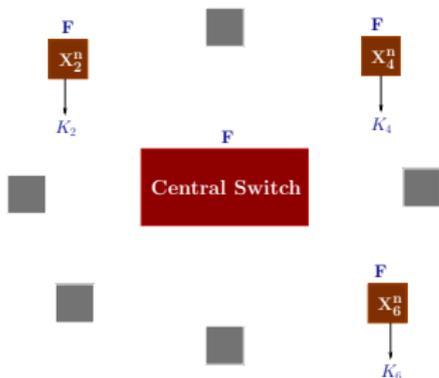
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Definition (Achievable (r, t) -fault-tolerant **perfect** SK rate)

$R \geq 0$ is an achievable (r, t) -fault-tolerant **perfect** SK rate if there is an r -rounds adaptive protocol that generates a **perfect** SK of rate greater than R whenever not more than t nodes drop out.



Fault-Tolerant Secret Key Capacity

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(r, t) -fault-tolerant SK capacity $C^{r,t}(\mathcal{M})$:

Supremum of all achievable (r, t) -fault-tolerant rates.

(r, t) -fault-tolerant perfect SK capacity $C_0^{r,t}(\mathcal{M})$:

Supremum of all achievable (r, t) -fault-tolerant perfect SK rates.

Lemma

For $r \geq 1$,

$$C_0^{1,t}(\mathcal{M}) \leq C^{r,t}(\mathcal{M}) \leq C^{r+1,t}(\mathcal{M}).$$



An Upper Bound on Fault-Tolerant SK Capacity

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Theorem (Csiszár-Narayan 2004)

The secret key capacity (for $t=0$) is given by

$$C(\mathcal{M}) = H(X_{\mathcal{M}}) - \min(R_1 + R_2 + \dots + R_m),$$

where the min is taken over (R_1, \dots, R_m) that satisfy:

$$\sum_{i \in B} R_i \geq H(X_B | X_{\mathcal{M} \setminus B}), \quad B \subsetneq \mathcal{M}.$$

min value above is the minimum rate of communication for omniscience.

Lemma (Upper Bound on $C^{r,t}(\mathcal{M})$)

$$C_0^{1,t}(\mathcal{M}) \leq C^{r,t}(\mathcal{M}) \leq C^{r+1,t}(\mathcal{M}) \leq \min_{\substack{A \subseteq \mathcal{M} \\ |A| \geq m-t}} C(A), \quad r \geq 1.$$

Proof Idea: Consider the sequence of sets $A_1 = \dots = A_{r-1} = A_r = A$.



Monotonicity of SK Capacity

Theorem (Chan-Zheng 2010)

$$C(\mathcal{M}) = \min_{\mathcal{P}=\{C_1, \dots, C_k\}} \frac{1}{k} D(X_{\mathcal{M}} \| X_{C_1} \cdot X_{C_2} \dots X_{C_k}),$$

where the minimization is over all partitions \mathcal{P} of \mathcal{M} .

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Lemma (Monotonicity of $C(\mathcal{M})$)

$$C(\mathcal{M}) \geq \min_{\substack{A \subseteq \mathcal{M} \\ |A|=m-1}} C(A).$$

Lemma (Upper Bound on $C^{r,t}(\mathcal{M})$)

$$C_0^{1,t}(\mathcal{M}) \leq C^{r,t}(\mathcal{M}) \leq C^{r+1,t}(\mathcal{M}) \leq \min_{\substack{A \subseteq \mathcal{M} \\ |A|=m-t}} C(A), \quad r \geq 1.$$



Is this Upper Bound Tight??

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Lemma (Upper Bound on $C^{r,t}(\mathcal{M})$)

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Lemma (Upper Bound on $C^{r,t}(\mathcal{M})$)

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Yes.

When the observations of the nodes are symmetric



Exchangeable Random Variables

$P_{X_1, \dots, X_m} = P_{X_{\sigma(1)}, \dots, X_{\sigma(m)}}$, for all permutations σ of $\{1, \dots, m\}$

For disjoint sets B_1, B_2 : $H(X_{B_1} | X_{B_2})$ depends only on $|B_1|, |B_2|$

Define: $g(i|j) = H(X_1, \dots, X_i | X_{i+1}, \dots, X_{i+j})$

Lemma (Minimum Rate of Communication for Omniscience)

For

$$\alpha_m = \frac{g(m-1|1)}{m-1},$$

$(\alpha_m, \dots, \alpha_m)$ is an optimal rate-vector for omniscience, i.e., $R_{CO} = m\alpha_m$.

Lemma

α_m is nonincreasing in m .

Proof: Uses properties $g(i|j)$ inherited from $H(\cdot)$.

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Optimal Fault-Tolerant SK Generation Protocol

2-rounds adaptive protocol:

1. Each node communicates using random mapping of rate α_m .
 A_1 = set of nodes that communicate in round 1, $|A_1| = k$
2. Nodes in A_1 send further communication of rate $\alpha_k - \alpha_m$
- if $A_2 \neq A_1$ the protocol fails.

Observation: Two random mappings of rates R_1 and R_2 can serve as a single random mapping of rate $R_1 + R_2$ in (multiterminal) Slepian-Wolf coding.

Performance of the protocol:

- Nodes in $A_2 = A_1$ recover $X_{A_1}^n$
- Rate of communication = $k\alpha_k$
- Nodes in A_2 generate SK of rate $C(A_2)$

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Optimal Fault-Tolerant SK Generation Protocol

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Theorem (Fault-Tolerant SK Capacity)

For exchangeable rvs, for $r \geq 2$,

$$C^{r,t}(\mathcal{M}) = \min_{\substack{A \subseteq \mathcal{M} \\ |A|=m-t}} C(A) = g(m-t|0) - \frac{(m-t)g(m-t-1|1)}{m-t-1}.$$



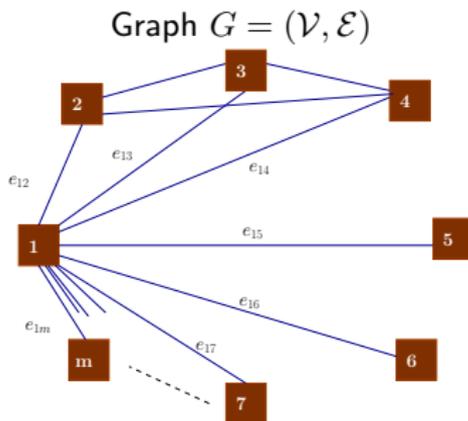
The Pairwise-Independent-Network Model

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Ye-Reznik 2007, Nitinawarat et.al. 2010

B_{ij} : unbiased bit corresponding to the edge e_{ij}

Random Variables $\{B_{ij} : i, j \in \mathcal{M}\}$ are mutually independent.

- ▶ $X_i = \{B_{ij} \text{ corresponding to edges } e_{ij} \text{ incident on } i\}$



The Pairwise-Independent-Network Model

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Assumption: The graph G is complete

Symmetry: For $B_1 \cap B_2 = \emptyset$, $H(X_{B_1} | X_{B_2})$ depends only on $|B_1|, |B_2|$.

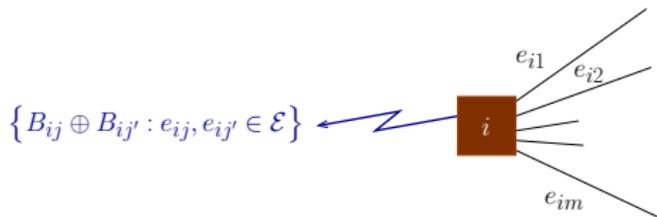
$$C_0^{1,t}(\mathcal{M}) \leq C^{2,t}(\mathcal{M}) = g(m-t|0) - \frac{(m-t)g(m-t-1|1)}{m-t-1} = \frac{m-t}{2}$$



Generating 1-bit Fault-Tolerant SK

Assume that G is a $(t + 1)$ -connected, spanning graph.

- Noninteractive protocol to generate 1-bit of fault-tolerant SK:



For $A \subseteq \mathcal{M}$ with $|A| \geq m - t$: let e_A be an edge between nodes in A .

Claim: $H(B_{e_A} | (F_A, X_i)) = 0$ and $I(B_{e_A} \wedge F_A) = 0$, $i \in A$.

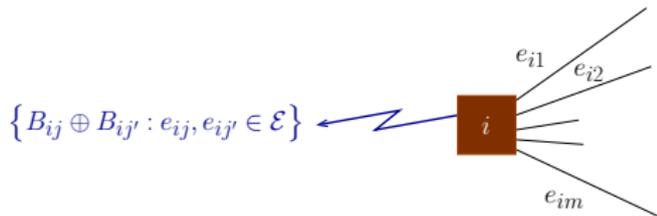
B_{e_A} constitutes a 1-bit SK for A



Generating 1-bit Fault-Tolerant SK

Assume that G is a $(t + 1)$ -connected, spanning graph.

- Noninteractive protocol to generate 1-bit of fault-tolerant SK:



This noninteractive protocol generates 1-bit SK for each spanning tree.

Nitinawarat et.al. use the interactive protocol of Csiszár-Narayan.

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Optimal Fault-Tolerant SK Generation Protocol

Assumption: The graph G is complete

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Noninteractive protocol above gives 1-bit of SK for each spanning tree

Find a “fault-tolerant” spanning tree packing

- sufficiently many spanning trees must remain when nodes drop out

- ▶ Consider $n = 2$: Any two nodes share 2 independent bits
- ▶ Can find a spanning tree packing such that:
 - any subset A contains $|A|$ spanning trees

Thus, a subset of size $\geq m - t$ can pack $m - t$ spanning trees

Secret key rate attained: $\frac{m-t}{2}$



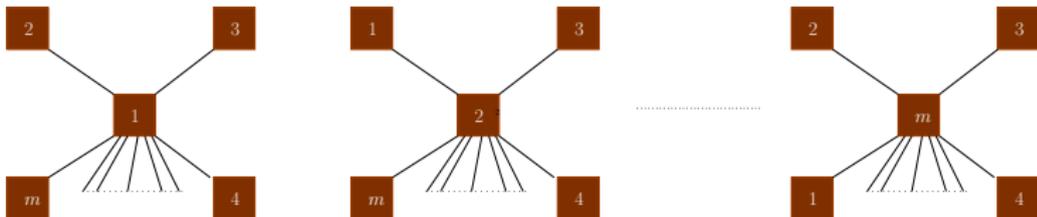
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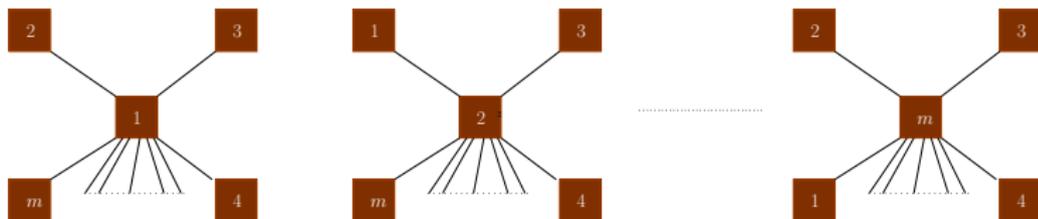
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Optimal Fault-Tolerant SK Generation Protocol

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Theorem

For the PIN model corresponding to a complete graph,

$$C_0^{1,t}(\mathcal{M}) = C^{r,t}(\mathcal{M}) = \frac{m-t}{2}, \quad r \geq 2.$$



An Alternative Protocol

A protocol to generate $\lfloor \frac{m}{2} \rfloor - t$ bits of SK for $n = 1$:

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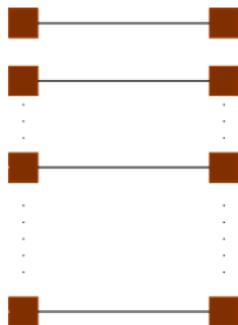
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First consider m even.

Tree remains connected if a leaf node drops out.

- Fix a matching in G .





An Alternative Protocol

A protocol to generate $\lfloor \frac{m}{2} \rfloor - t$ bits of SK for $n = 1$:

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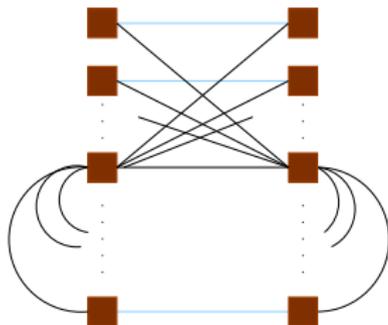
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First consider m even.

Tree remains connected if a leaf node drops out.

- ▶ Fix a matching in G .
- ▶ There is a spanning tree corresponding to each edge in the matching.





Future Directions

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- ▶ This work is a first step towards the larger goal of information-theoretic SK agreement for dynamic groups.
- ▶ Incorporate rejoining of terminals that drop out.
- ▶ What if the central switch has additional side information?