

## Modern C

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This is a preliminary version of this book compiled on October 27, 2015. It contains feature complete versions of Levels 0, 1 and 2, and most of the material that I foresee for Level 4. The table of contents already gives you a glimpse on what should follow for the rest.

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PRELIMINARIES. The C programming language has been around for a long time — the canonical reference for it is the book written by its creators, Kernighan and Ritchie [1978]. Since then, C has been used in an incredible number of applications. Programs and systems written in C are all around us: in personal computers, phones, cameras, set-top boxes, refrigerators, cars, mainframes, satellites, basically in any modern device that has a programmable interface.

In contrast to the ubiquitous presence of C programs and systems, good knowledge of and about C is much more scarce. Even experienced C programmers often appear to be stuck in some degree of self-inflicted ignorance about the modern evolution of the C language. A likely reason for this is that C is seen as an "easy to learn" language, allowing a programmer with little experience to quickly write or copy snippets of code that at least appear to do what it's supposed to. In a way, C fails to motivate its users to climb to higher levels of knowledge.

This book is intended to change that general attitude. It is organized in chapters called "Levels" that summarize levels of familiarity with the C language and programming in general. Some features of the language are presented in parts on earlier levels, and elaborated in later ones. Most notably, pointers are introduced at Level 1 but only explained in detail at Level 2. This leads to many forward references for impatient readers to follow.

As the title of this book suggests, today's C is not the same language as the one originally designed by its creators Kernighan and Ritchie (usually referred to as K&R C). In particular, it has undergone an important standardization and extension process now driven by ISO, the International Standards Organization. This led to three major publications of C standards in the years 1989, 1999 and 2011, commonly referred to as C89, C99 and C11. The C standards committee puts a lot of effort into guaranteeing backwards compatibility such that code written for earlier versions of the language, say C89, should compile to a semantically equivalent executable with a compiler that implements a newer version. Unfortunately, this backwards compatibility has had the unwanted side effect of not motivating projects that could benefit greatly from the new features to update their code base.

In this book we will mainly refer to C11, as defined in JTC1/SC22/WG14 [2011], but at the time of this writing many compilers don't implement this standard completely. If you want to compile the examples of this book, you will need at least a compiler that implements most of C99. For the changes that C11 adds to C99, using an emulation layer such as my macro package P99 might suffice. The package is available at http://p99.gforge.inria.fr/.

Programming has become a very important cultural and economic activity and C remains an important element in the programming world. As in all human activities, progress in C is driven by many factors, corporate or individual interest, politics, beauty, logic, luck, ignorance, selfishness, ego, sectarianism, ... (add your primary motive here). Thus the development of C has not been and cannot be ideal. It has flaws and artifacts that can only be understood with their historic and societal context.

An important part of the context in which C developed was the early appearance of its sister language C++. One common misconception is that C++ evolved from C by adding its particular features. Whereas this is historically correct (C++ evolved from a very early C) it is not particularly relevant today. In fact, C and C++ separated from a common ancestor more than 30 years ago, and have evolved separately ever since. But this evolution of the two languages has not taken place in isolation, they have exchanged and adopted each other's concepts over the years. Some new features, such as the recent addition of atomics and threads have been designed in a close collaboration between the C and C++ standard committees.

Nevertheless, many differences remain and generally all that is said in this book is about C and not C++. Many code examples that are given will not even compile with a C++ compiler.

Rule A C and C++ are different, don't mix them and don't mix them up.

ORGANIZATION. This book is organized in <u>levels</u>. The starting level, <u>encounter</u>, will introduce you to the very basics of programming with C. By the end of it, even if you don't have much experience in programming, you should be able to understand the structure of simple programs and start writing your own.

The <u>acquaintance</u> level details most principal concepts and features such as control structures, data types, operators and functions. It should give you a deeper understanding of the things that are going on when you run your programs. This knowledge should be sufficient for an introductory course in algorithms and other work at that level, with the notable caveat that pointers aren't fully introduced yet at this level.

The <u>cognition</u> level goes to the heart of the C language. It fully explains pointers, familiarizes you with C's memory model, and allows you to understand most of C's library interface. Completing this level should enable you to write C code professionally, it therefore begins with an essential discussion about the writing and organization of C programs. I personally would expect anybody who graduated from an engineering school with a major related to computer science or programming in C to master this level. Don't be satisfied with less.

The <u>experience</u> level then goes into detail in specific topics, such as performance, reentrancy, atomicity, threads and type generic programming. These are probably best discovered as you go, that is when you encounter them in the real world. Nevertheless, as a whole they are necessary to round off the picture and to provide you with full expertise in C. Anybody with some years of professional programming in C or who heads a software project that uses C as its main programming language should master this level.

Last but not least comes <u>ambition</u>. It discusses my personal ideas for a future development of C. C as it is today has some rough edges and particularities that only have historical justification. I propose possible paths to improve on the lack of general constants, to simplify the memory model, and more generally to improve the modularity of the language. This level is clearly much more specialized than the others, most C programmers can probably live without it, but the curious ones among you could perhaps take up some of the ideas.

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#### LEVEL 0

### **Encounter**

This first level of the book may be your first encounter with the programming language C. It provides you with a rough knowledge about C programs, about their purpose, their structure and how to use them. It is not meant to give you a complete overview, it can't and it doesn't even try. On the contrary, it is supposed to give you a general idea of what this is all about and open up questions, promote ideas and concepts. These then will be explained in detail on the higher levels.

### 1. Getting started

In this section I will try to introduce you to one simple program that has been chosen because it contains many of the constructs of the C language. If you already have experience in programming you may find parts of it feel like needless repetition. If you lack such experience, you might feel ovewhelmed by the stream of new terms and concepts.

In either case, be patient. For those of you with programming experience, it's very possible that there are subtle details you're not aware of, or assumptions you have made about the language that are not valid, even if you have programmed C before. For the ones approaching programming for the first time, be assured that after approximately ten pages from now your understanding will have increased a lot, and you should have a much clearer idea of what programming might represent.

An important bit of wisdom for programming in general, and for this book in particular, is summarized in the following citation from the Hitchhiker's guide to the Galaxy:

### Rule B Don't panic.

It's not worth it. There are many cross references, links, side information present in the text. There is an Index on page 207. Follow those if you have a question. Or just take a break.

**1.1. Imperative programming.** To get started and see what we are talking about consider our first program in Listing 1:

You probably see that this is a sort of language, containing some weird words like "main", "include", "for", etc. laid out and colored in a peculiar way and mixed with a lot of weird characters, numbers, and text "Doing some work" that looks like an ordinary English phrase. It is designed to provide a link between us, the human programmers, and a machine, the computer, to tell it what to do — give it "orders".

Rule 0.1.1.1 *C* is an imperative programming language.

In this book, we will not only encounter the C programming language, but also some vocabulary from an English dialect, C <u>jargon</u>, the language that helps us <u>to talk about C</u>. It will not be possible to immediately explain each term the first time it occurs. But I will explain each one, in time, and all of them are indexed such that you can easily cheat and **jump** $^{C}$  to more explanatory text, at your own risk.

As you can probably guess from this first example, such a C program has different components that form some intermixed layers. Let's try to understand it from the inside out.

1

LISTING 1. A first example of a C program

```
/* This may look like nonsense, but really is -*- mode: C -*- */
2
   #include <stdlib.h>
3
    #include <stdio.h>
5
    /* The main thing that this program does. */
6
    int main(void) {
7
      // Declarations
8
      double A[5] = {
9
        [0] = 9.0,
10
        [1] = 2.9,
11
       [4] = 3.E+25,
12
        [3] = .00007,
13
      };
14
15
      // Doing some work
      for (size_t i = 0; i < 5; ++i) {</pre>
16
17
         printf("element_%zu_is_%g,_\tits_square_is_%g\n",
18
                i,
19
                A[i],
20
                A[i] *A[i]);
21
22
23
      return EXIT_SUCCESS;
24
```

1.1.1. *Giving orders*. The visible result of running this program is to output 5 lines of text on the command terminal of your computer. On my computer using this program looks something like

```
Terminal

> ./getting-started

l element 0 is 9, its square is 81

element 1 is 2.9, its square is 8.41

element 2 is 0, its square is 0

element 3 is 7e-05, its square is 4.9e-09

element 4 is 3e+25, its square is 9e+50
```

We can easily identify parts of the text that this program outputs ( $\underline{\mathbf{prints}}^C$  in the C jargon) inside our program, namely the blue part of Line 17. The real action ( $\underline{\mathbf{statement}}^C$  in C) happens between that line and Line 20. The statement is a  $\underline{\mathbf{call}}^C$  to a  $\underline{\mathbf{function}}^C$  named  $\underline{\mathbf{printf}}$ .

Here, the printf  $\underline{\mathbf{function}}^C$  receives four  $\underline{\mathbf{arguments}}^C$ , enclosed in a pair of  $\underline{\mathbf{parenthesis}}^C$ , "( ... )":

- The funny-looking text (the blue part) is a so-called <u>string literal</u> that serves as a <u>format</u> for the output. Within the text are three markers (<u>format specifiers</u> ), that mark the positions in the output where numbers are to be inserted. These markers start with a "%" character. This format also contains some special <u>escape characters</u> that start with a backslash, namely "\t" and "\n".
- After a comma character we find the word "i". The thing that "i" stands for will be printed in place of the first format specifier, "%zu".
- Another comma separates the next argument "A[i]". The thing that stands for will be printed in place of the second format specifier, the first "%g".
- Last, again separated by comma, appears "A[i]\*A[i]", corresponding to the last "%q".

We will later explain what all of these arguments mean. Let's just remember that we identified the main purpose of that program, namely to print some lines on the terminal, and that it "orders" function **printf** to fulfill that purpose. The rest is some  $\underline{\mathbf{sugar}}^C$  to specify which numbers will be printed and how many of them.

**1.2. Compiling and running.** As it is shown above, the program text that we have listed can not be understood by your computer.

There is a special program, called a <u>compiler</u>, that translates the C text into something that your machine can understand, the so-called <u>binary code</u><sup>C</sup> or <u>executable</u><sup>C</sup>. What that translated program looks like and how this translation is done is much too complicated to explain at this stage. However, for the moment we don't need to understand more deeply, as we have that tool that does all the work for us.

### Rule 0.1.2.1 C is a compiled programming language.

The name of the compiler and its command line arguments depend a lot on the  $\underline{\textbf{platform}}^C$  on which you will be running your program. There is a simple reason for this: the target binary code is  $\underline{\textbf{platform dependent}}^C$ , that is its form and details depend on the computer on which you want to run it; a PC has different needs than a phone, your fridge doesn't speak the same language as your set-top box. In fact, that's one of the reasons for C to exist.

### Rule 0.1.2.2 A C program is portable between different platforms.

It is the job of the compiler to ensure that our little program above, once translated for the appropriate platform, will run correctly on your PC, your phone, your set-top box and maybe even your fridge.

That said, there is a good chance that a program named c99 might be present on your PC and that this is in fact a C compiler. You could try to compile the example program using the following command:

```
Terminal | _______ | Terminal | _______ | > c99 -Wall -o getting-started getting-started.c -lm
```

The compiler should do its job without complaining, and output an executable file called getting-started in your current directory. [Exs 2] In the above line

- c99 is the compiler program.
- -Wall tells it to warn us about anything that it finds unusual.

<sup>&</sup>lt;sup>1</sup>In fact, the <u>translation</u> itself is done in several steps that goes from textual replacement, over proper compilation to linking. Nevertheless, the tool that bundles all this is traditionally called <u>compiler</u> and not <u>translator</u>, which would be more accurate.

<sup>[</sup>Exs 2] Try the compilation command in your terminal.

- ullet -o getting-started tells it to store the <u>compiler output</u>  $^C$  in a file named getting-started.
- getting-started.c names the <u>source file</u><sup>C</sup>, namely the file that contains the C code that we have written. Note that the .c extension at the end of the file name refers to the C programming language.
- -lm tells it to add some standard mathematical functions if necessary, we will need those later on.

Now we can  $\mathbf{execute}^C$  our newly created  $\mathbf{executable}^C$ . Type in:

```
Terminal ______

0 > ./getting-started
```

and you should see exactly the same output as I have given you above. That's what portable means, wherever you run that program its **behavior** $^{C}$  should be the same.

If you are not lucky and the compilation command above didn't work, you'd have to look up the name of your  $\underline{\mathbf{compiler}}^C$  in your system documentation. You might even have to install a compiler if one is not available. The names of compilers vary. Here are some common alternatives that might do the trick:

```
Terminal

> clang -Wall -lm -o getting-started getting-started.c

> gcc -std=c99 -Wall -lm -o getting-started getting-started.c

> icc -std=c99 -Wall -lm -o getting-started getting-started.c
```

Some of these, even if they are present on your computer, might not compile the program without complaining.  $^{[Exs\ 3]}$ 

With the program in Listing 1 we presented an ideal world — a program that works and produces the same result on all platforms. Unfortunately, when programming yourself very often you will have a program that only works partially and that maybe produces wrong or unreliable results. Therefore, let us look at the program in Listing 2. It looks quite similar to the previous one.

If you run your compiler on that one, it should give you some  $\underline{\mathbf{diagnostic}}^C$ , something similar to this

```
Terminal

> c99 -Wall -o getting-started-badly getting-started-badly.c

getting-started-badly.c:4:6: warning: return type of 'main' is not 'in

getting-started-badly.c: In function 'main':

getting-started-badly.c:16:6: warning: implicit declaration of functio

getting-started-badly.c:16:6: warning: incompatible implicit declaration of built-in function 'pr

getting-started-badly.c:22:3: warning: 'return' with a value, in function 'pr

returning void [enabled]
```

Here we had a lot of long "warning" lines that are even too long to fit on a terminal screen. In the end the compiler produced an executable. Unfortunately, the output when we run the program is different. This is a sign that we have to be careful and pay attention to details.

clang is even more picky than gcc and gives us even longer diagnostic lines:

<sup>[</sup>Exs 3] Start writing a textual report about your tests with this book. Note down which command worked for you.

LISTING 2. An example of a C program with flaws

```
/* This may look like nonsense, but really is -*- mode: C -*- */
2
3
    /* The main thing that this program does. */
 4
    void main() {
5
      // Declarations
6
      int i;
7
      double A[5] = {
8
        9.0,
9
        2.9,
10
       3.E+25,
11
        .00007,
12
13
14
      // Doing some work
15
      for (i = 0; i < 5; ++i) {
         printf("element_%d_is_%g,_\tits_square_is_%g\n",
16
17
                i,
18
                 A[i],
19
                 A[i] * A[i]);
20
21
22
      return 0;
23
```

```
Terminal
     > clang -Wall -o getting-started-badly getting-started-badly.c
0
     getting-started-badly.c:4:1: warning: return type of 'main' is not 'in
1
                                                                               [-Wmain-return-type]
     void main() {
2
3
     getting-started-badly.c:16:6: warning: implicitly declaring library fu tion 'printf' with type
4
          'int (const char *, ...)'
          printf("element %d is %g, \tits square is %g\n", /*@\label{printf tart-badly}*/
6
     getting-started-badly.c:16:6: note: please include the header <stdio.h pr explicitly provide a d
8
     getting-started-badly.c:22:3: error: void function 'main' should not r urn a value [-Wreturn-typ
10
11
       return 0;
12
     2 warnings and 1 error generated.
```

This is a good thing! Its  $\underline{\text{diagnostic output}}^C$  is much more informative. In particular it gave us two hints: it expected a different return type for main and it expected us to have a line such as Line 3 of Listing 1 to specify where the printf function comes from. Notice how clang, unlike gcc, did not produce an executable. It considers the problem in Line 22 fatal. Consider this to be a feature.

In fact depending on your platform you may force your compiler to reject programs that produce such diagnostics. For gcc such a command line option would be -Werror.

Rule 0.1.2.3 *A C program should compile cleanly without warnings.* 

So we have seen two of the points in which Listings 1 and 2 differed, and these two modifications turned a good, standard conforming, portable program into a bad one. We also have seen that the compiler is there to help us. It nailed the problem down to the lines in the program that cause trouble, and with a bit of experience you will be able to understand what it is telling you. [Exs 4] [Exs 5]

### 2. The principal structure of a program

Compared to our little examples from above, real programs will be more complicated and contain additional constructs, but their structure will be very similar. Listing 1 already has most of the structural elements of a C program.

There are two categories of aspects to consider in a C program: syntactical aspects (how do we specify the program so the compiler understands it) and semantic aspects (what do we specify so that the program does what we want it to do). In the following subsections we will introduce the syntactical aspects ("grammar") and three different semantic aspects, namely declarative parts (what things are), definitions of objects (where things are) and statements (what are things supposed to do).

**2.1. Grammar.** Looking at its overall structure, we can see that a C program is composed of different types of text elements that are assembled in a kind of grammar. These elements are:

**special words:** In Listing 1 we have used the following special words<sup>6</sup>: **#include**, **int**, **void**, **double**, **for**, and **return**. In our program text, here, they will usually be printed in bold face. These special words represent concepts and features that the C language imposes and that cannot be changed.

**punctuations**<sup>C</sup>: There are several punctuation concepts that C uses to structure the program text

- There are five sorts of parenthesis: { ... }, ( ... ), [ ... ], /\* ... \*/ and < ... >. Parenthesis group certain parts of the program together and should always come in pairs. Fortunately, the < ... > parenthesis are rare in C, and only used as shown in our example, on the same logical line of text. The other four are not limited to a single line, their contents might span several lines, like they did when we used printf earlier.
- There are two different separators or terminators, comma and semicolon. When we used **printf** we saw that commas <u>separated</u> the four arguments to that function, in line 12 we saw that a comma also can follow the last element of a list of elements.

```
getting-started.c

[3] = .00007,
```

One of the difficulties for newcomers in C is that the same punctuation characters are used to express different concepts. For example, {} and [] are each used for two different purposes in our program.

Rule 0.2.1.1 Punctuation characters can be used with several different meanings.

<u>comments</u><sup>C</sup>: The construct /\*...\*/ that we saw as above tells the compiler that everything inside it is a <u>comment</u>, see *e.g* Line 5.

<sup>[</sup>Exs 4] Correct Listing 2 step by step. Start from the first diagnostic line, fix the code that is mentioned there, recompile and so on, until you have a flawless program.

<sup>[</sup>Exs 5] There is a third difference between the two programs that we didn't mention, yet. Find it.

<sup>&</sup>lt;sup>6</sup>In the C jargon these are **directives** $^{C}$ , **keywords** $^{C}$  and **reserved** $^{C}$  identifiers

Comments are ignored by the compiler. It is the perfect place to explain and document your code. Such "in-place" documentation can (and should) improve the readability and comprehensibility of your code a lot. Another form of comment is the so-called C++-style comment as in Line 15. These are marked by //. C++-style comments extend from the // to the end of the line.

<u>literals</u><sup>C</sup>: Our program contains several items that refer to fixed values that are part of the program: 0, 1, 3, 4, 5, 9.0, 2.9, 3.E+25, .00007, and

"element\_%zu\_is\_%g,\_\tits\_square\_is\_%g\n". These are called  $\underline{\text{literals}}^C$ .

identifiers. These are "names" that we (or the C standard) give to certain entities in the program. Here we have: A, i, main, printf, size\_t, and EXIT\_SUCCESS.

Identifiers can play different roles in a program. Amongst others they may refer to:

- $\underline{\mathbf{data\ objects}}^C$  (such as A and i), these are also referred to as  $\underline{\mathbf{variables}}^C$
- **type**<sup>C</sup> aliases, **size\_t**, that specify the "sort" of a new object, here of i. Observe the trailing \_t in the name. This naming convention is used by the C standard to remind you that the identifier refers to a type.
- functions (main and printf),
- constants (EXIT\_SUCCESS).

functions<sup>C</sup>: Two of the identifiers refer to functions: main and printf. As we have already seen printf is <u>used</u> by the program to produce some output. The function main in turn is <u>defined</u><sup>C</sup>, that is its <u>declaration</u><sup>C</sup> int main (void) is followed by a <u>block</u><sup>C</sup> enclosed in  $\{\ldots\}$  that describes what that function is supposed to do. In our example this function <u>definition</u><sup>C</sup> goes from Line 6 to 24. main has a special role in C programs as we will encounter them, it must always be present since it is the starting point of the program's execution.

**operators**<sup>C</sup>: Of the numerous C operators our program only uses a few:

- = for initialization $^{C}$  and assignment $^{C}$ ,
- < for comparison,
- ++ to increment a variable, that is to increase its value by 1
- \* to perform the multiplication of two values.

**2.2. Declarations.** Declarations have to do with the <u>identifiers</u> $^C$  that we encountered above. As a general rule:

Rule 0.2.2.1 All identifiers of a program have to be declared.

That is, before we use an identifier we have to give the compiler a  $\frac{\mathbf{declaration}^{C}}{\mathbf{that}}$  that tells it what that identifier is supposed to be. This is where identifiers differ from  $\mathbf{keywords}^{C}$ ; keywords are predefined by the language, and must not be declared or redefined.

Three of the identifiers we use are effectively declared in our program: main,  $\mathbb{A}$  and  $\mathbb{I}$ . Later on, we will see where the other identifiers (printf, size\_t, and EXIT\_SUCCESS) come from.

Above, we already mentioned the declaration of the main function. All three declarations, in isolation as "declarations only", look like this:

```
1   int main(void);
2   double A[5];
3   size_t i;
```

These three follow a pattern. Each has an identifier (main, A or i) and a specification of certain properties that are associated with that identifier.

- i is of  $type^C$  size\_t.
- main is additionally followed by parenthesis, ( ... ), and thus declares a function of type int.
- A is followed by brackets, [ . . . ], and thus declares an <u>array</u><sup>C</sup>. An array is an aggregate of several items of the same type, here it consists of 5 items of type <u>double</u>. These 5 items are ordered and can be referred to by numbers, called <u>indices</u><sup>C</sup>, from 0 to 4.

Each of these declarations starts with a  $\underline{type}^C$ , here int, double and  $size\_t$ . We will see later what that represents. For the moment it is sufficient to know that this specifies that all three identifiers, when used in the context of a statement, will act as some sort of "numbers".

For the other three identifiers, printf, size\_t and EXIT\_SUCCESS, we don't see any declaration. In fact they are pre-declared identifiers, but as we saw when we tried to compile Listing 2, the information about these identifiers doesn't come out of nowhere. We have to tell the compiler where it can obtain information about them. This is done right at the start of the program, in the Lines 2 and 3: printf is provided by stdio.h, whereas size\_t and EXIT\_SUCCESS come from stdlib.h. The real declarations of these identifiers are specified in .h files with these names somewhere on your computer. They could be something like:

```
int printf(char const format[static 1], ...);
typedef unsigned long size_t;
define EXIT_SUCCESS 0
```

but this is not important for the moment. This information is normally hidden from you in these <u>include files</u> $^C$  or <u>header files</u> $^C$ . If you need to know the semantics of these, it's usually a bad idea to look them up in the corresponding files, as they tend to be barely readable. Instead, search in the documentation that comes with your platform. For the brave, I always recommend a look into the current C standard, as that is where they all come from. For the less courageous the following commands may help:

```
Terminal

> apropos printf

> man printf

> man 3 printf
```

Declarations may be repeated, but only if they specify exactly the same thing.

Rule 0.2.2.2 *Identifiers may have several consistent declarations.* 

Another property of declarations is that they might only be valid ( $\underline{visible}^C$ ) in some part of the program, not everywhere. A  $\underline{scope}^C$  is a part of the program where an identifier is valid.

Rule 0.2.2.3 Declarations are bound to the scope in which they appear.

In Listing 1 we have declarations in different scopes.

• A is visible inside the definition of main, starting at its very declaration on Line 8 and ending at the closing } on Line 24 of the innermost { . . . } block that contains that declaration.

#include <stdio.h>
#include <stdlib.h>

- i has a more restricted visibility. It is bound to the **for** construct in which it is declared. Its visibility reaches from that declaration in Line 16 to the end of the { ... } block that is associated with the **for** in Line 21.
- main is not enclosed in any { ... } block, so it is visible from its declaration onwards until the end of the file.

In a slight abuse of terminology, the first two types of scope are called <u>block scope</u><sup>C</sup>. The third type, as used for main is called <u>file scope</u><sup>C</sup>. Identifiers in file scope are often referred to as globals.

**2.3. Definitions.** Generally, declarations only specify the kind of object an identifier refers to, not what the concrete value of an identifier is, nor where the object it refers to can be found. This important role is filled by a **definition** $^{C}$ .

Rule 0.2.3.1 Declarations specify identifiers whereas definitions specify objects.

We will later see that things are a little bit more complicated in real life, but for now we can make a simplification

Rule 0.2.3.2 An object is defined at the same time as it is initialized.

Initializations augment the declarations and give an object its initial value. For instance:

```
1 size_t i = 0;
```

is a declaration of i that is also a definition with initial value  $^{C}$  0.

A is a bit more complex

this initializes the 5 items in A to the values 9.0, 2.9, 0.0, 0.00007 and 3.0E+25, in that order. The form of an initializer we see here is called **designated**<sup>C</sup>: a pair of brackets with an integer designate which item of the array is initialized with the corresponding value. E.g. [4] = 3.E+25 sets the last item of the array A to the value 3.E+25. As a special rule, any position that is not listed in the initializer is set to 0. In our example the missing [2] is filled with 0.0.7

Rule 0.2.3.3 *Missing elements in initializers default to* 0.

You might have noticed that array positions,  $\underline{indices}^C$ , above are not starting at 1 for the first element, but with 0. Think of an array position as the "distance" of the corresponding array element from the start of the array.

Rule 0.2.3.4 For an array with n the first element has index 0, the last has index n-1.

For a function we have a definition (as opposed to only a declaration) if its declaration is followed by braces { . . . } containing the code of the function.

 $<sup>^{7}</sup>$ We will see later how these number literals with dots . and exponents E+25 work.

```
1 | int main(void) {
2 | ...
3 | }
```

In our examples so far we have seen two different kinds of objects,  $\underline{\mathbf{data\ objects}}^C$ , namely  $\mathtt{i}$  and  $\mathtt{A}$ , and  $\underline{\mathbf{function\ objects}}^C$ , main and  $\underline{\mathbf{printf}}$ .

In contrast to declarations, where several were allowed for the same identifier, definitions must be unique:

Rule 0.2.3.5 Each object must have exactly one definition.

This rule concerns data objects as well as function objects.

**2.4. Statements.** The second part of the main function consists mainly of <u>statements</u>. Statements are instructions that tell the compiler what to do with identifiers that have been declared so far. We have

We have already discussed the lines that correspond to the call to **printf**. There are also other types of statements: a **for** and a **return** statement, and an increment operation, indicated by the **operator** $^{C}$  ++.

2.4.1. *Iteration*. The **for** statement tells the compiler that the program should execute the **printf** line a number of times. It is the simplest form of **domain iteration**<sup>C</sup> that C has to offer. It has four different parts.

The code that is to be repeated is called  $\underline{\mathbf{loop\ body}}^C$ , it is the  $\{\ldots\}$  block that follows the  $\mathbf{for}\ (\ldots)$ . The other three parts are those inside  $(\ldots)$  part, divided by semicolons:

- (1) The declaration, definition and initialization of the  $\underline{\mathbf{loop\ variable}}^C$  i that we already discussed above. This initialization is executed once before any of the rest of the whole  $\mathbf{for}$  statement.
- (2) A <u>loop condition</u><sup>C</sup>, i < 5, that specifies how long the **for** iteration should continue. This one tells the compiler to continue iterating as long as i is strictly less than 5. The loop condition is checked before each execution of the loop body.
- (3) Another statement, ++i, is executed i after each iteration. In this case it increases the value of i by 1 each time.

If we put all those together, we ask the program to perform the part in the block 5 times, setting the value of i to 0, 1, 2, 3, and 4 respectively in each iteration. The fact that we can identify each iteration with a specific value for i makes this an iteration over the **domain**<sup>C</sup> 0, ..., 4. There is more than one way to do this in C, but a **for** is the easiest, cleanest and best tool for the task.

Rule 0.2.4.1 Domain iterations should be coded with a for statement.

A **for** statement can be written in several ways other than what we just saw. Often people place the definition of the loop variable somewhere before the **for** or even reuse the same variable for several loops. Don't do that.

Rule 0.2.4.2 The loop variable should be defined in the initial part of a for.

2.4.2. Function return. The last statement in main is a return. It tells the main function, to <u>return</u> to the statement that it was called from once it's done. Here, since main has int in its declaration, a return <u>must</u> send back a value of type int to the calling statement. In this case that value is **EXIT\_SUCCESS**.

Even though we can't see its definition, the **printf** function must contain a similar **return** statement. At the point where we call the function in Line 17, execution of the statements in **main** is temporarily suspended. Execution continues in the **printf** function until a **return** is encountered. After the return from **printf**, execution of the statements in **main** continues from where it stopped.

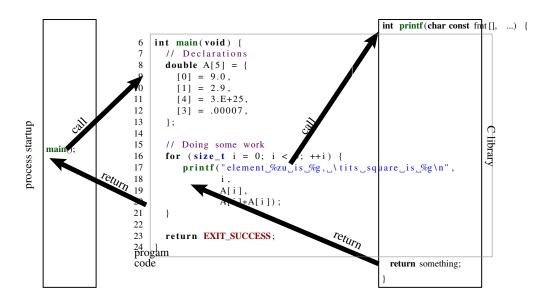


FIGURE 1. Execution of a small program

In Figure 1 we have a schematic view of the execution of our little program. First, a process startup routine (on the left) that is provided by our platform calls the user-provided function main (middle). That in turn calls printf, a function that is part of the  $\underline{\mathbf{C}}$  library on the right. Once a return is encountered there, control returns back to main, and when we reach the return in main, it passes back to the startup routine. The latter transfer of control, from a programmer's point of view, is the end of the program's execution.

#### LEVEL 1



# Acquaintance

This chapter is supposed to get you acquainted with the C programming language, that is to provide you with enough knowledge to write and use good C programs. "Good" here refers to a modern understanding of the language, avoiding most of the pitfalls of early dialects of C, offering you some constructs that were not present before, and that are portable across the vast majority of modern computer architectures, from your cell phone to a mainframe computer.

Having worked through this you should be able to write short code for everyday needs, not extremely sophisticated, but useful and portable. In many ways, C is a permissive language, a programmer is allowed to shoot themselves in the foot or other body parts if they choose to, and C will make no effort to stop them. Therefore, just for the moment, we will introduce some restrictions. We'll try to avoid handing out guns in this chapter, and place the key to the gun safe out of your reach for the moment, marking its location with big and visible exclamation marks.

The most dangerous constructs in C are the so-called  $\underline{\mathbf{casts}}^C$ , so we'll skip them at this level. However, there are many other pitfalls that are less easy to avoid. We will approach some of them in a way that might look unfamiliar to you, in particular if you have learned your C basics in the last millennium or if you have been initiated to C on a platform that wasn't upgraded to current ISO C for years.

- We will focus primarily on the  $\mathbf{unsigned}^C$  versions of integer types.
- We will introduce pointers in steps: first, in disguise as parameters to functions (6.1.4), then with their state (being valid or not, 6.2) and then, only when we really can't delay it any further (11), using their entire potential.
- We will focus on the use of arrays whenever possible, instead.

**Warning to experienced C programmers.** If you already have some experience with C programming, this may need some getting used to. Here are some of the things that may provoke allergic reactions. If you happen to break out in spots when you read some code here, try to take a deep breath and let it go.

We bind type modifiers and qualifiers to the left. We want to separate identifiers visually from their type. So we will typically write things as

```
1 char* name;
```

where char\* is the type and name is the identifier. We also apply the left binding rule to qualifiers and write

```
1 char const * const path_name;
```

Here the first **const** qualifies the **char** to its left, the  $\star$  makes it to a pointer and the second **const** again qualifies what is to its left.

We use array or function notation for pointer parameters to functions. wherever these assume that the pointer can't be null. Examples

```
size_t strlen(char const string[static 1]);
```

```
2 | int main(int argc, char* argv[argc+1]);
3 | int atexit(void function(void));
```

The first stresses the fact that strlen must receive a valid (non-null) pointer and will access at least one element of string. The second summarizes the fact that main receives an array of pointers to char: the program name, argc-1 program arguments and one null pointer that terminates the array. The third emphasizes that semantically atexit receives a function as an argument. The fact that technically this function is passed on as a function pointer is usually of minor interest, and the commonly used pointer-to-function syntax is barely readable. Here are syntactically equivalent declarations for the three functions above as they would be written by many:

```
size_t strlen(const char *string);
int main(int argc, char **argv);
int atexit(void (*function)(void));
```

As you now hopefully see, this is less informative and more difficult to comprehend visually.

We define variables as close to their first use as possible. Lack of variable initialization, especially for pointers, is one of the major pitfalls for novice C programmers. This is why we should, whenever possible, combine the declaration of a variable with the first assignment to it: the tool that C gives us for this purpose is a definition - a declaration together with an initialization. This gives a name to a value, and introduces this name at the first place where it is used.

This is particularly convenient for **for**-loops. The iterator variable of one loop is semantically a different object from the one in another loop, so we declare the variable within the **for** to ensure it stays within the loop's scope.

We use prefix notation for code blocks. To be able to read a code block it is important to capture two things about it easily: its purpose and its extent. Therefore:

- All { are prefixed on the same line with the statement or declaration that introduces them.
- The code inside is indented by one level.
- The terminating } starts a new line on the same level as the statement that introduced the block.
- Block statements that have a continuation after the } continue on the same line.

### Examples:

```
int main(int argc, char* argv[argc+1]) {
2
      puts("Hello world!");
3
      if (argc > 1) {
4
        while (true) {
5
          puts ("some programs never stop");
6
7
      } else {
8
        do {
9
          puts("but_this_one_does");
10
         while (false);
11
12
      return EXIT_SUCCESS;
13
```

### 3. Everything is about control

In our introductory example we saw two different constructs that allowed us to control the flow of a program execution: functions and the **for**-iteration. Functions are a way to

transfer control unconditionally. The call transfers control unconditionally <u>to</u> the function and a **return**-statement unconditionally transfers it <u>back</u> to the caller. We will come back to functions in Section 7.

The **for** statement is different in that it has a controlling condition (i < 5 in the example) that regulates if and when the dependent block or statement ( $\{$  **printf**(...) $\}$ ) is executed. C has five conditional <u>control statements</u>: **if**, **for**, **do**, **while** and **switch**. We will look at these statements in this section.

There are several other kinds of conditional expressions we will look at later on: the <u>ternary operator</u><sup>C</sup>, denoted by an expression in the form "cond? A: B", and the compile-time preprocessor conditionals (**#if**-**#else**) and type generic expressions (noted with the keyword **\_Generic**). We will visit these in Sections 4.4 and 20, respectively.

**3.1. Conditional execution.** The first construct that we will look at is specified by the keyword **if**. It looks like this:

Here we compare i against the value 25. If it is larger than 25, j is set to the value i - 25. In that example i > 25 is called the **controlling expression**  $^{C}$ , and the part in { . . . } is called the **dependent block**  $^{C}$ .

This form of an **if** statement is syntactically quite similar to the **for** statement that we already have encountered. It is a bit simpler, the part inside the parenthesis has only one part that determines whether the dependent statement or block is run.

There is a more general form of the if construct:

```
1     if (i > 25) {
2         j = i - 25;
3     } else {
4         j = i;
5     }
```

It has a second dependent statement or block that is executed if the controlling condition is not fulfilled. Syntactically, this is done by introducing another keyword **else** that separates the two statements or blocks.

The **if** (...) ... **else** ... is a <u>selection statement</u><sup>C</sup>. It selects one of the two possible **code paths**<sup>C</sup> according to the contents of (...). The general form is

```
if (condition) statement0-or-block0
else statement1-or-block1
```

The possibilities for the controlling expression "condition" are numerous. They can range from simple comparisons as in this example to very complex nested expressions. We will present all the primitives that can be used in Section 4.3.2.

The simplest of such "condition" specifications in an **if** statement can be seen in the following example, in a variation of the **for** loop from Listing 1.

Here the condition that determines whether **printf** is executed or not is just i: a numerical value by itself can be interpreted as a condition. The text will only be printed when the value of i is not 0. [Exs 1]

There are two simple rules for the evaluation a numerical "condition":

Rule 1.3.1.1 The value 0 represents logical false.

Rule 1.3.1.2 Any value different from 0 represents logical true.

The operators == and != allow us to test for equality and inequality, respectively. a == b is true if the value of a is equal to the value of b and false otherwise; a != b is false if a is equal to b and true otherwise. Knowing how numerical values are evaluated as conditions, we can avoid redundancy. For example, we can rewrite

```
1 if (i != 0) {
2    ...
}
```

as:

#include <stdbool.h>

The type bool, specified in stdbool.h, is what we should be using if we want to store truth values. Its values are false and true. Technically, false is just another name for 0 and true for 1. It's important to use false and true (and not the numbers) to emphasize that a value is to be interpreted as a condition. We will learn more about the bool type in Section 5.5.4.

Redundant comparisons quickly become unreadable and clutter your code. If you have a conditional that depends on a truth value, use that truth value directly as the condition. Again, we can avoid redundancy by rewriting something like:

```
bool b = ...;

if ((b != false) == true) {
    ...
}
```

as

```
1 bool b = ...;
2 ...
3 if (b) {
4 ...
5 }
```

Generally:

Rule 1.3.1.3 Don't compare to 0, false or true.

Using the truth value directly makes your code clearer, and illustrates one of the basic concepts of the C language:

Rule 1.3.1.4 All scalars have a truth value.

Here  $\underline{\mathbf{scalar}}^C$  types include all the numerical types such as  $\underline{\mathbf{size}}_t$ ,  $\underline{\mathbf{bool}}$  or  $\underline{\mathbf{int}}$  that we already encountered, and  $\underline{\mathbf{pointer}}^C$  types, that we will come back to in Section 6.2.

 $<sup>[</sup>Exs\ 1]$  Add the if (i) condition to the program and compare the output to the previous.

**3.2. Iterations.** Previously, we encountered the **for** statement that allows us to iterate over a domain; in our introductory example it declared a variable i that was set to the values 0, 1, 2, 3 and 4. The general form of this statement is

```
for (clause1; condition2; expression3) statement-or-block
```

This statement is actually quite genereric. Usually "clause1" is an assignment expression or a variable definition. It serves to state an initial value for the iteration domain. "condition2" tests if the iteration should continue. Then, "expression3" updates the iteration variable that had been used in "clause1". It is performed at the end of each iteration. Some advice

- In view of Rule 0.2.4.2 "clause1" should in most cases be be a variable definition
- Because **for** is relatively complex with its four different parts and not so easy to capture visually, "statement-**or**-block" should usually be a { ... } block.

Let's see some more examples:

```
for (size_t i = 10; i; --i) {
   something(i);
}

for (size_t i = 0, stop = upper_bound(); i < stop; ++i) {
   something_else(i);
}

for (size_t i = 9; i <= 9; --i) {
   something_else(i);
}
</pre>
```

The first **for** counts i down from 10 to 1, inclusive. The condition is again just the evaluation of the variable i, no redundant test against value 0 is required. When i becomes 0, it will evaluate to false and the loop will stop. The second **for** declares two variables, i and stop. As before i is the loop variable, stop is what we compare against in the condition, and when i becomes greater than or equal to stop, the loop terminates.

The third for appears like it would go on forever, but actually counts down from 9 to 0. In fact, in the next section we will see that "sizes" in C, that is numbers that have type  $size_t$ , are never negative. [Exs 2]

Observe that all three **for** statements declare variables named i. These three variables with the same name happily live side by side, as long as their scopes don't overlap.

There are two more iterative statements in C, namely while and do.

```
while (condition) statement-or-block
do statement-or-block while (condition);
```

The following example shows a typical use of the first:

```
#include <tgmath.h>
2
3
     double const eps = 1E-9;
                                         // desired precision
4
5
     double const a = 34.0;
6
     double x = 0.5;
7
     while (fabs(1.0 - a*x) >= eps) {
                                         // iterate until close
8
                                         // Heron approximation
       x *= (2.0 - a*x);
```

 $<sup>[</sup>Exs\ 2]$  Try to imagine what happens when i has value 0 and is decremented by means of operator --.

It iterates as long as the given condition evaluates true. The **do** loop is very similar, except that it checks the condition after the dependent block:

This means that if the condition evaluates to false, a **while**-loop will not run its dependent block at all, and a **do**-loop will run it once before terminating.

As with the **for** statement, for **do** and **while** it is advisable to use the { . . . } block variants. There is also a subtle syntactical difference between the two, **do** always needs a semicolon; after the **while** (condition) to terminate the statement. Later we will see that this is a syntactic feature that turns out to be quite useful in the context of multiple nested statements, see Section 10.3.

All three iteration statements become even more flexible with **break** and **continue** statements. A **break** statement stops the loop without re-evaluating the termination condition or executing the part of the dependent block after the **break** statement:

This way, we can separate the computation of the product a\*x, the evaluation of the stop condition and the update of x. The condition of the **while** then becomes trivial. The same can be done using a **for**, and there is a tradition among C programmers to write it in as follows:

for (;;) here is equivalent to while (true). The fact that the controlling expression of a for (the middle part between the ;;) can be omitted and is interpreted as "always true" is just an historic artifact in the rules of C and has no other special reason.

The **continue** statement is less frequently used. Like **break**, it skips the execution of the rest of the dependent block, so all statements in the block after the **continue** are not executed for the current iteration. However, it then re-evaluates the condition and continues from the start of the dependent block if the condition is true.

```
1
   for (size_t i =0; i < max_iterations; ++i) {</pre>
2
      if (x > 1.0) { // check if we are on the correct side of 1
3
        x = 1.0/x;
4
        continue;
5
6
      double prod = a*x;
7
                                          // stop if close enough
      if (fabs(1.0 - prod) < eps)</pre>
8
        break;
9
      x *= (2.0 - prod);
                                           // Heron approximation
10
```



In the examples above we made use of a standard macro fabs, that comes with the tgmath.h header<sup>3</sup>. It calculates the absolute value of a double. If you are interested in how this works, Listing 1.1 is a program that does the same thing without the use of fabs. In it, fabs has been replaced by several explicit comparisons.

#include <tgmath.h>

The task of the program is to compute the inverse of all numbers that are provided to it on the command line. An example of a program execution looks like:

To process the numbers on the command line the program uses another library function strtod from  $stdlib.h.^{[Exs 4][Exs 5][Exs 6]}$ 

#include <stdlib.h>

LISTING 1.1. A program to compute inverses of numbers

```
1
    #include <stdlib.h>
 2
    #include <stdio.h>
3
    /\star lower and upper iteration limits centered around 1.0 \star/
 5
    static double const eps1m01 = 1.0 - 0x1P-01;
    static double const eps1p01 = 1.0 + 0x1P-01;
    static double const eps1m24 = 1.0 - 0x1P-24;
8
    static double const eps1p24 = 1.0 + 0x1P-24;
10
    int main(int argc, char* argv[argc+1]) {
      for (int i = 1; i < argc; ++i) {</pre>
11
                                                // process args
        double const a = strtod(argv[i], 0); // arg -> double
12
13
        double x = 1.0;
14
        for (;;) {
                                       // by powers of 2
          double prod = a*x;
15
                                      x *= 2.0;
16
          if (prod < eps1m01)</pre>
17
          else if
                    (eps1p01 < prod) x *= 0.5;
18
          else break;
19
20
        for (;;) {
                                       // Heron approximation
21
          double prod = a*x;
22
          if ((prod < eps1m24) || (eps1p24 < prod))
23
            x *= (2.0 - prod);
24
          else break;
25
26
        printf("heron:_a=%.5e, \tx=%.5e, \ta*x=%.12f\n",
27
               a, x, a*x);
28
29
      return EXIT_SUCCESS;
30
```

<sup>&</sup>lt;sup>3</sup>"tgmath" stands for type generic mathematical functions.

<sup>[</sup>Exs 4] Analyse Listing 1.1 by adding **printf** calls for intermediate values of x.

 $<sup>^{[</sup>Exs\,5]}$  Describe the use of the parameters  ${\tt argc}$  and  ${\tt argv}$  in Listing 1.1.

<sup>[</sup>Exs 6] Print out the values of eps1m01 and observe the output when you change them slightly.

**3.3.** Multiple selection. The last control statement that C has to offer is called switch statement and is another <u>selection</u> $^{C}$  statement. It is mainly used when cascades of **if** -**else** constructs would be too tedious:

```
if (arg == 'm') {
1
2
        puts("this_is_a_magpie");
3
        else if (arg == 'r') {
4
        puts("this_is_a_raven");
5
        else if (arg == 'j') {
6
        puts("this_is_a_jay");
7
        else if (arg == 'c') {
8
        puts("this_is_a_chough");
9
        else {
10
        puts("this_is_an_unknown_corvid");
11
```

In this case, we have a choice that is more complex than a **false** –**true** decision and that can have several outcomes. We can simplify this as follows:

```
switch (arg) {
2
        case 'm': puts("this_is_a_magpie");
3
                   break;
        case 'r': puts("this_is_a_raven");
4
5
                   break;
6
        case 'j': puts("this_is_a_jay");
7
                  break;
        case 'c': puts("this_is_a_chough");
8
9
                  break;
10
        default: puts("this_is_an_unknown_corvid");
11
```

#include <stdio.h>

Here we select one of the puts calls according to the value of the arg variable. Like printf, the function puts is provided by stdio.h. It outputs a line with the string that is passed as an argument. We provide specific cases for characters 'm', 'r', 'j', 'c' and a  $\underline{\mathbf{fallback}}^C$  case labeled  $\underline{\mathbf{default}}$ . The default case is triggered if  $\underline{\mathbf{arg}}$  doesn't match any of the  $\underline{\mathbf{case}}$  values. [Exs 7]

Syntactically, a switch is as simple as

```
switch (expression) statement-or-block
```

and the semantics of it are quite straightforward: the case and default labels serve as  $\underline{\mathbf{jump}}$   $\underline{\mathbf{targets}}^C$ . According to the value of the expression, control just continues at the statement that is labeled accordingly. If we hit a **break** statement, the whole **switch** under which it appears terminates and control is transferred to the next statement after the **switch**.

By that specification a **switch** statement can in fact be used much more widely than iterated **if** -**else** constructs.

```
switch (count) {
    default:puts("++++");
    case 4: puts("+++");
    case 3: puts("+++");
    case 2: puts("++");
    case 1: puts("+");
    case 0:;
```

<sup>[</sup>Exs 7] Test the above **switch** statement in a program. See what happens if you leave out some of the **break** statements.

8

Once we have jumped into the block, the execution continues until it reaches a **break** or the end of the block. In this case, because there are no **break** statements, we end up running all subsequent **puts** statements. For example, the output when the value of count is 3 would be a triangle with three lines.

The structure of a **switch** can be more flexible than **if** – **else**, but it is restricted in another way:

Rule 1.3.3.1 case values must be integer constant expressions.

In Section 5.4.2 we will see what these expressions are in detail. For now it suffices to know that these have to be fixed values that we provide directly in the source such as the 4, 3, 2, 1, 0 above. In particular variables such as count above are only allowed in the **switch** part but not for the individual **cases**.

With the greater flexibility of the **switch** statement also comes a price: it is more error prone. In particular, we might accidentally skip variable definitions:

Rule 1.3.3.2 case labels must not jump beyond a variable definition.

### 4. Expressing computations

We've already made use of some simple examples of  $\underline{\text{expressions}}^C$ . These are code snippets that compute some value based on other values. The simplest such expressions are certainly arithmetic expressions that are similar to those that we learned in school. But there are others, notably comparison operators such as == and != that we already saw earlier.

In this section, the values and objects on which we will do these computations will be mostly of the type  $size_t$  that we already met above. Such values correspond to "sizes", so they are numbers that cannot be negative. Their range of possible values starts at 0. What we would like to represent are all the non-negative integers, often denoted as  $\mathbb{N}$ ,  $\mathbb{N}_0$ , or "natural" numbers in mathematics. Unfortunately computers are finite so we can't directly represent all the natural numbers, but we can do a reasonable approximation. There is a big upper limit SIZE\_MAX that is the upper bound of what we can represent in a  $size_t$ .

Rule 1.4.0.3 The type size\_t represents values in the range [0, SIZE\_MAX].

The value of **SIZE\_MAX** is quite large, depending on the platform it should be one of

```
2^{16} - 1 = 65535

2^{32} - 1 = 4294967295

2^{64} - 1 = 18446744073709551615
```

The first value is a minimal requirement, the other two values are much more commonly used today. They should be large enough for calculations that are not too sophisticated. The standard header stdint.h provides SIZE\_MAX such that you don't have to figure it out yourself to write portable code.

The concept of "numbers that cannot be negative" to which we referred for **size\_t** corresponds to what C calls **unsigned integer types**<sup>C</sup>. The symbols and combinations like + or != are called **operators**<sup>C</sup> and the things to which they are applied are called **operands**<sup>C</sup>, so in something like "a + b", "+" is the operator and "a" and "b" are its operands.

For an overview of all C operators see the tables in the appendix; Table 2 lists the operators that operate on values, Table 3 those that operate objects and Table 4 those that operate on types.

- **4.1. Arithmetic.** Arithmetic operators form the first group in Table 2 of operators that operate on values.
- 4.1.1. +, and  $\star$ . Arithmetic operators  $\pm$ , and  $\pm$  mostly work as we would expect by computing the sum, the difference and the product of two values.

```
size_t a = 45;
size_t b = 7;
size_t c = (a - b) *2;
size_t d = a - b*2;
```

must result in  $\circ$  being equal to 76, and  $\circ$  to 31. As you can see from that little example, sub-expressions can be grouped together with parenthesis to enforce a preferred binding of the operator.

In addition, operators  $\pm$  and - also have unary variants. -b just gives the negative of b, namely a value a such that b + a is 0. +a simply provides the value of a. The following would give 76 as well.

```
size_t c = (+a + -b) *2;
```

#include <stdint.h>

Even though we use an unsigned type for our computation, negation and difference by means of the operator – is well defined. In fact, one of the miraculous properties of  $\mathbf{size_t}$  is that  $+-\star$  arithmetic always works where it can. This means that as long as the final mathematical result is within the range [0,  $\mathbf{SIZE\_MAX}$ ], then that result will be the value of the expression.

Rule 1.4.1.1 Unsigned arithmetic is always well defined.

Rule 1.4.1.2 *Operations* +, - *and* \* *on* **size\_t** *provide the mathematically correct result if it is representable as a* **size\_t**.

In case that we have a result that is not representable, we speak of arithmetic  $\underline{\text{overflow}}^C$ . Overflow can e.g. happen if we multiply two values that are so large that their mathematical product is greater than  $\underline{\text{SIZE\_MAX}}$ . We'll look how C deals with overflow in the next section.

4.1.2. Division and remainder. The operators  $\underline{/}$  and  $\underline{\$}$  are a bit more complicated, because they correspond to integer division and remainder operation. You might not be as used to them as to the other three arithmetic operators. a/b evaluates to the number of times b fits into a, and a\$b is the remaining value once the maximum number of b are removed from a. The operators / and \$ come in pair: if we have z = a / b the remainder a \$ b could be computed as a - z\*b:

Rule 1.4.1.3 For unsigned values, a == (a/b) \*b + (a%b).

A familiar example for the % operator are the hours on a clock. Say we have a 12 hour clock: 6 hours after 8 o'clock is 2 o'clock. Most people are able to compute time differences on 12 hour or 24 hour clocks. This computation corresponds to a % 12, in our example (8 + 6) % 12 == 2. [Exs 8] Another similar use for % is computation with minutes in the hour, of the form a % 60.

There is only one exceptional value that is not allowed for these two operations: 0. Division by zero is forbidden.

Rule 1.4.1.4 *Unsigned / and % are well defined only if the second operand is not* 0.

The % operator can also be used to explain additive and multiplicative arithmetic on unsigned types a bit better. As already mentioned above, when an unsigned type is given a value outside its range, it is said to  $\underline{\text{overflow}}^C$ . In that case, the result is reduced as if the % operator had been used. The resulting value "wraps around" the range of the type. In the case of  $\underline{\text{size }}$  t, the range is 0 to  $\underline{\text{SIZE }}$  MAX, therefore

Rule 1.4.1.5 *Arithmetic on size\_t implicitly does computation* % (SIZE\_MAX+1).

Rule 1.4.1.6 In case of overflow, unsigned arithmetic wraps around.

This means that for size\_t values, SIZE\_MAX + 1 is equal to 0 and 0 - 1 is equal to SIZE\_MAX.

This "wrapping around" is the magic that makes the – operators work for unsigned types. For example, the value -1 interpreted as a **size\_t** is equal to **SIZE\_MAX** and so adding -1 to a value a, just evaluates to a + **SIZE\_MAX** which wraps around to a + **SIZE\_MAX** – (**SIZE\_MAX**+1) = a - 1.

Operators / and % have the nice property that their results are always smaller than or equal to their operands:

Rule 1.4.1.7 The result of unsigned / and % is always smaller than the operands.

And thus

Rule 1.4.1.8 Unsigned / and % can't overflow.

<sup>[</sup>Exs 8] Implement some computations using a 24 hour clock, e.g. 3 hours after ten, 8 hours after twenty.

**4.2. Operators that modify objects.** Another important operation that we already have seen is assignment,  $\underline{\mathbf{a}} = \underline{42}$ . As you can see from that example this operator is not symmetric, it has a value on the right and an object on the left. In a freaky abuse of language C jargon often refers to the right hand side as  $\underline{\mathbf{rvalue}}^C$  (right value) and to the object on the left as  $\underline{\mathbf{lvalue}}^C$  (left  $\underline{\mathbf{value}}$ ). We will try to avoid that vocabulary whenever we can: speaking of a value and an object is completely sufficient.

C has other assignment operators. For any binary operator @ from the five we have known above all have the syntax

```
an_object @= some_expression;
```

They are just convenient abbreviations for combining the arithmetic operator @ and assignment, see Table 3. An equivalent form would be

```
an_object = (an_object @ (some_expression));
```

In other words there are operators +=, -=, +=, -=, +=, and +=. For example in a **for** loop operator += could be used:

```
for (size_t i = 0; i < 25; i += 7) {
   ...
}</pre>
```

The syntax of these operators is a bit picky, you aren't allowed to have blanks between the different characters, e.g. "i + = 7" instead of "i += 7" is a syntax error.

Rule 1.4.2.1 Operators must have all their characters directly attached to each other.

We already have seen two other operators that modify objects, namely the <u>increment</u> operator<sup>C</sup> ++ and the decrement operator<sup>C</sup> --:

- ++i is equivalent to i += 1,
- --i is equivalent to i -= 1.

All these assignment operators are real operators, they return a value (but not an object!). You could, if you were screwed enough write something like

But such combinations of modifications to several objects in one go is generally frowned upon. Don't do that unless you want to obfuscate your code. Such changes to objects that are involved in an expression are referred to as **side effects** $^{C}$ .

Rule 1.4.2.2 Side effects in value expressions are evil.

Rule 1.4.2.3 Never modify more than one object in a statement.

For the increment and decrement operators there are even two other forms, namely **postfix increment**<sup>C</sup> and **postfix decrement**<sup>C</sup>. They differ from the one that we have seen in the result when they are used inside a larger expression. But since you will nicely obey to Rule 1.4.2.2, you will not be tempted to use them.

**4.3. Boolean context.** Several operators yield a value 0 or 1 depending on whether some condition is verified or not, see Table 2. They can be grouped in two categories, comparisons and logical evaluation.

4.3.1. *Comparison*. In our examples we already have seen the comparison operators ==, !=,  $\leq$ , and  $\geq$ . Whereas the later two perform strict comparison between their operands, operators  $\leq=$  and  $\geq=$  perform "less or equal" and "greater or equal" comparison, respectively. All these operators can be used in control statements as we have already seen, but they are actually more powerful than that.

Rule 1.4.3.1 *Comparison operators return the values* false *or* true.

Remember that **false** and **true** are nothing else then fancy names for 0 and 1 respectively. So they can perfectly used in arithmetic or for array indexing. In the following code

```
1 size_t c = (a < b) + (a == b) + (a > b);
2 size_t d = (a <= b) + (a >= b) - 1;
```

we have that c will always be 1, and d will be 1 if a and b are equal and 0 otherwise. With

```
double largeA[N] = { 0 };

...

/* fill largeA somehow */

size_t sign[2] = { 0, 0 };

for (size_t i = 0; i < N; ++i) {
    sign[(largeA[i] < 1.0)] += 1;
}</pre>
```

the array element sign[0] will hold the number of values in largeA that are greater or equal than 1.0 and sign[1] those that are strictly less.

Finally, let's mention that there also is an identifier "not\_eq" that may be used as a replacement for !=. This feature is rarely used. It dates back to the times where some characters were not properly present on all computer platforms. To be able to use it you'd have to include the file iso646.h.

4.3.2. *Logic*. Logic operators operate on values that are already supposed to represent values **false** or **true**. If they are not, the rules that we described for conditional execution with Rules 1.3.1.1 and 1.3.1.2 apply first. The operator  $\underline{!}$  (**not**) logically negates its operand, operator  $\underline{\&}$  (**and**) is logical and, operator  $\underline{|}$  (**or**) is logical or. The results of these operators are summarized in the following table:

TABLE 1. Logical operators

a	<b>not</b> a	a <b>and</b> b	false	true	a <b>or</b> b	false	true
false	true	false	false	false	false	false	true
true	false	true	false	true	true	true	true

Similar as for the comparison operators we have

Rule 1.4.3.2 *Logic operators return the values* false *or* true.

Again, remember that these values are nothing else than  $\tt 0$  and  $\tt 1$  and can thus be used as indices:

```
double largeA[N] = { 0 };

...
/* fill largeA somehow */
```

#include <iso646.h>

```
5 | size_t isset[2] = { 0, 0 };
6 | for (size_t i = 0; i < N; ++i) {
7 | isset[!!largeA[i]] += 1;
8 | }</pre>
```

Here the expression !!largeA[i] applies the ! operator twice and thus just ensures that largeA[i] is evaluated as a truth value according to the general Rule 1.3.1.4. As a result, the array elements isset[0] and isset[1] will hold the number of values that are equal to 0.0 and unequal, respectively.

Operators && and || have a particular property that is called **short circuit evaluation** $^{C}$ . This barbaric term denotes the fact that the evaluation of the second operand is omitted, if it is not necessary for the result of the operation. Suppose isgreat and issmall are two functions that yield a scalar value. Then in this code

then second function call on each line would conditionally be omitted during execution: issmall(b) if isgreat(a) was 0, issmall(d) if issmall(c) was not 0. Equivalent code would be

**4.4. The ternary or conditional operator.** The <u>ternary operator</u> is much similar to an **if** statement, only that it is an expression that returns the value of the chosen branch:

```
1     size_t size_min(size_t a, size_t b) {
2     return (a < b) ? a : b;
3     }</pre>
```

#include <tgmath.h>

Similar to the operators && and || the second and third operand are only evaluated if they are really needed. The macro **sqrt** from tgmath.h computes the square root of a non-negative value. Calling it with a negative value raises a **domain error**<sup>C</sup>.

```
#include <tgmath.h>

#ifdef _STDC_NO_COMPLEX_
# error "we_need_complex_arithmetic"

#endif

double complex sqrt_real(double x) {
   return (x < 0) ? CMPLX(0, sqrt(-x)) : CMPLX(sqrt(x), 0);
}</pre>
```

In this function **sqrt** is only called once, and the argument to that call is never negative. So sqrt\_real is always well behaved, no bad values are ever passed to **sqrt**.

#include <complex.h>
#include <tgmath.h>

Complex arithmetic and the tools used for it need the header complex.h which is indirectly included by tgmath.h. They will be introduced later in Section 5.5.6.

In the example above we also see conditional compilation that is achieved with **preprocessor**<u>directives</u><sup>C</sup>, the #ifdef construct ensures that we hit the #error condition only if the macro

<u>STDC\_NO\_COMPLEX\_</u> isn't defined.

**4.5. Evaluation order.** Of the above operators we have seen that &&,  $|\cdot|$  and ?: condition the evaluation of some of their operands. This implies in particular that for these operators there is an evaluation order on the operands: the first operand, since it is a condition for the remaining ones is always evaluated first:

```
Rule 1.4.5.1 &&, ||, ?: and , evaluate their first operand first.
```

Here,  $\underline{\ }$  is the only operator that we haven't introduced, yet. It evaluates its operands in order and the result is then the value of the right operand.  $E.g.\ (f(a),\ f(b))$  would first evaluate f(a), then f(b) and the result would be the value of f(b). This feature is rarely useful in clean code, and is a trap for beginners.  $E.g.\ A[i,\ j]$  is <u>not</u> a two dimension index for matrix A, but results just in A[j].

```
Rule 1.4.5.2 Don't use the , operator.
```

Other operators don't have an evaluation restriction. E.g. in an expression such as f(a) + g(b) there is no pre-established ordering specifying whether f(a) or g(b) is to be computed first. If any of functions f or g work with side effects, e.g. if f modifies g behind the scenes, the outcome of the expression will depend on the chosen order.

Rule 1.4.5.3 *Most operators don't sequence their operands.* 

That chosen order can depend on your compiler, on the particular version of that compiler, on compile time options or just on the code that surrounds the expression. Don't rely on any such particular sequencing, it will bite you.

The same holds for the arguments of functions. In something like

```
printf("%g_and_%g\n", f(a), f(b));
```

we wouldn't know which of the last two arguments is evaluated first.

Rule 1.4.5.4 Function calls don't sequence their argument expressions.

The only reliable way not to depend on evaluation ordering of arithmetic expressions is to ban side effects:

Rule 1.4.5.5 Functions that are called inside expressions should not have side effects.

#### 5. Basic values and data

We will now change the angle of view from the way "how things are to be done" (statements and expressions) to the things on which C programs operate,  $\underline{\text{values}}^C$  and  $\underline{\text{data}}^C$ .

A concrete program at an instance in time has to <u>represent</u> values. Humans have a similar strategy: nowadays we use a decimal presentation to write numbers down on paper, a system that we inherited from the arabic culture. But we have other systems to write numbers: roman notation, *e.g.*, or textual notation. To know that the word "twelve" denotes the value 12 is a non trivial step, and reminds us that European languages are denoting numbers not entirely in decimal but also in other systems. English is mixing with base 12, French with bases 16 and 20. For non-natives in French such as myself, it may be difficult to spontaneously associate "quatre vingt quinze" (four times twenty and fifteen) with the number 95.

Similarly, representations of values in a computer can vary "culturally" from architecture to architecture or are determined by the type that the programmer gave to the value. What representation a particular value has should in most cases not be your concern; the compiler is there to organize the translation between values and representations back and forth.

Not all representations of values are even <u>observable</u> from within your program. They only are so, if they are stored in <u>addressable</u> memory or written to an output device. This is another assumptions that C makes: it supposes that all data is stored in some sort of storage called memory that allows to retrieve values from different parts of the program in different moments in time. For the moment only keep in mind that there is something like an <u>observable state</u><sup>C</sup>, and that a C compiler is only obliged to produce an executable that reproduces that observable state.

5.0.1. Values. A <u>value</u> in C is an abstract entity that usually exists beyond your program, the particular implementation of that program and the representation of the value during a particular run of the program. As an example, the value and concept of 0 should and will always have the same effects on all C platforms: adding that value to another value x will again be x, evaluating a value 0 in a control expression will always trigger the <u>false</u> branch of the control statement. C has the very simple rule

Rule 1.5.0.6 *All values are numbers or translate to such.* 

This really concerns all values a C program is about, whether these are the characters or texts that we print, truth values, measures that we take, relations that we investigate. First of all, think of these numbers as of mathematical entities that are independent of your program and its concrete realization.

The <u>data</u> of a program execution are all the assembled values of all objects at a given moment. The state of the program execution is determined by:

- the executable
- the current point of execution
- the data
- outside intervention such as IO from the user.

If we abstract from the last point, an executable that runs with the same data from the same point of execution must give the same result. But since C programs should be portable between systems, we want more than that. We don't want that the result of a computation depends on the executable (which is platform specific) but idealy that it only depends on the program specification itself.

5.0.2. *Types*. An important step in that direction is the concept of  $\underline{types}^C$ . A type is an additional property that C associates with values. Up to now we already have seen several such types, most prominently  $\underline{size_t}$ , but also **double** or  $\underline{bool}$ .

Rule 1.5.0.7 All values have a type that is statically determined.

Rule 1.5.0.8 *Possible operations on a value are determined by its type.* 

Rule 1.5.0.9 A value's type determines the results of all operations.

5.0.3. Binary representation and the abstract state machine. Unfortunately, the variety of computer platforms is not such that the C standard can impose the results of the operations on a given type completely. Things that are not completely specified as such by the standard are *e.g.* how the sign of signed type is represented, the so-called sign representation, or to which precision a **double** floating point operation is performed, so-called floating point representation. C only imposes as much properties on all representations, such that the results of operations can be deduced a priori from two different sources:

- the values of the operands
- some characteristic values that describe the particular platform.

E.g. the operations on the type  $\mathbf{size_t}$  can be entirely determined when inspecting the value of  $\mathbf{SIZE\_MAX}$  in addition to the operands. We call the model to represent values of a given type on a given platform the **binary representation**<sup>C</sup> of the type.

Rule 1.5.0.10 A type's binary representation determines the results of all operations.

Generally, all information that we need to determine that model are in reach of any C program, the C library headers provide the necessary information through named values (such as **SIZE\_MAX**), operators and function calls.

Rule 1.5.0.11 A type's binary representation is observable.

This binary representation is still a model and so an <u>abstract representation</u> in the sense that it doesn't completely determine how values are stored in the memory of a computer or on a disk or other persistent storage device. That representation would be the <u>object representation</u>. In contrast to the binary representation, the object representation usually is of not much concern to us, as long as we don't want to hack together values of objects in main memory or have to communicate between computers that have a different platform model. Much later, in Section 12.1, we will see that we may even observe the object representation if such an object is stored in memory and we know its address.

As a consequence all computation is fixed through the values, types and their binary representations that are specified in the program. The program text describes an **abstract state machine** $^C$  that regulates how the program switches from one state to the next. These transitions are determined by value, type and binary representation, only.

Rule 1.5.0.12 (as-if) *Programs execute* **as if** following the abstract state machine.

5.0.4. *Optimization*. How a concrete executable achieves this goal is left to the discretion of the compiler creators. Most modern C compilers produce code that <u>doesn't</u> follow the exact code prescription, they cheat wherever they can and only respect the observable states of the abstract state machine. For example a sequence of additions with constants values such as

```
1  x += 5;
2  /* do something else without x in the mean time */
3  x += 7;
```

may in many cases be done as if it were specified as either

```
1  /* do something without x */
2  x += 12;
```

or

```
1 x += 12;
2 /* do something without x */
```

The compiler may perform such changes to the execution order as long as there will be no observable difference in the result, *e.g.* as long we don't print the intermediate value of "x" and as long as we don't use that intermediate value in another computation.

But such an optimization can also be forbidden because the compiler can't prove that a certain operation will not force a program termination. In our example, much depends on the type of "x". If the current value of x could be close to the upper limit of the type, the innocent looking operation x += 7 may produce an overflow. Such overflows are handled differently according to the type. As we have seen above, overflow of an unsigned type makes no problem and the result of the condensed operation will allways be consistent with the two seperated ones. For other types such as signed integer types (signed) or floating point types (double) an overflow may "raise an exception" and terminate the program. So in this cases the optimization cannot be performed.

This allowed slackness between program description and abstract state machine is a very valuable feature, commonly referred to as  $\underline{\text{optimization}}^C$ . Combined with the relative simplicity of its language description, this is actually one of the main features that allows C to outperform other programming languages that have a lot more knobs and whistles. An important consequence about the discussion above can be summarized as follows.

Rule 1.5.0.13 *Type determines optimization opportunities.* 

**5.1. Basic types.** C has a series of basic types and some means of constructing <u>derived</u> <u>types</u> $^{C}$  from them that we will describe later in Section 6.

Mainly for historical reasons, the system of basic types is a bit complicated and the syntax to specify such types is not completely straightforward. There is a first level of specification that is entirely done with keywords of the language, such as **signed**, **int** or **double**. This first level is mainly organized according to C internals. On top of that there is a second level of specification that comes through header files and for which we already have seen examples, too, namely **size\_t** or **bool**. This second level is organized by type semantic, that is by specifying what properties a particular type brings to the programmer.

We will start with the first level specification of such types. As we already discussed above in Rule 1.5.0.6, all basic values in C are numbers, but there are numbers of different kind. As a principal distinction we have two different classes of numbers, with two subclasses, each, namely <u>unsigned integers</u> $^{C}$ , <u>signed integers</u> $^{C}$ , <u>real floating point numbers</u> $^{C}$  and <u>complex floating point numbers</u> $^{C}$ 

All these classes contain several types. They differ according to their  $\underline{\mathbf{precision}}^C$ , which determines the valid range of values that are allowed for a particular type. Table 2 contains an overview of the 18 base types. As you can see from that table there are some types which we can't directly use for arithmetic, so-called  $\underline{\mathbf{narrow\ types}}^C$ . A a rule of thumb we get

Rule 1.5.1.1 Each of the 4 classes of base types has 3 distinct unpromoted types.



<sup>&</sup>lt;sup>9</sup>The term <u>precision</u> is used here in a restricted sense as the C standard defines it. It is different from the accuracy of a floating point computation.

TABLE 2. Base types according to the four main type classes. Types with a grey background don't allow for arithmetic, they are <u>promoted</u> before doing arithmetic. Type **char** is special since it can be unsigned or signed, depending on the platform. <u>All</u> types in the table are considered to be distinct types, even if they have the same class and precision.

class		systematic name	other name		
	unsigned	_Bool	bool		
		unsigned char			
		unsigned short			
		unsigned int	unsigned		
		unsigned long			
integers		unsigned long long			
integers	[un]signed	char			
	signed	signed char			
		signed short	short		
		signed int	signed or int		
		signed long	long		
		signed long long	long long		
	real	float			
floating point		double			
		long double			
	complex	float _Complex	float complex		
		double _Complex	double complex		
		long double _Complex	long double complex		

Contrary to what many people believe, the C standard doesn't even prescribe the precision of these 12 types, it only constrains them. They depend on a lot of factors that are  $\underline{implementation\ dependent}^C$ . Thus, to chose the "best" type for a given purpose in a portable way could be a tedious task, if we wouldn't get help from the compiler implementation.

Remember that unsigned types are the most convenient types, since they are the only types that have an arithmetic that is defined consistently with mathematical properties, namely modulo operation. They can't raise signals on overflow and can be optimized best. They are described in more detail in Section 5.5.1.

Rule 1.5.1.2 Use size\_t for sizes, cardinalities or ordinal numbers.

Rule 1.5.1.3 *Use* unsigned for small quantities that can't be negative.

If your program really needs values that may both be positive and negative but don't have fractions, use a signed type, see Section 5.5.5.

Rule 1.5.1.4 *Use* **signed** *for small quantities that bear a sign*.

Rule 1.5.1.5 *Use* **ptrdiff\_t** *for large differences that bear a sign.* 

If you want to do fractional computation with values such as 0.5 or 3.77189E+89 use floating point types, see Section 5.5.6.

Rule 1.5.1.6 *Use* **double** *for floating point calculations*.

Rule 1.5.1.7 *Use* **double complex** *for complex calculations*.

type	header	context of definition	meaning	
uintmax_t	stdint.h		maximum width unsigned	
intmax_t	stdint.h		integer, preprocessor maximum width signed inte- ger, preprocessor	
errno_t	errno.h	Appendix K	error return instead of int	
rsize_t	stddef.h	Appendix K	size arguments with bounds	
			checking	
time_t	time.h	time(0), difftime(t1, t0)	calendar time in seconds	
			since epoch	
clock_t	time.h	clock ()	processor time	

TABLE 3. Some semantic arithmetic types for specialized use cases

The C standard defines a lot of other types, among them other arithmetic types that model special use cases. Table 3 list some of them. The first two represents the type with maximal width that the platform supports.

The second pair are types that can replace **int** and **size\_t** in certain context. The first, **errno\_t**, is just another name for **int** to emphasize the fact that it encodes an error value; **rsize\_t**, in turn, is used to indicate that an interface performs bounds checking on its "size" parameters.

The two types time\_t and clock\_t are used to handle times. They are semantic types, because the precision of the time computation can be different from platform to platform. The way to have a time in seconds that can be used in arithmetic is the function difftime: it computes the difference of two timestamps. clock\_t values present the platforms model of processor clock cycles, so the unit of time here is usually much below the second; CLOCKS PER SEC can be used to convert such values to seconds.

- **5.2. Specifying values.** We have already seen several ways in which numerical constants, so-called **literals** $^{C}$  can be specified:
  - 123 **decimal integer constant** $^{C}$ . The most natural choice for most of us.
  - octal integer constant. This is specified by a sequence of digits, the first being and the following between 0 and 7, e.g. 077 has the value 63. This type of specification has merely historical value and is rarely used nowadays. There is only one octal literal that is commonly used, namely 0 itself.
- $0 \times \text{FFFF}$  <u>hexadecimal integer constant</u><sup>C</sup>. This is specified by a start of  $0 \times \text{followed}$  by a sequence of digits between  $0, \ldots, 9, a \ldots f, e.g.$   $0 \times \text{beaf}$  is value 48815. The a .. f and x can also be written in capitals,  $0 \times \text{BEAF}$ .
- 1.7E-13 <u>decimal floating point constants</u><sup>C</sup>. Quite familiar for the version that just has a decimal point. But there is also the "scientific" notation with an exponent. In the general form mEe is interpreted as  $m \cdot 10^e$ .
- $0 \times 1.7 a P-13$  hexadecimal floating point constants  $^C$ . Usually used to describe floating point values in a form that will ease to specify values that have exact representations. The general form  $0 \times h Pe$  is interpreted as  $h \cdot 2^e$ . Here h is specified as an hexadecimal fraction. The exponent e is still specified as a decimal number.
  - 'a' integer character constant<sup>C</sup>. These are characters put into 'apostrophs, such as 'a' or '?'. These have values that are only implicitly fixed by the C standard. *E.g.* 'a' corresponds to the integer code for the character "a" of the Latin alphabet.

Inside character constants a "\" character has a special meaning. E.g. we already have seen '\n' for the newline character.



"hello"  $\underline{\text{string literals}}^C$ . They specify text, e.g. as we needed it for the printf and puts functions. Again, the "\" character is special as in character constants.

All but the last are numerical constants, they specify numbers. An important rule applies:

Rule 1.5.2.1 *Numerical literals are never negative.* 

That is if we write something like -34 or -1.5E-23, the leading sign is not considered part of the number but is the <u>negation</u> operator applied to the number that comes after. We will see below where this is important. Bizarre as this may sound, the minus sign in the exponent is considered to be part of a floating point literal.

In view of Rule 1.5.0.7 we know that all literals must not only have a value but also a type. Don't mix up the fact of a constant having a positive value with its type, which can be **signed**.

Rule 1.5.2.2 Decimal integer constants are signed.

This is an important feature, we'd probably expect the expression -1 to be a signed, negative value.

To determine the exact type for integer literals we always have a "<u>first fit</u>" rule. For decimal integers this reads:

Rule 1.5.2.3 A decimal integer constant has the first of the 3 signed types that fits it.

This rule can have surprising effects. Suppose that on a platform the minimal signed value is  $-2^{15}=-32768$  and the maximum value is  $2^{15}-1=32767$ . The constant 32768 then doesn't fit into signed and is thus signed long. As a consequence the expression -32768 has type signed long. Thus the minimal value of the type signed on such a platform cannot be written as a literal constant. [Exs 10]

Rule 1.5.2.4 The same value can have different types.

Deducing the type of an octal or hexadecimal constant is a bit more complicated. These can also be of an unsigned type if the value doesn't fit for a signed one. In our example above the hexadecimal constant  $0 \times 7 \text{FFF}$  has the value 32767 and thus type signed. Other than for the decimal constant, the constant  $0 \times 8000$  (value 32768 written in hexadecimal) then is an unsigned and expression  $-0 \times 8000$  again is unsigned. [Exs 11]

Rule 1.5.2.5 Don't use octal or hexadecimal constants to express negative values.

Or if we formulate it postively

Rule 1.5.2.6 *Use decimal constants to express negative values.* 

Integer constants can be forced to be unsigned or to be of a type of minimal width. This done by appending "U", "L" or "LL" to the literal. *E.g.* 1U has value 1 and type **unsigned**, 1L is **signed long** and 1ULL has the same value but type **unsigned long long**. [Exs 12]

A common error is to try to assign a hexadecimal constant to a **signed** under the expectation that it will represent a negative value. Consider something like **int** x = 0xFFFFFFFFF.

<sup>[</sup>Exs 10] Show that if the minimal and maximal values for **signed long long** have similar properties, the smallest integer value for the platform can't be written as a combination of one literal with a minus sign.

<sup>[</sup>Exs 11] Show that if in that case the maximum **unsigned** is  $2^{16} - 1$  that then  $-0 \times 8000$  has value 32768, too.

<sup>[</sup>Exs 12] Show that the expressions -1U, -1UL and -1ULL have the maximum values and type of the three usable unsigned types, respectively.

TABLE 4. Examples for constants and their types, under the supposition that **signed** and **unsigned** have the commonly used representation with 32 bit.

constant $x$	value	type	value of $-x$
2147483647	+2147483647	signed	-2147483647
2147483648	+2147483648	signed long	-2147483648
4294967295	+4294967295	signed long	-4294967295
0x7FFFFFFF	+2147483647	signed	-2147483647
0x80000000	+2147483648	unsigned	+2147483648
Oxffffffff	+4294967295	unsigned	+1
1	+1	signed	-1
1U	+1	unsigned	+4294967295

This is done under the assumption that the hexadecimal value has the same binary representation as the signed value -1. On most architectures with 32 bit signed this will be true (but not on all of them) but then nothing guarantees that the effective value +4294967295 is converted to the value -1.

You remember that value 0 is important. It is so important that it has a lot of equivalent spellings:  $0, 0 \times 0$  and  $' \setminus 0'$  are all the same value, a 0 of type **signed int**. 0 has no decimal integer spelling:  $0.0 \underline{is}$  a decimal spelling for the value 0 but seen as a floating point value, namely with type **double**.

Rule 1.5.2.7 *Different literals can have the same value.* 

For integers this rule looks almost trivial, for floating point constants this is less obvious. Floating point values are only an <u>approximation</u> of the value they present literally, because binary digits of the fractional part may be truncated or rounded.

Rule 1.5.2.8 The effective value of a decimal floating point constant may be different from its literal value.

*E.g.* on my machine the constant 0.2 has in fact the value 0.2000000000000000111, and as a consequence constants 0.2 and 0.200000000000000111 have the same value.

Hexadecimal floating point constants have been designed because they better correspond to binary representations of floating point values. In fact, on most modern architectures such a constant (that has not too many digits) will exactly correspond to the literal value. Unfortunately, these beasts are almost unreadable for mere humans.

Finally, floating point constants can be followed by the letters f or F to denote a **float** or by 1 or L to denote a **long double**. Otherwise they are of type **double**. Beware that different types of constants generally lead to different values for the same literal. A typical example:

	float	double	long double
literal	0.2F	0.2	0.2L
value	0x1.99999AP-3F	0x1.99999999999AP-3	0xC.CCCCCCCCCCCCDP-6L

Rule 1.5.2.9 *Literals have value, type and binary representation.* 

**5.3. Initializers.** We already have seen (Section 2.3) that the initializer is an important part of an object definition. Accessing uninitialized objects has undefined behavior, the easiest way out is to avoid that situation systematically:

Rule 1.5.3.1 All variables should be initialized.

There are only few exception to that rule, VLA, see Section 6.1.3, that don't allow for an initializer, or code that must be highly optimized. The latter mainly occurs in situations that use pointers, so this is not yet relevant to us. For most code that we are able to write so far, a modern compiler will be able to trace the origin of a value to the last assignment or the initialization. Superfluous assignments will simply be optimized out.

For scalar types such as integers or floating point, an initializer just contains an expression that can be converted to that type. We already have seen a lot of examples for that. Optionally, such an initializer expression may be surrounded with {}. Examples:

```
1 double a = 7.8;
double b = 2 * a;
double c = { 7.8 };
double d = { 0 };
```

Initializers for other types <u>must</u> have these {}. *E.g.* array initializers contain initializers for the different elements, separated by a comma.

```
1 double A[] = { 7.8, };
double B[3] = { 2 * A[0], 7, 33, };
double C[] = { [0] = 7.8, [7] = 0, };
```

As we have already seen above, arrays that have an  $\underline{\text{incomplete type}}^C$  because there is no length specification are completed by the initializer to fully specify the length. Here A only has one element, whereas C has eight. For the first two initializers the element to which the scalar initialization applies is deduced from the position of the scalar in the list: e.g. B[1] is initialized to 7. Designated initializers as for C are by far preferable, since they make the code more robust against small changes in declaration.

Rule 1.5.3.2 *Use designated initializers for all aggregate data types.* 

If you don't know how to initialize a variable of type T, the <u>default initializer</u>  $T = \{0\}$  will almost  $T = \{0\}$ 

Rule 1.5.3.3 {0} is a valid initializer for all object types that are not VLA.

There are several things, that ensure that this works. First, if we omit the designation (the .fieldname for struct, see Section 6.3 or [n] for arrays, see Section 6.1) initialization is just done in <u>declaration order</u><sup>C</sup>, that is the 0 in the default initializer designates the very first field that is declared, and all other fields then are initialized per default to 0 as well. Then, the {} form of initializers for scalars ensures that { 0 } is also valid for these.

Maybe your compiler warns you about this: annoyingly some compiler implementers don't know about this special rule. It is explicitly designed as catch-all initializer in the C standard, so this is one of the rare cases where I would switch off a compiler warning.

**5.4. Named constants.** A common issue even in small programs is that they use special values for some purpose that are textually repeated all over. If for one reason or another this value changes, the program falls apart. Take an artificial setting as an example where we have arrays of strings, on which we would like to perform some operations:

```
char const*const animal[3] = {
    "raven",
    "magpie",
    "jay",
```

<sup>&</sup>lt;sup>13</sup>The exception are variable length arrays, see Section 6.1.3.

```
5
   };
6
    char const * const pronoun[3] = {
7
      "we",
8
      "you",
9
      "they",
10
11
    char const * const ordinal[3] = {
12
      "first",
      "second",
13
      "third",
14
15
    } ;
16
17
        (unsigned i = 0; i < 3; ++i)
    for
18
        printf("Corvid_%u_is_the_%s\n", i, animal[i]);
19
20
    for (unsigned i = 0; i < 3; ++i)
21
        printf("%s_pural_pronoun_is_%s\n", ordinal[i], pronoun[i]);
```

Here we use the constant 3 at several places, and with three different "meanings" that are not much correlated. E.g. an addition to our set of corvids would need two independent code changes. In a real setting there could be much more places in the code that that would depend on this particular value, and in a large code base it can be very tedious to maintain.

Rule 1.5.4.1 All constants with particular meaning must be named.

But it is equally important to distinguish constants that are equal, but for which equality is just a coincidence.

Rule 1.5.4.2 All constants with different meaning must be distinguished.

5.4.1. Read-only objects. Don't mix the term "constant" which has a very specific meaning in C with objects that can't be modified. E.g. in the above code, animal, pronoun and ordinal are not constants according to our terminology, they are const-qualified objects. This **qualifier** specifies that we don't have the right to change this object. For animal neither the array entries nor the actual strings can be modified and your compiler should give you a diagnostic if you try to do so.

Rule 1.5.4.3 *An object of* **const**-qualified type is read-only.

That doesn't mean that the compiler or run-time system may not perhaps change the value of such an object: other parts of the program may see that object without the qualification and change it. The fact that you cannot write the summary of your bank account directly (but only read it), doesn't mean that it will remain constant over time.

There is another family of read-only objects, that unfortunately are not protected by their type from being modified: string literals:

# Rule 1.5.4.4 String literals are read-only.

If introduced today, the type of string literals would certainly be **char const**[], an array of **const**-qualified characters. Unfortunately, the **const** keyword had only been introduced much later than string literals to the C language, and therefore remained as it is for backward compatibility.<sup>14</sup>



<sup>&</sup>lt;sup>14</sup>A third class of read-only objects exist, temporary objects. We will see them later in Section 13.2.2.

5.4.2. *Enumerations*. C has a simple mechanism for such cases as we see them in the example, namely **enumerations** $^{C}$ :

```
enum corvid { magpie, raven, jay, corvid_num, };

char const*const animal[corvid_num] = {
    [raven] = "raven",
    [magpie] = "magpie",
    [jay] = "jay",

};

cusper definition of the control o
```

This declares a new integer type enum corvid for which we know four different values. The rules for these values are simple:

Rule 1.5.4.5 Enumeration constants have either an explicit or positional value.

As you might have guessed, positional values start from 0 onward, so in our example we have raven with value 0, magpie with 1, jay with 2 and corvid\_num with 3. This last 3 is obviously the 3 we are interested in.

Now if we want to add another corvid, we just put it in the list, anywhere before corvid\_num:

```
enum corvid { magpie, raven, jay, chough, corvid_num, };

char const*const animal[corvid_num] = {
    [chough] = "chough",
    [raven] = "raven",
    [magpie] = "magpie",
    [jay] = "jay",
};
```

As for most other narrow types, there is not really much interest of declaring variables of an enumeration type, for indexing and arithmetic they would be converted to a wider integer, anyhow. Even the enumeration constants themselves aren't of the enumeration type:

Rule 1.5.4.6 Enumeration constants are of type signed int.

So the interest really lies in the constants, not in the newly created type. We may thus name any **signed int** constant that we need, without even providing a  $\underline{\mathbf{tag}}^{C}$  for the type name:

```
enum { p0 = 1, p1 = 2*p1, p2 = 2*p1, p3 = 2*p2, };
```

To define these constants we can use  $\underline{\text{integer constant expressions}}^C$ ,  $\underline{\text{ICE}}$ . Such an ICE provides a compile time integer value and is much restricted. Not only that its value must be determinable at compile time (no function call allowed), also no evaluation of an object must participate as an operand to the value.

Here, 052 is an object, const-qualified but still, so the expression for 052 is not an "integer constant expression".

Rule 1.5.4.7 An integer constant expression doesn't evaluate any object.

So principally an ICE may consist of any operations with integer literals, enumeration constants, \_Alignof and offsetof sub-expressions and eventually some sizeof sub-expressions.<sup>15</sup>

Still, even when the value is an ICE to be able to use it to define an enumeration constant you'd have to ensure that the value fits into a **signed**.

5.4.3. *Macros*. Unfortunately there is no other mechanism to declare constants of other type than **signed** int in the strict sense of the C language. Instead, C proposes another powerful mechanism that introduces textual replacement of the program code,  $\underline{\mathbf{macros}}^C$ . A macro is introduced by a **preprocessor**<sup>C</sup> #define:

```
1 # define M_PI 3.14159265358979323846
```

This macro definition has an effect that the identifier  $\texttt{M}_{\texttt{PI}}$  is replaced in the then following program code by the **double** constant. Such a macro definition consists of 5 different parts:

- (1) A starting # character that must be the first non-blank character on the line.
- (2) The keyword define.
- (3) An identifier that is to be declared, here M\_PI.
- (4) The replacement text, here 3.14159265358979323846.
- (5) A terminating newline character.

With this trick we can declare textual replacement for constants of unsigned, size\_t or double. In fact the implementation imposed bound of size\_t, SIZE\_MAX, is such defined, but also many of the other system features that we already have seen: EXIT\_SUCCESS, false, true, not\_eq, bool, complex ...Here, in this book such C standard macros are all printed in dark red.

These examples should not distract you from the general style requirement that is almost universally followed in production code:

Rule 1.5.4.8 Macro names are in all caps.

Only deviate from that rule if you have good reasons, in particular not before you reached Level 3.

5.4.4. Compound literals. For types that don't have literals that describe their constants, things get even a bit more complicated. We have to use **compound literals**<sup>C</sup> on the replacement side of the macro. Such a compound literal has the form

```
1 (T) { INIT }
```

that is a type, in parenthesis, followed by an initializer. Example:

With that we could leave out the "animal" array from above and rewrite our for-loop:

<sup>&</sup>lt;sup>15</sup>We will handle the latter two concepts in Sections 12.6 and 12.1.

```
for (unsigned i = 0; i < corvid_num; ++i)
printf("Corvid_%u_is_the_%s\n", i, CORVID_NAME[i]);</pre>
```

Whereas compound literals in macro definitions can help us to declare something that behaves similar to a constant of a chosen type, it ain't a constant in the narrow sense of C.

Rule 1.5.4.9 A compound literal defines an object.

Over all, this form of macro has some pitfalls

- Compound literals aren't suitable for ICE.
- For our purpose here to declare "named constants" the type T should be <u>const-qualified</u><sup>C</sup>.
   This ensures that the optimizer has a bit more slackness to generate good binary code for such a macro replacement.
- There <u>must</u> be space between the macro name and the () of the compound literal, here indicated by the /\*\*/ comment. Otherwise this would be interpreted as the start of a definition of a function-like macro. We will see these much later.
- A backspace character \ at the <u>very end</u> of the line can be used to continue the macro definition to the next line.
- There must be no; at the end of the macro definition. Remember it is all just text replacement.

Rule 1.5.4.10 Don't hide a terminating semicolon inside a macro.

Also for readability of macros, please pity the poor occasional reader of your code:

Rule 1.5.4.11 Right-indent continuation markers for macros to the same column.

As you can see above this helps to visualize the whole spread of the macro definition easily.

5.4.5. *Complex constants*. Complex types are not necessarily supported by all C platforms. The fact can be checked by inspecting \_\_STDC\_NO\_COMPLEX\_\_. To have full support of complex types, the header complex.h should be included. If you use tgmath.h for mathematical functions, this is already done implicitly.

It has several macros that may ease the manipulation of these types, in particular  $\mathbf{I}$ , a constant value such that  $\mathbf{I} \star \mathbf{I} == -1.0 \text{F}$ . This can be used to specify constants of complex types similar to the usual mathematical notation.  $E.g.~0.5 + 0.5 \star \mathbf{I}$  would be of type double complex,  $0.5 + 0.5 \star \mathbf{I}$  of float complex.

One character macro names in capital are often used in programs for numbers that are fixed for the whole program. By itself it is not a brilliant idea, the resource of one character names is limited, but you should definitively leave I alone.

Rule 1.5.4.12 I is reserved for the imaginary unit.

Another possibility to specify complex values is CMPLX, e.g. CMPLX (0.5, 0.5) is the same double complex value as above, and using CMPLXF is similar for float complex. But using I as above is probably more convenient since the type of the constant is then automatically adapted from the two floating point constants that are used for the real and imaginary part.

### 5.5. Binary representions.

Rule 1.5.5.1 *The same value may have different binary representations.* 

#include <complex.h>
#include <tgmath.h>

5.5.1. *Unsigned integers*. We already have seen that unsigned integer types are those arithmetic types for which the standard arithmetic operations have a nice and closed mathematical description. Namely they are closed to these operations:

Rule 1.5.5.2 *Unsigned arithmetic wraps nicely.* 

It mathematical terms they implement a  $\underline{\operatorname{ring}}$ ,  $\mathbb{Z}_N$ , the set of integers modulo some number N. The values that are representable are  $0,\ldots,N-1$ . The maximum value N-1 completely determines such an unsigned integer type and is made available through a macro with terminating \_MAX in the name. For the basic unsigned integer types these are  $\underline{\operatorname{UINT\_MAX}}$ ,  $\underline{\operatorname{ULONG\_MAX}}$  and  $\underline{\operatorname{ULLONG\_MAX}}$  and they are provided through  $\underline{\operatorname{limits.h.}}$ . As we already have seen the one for  $\underline{\operatorname{size\_t}}$  is  $\underline{\operatorname{SIZE\_MAX}}$  from  $\underline{\operatorname{stdint.h.}}$ 

The binary representation for non-negative integer values is always exactly what the term indicates: such a number is represented by binary digits  $b_0, b_1, \ldots, b_{p-1}$  called  $\underline{\mathbf{bits}}^C$ . Each of the bits has a value of 0 or 1. The value of such a number is computed as

(1) 
$$\sum_{i=0}^{p-1} b_i 2^i.$$

The value p in that binary representation is called the  $\underline{\mathbf{precision}}^C$  of the underlying type. Of the bits  $b_i$  that are 1 the one with minimal index i is called the  $\underline{\mathbf{least \ significant \ bit}}^C$ , LSB, the one with the highest index is the  $\underline{\mathbf{most \ significant \ bit}}^C$ , MSB. E.g. for an unsigned type with p=16, the value 240 would have  $b_4=1$ ,  $b_5=1$ ,  $b_6=1$  and  $b_7=1$ . All other bits of the binary representation are 0, the LSB is  $b_4$  the MSB is  $b_7$ . From (1) we see immediately that  $2^p$  is the first value that cannot be represented with the type. Thus we have that  $N=2^p$  and

# Rule 1.5.5.3

The maximum value of any integer type is of the form  $2^p - 1$ .

Observe that for this discussion of the representation of non-negative values we hadn't argued about the signedness of the type. These rules apply equally to signed or unsigned types. Only for unsigned types we are lucky and what is said so far completely suffices to describe such an unsigned type.

Rule 1.5.5.4 Arithmetic on an unsigned integer type is determined by its precision.

5.5.2. Bit sets and bitwise operators. This simple binary representation of unsigned types allows us to use them for another purpose that is not directly related to arithmetic, namely as bit sets. A bit set is a different interpretation of an unsigned value, where we assume that it represents a subset of the base set  $V = \{0, \ldots, p-1\}$  and where we take element i to be member of the set, if the bit  $b_i$  is present.

There are three binary operators that operate on bitsets:  $\underline{\ }$ ,  $\underline{\ }$ , and  $\underline{\ }$ . They represent the set union  $A \cup B$ , set intersection  $A \cap B$  and symmetric difference  $A \Delta B$ , respectively. For an example let us chose A=240, representing  $\{4,5,6,7\}$ , and B=287, the bit set  $\{0,1,2,3,4,8\}$ . We then have

bit op	value	hex	$b_{15}$		$b_0$	set op	set
V	65535	0xFFFF	11111	1111111	1111		$\{0, 1, 2, 3, 4, 5, 6, 7, 8, 9, 10,$
							11, 12, 13, 14, 15
A	240	0x00F0	00000	00001111	0000		$\{4, 5, 6, 7\}$
~A	65295	0xFF0F	11111	1110000	1111	$V \setminus A$	$\{0, 1, 2, 3, 8, 9, 10,$
							11, 12, 13, 14, 15
-A	65296	0xFF10	11111	1110001	0000		$\{4, 8, 9, 10,$
							11, 12, 13, 14, 15
В	287	0x011F	00000	00010001	1111		$\{0, 1, 2, 3, 4, 8\}$
A B	511	0x01FF	00000	00011111	1111	$A \cup B$	$\{0, 1, 2, 3, 4, 5, 6, 7, 8\}$
A&B	16	0x0010	00000	00000001	0000	$A \cap B$	$\{4\}$
A^B	495	0x01EF	00000	00011110	1111	$A\Delta B$	$\{0, 1, 2, 3, 5, 6, 7, 8\}$

#include <limits.h>
#include <stdint.h>

For the result of these operations the total size of the base set, and thus the precision p is not needed. As for the arithmetic operators, there are corresponding assignment operators &=, |=, and  $^=$ , respectively. [Exs 16] [Exs 17] [Exs 18] [Exs 19]

There is yet another operator that operates on the bits of the value, the complement operator  $\underline{\ }$ . The complement  ${}^{\sim}$ A would have value 65295 and would correspond to the set  $\{0,1,2,3,8,9,10,11,12,13,14,15\}$ . This bit complement always depends on the precision p of the type. [Exs 20][Exs 21]

All these operator can be written with identifiers, namely <u>bitor</u>, <u>bitand</u>, <u>xor</u>, <u>or\_eq</u>, and\_eq, <u>xor\_eq</u>, and <u>compl</u> if you include header iso646.h.

#include <iso646.h>

A typical usage of bit sets is for "flags", variables that control certain settings of a program.

```
enum corvid { magpie, raven, jay, chough, corvid_num, };
   #define FLOCK_MAGPIE
                          1 U
3
   #define FLOCK_RAVEN 2U
   #define FLOCK_JAY
5
   #define FLOCK_CHOUGH
   #define FLOCK_EMPTY
7
   #define FLOCK_FULL
                          15U
8
9
   int main (void) {
10
      unsigned flock = FLOCK_EMPTY;
11
12
13
14
      if (something) flock |= FLOCK_JAY;
15
16
      . . .
17
18
      if (flock&FLOCK_CHOUGH)
19
        do_something_chough_specific(flock);
20
21
```

Here the constants for each type of corvid are a power of two, and so they have exactly one bit set in their binary representation. Membership in a "flock" can then be handled through the operators: |= adds a corvid to flock and & with one of the constants tests if a particular corvid is present.

Observe the similarity between operators & and && or | and | |: if we see each of the bits  $b_i$  of an unsigned as a truth value, & performs the "logical and" of all bits of its arguments simultaneously. This is a nice analogy that should help to memorize the particular spelling of these operators. On the other hand have in mind that operators | | and && have short circuit evaluation, so be sure to distinguish them clearly from the bit operators.

5.5.3. Shift operators. The next set of operators builds a bridge between interpretation of unsigned values as numbers and as bit sets. A left shift operation  $\leq <$  corresponds to the multiplication of the numerical value by the corresponding power of two. E.g. for A=240, A << 2 is  $240\cdot 2^2=240\cdot 4=960$ , which represents the set  $\{6,7,8,9\}$ . Resulting bits that don't fit into the binary representation for the type are simply omitted.

```
[Exs 16] Show that A \setminus B can be computed by A - (A\&B) [Exs 17] Show that V + 1 is O.

[Exs 18] Show that A \cap B is equivalent to (A - (A\&B)) + (B - (A\&B)) and A + B - 2*(A\&B) [Exs 19] Show that A \mid B is equivalent to A + B - (A\&B) [Exs 20] Show that A \cap B can be computed by V - B [Exs 21] Show that A \cap B = A \cap B \cap B
```

In our example, A << 9 would correspond to set  $\{13, 14, 15, 16\}$  (and value 122880), but since there is no bit 16 the resulting set is  $\{13, 14, 15\}$ , value 57344.

Thus for such a shift operation the precision p is important, again. Not only that bits that don't fit are dropped it also restricts the possible values of the operand on the right:

Rule 1.5.5.5 The second operand of a shift operation must be less than the precision.

There is an analogous right shift operation  $\geq >$  that shifts the binary representation towards the less significant bits. Analogously this corresponds to an integer division by a power of two. Bits in positions less or equal to the shift value are omitted for the result. Observe that for this operation, the precision of the type isn't important. [Exs 22]

Again, there are also corresponding assignment operators <<= and >>=.

The left shift operator << as a primary use for specifying powers of two. In our example from above we may now replace the **#defines** by:

```
#define FLOCK_MAGPIE (1U << magpie)
#define FLOCK_RAVEN (1U << raven)
#define FLOCK_JAY (1U << jay)
#define FLOCK_CHOUGH (1U << chough)
#define FLOCK_EMPTY OU
#define FLOCK_FULL ((1U << corvid_num)-1)</pre>
```

This makes the example more robust against changes to the enumeration.

5.5.4. Boolean values. The Boolean data type in C is also considered to be an unsigned type. Remember that it only has values 0 and 1, so there are no negative values. For historical reasons the basic type is called **\_Bool**. The name **bool** as well as the constants **false** and **true** only come through the inclusion of stdbool.h. But it probably is a good idea to use the latter to make the semantics of the types and values that you are using clear.

Treating **bool** as an unsigned type is a certain stretch of the concept. Assignment to a variable of that type doesn't follow the Modulus Rule 1.4.1.5, but a the special Rule 1.3.1.1.

You'd probably need **bool** variables rarely. They are only useful if you'd want to ensure that the value is always reduced to **false** or **true** on assignment. Early versions of C didn't have a Boolean type, and still many experienced C programmers don't have taken the habit of using it.

- 5.5.5. Signed integers. Signed types are a bit more complicated to handle than unsigned types, because a C implementation has to decide on two points
  - What happens on arithmetic overflow?
  - How is the sign of a signed type represented?

Signed and unsigned types come in pairs, with the notable two exceptions from Table 2 **char** and **bool**. The binary representation of the signed type is constrained by corresponding unsigned type:

Rule 1.5.5.6 *Positive values are represented independently from signedness.* 

Or stated otherwise, a positive value with a signed type has the same representation as in the corresponding unsigned type. That is the reason why we were able to express Rule 1.5.5.3 for  $\underline{\text{all}}$  integer types. These also have a precision, p that determines the maximum value of the type.

The next thing that the standard prescribes is that signed types have exactly one additional bit, the  $\underline{\text{sign bit}}^C$ . If it is 0 we have a positive value, if it is 1 the value is negative. Unfortunately there are different concepts how such a sign bit can be used to obtain a negative number. C allows three different  $\underline{\text{sign representations}}^C$ 



#include <stdbool.h>

<sup>[</sup>Exs 22] Show that the bits that are "lost" in an operation x>>n correspond to the remainder x % (1ULL << n).

- $\bullet$  sign and magnitude<sup>C</sup>
- ones' complement<sup>C</sup>
- two's complement<sup>C</sup>

The first two nowadays probably only have historic or exotic relevance: for sign and magnitude, the magnitude is taken as for positive values, and the sign bit simple specifies that there is a minus sign. Ones' complement takes the corresponding positive value and complements all bits. Both representations have the disadvantage that two values evaluate to 0, there is a positive and a negative 0.



Commonly used on modern platforms is the two's complement representation. It performs exactly the same arithmetic as we have already seen for unsigned types, only that the upper half of the unsigned values is interpreted as being negative. The following two functions are basically all that is need to interpret unsigned values as signed ones:

```
bool is_negative(unsigned a) {
   unsigned const int_max = UINT_MAX/2;
   return a > int_max;
}
bool is_signed_less(unsigned a, unsigned b) {
   if (is_negative(b) && !is_negative(a)) return false;
   else return a < b;
}</pre>
```

When realize like that, signed integer arithmetic will again behave more or less nicely. Unfortunately, there is a pitfall that makes the outcome of signed arithmetic difficult to predict: overflow. Where unsigned values are forced to wrap around, the behavior of a signed overflow is  $\underline{\mathbf{undefined}}^C$ . The following two loops look quite the same:

```
for (unsigned i = 1; i; ++i) do_something();
for ( signed i = 1; i; ++i) do_something();
```

We know what happens for the first one: the counter is increment up to **UINT\_MAX**, then wraps around to 0. All of this may take some time, but after **UINT\_MAX**-1 iterations the loop stops because i will have reached 0.

For the second, all looks similar. But because here the behavior of overflow is undefined the compiler is allowed to <u>pretend</u> that it will never happen. Since it also knows that the value at start is positive it may assume that i, as long as the program has defined behavior, is never negative or 0. The <u>as-if</u> Rule 1.5.0.12 allows it to optimize the second loop to

```
while (true) do_something();
```

That's right, an infinite loop. This is a general feature of undefined behavior in C code:

# Rule 1.5.5.7

Once the abstract state machine reaches an undefined state no further assumption about the continuation of the execution can be made.

Not only that the compiler is allowed to do what pleases for the operation itself ("<u>undefined?</u> so let's define it"), but it may also assume that it will never reach such a state and draw conclusions from that.

Rule 1.5.5.8 It is your responsibility to avoid undefined behavior of all operations.

What makes things even worse is that on some platforms with some standard compiler options all will just <u>look</u> right. Since the behavior is undefined, on a given platform signed integer arithmetic <u>might</u> turn out basically the same as unsigned. But changing the

platform, the compiler or just some options can change that. All of a sudden your program that worked for years crashes out of nowhere.

Basically what we discussed up to this section always had well defined behavior, so the abstract state machine is always in a well defined state. Signed arithmetic changes this, so as long as you mustn't, avoid it.

Rule 1.5.5.9 Signed arithmetic may trap badly.

One of the things that might already overflow for signed types is negation. We have seen above that <code>INT\_MAX</code> has all bits but the sign bit set to 1. <code>INT\_MIN</code> has then the "next" representation, namely the sign bit set to 1 and all other values set to 0. The corresponding value is not <code>-INT\_MAX</code>. [Exs 23]

We then have

```
Rule 1.5.5.10 In twos' complement representation INT_MIN < -INT_MAX.
```

Or stated otherwise, in twos' complement representation the positive value -INT\_MIN is out of bounds since the value of the operation is larger than INT\_MAX.

Rule 1.5.5.11 Negation may overflow for signed arithmetic.

For signed types, bit operations work with the binary representation. So the value of a bit operation depends in particular on the sign representation. In fact bit operations even allow to detect the sign representation.

```
1
   char const* sign_rep[4] =
2
3
       [1] = "sign_and_magnitude",
4
       [2] = "ones'_complement",
5
       [3] = "two's_complement",
6
       [0] = "weird",
7
     };
8
   enum { sign_magic = -1&3, };
9
10
   printf("Sign_representation:_%s.\n", sign_rep[sign_magic]);
```

The shift operations then become really messy. The semantics of what such an operation is for a negative value is not clear.

Rule 1.5.5.12 Use unsigned types for bit operations.

5.5.6. Floating point data. Where integers come near the mathematical concepts of  $\mathbb{N}$  (unsigned) or  $\mathbb{Z}$  (signed), floating point types are close to  $\mathbb{R}$  (non-complex) or  $\mathbb{C}$  (complex).

The way they differ from these mathematical concepts is twofold. First there is a size restriction of what is presentable. This is similar to what we have seen for integer types. The include file float.h has *e.g.* constants **DBL\_MIN** and **DBL\_MAX** that provides us with the minimal and maximal values for **double**. But beware, here **DBL\_MIN** is the smallest number that is strictly greater then 0.0; the smallest negative **double** value is **-DBL\_MAX**.

But real numbers ( $\mathbb{R}$ ) have another difficulty when we want to represent them on a physical system: they can have an unlimited expansion, such as the value  $\frac{1}{3}$  which has an endless repetition of digit 3 in decimal representation or such as the value of  $\pi$  which is "transcendent" and so has an endless expansion in any representation, and which even doesn't repeat in any way.

```
[Exs 23] Show that INT\_MIN+INT\_MAX is -1.
```

#include <float.h>

C and other programming languages deal with these difficulties by cutting off the expansion. The position where the expansion is cut is "floating", thus the name, and depends on the magnitude of the number in question.

In a view that is a bit simplified a floating point value is computed from the following values:

- $s \operatorname{sign}(\pm 1)$
- e exponent, an integer

 $f_1, \ldots, f_p$  values 0 or 1, the mantissa bits.

For the exponent we have  $e_{min} \le e \le e_{max}$ . p, the number of bits in the mantissa is called precision. The floating point value is then given by the formula:

$$s \cdot 2^e \cdot \sum_{k=1}^p f_k 2^{-k}.$$

The values p, emin and emax are type dependent, and therefore not represented explicitly in each number. They can be obtained through macros such as **DBL\_MANT\_DIG** (for p, typically 53) **DBL\_MIN\_EXP** ( $e_{min}$ , -1021) and **DBL\_MAX\_EXP** ( $e_{max}$ , 1024).

If we have e.g. a number that has s = -1, e = -2,  $f_1 = 1$ ,  $f_2 = 0$  and  $f_2 = 1$ , its value is

$$-1 \cdot 2^{-2} \cdot (f_1 2^{-1} + f_2 2^{-2} + f_2 2^{-3}) = -1 \cdot \frac{1}{4} \cdot (\frac{1}{2} + \frac{1}{8}) = -1 \cdot \frac{1}{4} \cdot \frac{4+1}{8} = \frac{-5}{32}$$

which corresponds to the decimal value -0.15625. From that calculation we see also that floating point values are always representable as a fraction that has some power of two in the denominator. [Exs 24]

An important thing that we have to have in mind with such floating point representations is that values can be cut off during intermediate computations.

Rule 1.5.5.13 Floating point operations are neither <u>associative</u>, <u>commutative</u> or <u>distributive</u>.

So basically they loose all nice algebraic properties that we are used to when doing pure math. The problems that arise from that are particularly pronounced if we operate with values that have very different orders of magnitude. [Exs 25] E.g. adding a very small floating point value x with an exponent that is less than -p to a value y>1 just returns y, again. As a consequence it is really difficult to assert without further investigation if two computations have had the "same" result. Such investigations are often cutting edge research questions, so we cannot expect to be able to assert equality or not. We are only able to tell that they are "close":

Rule 1.5.5.14 Never compare floating point values for equality.

The representation of the complex types is straightforward, and identical to an array of two elements of the corresponding real floating point type. To access the real and imaginary part of a complex number we have two type generic macros that also come with the header tgmath.h, namely creal and cimag. For any z of one of the three complex types we have that  $z = \text{creal}(z) + \text{cimag}(z) *I.^{26}$ 

#include <tgmath.h>

(1.0E-13 + (1.0E-13 + 1.0)) - 1.0

 $<sup>\</sup>begin{array}{lll} \hbox{[Exs 24] Show that all representable floating point values with $e>p$ are multiples of $2^{e-p}$.} \\ \hbox{[Exs 25]} & \hbox{Print} & \hbox{the} & \hbox{results} & \hbox{of} & \hbox{the} & \hbox{following} & \hbox{expressions:} & 1.0E-13 & + 1.0E-13 \end{array}$ 

<sup>&</sup>lt;sup>26</sup>We will learn about such function-like macros in Section 8.

### 6. Aggregate data types

All other data types in C are derived from the basic types that we know now. There are four different strategies for combining types:

arrays These combine items that all have the same base type.

pointers Entities that refer to an object in memory.

structures These combine items that may have different base types.

unions These overlay items of different base types in the same memory location.

Of these four, pointers are by far the most involved concept, and we will delay the full discussion on them to Section 11. Below, in Section 6.2, we only will present them as opaque data type, without even mentioning the real purpose they fulfill.

Unions also need a deeper understanding of C's memory model and are not of much use in every day's programmers life, so they are only introduce in Section 12.2. Here, for this level, we will introduce **aggregate data types** $^{C}$ , data types that group together several data to form one unit.

**6.1. Arrays.** Arrays allow us to group objects of the same type into an encapsulating object. We only will see pointer types later (Section 11) but many people come to C with a confusion of arrays and pointers. And this is completely normal, arrays and pointers are closely related in C and to explain them we face a hen and egg problem: arrays look like pointers in many contexts, and pointers refer to array objects. We chose an order of introduction that is perhaps unusual, namely we start with arrays and try to stay with them as long as possible before introducing pointers. This may seem "wrong" for some of you, but remember that everything which stated here has to be taken with the <u>as-if</u> rule: we will first describe arrays in a way that will be consistent with C's assumptions about the abstract state machine.

We start with a very important rule:

```
Rule 1.6.1.1 Arrays are not pointers.
```

We will later see on how these two concepts relate, but for the moment it is important to enter this section without prejudice about arrays, otherwise you will block your ascent to a better understanding of C for a while.

6.1.1. *Array declaration*. We have already seen how arrays are declared, namely by placing something like [N] after another declaration. Examples:

```
1 double a[16];
2 signed b[N];
```

Here a comprises 16 subobjects of type double and b N of type signed.

The type that composes an array may itself again be an array to form a so-called **multidimensional array** $^{C}$ . For those, declarations become a bit more difficult to read since [] binds to the left. The following two declarations declare variables of exactly the same type:

```
1 double C[M][N];
2 double (D[M])[N];
```

Both, C and D are M objects of array type **double** [N], we have to read an array declaration from inside out.

We also already have seen how array elements are accessed and initialized, again with a pair of []. With the above a [0] is an object of **double** and can be used wherever we want to use e.g. a simple variable. As we have seen C[0] is itself an array, and so C[0][0] which is the same as (C[0]) [0] is again an object of type **double**.

Initializers can use <u>designated initializers</u> to pick the specific position to which an initialization applies. Example code on pages 37 and 44 contains such initializers.

6.1.2. *Array operations*. Arrays are really objects of a different type than we have seen so far. First an array in a logical operator make not much sense, what would the truth value of such array be?

Rule 1.6.1.2 *An array in a condition evaluates to* **true**.

The "truth" of that comes from the "array decay" operation, that we will see later. Another important property is that we can't evaluate arrays like other objects.

### Rule 1.6.1.3

There are array objects but no array values.

So arrays can't be operands for value operators in Table 2, there is no arithmetic declared on arrays (themselves) and also

# Rule 1.6.1.4

Arrays can't be compared.

Arrays also can't be on the value side of object operators in Table 3. Most of the object operators are likewise ruled out to have arrays as object operands, either because they assume arithmetic or because they have a second value operand that would have to be an array, too. In particular,

### Rule 1.6.1.5

Arrays can't be assigned to.

From that table we see that there are only four operators left that work on arrays as object operator. We already know the operator []<sup>27</sup>. The "array decay" operation, the address operator  $\epsilon$  and the **sizeof** operator will be introduced later.

6.1.3. Array length. There are two different categories of arrays, fixed length arrays<sup>C</sup>, FLA, and variable length arrays<sup>C</sup>, VLA. The first are a concept that has been present in C since the beginnings and this feature is shared with many other programming languages. The second, was introduced in C99 and is relatively unique to C, and has some restrictions to its usage.

Rule 1.6.1.6 *VLA can't have initializers.* 

Rule 1.6.1.7 VLA can't be declared outside functions.

So let's start at the other end and see which arrays are in fact FLA, such that they don't fall under these restrictions.

Rule 1.6.1.8 The length of an FLA is determined by an ICE or an initializer.

In the first case, their length is know at compile time through a integer constant expression, ICE, as we have introduced them in Section 5.4.2. There is no type restriction for the ICE, any integer type would do. The only restriction is

Rule 1.6.1.9 *An array length specification must be strictly positive.* 

There is another important special case that leads to a FLA, when there is no length specification at all. If the [] is left empty, the length of the array is determined from its initializer, if any:

 $<sup>^{27}</sup>$ The real C jargon story about arrays and [] is a bit more complicated. Let us apply the **as-if** Rule 1.5.0.12 to our explanation. All C program behaves as if the [] are directly applied to an array object.

```
double C[] = { [3] = 42.0, [2] = 37.0, };
double D[] = { 22.0, 17.0, 1, 0.5, };
```

Here  $\mathbb{C}$  and  $\mathbb{D}$  both are of type **double** [4]. Since such an initializer's structure can always be determined at compile time without necessarily knowing the values of the items, the array then still is an FLA.

All other array variable declarations lead to VLA.

Rule 1.6.1.10 An array with a length not an integer constant expression is an VLA.

The length of an array can be computed with the **sizeof** operator. That operator provides the "size" of any object<sup>28</sup> and so the length of an array can be calculate by a simple division.

```
Rule 1.6.1.11 The length of an array A is (size of A) / (size of A[0]).
```

Namely the size of the array object itself divided by the size of any of the array elements.

6.1.4. Arrays as parameters. Yet another special case occurs for arrays as parameters to functions. As we have seen for the prototype of **printf** above, such parameters may have empty []. Since there is no initializer possible for such a parameter, the array dimension can't be determined.

Rule 1.6.1.12 The innermost dimension of an array parameter to a function is lost.

Rule 1.6.1.13 Don't use the sizeof operator on array parameters to functions.

Array parameter are even more bizarre, because of Rule 1.6.1.3 array parameters cannot be passed by value; there is no such thing as an array value in C. As a first approximation of what is happening for array parameters to functions we have:

Rule 1.6.1.14 Array parameters behave as-if the array is passed by reference $^{C}$ .

Take the following as an example:

```
#include <stdio.h>
1
2
3
   void swap_double(double a[static 2]) {
4
      double tmp = a[0];
5
     a[0] = a[1];
6
     a[1] = tmp;
7
8
   int main (void) {
9
      double A[2] = \{ 1.0, 2.0, \};
10
     swap_double(A);
      printf("A[0]_=_%g,_A[1]_=_%g\n", A[0], A[1]);
11
12
```

Here, swap\_double(A) will act directly on array A and not on a copy. Therefore the program will swap the values of the two elements of A.

<sup>&</sup>lt;sup>28</sup>Later we will see what the unit of measure for such sizes is.

6.1.5. Strings are special. There is a special kind of arrays that we already encountered several times and that in contrast to other arrays even has literals,  $strings^{C}$ .

Rule 1.6.1.15 A string is a 0-terminated array of char.

That is a string as "hello" always has one more element than is visible that contains the value 0, so here the array has length 6.

As all arrays, strings can't be assigned to, but they can be initialized from string literals:

```
char chough0[] = "chough";
char chough1[] = { "chough" };
char chough2[] = { 'c', 'h', 'o', 'u', 'g', 'h', 0, };
char chough3[7] = { 'c', 'h', 'o', 'u', 'g', 'h', };
```

These are all equivalent declarations. Beware that not all arrays of char are strings, such as

```
1 char chough4[6] = { 'c', 'h', 'o', 'u', 'g', 'h', };
```

because it is not 0-terminated.

We already briefly have seen the base type **char** of strings among the integer types. It is a narrow integer type that can be used to encode all characters of the **basic character set**<sup>C</sup>. This character set contains all characters of the Latin alphabet, Arabic digits and punctuation characters that we use for coding in C. It usually doesn't contain special characters (*e.g.* "ä", "á") or characters from completely different writing systems.

The wast majority of platforms nowadays uses the so-called ASCII<sup>29</sup> to encode characters in the type **char**. We don't have to know how the particular encoding works as long as we stay in the basic character set, everything is done in C and its standard library that this encoding is used transparently.

To deal with **char** arrays and strings, there are a bunch of functions in the standard library that come with the header string.h. Those that just suppose an array start their names with "mem" and those that in addition suppose that their arguments are strings start with "str".

#include <string.h>

Functions that operate on char-arrays:

- memcpy (target, source, len) can be use to copy one array to another. These have to be known to be distinct arrays. The number of char to be copied must be given as a third argument len.
- memcmp(s0, s1, len) compares two arrays in the lexicographic ordering. That is it first scans initial parts of the two arrays that happen to be equal and then returns the difference between the two first characters that are distinct. If no differing elements are found up to len, 0 is returned.
- memchr(s, c, len) searches array s for the appearance of character c.

String functions:

- strlen (s) returns the length of the string s. This is simply the position of the first 0-character and <u>not</u> the length of the array. It is your duty to ensure that s is indeed a string, that is that it is 0-terminated.
- strcpy (target, source) works similar to memcpy. It only copies up to the string length of the source, and therefore it doesn't need a len parameter. Again, source must be 0-terminated. Also, target must be big enough to hold the copy.

<sup>&</sup>lt;sup>29</sup>American Standard Code for Information Interchange

- strcmp(s0, s1) compares two arrays in the lexicographic ordering similar to memcmp, but may not take some language specialties into account. The comparison stops at the first 0-character that is encountered in either s0 or s1. Again, both parameters have to be 0-terminated.
- strcoll (s0, s1) compares two arrays in the lexicographic ordering respecting language specific environment settings. We will learn how to properly ensure to set this in Section 8.5.
- strchr (s, c) is similar to memchr, only that the string s must be 0-terminated.
- strspn (s0, s1) returns the number of initial characters in s0 that also appear in s1
- strcspn (s0, s1) returns the number of initial characters in s0 that do not appear in s1.

Rule 1.6.1.16 *Using a string function with a non-string has undefined behavior.* 

In real life, common symptoms for such a misuse may be:

- long times for strlen or similar scanning functions because they don't encounter a 0-character
- segmentation violations because such functions try to access elements after the boundary of the array object
- seemingly random corruption of data because the functions write data in places where they are not supposed to.

In other words, be careful, and make sure that all your strings really are strings. If your platform already supports this, use the functions with bounds checking that were introduce with C11. There are strnlen\_s and strcpy\_s that additionally deal with the maximum length of their string parameters. [Exs 30]

The following is an example that uses many of the features that we talked about.

LISTING 1.2. copying a string

```
#include <string.h>
1
   #include <stdio.h>
3
   int main(int argc, char* argv[argc+1]) {
4
     size_t const len = strlen(argv[0]); // compute the length
5
     char name[len+1];
                                           // create VLA
6
                                           // ensure place for 0
7
                                           // copy the name
     memcpy(name, argv[0], len);
8
     name[len] = 0;
                                           // ensure 0 character
9
     if (!strcmp(name, argv[0])) {
10
       printf("program_name_\"%s\"_successfully_copied\n",
11
               name):
12
     } else {
13
       printf("coying_%s_leads_to_different_string_%s\n",
14
               argv[0], name);
15
16
   }
```

In the above discussion I have been hiding an important detail to you: the prototypes of the functions. For the string functions they can be written as

```
size_t strlen(char const s[static 1]);
char* strcpy(char target[static 1], char const source[static 1])
;
signed strcmp(char const s0[static 1], char const s1[static 1]);
```

<sup>[</sup>Exs 30] Use **memchr** and **memcmp** to implement a bounds checking version of **strcmp**.

```
4 signed strcoll(char const s0[static 1], char const s1[static 1]);
5 char* strchr(const char s[static 1], int c);
6 size_t strspn(const char s1[static 1], const char s2[static 1]);
7 size_t strcspn(const char s1[static 1], const char s2[static 1]);
```

Besides the bizarre return type of strcpy, this looks reasonable. The parameter arrays are arrays of "unknown" length, so the [static 1], they correspond to arrays of at least one char. strlen, strspn and strcspn are to return a "size" and strcmp a negative, 0 or positive value according to the sort order of the arguments.

The picture darkens when we look at the declarations of the array functions:

```
void* memcpy(void* target, void const* source, size_t len);
signed memcmp(void const* s0, void const* s1, size_t len);
void* memchr(const void *s, int c, size_t n);
```

You are missing knowledge about entities that are specified as **void**\*. These are "pointers" to objects of unknown type. It is only on Level 2, Section 11, that we will see why and how these new concept of pointers and **void**-type occur.

**6.2. Pointers as opaque types.** As a first approach we only need to know some simple properties of pointers.

The binary representation of pointer is completely up to the platform and not our business.

Rule 1.6.2.1 *Pointers are opaque objects.* 

This means that we will only be able to deal with pointers through the operations that the C language allows for them. As said, most of these operations will be introduced later. Here we only need one particular property of pointers, they have a state:

Rule 1.6.2.2 *Pointers are valid, null or indeterminate.* 

In fact, the null state of any pointer type corresponds to our old friend 0, sometimes known under its pseudo false.

Rule 1.6.2.3 *Initialization or assignment with* 0 *makes a pointer null.* 

Usually we refer to a pointer in the null state as  $\underline{\text{null pointer}}^{C}$ . Surprisingly, disposing of null pointers is really a feature.

Rule 1.6.2.4 *In logical expressions, pointers evaluate to* **false** *iff they are null.* 

Note that such test can't distinguish valid pointers from indeterminate ones. So, the really "bad" state of a pointer is "indeterminate", since this state is not observable.

Rule 1.6.2.5 *Indeterminate pointers lead to undefined behavior.* 

In view of Rule 1.5.5.7, we need to make sure that pointers never reach an intermediate state. Thus, if we can't ensure that a pointer is valid, we <u>must</u> at least ensure that it is set to null:

Rule 1.6.2.6 *Always initialize pointers.* 

**6.3. Structures.** As we now have seen, arrays combine several objects of the same base type into a larger object. This makes perfect sense where we want to combine information for which the notion of a first, second etc. element is acceptable. If it is not, or if we have to combine objects of different type, structures, introduced by the keyword **struct** come into play.

As a first example, let us revisit the corvids on page 37. There, we used a trick with an enumeration type to keep track of our interpretation of the individual elements of an array name. C structures allow for a more systematic approach by giving names to so-called fields in an aggregate:

```
struct animalStruct {
2
     const char* jay;
3
     const char* magpie;
4
     const char* raven;
5
     const char* chough;
6
7
   struct animalStruct const animal = {
8
     .chough = "chough",
9
     .raven = "raven",
10
     .magpie = "magpie",
     .jay = "jay",
11
12
   };
```

That is, from Line 1 to 6 we have the declaration of a new type, denoted as **struct** animalStruct. This structure has four **fields**<sup>C</sup>, who's declaration look exactly like normal variable declarations. So instead of declaring four elements that are bound together in an array, here we name the different fields and declare types for them. Such declaration of a structure type only explains the type, it is not (yet) the declaration of an object of that type and even less a definition for such an object.

Then, starting in Line 7 we declare and define a variable (called animal) of the new type. In the initializer and in later usage, the individual fields are designated in a notation with a dot (.). Instead of animal[chough] for the array we have animal.chough for the structure.

Now, for a second example, let us look at a way to organize time stamps. Calendar time is an complicated way of counting, in years, month, days, minutes and seconds; the different time periods such as month or years can have different length etc. One possible way would be to organize such data in an array, say:

```
typedef signed calArray[6];
```

The use of this array type would be ambiguous: would we store the year in element [0] or [5]? To avoid ambiguities we could again use our trick with an **enum**. But the C standard has chosen a different way, in time.h it uses a **struct** that looks similar to the following:

```
#include <time.h>
```

```
struct tm {
2
      int tm_sec;
                    // seconds after the minute
                                                         [0, 60]
3
      int tm_min; // minutes after the hour
int tm_hour; // hours since midnight
                                                         [0, 59]
4
                                                         [0, 23]
5
      int tm_mday; // day of the month
                                                         [1, 31]
      int tm_mon; // months since January
                                                         [0, 11]
6
7
      int tm_year; // years since 1900
      int tm_wday; // days since Sunday
8
                                                         [0, 6]
      int tm_yday; // days since January
                                                         [0, 365]
10
      int tm_isdst;// Daylight Saving Time flag
11
   };
```

This **struct** has <u>named fields</u>, such as **tm\_sec** for the seconds or **tm\_year** for the year. Encoding a date, such as the date of this writing

is simple:

```
yday.c
29
      struct tm today = {
30
        .tm_year = 2014,
31
        .tm_{mon} = 2
32
        .tm_mday = 29,
33
        .tm_hour = 16,
34
        .tm_min = 7,
35
        .tm_sec = 5,
36
```

This creates a variable of type **struct tm** and initializes its fields with the appropriate values. The order or position of the fields in the structure is usually not important: using the name of the field preceded with a dot . suffices to specify were the corresponding data should go.

Accessing the fields of the structure is as simple and has similar "." syntax:

```
printf("this_year_is_%d,_next_year_will_be_%d\n",
today.tm_year, today.tm_year+1);
```

A reference to a field such as today.tm\_year can appear in expression just as any variable of the same base type would.

There are three other fields in **struct tm** that we didn't even mention in our initializer list, namely **tm\_wday**, **tm\_yday** and **tm\_isdst**. Since we don't mention them, they are automatically set to 0.

Rule 1.6.3.1 *Omitted* **struct** *initializers force the corresponding field to* 0.

This can even go to the extreme that all but one of the fields are initialized.

Rule 1.6.3.2 A struct initializer must initialize at least one field.

In fact, in Rule 1.5.3.3 we have already seen that there is a default initializer that works for all data types: {0}.

So when we initialize **struct** tm as above, the data structure is not consistent; the **tm\_wday** and **tm\_yday** fields don't have values that would correspond to the values of the remaining fields. A function that sets this field to a value that is consistent with the others could be such as

```
yday.c

19  struct tm time_set_yday(struct tm t) {
20    // tm_mdays starts at 1
21    t.tm_yday += DAYS_BEFORE[t.tm_mon] + t.tm_mday - 1;
22    // take care of leap years
23    if ((t.tm_mon > 1) && leapyear(t.tm_year))
```

It uses the number of days of the months preceding the actual one, the tm\_mday field and an eventual corrective for leap years to compute the day in the year. This function has a particularity that is important at our current level, it modifies only the field of the parameter of the function, t, and not of the original object.

Rule 1.6.3.3 struct parameters are passed by value.

To keep track of the changes, we have to reassign the result of the function to the original.

```
yday.c

yday.c

today = time_set_yday(today);
```

Later, with pointer types we will see how to overcome that restriction for functions, but we are not there, yet. Here we see that the assignment operator "=" is well defined for all structure types. Unfortunately, its counterparts for comparisons are not:

Rule 1.6.3.4 Structures can be assigned with = but not compared with == or !=.

Listing 1.3 shows the completed example code for the use of **struct tm**. It doesn't contain a declaration of the historical **struct tm** since this is provided through the standard header time.h. Nowadays, the types for the individual fields would probably be chosen differently. But many times in C we have to stick with design decisions that have been done many years ago.

Rule 1.6.3.5 A structure layout is an important design decision.

You may regret your design after some years, when all the existing code that uses it makes it almost impossible to adapt it to new situations.

Another use of **struct** is to group objects of different types together in one larger enclosing object. Again, for manipulating times with a nanosecond granularity the C standard already has made that choice:

So here we see the opaque type **time\_t** that we already know from Table 3 for the seconds, and a **long** for the nanoseconds.<sup>31</sup> Again, the reasons for this choice are just historic, nowadays the chosen types would perhaps be a bit different. To compute the difference between two **struct timespec** times, we can easily define a function:





<sup>31</sup>Unfortunately even the semantic of time\_t is different, here. In particular, tv\_sec may be used in arithmetic.

LISTING 1.3. A sample program manipulating struct tm

```
#include <time.h>
 1
    #include <stdbool.h>
2
3
    #include <stdio.h>
 5
    int leapyear(unsigned year) {
6
      /\star All years that are divisible by 4 are leap years, unless
7
         they start a new century, provided they \operatorname{don'} t start a new
8
         millennium. */
9
      return ! (year % 4) && ((year % 100) || !(year % 1000));
10
11
12
   #define DAYS_BEFORE
13
    (int const [12]) {
      [0] = 0, [1] = 31, [2] = 59, [3] = 90,
14
      [4] = 120, [5] = 151, [6] = 181, [7] = 212,
15
      [8] = 243, [9] = 273, [10] = 304, [11] = 334, 
16
17
18
19
    struct tm time_set_yday(struct tm t) {
20
      // tm_mdays starts at 1
21
      t.tm_yday += DAYS_BEFORE[t.tm_mon] + t.tm_mday - 1;
22
      // take care of leap years
23
      if ((t.tm_mon > 1) && leapyear(t.tm_year))
24
       ++t.tm_yday;
25
      return t;
26
27
28
    int main(void) {
29
      struct tm today = {
30
        .tm_year = 2014,
31
        .tm_{mon} = 2
32
        .tm_mday = 29
33
        .tm_hour = 16,
34
        . tm_min = 7,
35
        .tm_sec = 5,
36
37
      printf("this_year_is_%d,_next_year_will_be_%d\n",
38
             today.tm_year, today.tm_year+1);
39
      today = time_set_yday(today);
40
      printf("day_of_the_year_is_%d\n", today.tm_yday);
41
```

Whereas the function difftime is part of the C standard, this functionality here is very simple and isn't based on platform specific implementation. So it can easily be implemented by anyone who needs it. A more complete example for **struct timespec** will be given later on Level 2 on page 161.

Any data type besides VLA is allowed as a field in a structure. So structures can also be nested in the sense that a field of a **struct** can again of (another) **struct** type, and the smaller enclosed structure may even be declared inside the larger one:

```
struct person {
char name[256];
struct stardate {
```

```
4     struct tm date;
5     struct timespec precision;
6     } bdate;
7 };
```

The visibility of declaration struct stardate is the same as for struct person, there is no "namescope" associated to a struct such as it were *e.g.* in C++.

Rule 1.6.3.6 All struct declarations in a nested declaration have the same scope of visibility.

So a more realistic version of the above would be as follows.

```
struct stardate {
    struct tm date;
    struct timespec precision;
};
struct person {
    char name[256];
    struct stardate bdate;
};
```

This version places all **struct** on the same level, as they end up there, anyhow.

**6.4.** New names for types: typedef. As we have seen in the previous section, a structure not only introduces a way to aggregate differing information into one unit, it also introduces a new type name for the beast. For historical reasons (again!) the name that we introduce for the structure always has to be preceded by the keyword struct, which makes the use of it a bit clumsy. Also many C beginners run into difficulties with this when they forget the struct keyword and the compiler throws an incomprehensible error at them.

There is a general tool that can help us avoid that, by giving a symbolic name to an otherwise existing type: **typedef**. By that a type can have several names, and we can even reuse the  $\underline{\mathbf{tag\ name}}^{C}$  that we used in the structure declaration:

```
typedef struct animalStruct animalStructure;
typedef struct animalStruct;
```

Then, "struct animalStruct", "animalStruct" or "animalStructure" can all be used interchangingly. My favorite use of this feature is the following idiom:

```
typedef struct animalStruct;
struct animalStruct {
    ...
};
```

That is to <u>precede</u> the proper **struct** declaration by a **typedef** using exactly the same name. This works because the combination of **struct** with a following name, the  $\underline{\mathbf{tag}}^C$  is always valid, a so-called **forward declaration**<sup>C</sup> of the structure.

Rule 1.6.4.1 Forward-declare a struct within a typedef using the same identifier as the tag name.

C++ follows a similar approach by default, so this strategy will make your code easier to read for people coming from there.

The **typedef** mechanism can also be used for other types than structures. For arrays this could look like:



```
typedef double vector[64];
typedef vector vecvec[16];
vecvec A;
typedef double matrix[16][64];
matrix B;
double C[16][64];
```

Here **typedef** only introduces a new name for an existing type, so A, B and C have exactly the same type, namely **double**[16][64].

The C standard also uses **typedef** a lot internally. The semantic integer types such as **size\_t** that we have seen in Section 5.1 are declared with this mechanism. Here the standard often uses names that terminate with "\_t" for "**typedef**". This naming convention ensures that the introduction of such a name by an upgraded version of the standard will not conflict with existing code. So you shouldn't introduce such names yourself in your code.

Rule 1.6.4.2 *Identifier names terminating with* \_t *are reserved.* 

### 7. Functions

We have already seen the different means that C offers for conditional execution, that is execution which according to some value will choose one branch of the program over another to continue. So there, the reason for a potential "jump" to another part of the program code (*e.g.* to an **else** branch) is a runtime decision that depends on runtime data.

This section handles other forms of transfer of control to other parts of our code, that is unconditional, i.e that doesn't (by itself) require any runtime data to come up with the decision where to go. The main reason motivating this kind of tools is modularity.

- Avoid code repetition.
  - Avoid copy and paste errors.
  - Increase readability and maintainability.
  - Decrease compilation times.
- Provide clear interfaces.
  - Specify the origin and type of data that flows into a computation.
  - Specify the type and value of the result of a computation.
  - Specify invariants for a computation, namely pre- and post-conditions.
- Dispose a natural way to formulate algorithms that use a "stack" of intermediate values.

Besides the concept of functions, C has other means of unconditional transfer of control, that are mostly used to handle error conditions or other forms of exceptions from the usual control flow:

- exit, Exit, quick\_exit and abort terminate the program execution, see Section 8.6.
- goto transfers control within a function body, see Sections 13.2.2 and 15.1.
- setjmp and longjmp can be used to return unconditionally to a calling context, see Section 18.2.

**7.1. Simple functions.** We already have seen a lot of functions for now, so the basic concept should hopefully be clear: parenthesis () play an important syntactical role for functions. The are used for function declaration and definition to encapsulate the list of parameter declaration. For function calls they hold the list of actual parameters for that concrete call. This syntactic role is similar to [] for arrays: in declaration and definition they contain the size of the corresponding dimension. In a designation A[i]they are used to indicate the position of the accessed element in the array.

All the functions that we have seen so far have a  $\underline{\mathbf{prototype}}^C$ , i.e their declaration and definition included a parameter type-list and a return type. There are two special conventions that use the keyword  $\mathbf{void}$ :

- If the function is to be called with no parameter, the list is replaced by the keyword **void**.
- If the function doesn't return a value, the return type is given as void.

Such a prototype helps the compiler in places where the function is to be called. It only has to know about the parameters that the function expects. Have a look at the following:

```
extern double fbar(double x);

double fbar2 = fbar(2)/2;
```

Here the call fbar(2) is not directly compatible with the expectation of function fbar: it wants a **double** but receives a **signed int**. But since the calling code knows this, it can convert the **signed int** argument 2 to the **double** value 2.0 before calling the function. The same holds for the return: the caller knows that the return is a **double**, so floating point division is applied for the result expression.

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In C, there are ways to declare functions without prototype, but you will not see them here. You shouldn't use them, they should be retired. There were even ways in previous versions of C that allowed to use functions without any knowledge about them at all. Don't even think of using functions that way, nowadays:

Rule 1.7.1.1 All functions must have prototypes.

A notable exception from that rule are functions that can receive a varying number of parameters, such as **printf**. This uses a mechanism for parameter handling that is called **variable argument list** $^{C}$  that comes with the header stdargs.h.

We will see later (??) how this works, but this feature is to be avoided in any case. Already from your experience with printf you can imagine why such an interface poses difficulties. You as a programmer of the calling code have to ensure consistency by providing the correct "%XX" format specifiers.

In the implementation of a function we must watch that we provide return values for all functions that have a non-void return type. There can be several **return** statements in a function,

Rule 1.7.1.2 Functions only have one entry but several return.

but all must be consistent with the function declaration. For a function that expects a return value, all **return** statements must contain an expression; functions that expect none, mustn't contain expressions.

Rule 1.7.1.3 A function return must be consistent with its type.

But the same rule as for the parameters on the calling side holds for the return value. A value with a type that can be converted to the expected return type will converted before the return happens.

If the type of the function is **void** the **return** (without expression) can even be omitted:

Rule 1.7.1.4

Reaching the end of the {} block of a function is equivalent to a **return** statement without expression.

This implies

Rule 1.7.1.5 Reaching the end of the {} block of a function is only allowed for void functions.

**7.2.** main is special. Perhaps you already have noted some particularities about main. It has a very special role as the entry point into your program: its prototype is enforced by the C standard, but it is implemented by the programmer. Being such a pivot between the runtime system and the application, it has to obey some special rules.

First, to suit different needs it has several prototypes, one of which must be implemented. Two should always be possible:

```
int main(void);
int main(int argc, char* argv[argc+1]);
```

Then, any specific C platform may provide other interfaces. There are two variations that are relatively common:

- On some embedded platforms where main is not expected to return to the runtime system the return type may be void.
- On many platforms a third parameter can give access to the "environment".

#include <stdargs.h>

You should better not rely on the existence of such other forms. If you want to write portable code (which you do) stick to the two "official" forms. For these the return value of int gives an indication to the runtime system if the execution was successful: values of EXIT SUCCESS or EXIT FAILURE indicate success or failure of the execution from the programmers point of view. These are the only two values that are guaranteed to work on all platforms.

Rule 1.7.2.1 Use EXIT\_SUCCESS or EXIT\_FAILURE as return values of main.

For main as an exception of Rule 1.7.2.2

Rule 1.7.2.2

Reaching the end of the {} block of main is equivalent to a return EXIT SUCCESS;.

The library function exit holds a special relationship with main. As the name indicates, a call to exit terminates the program; the prototype is

```
_Noreturn void exit(int status);
```

In fact, this functions terminates the program exactly as a **return** from main would. The parameter status has the role that the return expression in main would have.

Rule 1.7.2.3 Calling exit (s) is equivalent evaluation of return s in main.

We also see that the prototype of exit is special because it has a void type. Just as the return statement in main:

Rule 1.7.2.4 exit never fails and never returns to its caller.

The later is indicated by the special keyword \_Noreturn. This keyword should only be used for such special functions. There is even a pretty printed version of it, the macro #include <stdnoreturnubreturn, that comes with the header stdnoreturn.h.

> There is another feature in the second prototype of main, namely argv, the vector of commandline arguments. We already have seen some examples where we used this vector to communicated some values from the commandline to the program. E.g. in Listing 1.1 these commandline arguments were interpreted as double data for the program.

> Strictly spoken, each of the argv[i] for  $i = 0, \dots, argc$  is a pointer, but since we don't know yet what that is, as an easy first approximation we can see them as strings:

Rule 1.7.2.5 All commandline arguments are transferred as strings.

It is up to us to interpret them. In the example we chose the function strtod to decode a double value that was stored in the string.

Of the strings of argv two elements hold special values:

Rule 1.7.2.6 Of the arguments to main, argv[0] holds the name of the program invocation.

There is no strict rule of what that "program name" should be, but usually this is just taken as the name of the program executable.

```
Rule 1.7.2.7 Of the arguments to main, argv[argc] is 0.
```

In the argy array, the last argument could always be identified by that property, but this feature isn't too useful: we have argc to process that array.

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**7.3. Recursion.** An important feature of functions is encapsulation: local variables are only visible and alive until we leave the function, either via an explicit **return** or because execution falls out of the last enclosing brace of the function's block. Their identifiers ("names") don't conflict with other similar identifiers in other functions and once we leave the function all the mess that we leave behind is cleaned up.

Even better: whenever we call a function, even one that we have called before, a new set of local variables (including function parameters) is created and these are newly initialized. This holds also, if we newly call a function for which another call is still active in the hierarchy of calling functions. A function that directly or indirectly calls itself is called recursive, the concept itself is called recursion.

Recursive functions are crucial for understanding C functions: they demonstrate and use main features of the function call model and they are only fully functional with these features. As a first example we show an implementation of Euclid's algorithm to compute the greatest common divisor, gcd, of two numbers.

```
euclid.h

8     size_t gcd2(size_t a, size_t b) {
9        assert (a <= b);
10     if (!a) return b;
11     size_t rem = b % a;
12     return gcd2(rem, a);
13     }</pre>
```

As you can see, this function is short and seemingly nice. But to understand how it works we need to understand well how functions work, and how we transform mathematical statements into algorithms.

Given two integers a,b>0 the gcd is defined to be the greatest integer c>0 that divides both a and b or as formulas:

$$\gcd(a,b) = \max\{c \in \mathbb{N} \mid c | a \text{ and } c | b\}$$

If we also assume that a < b, we can easily see that two recursive formula hold:

$$\gcd(a,b) = \gcd(a,b-a)$$

$$\gcd(a,b) = \gcd(a,b\%a)$$

That is the gcd doesn't change if we subtract the smaller one or if we replace the larger of the two by the modulus of the other. These formulas are used since antique Greek mathematics to compute the gcd. They are commonly attributed to Euclid (Εὐκλείδης, around 300 B.C) but may have been known even before him.

Our C function gcd2 above uses Equation (3). First (Line 9) it checks if a precondition for the execution of this function is satisfied, namely if the first argument is less or equal to the second. It does this by using the macro assert from assert.h. This would abort the program with some informative message in case the function would be called with arguments that don't satisfy that condition, we will see more explanations of assert in Section 8.6.

#include <assert.h>

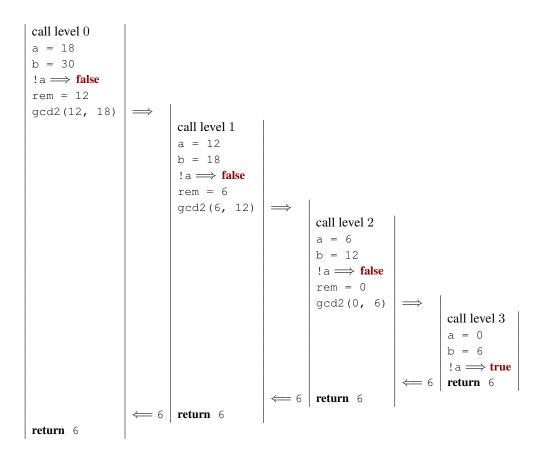
Rule 1.7.3.1 *Make all preconditions for a function explicit.* 

Then, Line 10 checks if a is 0, in which case it returns b. This is an important step in a recursive algorithm:

Rule 1.7.3.2 *In a recursive function, first check the termination condition.* 

A missing termination check leads to <u>infinite recursion</u>; the function repeatedly calls new copies of itself until all system resources are exhausted and the program crashes. On

TABLE 5. Recursive call gcd2 (18, 30)



modern systems with large amounts of memory this may take some time, during which the system will be completely unresponsive. You better shouldn't try this.

Otherwise, we compute the remainder rem of b modulo a (Line 11) and then the function is called recursively with rem and a and the return value of that, is directly returned.

Table 5 shows an example for the different recursive calls that are issued from an initial call  $\gcd 2$  (18, 30). Here, the recursion goes 4 levels deep. Each level implements its own copies of the variables a, b and rem.

For each recursive call, Rule 1.4.1.7, guarantees that the precondition is always fulfilled automatically. For the initial call, we have to ensure this ourselves. This is best done by using a different function, a **wrapper** $^{C}$ :

```
euclid.h

size_t gcd(size_t a, size_t b) {

assert(a);

assert(b);

if (a < b)

return gcd2(a, b);

else

return gcd2(b, a);

}
```

Rule 1.7.3.3 Ensure the preconditions of a recursive function in a wrapper function.

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This avoids that the precondition has to be checked at each recursive call: the **assert** macro is such that it can be disabled in the final "production" object file.

Another famous example for a recursive definition of an integer sequence are <u>Fibonnacci</u> <u>numbers</u>, a sequence of numbers that appears as early as 200 B.C in Indian texts. In modern terms the sequence can be defined as

- (4)  $F_1 = 1$
- (5)  $F_2 = 1$

(6) 
$$F_i = F_{i-1} + F_{i-2}$$
 for all  $i > 3$ 

The sequence of Fibonacci numbers is fast growing, its first elements are 1, 1, 2, 3, 5, 8, 13, 21, 34, 55, 89, 144, 377, 610, 987.

With the golden ratio

(7) 
$$\varphi = \frac{1 + \sqrt{5}}{2} = 1.61803...$$

asymptotically we have

(8) 
$$F_n = \frac{\varphi^n}{\sqrt{5}}$$

So the growth of  $F_n$  is exponential.

The recursive mathematical definition can be translated straight forward into a C function:

```
fibonacci.c

size_t fib(size_t n) {
   if (n < 3)
      return 1;
   else
      return fib(n-1) + fib(n-2);
}</pre>
```

Here, again, we first check for the termination condition, namely if the argument to the call, n, is less then 3. If it is the return value is 1, otherwise we return the sum of calls with argument values n-1 and n-2.

Table 6 shows an example of a call to fig with a small argument value. We see that this leads to 3 levels of "stacked" calls to the same function with different arguments. Because Equation (6) uses two different values of the sequence, the scheme of the recursive calls is much more involved than the one for gcd2. In particular, there are 3 so-called <u>leaf calls</u>, calls to the function that fulfill the termination condition, and thus do by themselves not go into recursion. [Exs 32]

Implemented like that, the computation of the Fibonacci numbers is quite slow. [Exs 33] In fact it is easy to see that the recursive formula for the function itself also leads to an analogous formula for the execution time of the function:

- (9)  $T_{\text{fib(1)}} = C_0$
- (10)  $T_{\text{fib}(2)} = C_0$

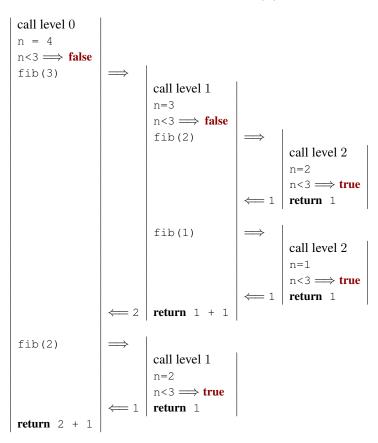
(11) 
$$T_{\text{fib}(i)} = T_{\text{fib}(i-1)} + T_{\text{fib}(i-2)} + C_1$$
 for all  $i > 3$ 

where  $C_0$  and  $C_1$  are some constants that depend on the platform.

<sup>[</sup>Exs 32] Show that a call fib (n) induces  $F_n$  leaf calls.

<sup>[</sup>Exs 33] Measure times for calls fib(n) with n set to different values. On POSIX systems you can use /bin/time to measure the run time of a program execution.

TABLE 6. Recursive call fib (4)



It follows that regardless of the platform and our cleverness of the implementation the execution time of the function will always be something like

(12) 
$$T_{\text{fib(i)}} = F_i(C_0 + C_1) \approx \varphi^n \cdot \frac{C_0 + C_1}{\sqrt{5}} = \varphi^n \cdot C_2$$

with some other platform dependent constant  $C_2$ . So the execution time of fib(n) is exponential in n, which usually rules out such a function from being used in practice.

Rule 1.7.3.4 *Multiple recursion may lead to exponential computation times.* 

If we look at the nested calls in Table 6, we see that we have the same call  $\mathtt{fib}(2)$ , twice, and thus all the effort to compute the value for  $\mathtt{fib}(2)$  is repeated. The following function  $\mathtt{fibCacheRec}$  avoids such repetitions. It receives an additional argument, cache, which is an array that holds all values that already have been computed.

```
fibonacciCache.c
   /* Compute Fibonacci number n with help of a cache that may
5
      hold previously computed values. */
6
   size_t fibCacheRec(size_t n, size_t cache[n]) {
7
     if (!cache[n-1]) {
8
       cache[n-1]
9
          = fibCacheRec(n-1, cache) + fibCacheRec(n-2, cache);
10
11
     return cache[n-1];
12
```

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```
13
   size_t fibCache(size_t n) {
14
15
      if (n+1 <= 3) return 1;</pre>
16
      /* Set up a VLA to cache the values. */
17
      size_t cache[n];
18
      /* A VLA must be initialized by assignment. */
19
     cache[0] = 1; cache[1] = 1;
20
      for (size_t i = 2; i < n; ++i)
21
        cache[i] = 0;
22
      /* Call the recursive function. */
23
      return fibCacheRec(n, cache);
24
```

By trading storage against computation time, the recursive calls only are effected if the value has not yet been computed. By that, the call fibCache(i), has an execution time that is linear in n, namely

(13) 
$$T_{\text{fibCache(n)}} = n \cdot C_3$$

for some platform dependent parameter  $C_3$ . [Exs 34] Just by changing the algorithm that implements our sequence, we are able to reduce the execution time from exponential to linear! We didn't (and wouldn't) even discuss implementation details, nor did we perform concrete measurements of execution time: [Exs 35]

Rule 1.7.3.5 A bad algorithm will never lead to a performing implementation.

Rule 1.7.3.6 *Improving an algorithm can dramatically improve performance.* 

For the fun of it, fib2Rec shows a third implemented algorithm for the Fibonacci sequence. It gets away with an FLA instead a VLA.

```
fibonacci2.c
   void fib2rec(size_t n, size_t buf[2]) {
5
      if (n > 2) {
6
        size_t res = buf[0] + buf[1];
7
        buf[1] = buf[0];
8
        buf[0] = res;
9
        fib2rec(n-1, buf);
10
11
12
13
   size_t fib2(size_t n) {
14
      size_t res[2] = { 1, 1, };
15
      fib2rec(n, res);
16
      return res[0];
17
```

Whether or not this is really faster, and how to prove that this version is still correct, is left as an exercise.  $^{[Exs\ 36]}$   $^{[Exs\ 37]}$ 

 $<sup>[</sup>Exs\ 34]$  Show Equation (13).

<sup>[</sup>Exs 35] Measure times for calls fibCache (n) with the same values as for fib.

 $<sup>[</sup>Exs\ 36]$  Measure times for calls fib2 (n) with the same values as for fib.

 $<sup>[</sup>Exs\ 37]$  Use an iteration statement to transform  $\verb|fib2rec|$  into a non-recursive function  $\verb|fib2iter|$ .

## 8. C Library functions

The functionality that the C standard provides is separated into two big parts. One is the proper C language, the other is the <u>C library</u>. We already have seen several functions that come with the C library, *e.g.* **printf**, **puts** or **strtod**, so you should have a good idea what you may expect: basic tools that implement features that we need in every day's programming and for which we need clear interfaces and semantics to ensure portability.

On many platforms, the clear specification through an <u>application programmable interface</u>, <u>API</u>, also allows to separate the compiler implementation from the one of the library. *E.g.* on Linux systems we have the choice between different compilers, most commonly gcc and clang, and different C library implementations, *e.g.* the GNU C library (glibc), dietlibc or musl, and potentially any of the two choices can be used to produce an executable.

Roughly, library functions target one or two different purposes:

Platform abstraction layer. Functions that abstract from the specific properties and needs of the platform. These are functions that that need platform specific bits to implement basic operations such as IO, that could not be implemented without deep knowledge of the platform. E.g. puts has to have some concept of a "terminal output" and how to address that. Implementing these functionalities by herself would exceed the knowledge of most C programmers, because it needs operating system or even processor specific magic. Be glad that some people did that job for you.

*Basic tools*. Functions that implement a task (such as *e.g.* strtod) that often occurs in programming in C for which it is important that the interface is fixed. These should be implemented relatively efficient, because they are used a lot, and they should be well tested and bug free such that we can rely safely on them. Implementing such functions should in principle be possible by any confirmed C programmer. [Exs 38]

A function as **printf** can be seen to target both purposes, it can effectively be separated in two, a formatting phase and an output phase. There is a function **snprintf** (explained much later in Section 14.1) that provides the same formatting functionalities as **printf** but stores the result in a string. This string could then be printed with **puts** to have the same output as **printf** as a whole.

The C library has a lot of functions, far more than we can handle in this book. Here on this level, we will discuss those functions that are necessary for a basic programming with the elements of the language that we have seen so far. We will complete this on higher levels, as soon as we discuss a particular concept. Table 7 has an overview of the different standard header files.

Interfaces. Most interfaces of the C library are specified as functions, but implementations are free to chose to implement them as macros, were this is appropriate. Compared to those that we have seen in Section 5.4.3 this uses a second form of macros that are syntactically similar to functions, **functionlike macros** $^{C}$ .

### #define putchar(A) putc(A, stdout)

As before, these are just textual replacements, and since the replacement text may contain a macro argument several times, it would be quite bad to pass any expression with side effects to such a macro-or-function. Fortunately, because of Rule 1.4.2.2 you don't do that, anyhow.

Some of the interfaces that we will see below will have arguments or return values that are pointers. We can't handle these completely, yet, but in most cases we can get away by passing in some "known" pointers or 0 for pointer arguments. Pointers as return values will only occur in situations where they can be interpreted as an error condition.

<sup>[</sup>Exs 38] Write a function my\_strtod that implements the functionality of **strtod** for decimal floating point constants

TABLE 7. C library headers

<assert.h></assert.h>	assert run time conditions	8.6
<complex.h></complex.h>	complex numbers	??
<ctype.h></ctype.h>	character classification and conversion	
<errno.h></errno.h>	error codes	
<fenv.h></fenv.h>	floating-point environment.	
<float.h></float.h>	properties of floating point types	5.5
<inttypes.h></inttypes.h>	exact width integer types	??
<iso646.h></iso646.h>	alternative spellings for operators	4.1
<li>imits.h&gt;</li>	properties of integer types	5.0.3
<locale.h></locale.h>	internationalization	
<math.h></math.h>	type specific mathematical functions	8.1
<setjmp.h></setjmp.h>	non-local jumps	18.2
<signal.h></signal.h>	signal handling functions	
<stdalign.h></stdalign.h>	alignment of objects	
<stdarg.h></stdarg.h>	functions with varying number of argu-	
	ments	
<stdatomic.h></stdatomic.h>	atomic operations	
<stdbool.h></stdbool.h>	Booleans	3.1
<stddef.h></stddef.h>	basic types and macros	
<stdint.h></stdint.h>	exact width integer types	??
<stdio.h></stdio.h>	input and output	8.2
<stdlib.h></stdlib.h>	basic functions	
<stdnoreturn.h></stdnoreturn.h>	non-returning functions	7
<string.h></string.h>	string handling	8.3
<tgmath.h></tgmath.h>	type generic mathematical functions	8.1
<threads.h></threads.h>	threads and control structures	19
<time.h></time.h>	time handling	8.4
<uchar.h></uchar.h>	Unicode characters	
<wchar.h></wchar.h>	wide string	
<wctype.h></wctype.h>	wide character classification and conver-	
	sion	

Error checking. C library functions usually indicate failure through a special return value. What value indicates the failure can be different and depends on the function itself. Generally you'd have to look up the specific convention in the manual page for the functions. Table 8 gives an rough overview of the different possibilities. There are three categories that apply: a special value that indicates an error, a special value that indicates success, and functions that return some sort of positive counter on success and a negative value on failure.

A typical error checking code in would look like the following

```
if (puts("hello_world") == EOF) {
   perror("can't_output_to_terminal:");
   exit(EXIT_FAILURE);
}
```

Here we see that puts falls into the category of functions that return a special value on error, **EOF**, "end-of-file". The function perror from stdio.h is then used provide an additional diagnostic that depends on the specific error; exit ends the program execution. Don't wipe failures under the carpet, in programming

#include <stdio.h>

TABLE 8. Error return strategies for C library functions. Some functions may also indicate a specific error condition through the value of the macro error.

failure return	test	typical case	example
0	!value	other values are valid	fopen
special error code	value == code	other values are valid	puts, clock, mktime,
			strtod, fclose
non-zero value	value	value otherwise unneeded	fgetpos, fsetpos
			8 · I · · · / · · · I · · ·
special sucess code	value != code	case distinction for failure	thrd_create
special sucess code	value != code	case distinction for failure condition	0 1 / 1

Rule 1.8.0.7 Failure is always an option.

Rule 1.8.0.8

Check the return value of library functions for errors.

An immediate failure of the program is often the best way to ensure that bugs are detected and get fixed in early development.

Rule 1.8.0.9 Fail fast, fail early and fail often.

C has one major state "variable" that tracks errors of C library functions, a dinosaur called **errno**. The function **perror** uses this state under the hood, to provide its diagnostic. If a function fails in a way that allows us to recover, we have to ensure that the error state also is reset, otherwise library functions or error checking might get confused.

```
void puts_safe(char const s[static 1]) {
    static bool failed = false;
    if (!failed && puts(s) == EOF) {
        perror("can't_output_to_terminal:");
        failed = true;
        errno = 0;
    }
}
```

**Bounds-checking interfaces.** Many of the functions in the C library are vulnerable to  $\underline{\mathbf{buffer overflow}}^C$  if they are called with an inconsistent set of parameters. This lead (and still leads) to a lot of security bugs and exploits and is generally something that should be handled very carefully.

C11 addressed this sort of problems by deprecating or removing some functions from the standard and by adding an optional series of new interfaces that check consistency of the parameters at runtime. These are the so-called bounds-checking interfaces of <u>Annex K</u> of the standard. Other than for most other features, this doesn't come with its own header file but adds interfaces to others. Two macros regulate access to these interfaces, \_\_STDC\_LIB\_EXT1\_\_ tells if this optional interfaces is supported, and

\_\_STDC\_WANT\_LIB\_EXT1\_\_ switches it on. The later must be set **before** any header files are included:

```
# #if !_STDC_LIB_EXT1_
# error "This_code_needs_bounds_checking_interface_Annex_K"
# #endif
# #define __STDC_WANT_LIB_EXT1__ 1
```



```
5
6 #include <stdio.h>
7
8 /* Use printf_s from here on. */
```

Annex K

Optional features such as these are marked as this paragraph, here. The bounds-checking functions usually use the suffix \_s to the name of the library function they replace, such as printf\_s for printf. So you should not use that suffix for code of your own.

Rule 1.8.0.10 *Identifier names terminating with* \_s *are reserved.* 

If such a function encounters an inconsistency, a <u>runtime constraint violation</u> $^{C}$ , it usually should end program execution after printing a diagnostic.

Platform preconditions. An important goal by programming with a standardized language such as C is portability. We should make as few assumptions about the execution platform as possible and leave it to the C compiler and library to fill out the gaps. Unfortunately this is not always possible, but if not, we should mark preconditions to our code as pronounced as possible.

Rule 1.8.0.11 *Missed preconditions for the execution platform must abort compilation.* 

The classical tool to achieve this are so-called <u>**preprocessor conditionals**</u> $^{C}$  as we have seen them above:

```
#if !_STDC_LIB_EXT1_
# error "This_code_needs_bounds_checking_interface_Annex_K"
#endif
```

As you can see, such a conditional starts with the token sequence # if on a line and terminates with another line containing the sequence # endif. The # error directive in the middle is only executed if the condition (here !\_\_STDC\_LIB\_EXT1\_\_) is true. It aborts the compilation process with an error message. The conditions that we can place in such a construct are limited. [Exs 39]

Rule 1.8.0.12 Only evaluate macros and integer literals in a preprocessor condition.

As an extra feature in these conditions we have that identifiers that are unknown just evaluate to 0. So in the above example the expression is always valid, even if \_\_STDC\_LIB\_EXT1\_\_ is completely unknown at that point.

Rule 1.8.0.13 In preprocessor conditions unknown identifiers evaluate to 0.

If we want to test a more sophisticated condition we have **\_Static\_assert** and **static\_assert** from the header assert.h with a similar effect at our disposal.

```
#include <assert.h>
```

```
#include <assert.h>
static_assert(sizeof(double) == sizeof(long double),
"Extra_precision_needed_for_convergence.");
```

**8.1.** Mathematics. Mathematical <u>functions</u> come with the math.h header, but it is much simpler to use the type generic macros that come with tgmath.h. Basically for all functions this has a macro that dispatches an invocation such as  $\sin(x)$  or pow(x, n) to the function that inspects the type of x for its argument and for which the return value then is of that same type.

#include <math.h>
#include <tgmath.h>

The type generic macros that are defined are acos, acosh, asin, asinh, atan, atan2, atanh, carg, cbrt, ceil, cimag, conj, copysign, cos, cosh, cproj, creal, erf, erfc, exp, exp2, expm1, fabs, fdim, floor, fma, fmax, fmin, fmod, frexp, hypot, ilogb, ldexp, lgamma, llrint, llround, log, log10, log1p, log2, logb, lrint, lround, nearbyint, nextafter, nexttoward, pow, remainder, remquo, rint, round, scalbln, scalbn, sin, sinh, sqrt, tan, tanh, tgamma, trunc, far too many as we can describe them in detail, here. Table 9 gives an overview over the functions that are provided.

Nowadays, the implementations of numerical functions should be of high quality, efficient and with well controlled numerical precision. Although any of these functions could be implemented by a programmer with sufficient numerical knowledge, you should not try to replace or circumvent these functions. Many of them are not just implemented as C functions but can use processor specific instructions. *E.g.* processors may have fast approximations of **sqrt** or **sin** functions, or implement a <u>floating point multiply add</u>, **fma**, in one low level instruction. In particular, there are good chances that such low level instructions are used for all functions that inspect or modify floating point internals, such as **carg**, **creal**, **fabs**, **frexp**, **ldexp**, **llround**, **lround**, **nearbyint**, **rint**, **round**, **scalbn**, **trunc**. So replacing them or re-implementing them by handcrafted code is usually a bad idea.

**8.2.** Input, output and file manipulation. We have already seen some of the IO functions that come with the header file stdio.h, namely puts and printf. Where the second lets you format output in some convenient fashion, the first is more basic, it just outputs a string (its argument) and an end-of-line character.

8.2.1. *Unformated output of text*. There is even a more basic function than that, namely **putchar**, that just outputs one single character. The interfaces of this two later functions are as follows:

```
int putchar(int c);
int puts(char const s[static 1]);
```

The type **int** as parameter for **putchar** is just a historical accident that shouldn't hurt you much. With this functions we could actually reimplement **puts** ourselves:

```
int puts_manually(char const s[static 1]) {
   for (size_t i = 0; s[i]; ++i) {
      if (putchar(s[i]) == EOF) return EOF;
   }
   if (putchar('\n') == EOF) return EOF;
   return 0;
}
```

Just take this as example, this is most probably less efficient than the puts that your platform provides.

Up to know we only have seen how we can output to the terminal. Often you'd want to write results to some permanent storage, the type  $\mathbf{FILE}*$  for  $\mathbf{\underline{streams}}^C$  provides with an abstraction for this. There are two functions,  $\mathbf{fputs}$  and  $\mathbf{fputc}$ , that generalize the idea of unformatted output to streams.

```
int fputc(int c, FILE* stream);
int fputs(char const s[static 1], FILE* stream);
```

[Exs 39] Write a preprocessor condition that tests if **int** has two's complement sign representation.

#include <stdio.h>



TABLE 9. Mathematical functions. Type generic macros are printed in red, real functions in green.

abs, labs, llabs |x| for integers hyperbolic arc cosine acosh arc cosine acos asinh hyperbolic arc sine asin arc sine atan2 arc tangent, two arguments atanh hyperbolic arc tangent atan arc tangent cbrt  $\sqrt[3]{x}$ ceil  $\lceil x \rceil$ copy the sign from y to xcopysign cosh hyperbolic cosine cos cosine function,  $\cos x$ div, ldiv, lldiv quotient and remainder of integer division complementary error function,  $1-\frac{2}{\sqrt{\pi}}\int_0^x e^{-t^2}dt$ erfc error function,  $\frac{2}{\sqrt{\pi}} \int_0^x e^{-t^2} dt$ erf  $2^x$ exp2 expm1  $e^x - 1$ exp fabs |x| for floating point fdim positive difference floor |x|fmax floating point maximum fma  $x \cdot y + z$ fmin floating point minimum remainder of the floating point division fmod **fpclassify** classify floating point value significand and exponent frexp  $\sqrt{x^2+y^2}$ hypot ilogb  $\lfloor \log_{\text{FLT\_RADIX}} x \rfloor$  as integer isfinite check if finite isinf check if infinite isnan check if NaN isnormal checks if normal ldexp  $x \cdot 2^y$ lgamma  $\log_e \Gamma(x)$ log10  $\log_{10} x$  $\log_e 1 + x$ log1p  $\log_2 x$ log2 logb  $\lfloor \log_{\text{FLT\_RADIX}} x \rfloor$  as floating point log  $\log_e x$ modf, modff, modfl integer and fractional parts nan, nanf, nanl not-a-number, NaN, of corresponding type nearbyint nearest integer using current rounding mode nextafter, nexttoward next representable floating point value  $x^y$ signed remainder of division remainder remquo signed remainder and the last bits of the division rint, lrint, llrint nearest integer using current rounding mode round, lround, llround  $sign(x) \cdot ||x| + 0.5|$  $x \cdot \mathbf{FLT\_RADIX}^y$ scalbn, scalbln signbit checks if negative sinh hyperbolic sine sine function,  $\sin x$ sin sqrt

hyperbolic tangent

tangent function,  $\tan x$ 

gamma function,  $\Gamma(x)$  sign (x)  $\cdot \lfloor |x| \rfloor$ 

tanh

tgamma

trunc

tan

Here the \* in the FILE\* type again indicates that this is a pointer type, so we couldn't go into details. The only thing that we need for now is Rule 1.6.2.4; a pointer can be tested if it is null, and so we will be able to test if a stream is valid or not.

More than the fact that this a pointer, the identifier FILE itself is a so-called **opaque**  $\mathbf{type}^{C}$ , for which don't know more than is provided by the functional interfaces that we will see in this section. The facts that it is implemented as a macro and the misuse of the name "FILE" for a "stream" is a reminder that this is one of the historic interfaces that predate standardization.

Rule 1.8.2.1 *Opaque types are specified through functional interfaces.* 

Rule 1.8.2.2 *Don't rely on implementation details of opaque types.* 

If we don't do anything special, there are two streams that are available for output to us: **stdout** and **stderr**. We already have used **stdout** implicitly, this is what **putchar** and **puts** use under the hood, and this stream is usually connected to the terminal. **stderr** is similar, it also is linked to the terminal by default, with perhaps slightly different properties. In any case these two are closely related. The purpose to have two of them is to be able to distinguish "usual" output (**stdout**) from "urgent" one (**stderr**).

We can rewrite the former functions in terms of the more general ones:

```
int putchar_manually(int c) {
  return fputc(c, stdout);
}

int puts_manually(char const s[static 1]) {
  if (fputs(s[i], stdout) == EOF) return EOF;
  if (fputc('\n', stdout) == EOF) return EOF;
  return 0;
}
```

Observe that **fputs** differs from **puts** in that it doesn't append an end-of-line character to the string.

Rule 1.8.2.3 puts and fputs differ in their end of line handling.

8.2.2. *Files and streams*. If we want to write some output to real files, we have to attach the files to our program execution by means of the function **fopen**.

```
1 FILE* fopen(char const path[static 1], char const mode[static 1])
;
2 FILE* freopen(char const path[static 1], char const mode[static 1], FILE *stream);
```

This can be used as simple as here:

```
int main(int argc, char* argv[argc+1]) {
1
2
   FILE* logfile = fopen("mylog.txt", "a");
3
    if (!logfile) {
4
      perror("fopen_failed");
5
      return EXIT_FAILURE;
6
7
    fputs("feeling.fine.today\n", logfile);
8
    return EXIT_SUCCESS;
9
```



TABLE 10. Modes and modifiers for **fopen** and **freopen**. One of the first three must start the mode string, optionally followed by some of the other three. See Table 11 for all valid combinations.

mode	memo		file status after fopen
'a'	append	W	file unmodified, position at end
' W'	write	w	content of file wiped out, if any
'r'	read	r	file unmodified, position at start
modifier	memo		additional property
' + '	update	rw	open file for reading and writing
'b'	binary		view as binary file, otherwise text file
'x'	exclusive		create file for writing iff it does not yet exist

This <u>opens a file</u> $^{C}$  called "mylog.txt" in the file system and provides access to it through the variable logfile. The mode argument "a" has the file opened for appending, that is the contents of the file is preserved, if it exists, and writing begins at the current end of that file.

There are multiple reasons why opening a file might not succeed, <u>e.g</u> the file system might be full or the process might not have the permission to write at the indicated place. In accordance with Rule 1.8.0.8 we check for such conditions and exit the program if such a condition is present.

As we have seen above, the function **perror** is used to give a diagnostic of the error that occurred. It is equivalent to something like the following.

```
fputs("fopen_failed:_some-diagnostic\n", stderr);
```

This "some-diagnostic" might (but hasn't to) contain more information that helps the user of the program to deal with the error.

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There are also bounds-checking replacements fopen\_s and freopen\_s that ensure that the arguments that are passed are valid pointers. Here errno\_t is a type that comes with stdlib.h and that encodes error returns. The restrict keyword that also newly appears only applies to pointer types and is out of our scope for the moment.

There are different modes to open a file, "a" is only one of several possibilities. Table 10 contains an overview of the different characters that may appear in that string. We have three different base modes that regulate what happens to a pre-existing file, if any, and where the stream is positioned. In addition, we have three modifiers that can be appended to these. Table 11 has a complete list of the possible combinations.

From these tables you see that a stream can not only be opened for writing but also for reading; we will see below how that can be done. To know which of the base modes opens for reading or writing just use your common sense. For 'a' and 'w' a file that is positioned at its end can't be read since there is nothing there, thus these open for writing.

TABLE 11. Mode strings for fopen and freopen. These are the valid combinations of the characters in Table 10.

		24.12
"a"		create empty text file if necessary, open for writing at end-of-file
" <sub>W</sub> "		create empty text file or wipe out content, open for writing
"r"		open existing text file for reading
"a+"		create empty text file if necessary, open for reading and writing
		at end-of-file
"w+"		create empty text file or wipe out content, open for reading and
		writing
"r+"		open existing text file for reading and writing at beginning of file
"ab" "1	rb" "wb"	same as above but for binary file instead of text file
"a+b"	"ab+"	
"r+b"	"rb+"	
"w+b" "	wb+"	
" <sub>WX</sub> "	"w+x"	same as above but error if file exists prior to call
"wbx"	"w+bx"	
"x+dw"		

For 'r' a file contents that is preserved and that is positioned at the beginning should not be overwritten accidentally, so this is for reading.

The modifiers are used less commonly in everyday's coding. "Update" mode with '+' should be used carefully. Reading and writing at the same time is not so easy and needs some special care. For 'b' we will discuss the difference between text and binary streams in some more detail in Section 14.4.

There are three other principal interfaces to handle streams, freopen, fclose and fflush.

```
int fclose(FILE* fp);
int fflush(FILE* stream);
```

The primary uses for **freopen** and **fclose** are straight forward: **freopen** can associate a given stream to a different file and eventually change the mode. This is particular useful to associate the standard streams to a file. *E.g* our little program from above could be rewritten as

```
int main(int argc, char* argv[argc+1]) {
   if (!freopen("mylog.txt", "a", stdout)) {
      perror("freopen_failed");
      return EXIT_FAILURE;
   }
   puts("feeling_fine_today");
   return EXIT_SUCCESS;
}
```

8.2.3. Text 10. Output to text streams is usually  $\underline{\mathbf{buffered}}^C$ , that is to make more efficient use of its resources the IO system can delay the physical write of to a stream for some time. If we close the stream with fclose all buffers are guaranteed to be  $\underline{\mathbf{flushed}}^C$  to where it is supposed to go. The function fflush is needed in places where we want to see an output immediately on the terminal or where don't want to close the file, yet, but where we want to ensure that all contents that we have written has properly reached its destination. Listing 1.4 shows an example that writes 10 dots to **stdout** with a delay of approximately one second between all writes. [Exs 40]

 $<sup>[</sup>Exs\ 40]$  Observe the behavior of the program by running it with 0, 1 and 2 command line arguments.

LISTING 1.4. flushing buffered output

```
#include <stdio.h>
 1
2
3
    /* delay execution with some crude code,
 4
       should use thrd_sleep, once we have that */
 5
    void delay(double secs) {
      double const magic = 4E8; // works just on my machine
6
7
      unsigned long long const nano = secs * magic;
8
      for (unsigned long volatile count = 0;
9
           count < nano;
10
           ++count) {
11
        /* nothing here */
12
      }
13
    }
14
15
    int main(int argc, char* argv[argc+1]) {
      fputs("waiting_10_seconds_for_you_to_stop_me", stdout);
16
17
      if (argc < 3) fflush(stdout);</pre>
18
      for (unsigned i = 0; i < 10; ++i) {
19
        fputc('.', stdout);
        if (argc < 2) fflush(stdout);</pre>
20
21
        delay(1.0);
22
23
      fputs("\n", stdout);
24
      fputs("You_did_ignore_me,_so_bye_bye\n", stdout);
25
```

The most common form of IO buffering for text files in <u>line buffering</u><sup>C</sup>. In that mode, output is only physically written if the end of a text line is encoutered. So usually text that is written with puts would appear immediately on the terminal, fputs would wait until it meets an '\n' in the output. Another interesting thing about text streams and files is that there is no one-to-one correspondence between characters that are written in the program and bytes that land on the console device or in the file.

# Rule 1.8.2.4 *Text input and output converts data.*

This is because internal and external representation of text characters are not necessarily the same. Unfortunately there are still many different character encodings around, the C library is in charge of doing the conversions correctly, if it may. Most notoriously the end-of-line encoding in files is platform depending:

Rule 1.8.2.5 There are three commonly used conversion to encode end-of-line.

C here gives us a very suitable abstraction in using '\n' for this, regardless of the platform. Another modification that you should be aware of when doing text IO is that white space that precedes the end of line may be suppressed. Therefore presence of such **trailing white space**  $^{C}$  such as blank or tabulator characters can not be relied upon and should be avoided:

Rule 1.8.2.6 *Text lines should not contain trailing white space.* 

The C library additionally also has very limited support to manipulate files within the file system:

TABLE 12. Format specifications for **printf** and similar functions, with the general syntax "%[FF][WW][.PP][LL]SS"

```
FF flags special form of conversion
WW field width minimum width
PP precision
LL modifier select width of type
SS specifier select conversion
```

TABLE 13. Format specifiers for printf and similar functions

'd' or 'i'	decimal	signed integer
'u'	decimal	unsigned integer
'o'	octal	unsigned integer
'x' or 'X'	hexadecimal	unsigned integer
'e' or 'E'	[-]d.ddd e±dd, "scientific"	floating point
'f' or 'F'	[-]d.ddd	floating point
'g' or 'G'	generic e or f	floating point
'a' or 'A'	[-] 0xh.hhhh p±d, hexadecimal	floating point
1%1	'%' character	no argument is converted
'c'	character	integer
's'	characters	string
'p'	address	void∗ pointer

```
int remove(char const pathname[static 1]);
int rename(char const oldpath[static 1], char const newpath[
    static 1]);
```

These basically do what their names indicate.

8.2.4. *Formatted output.* We have already seen how we can use **printf** for formatted output. The function **fprintf** is very similar to that, only that it has an additional parameter that allows to specify the stream to which the output is written:

```
int printf(char const format[static 1], ...);
int fprintf(FILE* stream, char const format[static 1], ...);
```

The syntax with the three dots . . . indicates that these functions may receive an arbitrary number of items that are to be printed. An important constraint is that this number must correspond exactly to the '%' specifiers, otherwise the behavior is undefined:

Rule 1.8.2.7 Parameters of printf must exactly correspond to the format specifiers.

With the syntax %[FF][WW][.PP][LL]SS, a complete format specification can be composed of 5 different parts, flags, width, precision, modifiers and specifier. See Table 12 for details.

The specifier is not optional and selects the type of output conversion that is performed. See Table 13 for an overview.

The modifier part is important to specify the exact type of the corresponding argument. Table 14 gives the codes for the types that we have encountered so far.

The flag can change the output variant, such as prefixing with signs ("\$+d"), 0x for hexadecimal conversion ("\$#x"), 0 for octal ("\$#o"), or padding with 0. See Table 15.

If we know that the numbers that we write will be read back in from a file, later, the forms "%+d" for signed types, "%#X" for unsigned types and "%a" for floating point are

TABLE 14. Format modifiers for **printf** and similar functions. **float** arguments are first converted to **double**.

character	type	conversion
"hh"	char types	integer
"h"	short types	integer
" "	signed, unsigned	integer
"1"	long integer types	integer
"11"	long long integer types	integer
"j"	intmax_t, uintmax_t	integer
"z"	size_t	integer
"t"	ptrdiff_t	integer
"L"	long double	floating point

TABLE 15. Format flags for printf and similar functions.

character	meaning	conversion
"#"	alternate form, e.g. prefix 0x	"aAeEfFgGoxX"
"0"	zero padding	numeric
"-"	left adjustment	any
"_"	' ' for positive values '-' for negative	signed
"+"	'+' for positive values '-' for negative	signed

the most appropriate. They guarantee that the string to number conversions will detect the correct form and that the storage in file will be without loss of information.

Rule 1.8.2.8 *Use* "%+d", "%#X" and "%a" for conversions that have to be read, later.

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The optional interfaces printf\_s and fprintf\_s check that the stream, format and any string arguments are valid pointers. They **don't** check if the expressions in the list correspond to correct format specifiers.

Here is a modified example for the re-opening of stdout.

```
int main(int argc, char* argv[argc+1]) {
1
2
     int ret = EXIT_FAILURE;
3
     fprintf_s (stderr, "freopen_of_%s:", argv[1]);
     if (freopen(argv[1], "a", stdout)) {
5
       ret = EXIT_SUCCESS;
6
        puts ("feeling fine today");
7
8
     perror(0);
9
     return ret;
10
```

This improves the diagnostic output by adding the file name to the output string. fprintf\_s is used to check the validity of the stream, the format and the argument string. This function may mix the output to the two streams if they are both connected to the same terminal.

8.2.5. *Unformatted input of text*. Unformatted input is best done with **fgetc** for a single character and **fgets** for a string. There is one standard stream that is always defined that usually connects to terminal input: **stdin**.

```
int fgetc(FILE* stream);
char* fgets(char s[restrict], int n, FILE* restrict stream);
int getchar(void);
```

In addition, there are also **getchar** and **gets\_s** that read from **stdin** but they don't add much to the above interfaces that are more generic.

```
char* gets_s (char s[static 1], rsize_t n);
```

Historically, in the same spirit as **puts** specializes **fputs**, prior version of the C standard had a **gets** interface. This has been removed because it was inherently unsafe.

Rule 1.8.2.9 Don't use gets.

The following listing shows a function that has an equivalent functionality as fgets.

LISTING 1.5. Implementing fgets in terms of fgetc char\* fgets\_manually(char s[restrict], int n, 1 2 FILE\*restrict stream) { 3 if (!stream) return 0; 4 if (!n) return s; 5 /\* Read at most n-1 characters \*/ 6 for  $(size_t pos = 0; pos < n-1; ++pos) {$ 7 int val = fgetc(stream); 8 switch (val) { Q /\* EOF signals end-of-file or error \*/ 10 case EOF: if (feof(stream)) { 11 s[i] = 0;12 /\* has been a valid call \*/ 13 return s; 14 } else { 15 /\* we are on error \*/ 16 return 0; 17 18 /\* stop at end-of-line \*/ case  $' \n'$ : s[i] = val; s[i+1] = 0; return s;19 /\* otherwise just assign and continue \*/ 20 21 default: s[i] = val; 22 23 24 s[n-1] = 0;25 return s; 26 }

Again, such an example code is not meant to replace the function, but to illustrate properties of the functions in question, here the error handling strategy.

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Rule 1.8.2.10 **fgetc** returns **int** to be capable to encode a special error status, **EOF**, in addition to all valid characters.

Also, the detection of a return of **EOF** alone is not sufficient to conclude that the end of the stream has been reached. We have to call **feof** to test if a stream's position has reached its end-of-file marker.

Rule 1.8.2.11 End of file can only be detected after a failed read.

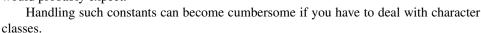
Listing 1.6 presents an example that uses both, input and output functions.

LISTING 1.6. A program to concatenate text files

```
#include <stdlib.h>
1
2
   #include <stdio.h>
3
   #include <errno.h>
5
   enum { buf_max = 32, };
6
7
   int main(int argc, char* argv[argc+1]) {
8
      int ret = EXIT_FAILURE;
9
      char buffer[buf_max] = { 0 };
10
      for (int i = 1; i < argc; ++i) {</pre>
                                                // process args
        FILE* instream = fopen(argv[i], "r"); // as file names
11
12
        if (instream) {
13
          while (fgets(buffer, buf_max, instream)) {
14
            fputs (buffer, stdout);
15
          fclose (instream);
16
17
          ret = EXIT_SUCCESS;
18
19
          /* Provide some error diagnostic. */
20
          fprintf(stderr, "Could_not_open_%s:_", argv[i]);
2.1
          perror (0);
22
                                              // reset error code
          errno = 0;
23
24
25
      return ret;
26
   }
```

Here, this presents a small implementation of cat that reads a number of files that are given on the command line, and dumps the contents to stdout. [Exs 41][Exs 42][Exs 43][Exs 44]

**8.3. String processing and conversion.** String processing in C has to deal with the fact that the source and execution environments may have different encodings. It is therefore crucial to have interfaces that work independent of the encoding. The most important tools are given by the language itself: integer character constants such as 'a' or '\n' and string literals such as "hello:\tx" should always do the right thing on your platform. As you perhaps remember there are no constants for types that are narrower than **int** and as an historic artifact integer character constants such as 'a' have type **int**, and not **char** as you would probably expect.



 $<sup>[</sup>Exs\ 41]$  Under what circumstances this program finishes with success or failure return codes?



<sup>[</sup>Exs 42] Surprisingly this program even works for files with lines that have more than 31 characters. Why?

<sup>[</sup>Exs 43] Have the program read from **stdin** if no command line argument is given.

<sup>[</sup>Exs 44] Have the program precedes all output lines with line numbers if the first command line argument is "-n".

TABLE 16. Character classifiers. The third column indicates if C implementations may extend these classes with platform specific characters, such as ' $\ddot{a}$ ' as a lower case character or ' $\in$ ' as punctuation.

name	meaning	C locale	extended
islower	lower case	'a' ··· 'z'	yes
isupper	upper case	'A' ··· 'Z'	yes
isblank	blank	'_','\t'	yes
isspace	space	'_','\f','\n','\r','\t','\v'	yes
isdigit	decimal	'0' ··· '9'	no
isxdigit	hexadecimal	'0' ··· '9', 'a' ··· 'f', 'A' ··· 'F'	no
iscntrl	control	'\a','\b','\f','\n','\r','\t','\v'	yes
isalnum	alphanumeric	isalpha (x)     isdigit (x)	yes
isalpha	alphabet	$is lower (x) \mid   is upper (x)$	yes
isgraph	graphical	(! iscntrl (x) ) && (x != ' _ ')	yes
isprint	printable	! iscntrl (x)	yes
ispunct	punctuation	isprint $(x) \& \& ! (isalnum (x)     isspace (x))$	yes

#include <ctype.h>



Therefore the C library provides functions or macros that deals with the most commonly used classes through the header <code>ctype.h</code>. It has classifiers <code>isalnum</code>, <code>isalpha</code>, <code>isblank</code>, <code>iscntrl</code>, <code>isdigit</code>, <code>isgraph</code>, <code>islower</code>, <code>isprint</code>, <code>ispunct</code>, <code>isspace</code>, <code>isupper</code>, <code>isxdigit</code>, and conversions <code>toupper</code> and <code>tolower</code>. Again, for historic reasons all of these take their arguments as <code>int</code> and also return <code>int</code>. See Table 16 for an overview over the classifiers. The functions <code>toupper</code> and <code>tolower</code> convert alphabetic characters to the corresponding case and leave all other characters as they are.

That table has some special characters such as '\n' for a new line character which we have encountered previously. All the special encodings and their meaning are given in Table 17. Integer character constants can also be encoded numerically, namely as octal

'\''	quote
/\"/	doublequote
'\?'	question mark
'\\'	backslash
'\a'	alert
'\b'	backspace
'\f'	form feed
'\n'	new line
'\r'	carriage return
'\t'	horizontal tab
'\v'	vertical tab

TABLE 17. Special characters in character and string literals

value of the form '\037' or as hexadecimal value in the form '\xFFFFF'. In the first form, up to three octal digits are used to represent the code. For the second, any sequence of characters after the x that can be interpreted as a hex digit is included in the code. Using these in strings needs special care to mark the end of such a character. "\xdeBruyn" is not the same as "\xde""Bruyn" but corresponds to "\xdeB""ruyn", the character with code 3563 followed by the four characters 'r', 'u', 'y' and 'n'.

Rule 1.8.3.1 The interpretation of numerically encoded characters depends on the execution character set.

So their use is not portable and should thus be avoided.

The following function hexatridecimal uses some of the functions from above to provide a numerical value for all alphanumerical characters base 36. This is analogous to hexadecimal constants, only that all other letters give a value in base 36, too. [Exs 45] [Exs 46] [Exs 47]

```
strtoul.c
6
   #include <string.h>
8
   /* Supposes that lower case characters are contiquous. */
9
    _{\text{Static}} assert ('z'-'a' == 25,
10
                    "alphabetic_characters_not_contiguous");
11
   #include <ctype.h>
12
    /* convert an alphanumeric digit to an unsigned */
   /* '0' ... '9' => 0 .. 9u */
13
           ... 'Z'
   /* 'A'
                    => 10 .. 35u */
14
   /* 'a' ... 'z' => 10 .. 35u */
15
16
   /* other values =>
                         greater */
17
   unsigned hexatridecimal(int a) {
18
      if (isdigit(a)) {
19
        /* This is guaranteed to work, decimal digits
20
           are consecutive and isdigit is not
21
           locale dependent */
22
        return a - '0';
23
      } else {
24
        /* leaves a unchanged if it is not lower case */
25
       a = toupper(a);
26
        /* Returns value >= 36 if not latin upper case */
27
        return (isupper(a)) ? 10 + (a - 'A') : -1;
28
     }
29
   }
```

Besides the function strtod, the C library has strtoul, strtol, strtoumax, strtoimax, strtoull, strtoll, and strtof to convert a string to a numerical value. Here the characters at the end of the names correspond to the type, u for unsigned, 1 (the letter "el") for long, d for double, f for float, and [i|u]max to intmax\_t and uintmax\_t.

The interfaces with an integral return type all have 3 parameters, such as e.g. strtoul

which interprets a string nptr as a number given in base base. Interesting values for base are 0, 8, 10 and 16. The later three correspond to octal, decimal and hexadecimal encoding, respectively. The first, 0, is a combination of these three, where the base is choosing according to the usually roles for the interpretation of text as numbers: "7" is decimal, "007" is octal and "0x7" is hexadecimal.

More precisely, the string is interpreted as potentially consisting of four different parts: white space, a sign, the number and some remaining data.

The second parameter can in fact be used to obtain the position of the remaining data, but this is still too involved for us. For the moment, it suffices to pass a 0 for that

<sup>[</sup>Exs 45] The second return of hexatridecimal makes an assumption about the relation between a and 'A'. Which?

 $<sup>[{\</sup>rm Exs}\ 46]$  Describe an error scenario in case that this assumption is not fulfilled.

<sup>[</sup>Exs 47] Fix this bug.

parameter to ensure that all works well. A convenient combination of parameters is often strtoul (S, 0, 0), which will try to interpret S as representing a number, regardless of the input format.

The three functions that provide floating point values work similar, only that the number of function parameters is limited to two.

In the following we will demonstrate how the such functions can be implemented from more basic primitives. Let us first look into Strtoul\_inner. It is the core of a strtoul implementation that uses hexatridecimal in a loop to compute a large integer from a string.

```
strtoul.c
31
    unsigned long Strtoul_inner(char const s[static 1],
32
                                   size_t i,
33
                                   unsigned base) {
34
      unsigned long ret = 0;
35
      while (s[i]) {
36
        unsigned c = hexatridecimal(s[i]);
37
        if (c >= base) break;
38
        /* maximal representable value for 64 bit is
39
           3w5e11264sgsf in base 36 */
40
        if (ULONG_MAX/base < ret) {</pre>
41
          ret = ULONG_MAX;
42
          errno = ERANGE;
43
          break:
44
        }
45
        ret *= base;
46
        ret += c;
47
        ++i;
48
49
      return ret;
50
```

In case that the string represents a number that is too big for an **unsigned long**, this function returns **ULONG\_MAX** and sets **errno** to **ERANGE**.

Now Strtoul gives a functional implementation of strtoul, as far as this can be done without pointers:

```
strtoul.c
60
                unsigned long Strtoul(char const s[static 1], unsigned base) {
61
                        if (base > 36u) {
                                                                                                                                                      /* test if base
62
                                errno = EINVAL;
                                                                                                                                                        /* extends specification */
63
                                 return ULONG_MAX;
64
65
                        size_t = strspn(s, " \frac{strspn}{r} (s, " \rac{strspn}{r} (s, 
66
                        bool switchsign = false;  /* look for a sign
67
                        switch (s[i]) {
                        case '-' : switchsign = true;
68
69
                        case '+' : ++i;
70
71
                        if (!base || base == 16) {
                                                                                                                                                       /* adjust the base
72
                                 size_t adj = find_prefix(s, i, "0x");
73
                                 if (!base) base = (unsigned[]) { 10, 8, 16, }[adj];
74
                                i += adj;
75
76
                        /* now, start the real conversion */
77
                        unsigned long ret = Strtoul_inner(s, i, base);
                        return (switchsign) ? -ret : ret;
```

79

handle them, yet.

It wraps  $strtoul\_inner$  and previously does the adjustments that are needed: it skips white space, looks for an optional sign, adjusts the base in case the base parameter was, 0, skips an eventual 0 or 0x prefix. Observe also that in case that a minus sign has been provided it does the correct negation of the result in terms of **unsigned long** arithmetic. [Exs 48]

To skip the spaces, Strtoul uses strspn, one of the string search functions that are provided by string.h. This functions returns the length of the initial sequence in the first parameter that entirely consists of any character of the second parameter. The function strcspn ("c" for "complement") works similarly, only that it looks for an initial sequence of characters **not** present in the second argument.

of characters **not** present in the second argument.

This header provides at lot more memory or string search functions: memchr, strchr, strpbrk strrchr, strstr, and strtok. But to use them we would need pointers, so we can't

**8.4. Time.** The first class of "times" can be classified as calendar times, times with a granularity and range as it would typically appear in a human calendar, as for appointments, birthdays and so on. Here are some of the functional interfaces that deal with times and that are all provided by the time. h header:

#include <string.h>

#include <time.h>

The first simply provides us with a timestamp of type time\_t of the current time. The simplest form to use the return value of time(0). As we have already seen, two such times that we have taken at different moments in the program execution can then be use to express a time difference by means of difftime.

Let's start to explain what all this is doing from the human perspective. As we already have seen, **struct tm** structures a calendar time mainly as you would expect. It has hierarchical date fields such as **tm\_year** for the year, **tm\_mon** for the month and so on, down to the granularity of a second. They have one pitfall, though, how the different fields are counted. All but one start with 0, *e.g.* **tm\_mon** set to 0 stands for January and **tm\_wday** 0 stands for Sunday.

Unfortunately, there are exceptions:

- tm\_mday starts counting days in the month at 1.
- tm\_year must add 1900 to get the year in the Gregorian calendar. Years represent in that way should lie between Gregorian years 0 and 9999.
- tm\_sec is in the range from 0 to 60, including. The later is for the rare occasion of leap seconds.

There are three supplemental date fields that are used to supply additional information to a time value in a **struct tm**.

- tm\_wday for the week day,
- tm\_yday for the day in the year, and
- tm\_isdst a flag that informs if a date is considered being in DST of the local time zone or not.

The consistency of all these fields can be enforced with the function **mktime**. It can be seen to operate in three steps

<sup>[</sup>Exs 48] Implement a function find\_prefix as needed by Strtoul.

- (1) The hierarchical date fields are normalized to their respective ranges.
- (2) tm\_wday and tm\_yday are set to the corresponding values.
- (3) If tm\_isday has a negative value, this value is modified to 1 if the date falls into DST for the local platform, and to 0 otherwise.

mktime also serves an extra purpose. It returns the time as a time\_t. time\_t is thought to represent the same calendar times as struct tm, but is defined to be an arithmetic type, more suited to compute with them. It operates on a linear time scale. A time\_t value of 0. the beginning of time\_t is called epoch of in the C jargon. Often this corresponds to the beginning of Jan 1, 1970.

The granularity of **time\_t** is usually to the second, but nothing guarantees that. Sometimes processor hardware has special registers for clocks that obey a different granularity. **difftime** translates the difference between two **time\_t** values into seconds that are represented as a double value.

Others of the traditional functions that manipulate time in C are a bit dangerous, they operate on global state, and we will not treat them here. So these interfaces have been reviewed in Annex K to a  $\_s$  form:

The following picture shows how all these functions interact:

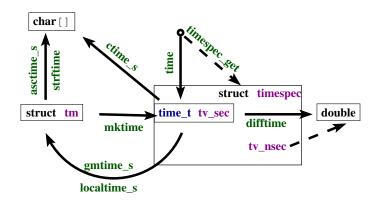


FIGURE 1. Time conversion functions

Two functions for the inverse operation from time\_t into struct tm come into view:

- localtime\_s stores the broken down local time
- gmtime\_s stores the broken time, expressed as universal time, UTC.

As indicated, they differ in the time zone that they assume for the conversion. Under normal circumstances localtime\_s and mktime should be inverse to each other, gmtime\_s has no direct counterpart for the inverse direction.

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Textual representations of calendar times are also available. asctime\_s stores the date in a fixed format, independent of any locale, language (it uses English abbreviations) or platform dependency. The format is a string of the form

```
"Www_Mmm_DD_HH:MM:SS_YYYY\n"
```

strftime is more flexible and allows to compose a textual representation with format specifiers

It works similar to the **printf** family, but has special %-codes for dates and times, see Table 18. Here "locale" indicates that different environment settings, such as preferred language or time zone may influence the output. How to access and eventually set these will be explained in Section 8.5. **strftime** receives three arrays: a **char** [max] array that is to be filled with the result string, another string that holds the format, and a **struct tm const**[1] that holds the time to be represented. The reason for passing in an array for the time will only become apparent when we know more about pointers.

The opaque type time\_t and with that time only has a granularity of seconds.

If we need more precision than that, **struct timespec** and function **timespec\_get** can be used. With that we have an additional field **tv\_nsec** that provides nanosecond precision. The second argument <code>base</code> only has one value defined by the C standard, **TIME\_UTC**. You should expect a call to **timespec\_get** with that value to be consistent with calls to **time**. They both refer to Earth's reference time. Specific platforms may provide additional values for <code>base</code> that would specify a "clock" that is different from that "walltime" clock. An example for such a clock could be relative to the planetary or other physical system that your computer system is involved with. Relativity or other time adjustments could be avoided by using a so-called "monotonic clock" that would only be refering to the startup time of the system. A "cpu clock", could refer to the time the program execution had been attributed processing resources.

For the later, there is an additional interface that is provided by the C standard library.

```
clock_t clock (void);
```

For historical reasons, this introduces yet another type, **clock\_t**. It is an arithmetic time that gives the processor time in **CLOCKS\_PER\_SEC** units per second.

Having three different interfaces, time, timespec\_get and clock is a bit unfortunate. It would have been beneficial to provide predefined constants such as <code>TIME\_PROCESS\_TIME</code> or <code>TIME\_THREAD\_TIME</code> for other forms of clocks.



**8.5. Runtime environment settings.** A C program can have access to an <u>environment list</u> $^C$ : a list of name-value pairs of strings (often called <u>environment variables</u> $^C$ ) that can transmit specific information from the runtime environment. There is a historic function getenv to access this list:

```
char* getenv(char const name[static 1]);
```

With our current knowledge, with this function we are only able to test if a name is present in the environment list:

```
bool havenv(char const name[static 1]) {
   return getenv(name);
}
```

Instead, we use the secured function getenv\_s:

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<sup>&</sup>lt;sup>49</sup>Beware that objects that move fast relative to Earth such as satelites or space crafts may perceive relativistic time shifts compared to UTC.

TABLE 18. strftime format specifiers. Those marked as "locale" may differ dynamically according to locale runtime settings, see Section 8.5. Those marked with ISO 8601 are specified by that standard.

spec	meaning	locale	ISO 8601
"%S"	second ("00" to "60")		
"%M"	minute ("00" to "59")		
"%H"	hour ("00" to "23").		
"%I"	hour ("01" to "12").		
"%e"	day of the month ("_1" to "31")		
"%d"	day of the month ("01" to "31")		
"%m"	month ("01" to "12")		
"%B"	full month name	X	
"%b"	abbreviated month name	X	
"%h"	equivalent to "%b"	X	
"%Y"	year		
"%y"	year ("00" to "99")		
"%C"	century number (year/100)		
"%G"	week-based year, same as "%Y", except if the ISO week number belongs		X
	another year		
"%g"	like "%G", ("00" to "99")		X
"%u"	weekday ("1" to "7"), Monday being "1"		
"%w"	weekday ("0" to "6", Sunday being "0"		
"%A"	full weekday name	X	
"%a"	abbreviated weekday name	X	
"%j"	day of year ("001" to "366")		
"%U"	week number in the year ("00" to "53"), starting at Sunday		
"%W"	week number in the year ("00" to "53"), starting at Monday		
"%∀"	week number in the year ("01" to "53"), starting with first 4 days in		X
	the new year		
"%Z"	timezone name	X	
"%z"	"+hhmm" or "-hhmm", the hour and minute offset from UTC		
"%n"	newline		
"%t"	horizontal tabulator		
<b>"</b> %%"	literal "%"		
"%x"	date	X	
"%D"	equivalent to "%m/%d/%y"		
"%F"	equivalent to "%Y-%m-%d"		X
"%X"	time	X	
"%p"	either "AM" or "PM", noon is "PM", midnight is "AM"	X	
"%r"	equivalent to "%I:%M:%S_%p".	X	
"%R"	equivalent to "%H:%M"		
"%T"	equivalent to "%H:%M:%S"		X
"%c"	preferred date and time representation	X	

TABLE 19. Categories for the setlocale function

```
LC_COLLATE string comparison through strcoll and strxfrm

LC_CTYPE character classification and handling functions, see Section 8.3.

LC_MONETARY decimal-point character for formatted I/O, localeconv

LC_TIME strftime, see Section 8.4

LC_ALL all of the above
```

If any, this function copies the value that corresponds to name from the environment into value, a **char**[maxsize], provided that it fits. Printing such value can look as this:

```
void printenv(char const name[static 1]) {
2
3
4
     if (getenv(name))
        char value[256] = { 0, };
        if (getenv_s(0, value, sizeof value, name)) {
5
6
7
8
          fprintf (stderr,
                   "%s:_value_is_longer_than_%zu\n",
                  name, sizeof value);
         else {
9
          printf("%s=%s\n", name, value);
10
11
       else {
12
        fprintf(stderr, "%s_not_in_environment\n", name);
13
14
   }
```

As you can see, that after detecting if the environment variable exists, getenv\_s can safely be called with the first argument set to 0. Additionally, it is guaranteed that the value target buffer will only be written, if the intended result fits in, there. The len parameter could be used to detect the real length that is needed, and dynamic buffer allocation could be used to print out even large values. We have to refer to higher levels for such usages.

The environment variables that will be available to programs depend heavily on the operating system. Commonly provided environment variables include "HOME" for the user's home directory, "PATH" for the collection of standard paths to executables, "LANG" or "LC\_ALL" for the language setting.

The language or  $\underline{locale}^C$  setting is another important part of the execution environment that a program execution inherits. At startup, C forces the locale setting to a normalized value, called the "C" locale. It has basically American English choices for numbers or times and dates.

The function setlocale from locale.h can be used to set or inspect the current value.

#include <locale.h>

```
char* setlocale(int category, char const locale[static 1]);
```

Besides "C", the C standard only prescribes the existence of one other valid value for locale, the empty string "". This can be used to set the effective locale to the systems default. The category argument can be used to address all or only parts of the language environment. Table 19 gives an overview over the possible values and the part of the C library they affect. Additional platform dependent categories may be available.

**8.6. Program termination and assertions.** We already have seen the simplest way of program termination: a regular return from main:

Rule 1.8.6.1 Regular program termination should use return from main.

Using the function exit from within main is kind of senseless, it can be as easily done with a return.

Rule 1.8.6.2 *Use* exit from a function that may terminate the regular control flow.

The C library has three other functions that terminate the program execution, in order of severity:

```
1    _Noreturn void quick_exit(int status);
2    _Noreturn void _Exit(int status);
3    _Noreturn void abort(void);
```

Now, **return** from **main** (or a call to **exit**) already provides the possibility to specify if the program execution is considered to be a success or not. Use the return value to specify that; as long as you have no other needs or you don't fully understand what these other functions do, don't use them, really don't.

Rule 1.8.6.3 Don't use other functions for program termination than exit, unless you have to inhibit the execution of library cleanups.

Cleanup at program termination is important. The runtime system can flush and close files that are written or free other resources that the program occupied. This is a feature and should rarely be circumvented.

There even is a mechanism to install your own  $\underline{\mathbf{handlers}}^C$  that are to be executed at program termination. Two functions can be used for that:

```
int atexit (void func (void));
int at_quick_exit (void func (void));
```

These have a syntax that we have not yet seen:  $\underline{\text{function parameters}}^C$ . E.g. the first reads "function atexit that returns an int and that receives a function func as a parameter".

We will not go into details here, an example just shows how this can be used:

```
void sayGoodBye(void) {
   if (errno) perror("terminating_with_error_condition");
   fputs("Good_Bye\n", stderr);
}

int main(int argc, char* argv[argc+1]) {
   atexit(sayGoodBye);
   ...
}
```

This uses the function atexit to establish the exit-handler <code>sayGoodBye</code>. After normal termination of the program code this function will be executed and give a status of the execution. This might be a nice thing to impress your fellow co-worker if you are in need of some respect. More seriously, this is the ideal place to put all kind of cleanup code,

 $<sup>^{50}</sup>$ In fact, in C such a notion of a function parameter func to a function **atexit** is equivalent to passing a **function pointer**<sup>C</sup>. In descriptions of such functions you will usually see that pointer variant. For us this distinction is not yet relevant and it is simpler to think of a function being passed by reference.

such as freeing memory, or such as writing a termination time stamp to a logfile. Observe that the syntax for calling is atexit (sayGoodBye), there are no () for sayGoodBye itself: here sayGoodBye is not called at that point, but only a reference to the function is passed to atexit.

Under rare circumstance you might want to circumvent these established atexit handlers. There is a second pair of functions, quick\_exit and at\_quick\_exit, that can be used to establish an alternative list of termination handlers. Such alternative list may be useful in case that the normal execution of the handlers is too time consuming. Use with care.

The next function, \_Exit, is even more sever than that: it inhibits both types of application specific handlers to be executed. The only thing that is still executed are the platform specific cleanups, such as file closure. Use this with even more care.

The last function, **abort**, is even more intrusive. Not only that it doesn't call the application handlers, it also inhibits the execution of some system cleanups. Use this with extreme care.

At the beginning of this section, we have already seen \_Static\_assert and static\_assert that should be used to make compile time assertions. This can test for any form of compile time Boolean expression. There are two other identifiers that come from assert.h that can be used for runtime assertions: assert and NDEBUG. The first can be used to test for an expression that must hold at a certain moment. It may contain any Boolean expression and it may be dynamic. If the NDEBUG macro is not defined during compilation, every time execution passes by the call to this macro the expression is evaluated. The functions gcd and gcd2 from Section 7.3 show typical use cases of assert: a condition that is supposed to hold in every execution.

If the condition doesn't hold, a diagnostic message is printed and **abort** is called. So all of this is not something that should make it through into a production executable. From the discussion above we know that the use of **abort** is harmful, in general, and also an error message such as

```
Terminal assertion failed in file euclid.h, function gcd2(), line 6
```

is not very helpful for your customers. It <u>is</u> helpful during the debugging phase where it can lead you to spots where you make false assumptions about the value of some variables.

Rule 1.8.6.4 Use as many assert as you may to confirm runtime properties.

As mentioned **NDEBUG** inhibits the evaluation of the expression and the call to abort. Please use it to reduce the overhead.

Rule 1.8.6.5 In production compilations, use NDEBUG to switch off all assert.

#include <assert.h>

#### LEVEL 2



# **Cognition**

## 9. Style

Programs serve both sides. First, as we have already seen, they serve to give instructions to the compiler and the final executable. But equally important, they document the intended behavior of a system for us people (users, customers, maintainers, lawyers, ...) that have to deal with it.

Therefore our prime directive is

Rule 2.9.0.6 *All C code must be readable.* 

The difficulty with that directive is to know what constitutes "readable". Not all experienced C programmers agree on all the points, so first of all we will try to establish a minimal list of necessities. The first things that we have to have in mind when discussing the human condition that it is constrained by two major factors: physical ability and cultural baggage.

Rule 2.9.0.7 Short term memory and the field of vision are small.

Torvalds et al. [1996], the coding style for the Linux kernel, is a good example that insists on that aspect, and certainly is worth a detour, if you haven't read it, yet. Its main assumptions are still valid: a programming text has to be represented in a relatively small "window" (be it a console or a graphical editor) that can be roughly be counted in 30 lines of 80 columns, a "surface" of 2400 characters. Everything that doesn't fit there, has to be memorized. *E.g.* our very first program in Listing 1 fits into that constraint.

By its humorous reference to Kernighan and Ritchie [1978], the Linux coding style also refers to another fundamental fact, namely

Rule 2.9.0.8 Coding style is not a question of taste but of culture.

Ignoring this, easily leads to endless and fruitless debates about not much at all.

Rule 2.9.0.9 When you enter an established project you enter a new cultural space.

Try to adapt to the habits of the inhabitants. When you create your own project, you have a bit of freedom to establish your own rules. But be careful if you want others to adhere to it, still, you must not deviate too much from the common sense that reigns in the corresponding community.

**9.1. Formatting.** The C language itself is relatively tolerant to formatting issues. Under normal circumstances, a C compiler will dumbly parse an entire program that is written on a single line, with minimal white space and where all identifiers are composed of the letter 1 and the digit 1: the need for code formatting originates in human incapacity.

Rule 2.9.1.1 *Choose a consistent strategy for white space and other text formatting.* 

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This concerns indentation, placement of all kinds of parenthesis {}, [] and (), spaces before and after operators, trailing spaces or multiple new lines. The human eye and brain are quite peculiar in their habits, and to ensure that they work properly and efficiently we have to ensure to be in phase.

In the introduction for Level 1 you already have seen a lot of the coding style rules that are applied for the code in this book. Take them just as an example for one style, most probably you will encounter other styles as you go along. Let us recall some of the rules and introduce some others that had not yet been presented.

- We use prefix notation for code blocks, that is an opening { is on the end of a line.
- We bind type modifiers and qualifiers to the left.
- We bind function () to the left but () of conditions are separated from their keyword such as **if** or **for** by a space.
- A ternary expression has spaces around the ? and the :.
- Punctuators:,; and, have no space before them but either one space or a new line after

As you see, when written out these rules can appear quite cumbersome and arbitrary. They have no value as such, they are visual aids that help you and your collaborators capture a given code faster, with the blink of an eye. They are not meant to be meticulously typed by you directly, but you should acquire and learn the tools that help you with this.

Rule 2.9.1.2 Have your text editor automatically format your code correctly.

I personally use Emacs<sup>1</sup> for that task (yes, I am that old). For <u>me</u>, it is ideal since it understands a lot of the structure of a C program by itself. Your mileage will probably vary, but don't use a tool in everyday's life that gives you less. Text editors, integrated development environments (IDE), code generators are there for us, not the other way around.

Then in bigger projects, you should enforce such a formatting policy for all the code that circulates and is read by others. Otherwise it will become difficult to track differences between versions of programming text. This can be automated by commandline tools that do the formatting. Here, I have a long time preference for astyle (artistic style)<sup>2</sup>. Again, your mileage may vary, chose anything that ensures the task.

**9.2. Naming.** The limit of such automatic formatting tools is reached when it comes to naming.

Rule 2.9.2.1 Choose a consistent naming policy for all identifiers.

There are two different aspects to naming, technical restrictions on one hand and semantic conventions on the other. Unfortunately, they are often mixed up and subject of endless ideological debate.

For C, different technical restrictions apply, they are meant to help you, take them seriously. First of all Rule 2.9.2.1 says <u>all identifiers</u>: types (**struct** or not), **struct** and **union** fields, variables, enumerations, macros, functions, function-like macros. There are so many tangled "name spaces" you'd have to be careful.

In particular the interaction between header files and macro definitions can have surprising effects. A seemingly innocent example:

```
double memory_sum(size_t N, size_t I, double strip[N][I]);
```

• N is a capitalized identifier, thus your collaborator could be tempted to define a macro N as a big number.

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#include <complex.h>

- I is used for the root of -1 as soon as someone includes complex.h. (And you see that the automatic code annotation system of this book thinks that this refers to the macro.)
- The identifier strip might be used by some C implementation for a library function or macro.
- The identifier memory\_sum might be used by the C standard for a type name in the future.

Rule 2.9.2.2 Any identifier that is visible in a header file must be conforming.

Here conforming is a wide field. In the C jargon an identifier is  $\underline{\text{reserved}}^C$  if its meaning is fixed by the C standard and you may not redefined it otherwise.

- Names starting with an underscore and a second underscore or a capital letter are reserved for language extensions and other internal use.
- Names starting with an underscore are reserved in file scope and for **enum**, **struct** and **union** tags.
- Macros have all caps names.
- All identifiers that have a predefined meaning are reserved and cannot be used in file scope. These are lot of identifiers, *e.g.* all functions in the C library, all identifiers starting with str (as our strip, above), all identifiers starting with E, all identifiers ending in \_t and many more.

What makes all of these relatively difficult, is that you might not detect any violation for years and then all of a sudden on a new client machine, after the introduction of the next C standard and compiler or after a simple system upgrade your code explodes.

A simple strategy to keep the probability of naming conflicts low is to expose as few names as possible:

Rule 2.9.2.3 *Don't pollute the global name space.* 

So expose only types and functions as interfaces that are part of the  $\underline{\mathbf{API}}^C$ ,  $\underline{\mathbf{application}}$   $\underline{\mathbf{programmable\ interface}}^C$ , that is those that are supposed to be used by users of your code.

A good strategy for a library that has vocation of use by others or in other projects is to use naming prefixes that are unlikely to create conflicts. *E.g.* many functions and types in the POSIX thread API are prefixed with "pthread\_", or for my tool box P99, I use the prefixes "p99\_", "P99\_", for API interfaces and "p00\_" and "P00\_" for internals.

There are two sorts of names that may interact badly with macros that another programmer writes at which you might not think immediately:

- field names of struct and union
- parameter names in function interfaces.

The first point is the reason why the fields in standard structures usually have a prefix to their names: struct timespec has tv\_sec as a field name, because an uneducated user might declare a macro sec that would interfere in unpredictable way with when including time.h. For the second we already have seen the example from above. In P99 I would specify such a function similar to something like this:

#include <time.h>

This problem gets worse when we are also exposing program internals to the public view. This happens in two cases:

https://www.gnu.org/software/emacs/

<sup>&</sup>lt;sup>2</sup>http://sourceforge.net/projects/astyle/

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- So-called **inline** functions, that are functions that have their definition (and not only declaration) visible in a header file.
- Functional macros.

We will only discuss these in detail much later.

Now that we have cleared the technical aspects of naming, we will look at the semantic aspect.

Rule 2.9.2.4 *Names must be recognizable and quickly distinguishable.* 

That has two aspects, distinguishable and quickly. Compare

		recognizable	distinguishable	quickly
11111111011	11111111011	no	no	no
myLineNumber	myLimeNumber	yes	yes	no
n	m	yes	yes	yes
ffs	clz	no	yes	yes
lowBit	highBit	yes	yes	yes
p000rb p00_orb	p00Urb	no	yes	no
p00_orb	p00_urb	yes	yes	yes

For your personal taste, the answers on the right of this table may look different. This here reflects  $\underline{\text{mine}}$ : an implicit context for such names is part of my personal expectation. The difference between n and m on one side and for ffs and clz on the other, is implicit semantic.

For me, having a heavily biased mathematical background, single letter variable names from i to n such as n and m are integer variables. These usually occur inside a quite restricted scope as loop variables or similar. Having a single letter identifier is fine (we always have the declaration in view) and they are quickly distinguished.

Function names ffs and clz are different because they compete with all other three letter acronyms that could potentially be used for function names. Accidentally here ffs is shorthand for <u>first bit set</u>, but this is not immediate to me. What that would mean is even less clear, are bits counted from higher or lower order?

There are several conventions that combine several words in one identifier, among the most commonly used are the following:

- $\bullet$  camel case  $^{C}$ , using internal Capitals To Break Words
- snake case<sup>C</sup>, using internal\_underscores\_to\_break\_words
- Hungarian notation<sup>C3</sup>, that encodes type information in the prefix of the identifiers, e.g. szName, where sz would stand for "string" and "zero terminated".

As you might imagine, none of these is ideal. The first two tend to work against Rule 2.9.0.7, they easily clog up a whole, precious line of programming text with unreadable expressions:

```
return theVerySeldomlyUsedConstant*theVerySeldomlyUsedConstant/
number_of_elements;
```

Hungarian notation, in turn, tends to use obscure abbreviations for types or concepts, produces unpronounceable identifiers, and completely breaks down if you have an API change.

So, to my opinion, none of these rules strategies have an absolute value, I encourage you to have a pragmatic approach to the question and to be aware that

Rule 2.9.2.5 *Naming is a creative act.* 

<sup>&</sup>lt;sup>3</sup>Invented in Simonyi [1976], the phd thesis of Simonyi Károly

It is not easily subsumed by some simple technical rules.

Obviously, good naming is more important the more widely an identifier is used. So it is particular important for identifiers for which the declaration is generally out of view of the programmer, namely global names that constitute the API.

Rule 2.9.2.6 *File scope identifiers must be comprehensive.* 

What constitutes "comprehensive" here should be derived from the type of the identifier. Typenames, constants, variables or functions generally serve different purpose, so different strategies apply.

Rule 2.9.2.7 A type name identifies a concept.

Examples for such concepts are "time" for struct timespec, "size" for size\_t, a collection of corvidae for enum corvid, "person" for a data structure that collects data about people, "list" for a chained list of items, "dictonary" for a query data structure, and so on. If you have difficulties to come up with a concept for a data structure, an enumeration or an arithmetic type, you should most probably revisit your design.

Rule 2.9.2.8 A global constant identifies an artifact.

That is a constant <u>stands out</u> for some reason from the other possible constants for the same type, it has a special meaning. It may have this meaning for some external reason beyond our control ( $M_PI$  for  $\pi$ ), because the C standard says so (<u>false</u>, <u>true</u>), because of a restriction of the execution platform (<u>SIZE\_MAX</u>), be factual (<u>corvid\_num</u>), culturally motivated (<u>fortytwo</u>) or a design decision.

Generally we will see below that file scope variables (<u>globals</u>) are much frowned upon. Nevertheless they are sometimes unavoidable, so we have to have an idea how to name them.

Rule 2.9.2.9 A global variable identifies state.

Typical names for such variables would be toto\_initialized to encode the fact that library toto has already been initialized, onError for a file scope but internal variable that is set in a library that must be torn down, or visited\_entries for a hash table that collects some shared data.

Rule 2.9.2.10 A function or functional macro identifies an action.

Not all but many of the functions in the C standard library follow that rule and use verbs as a component of their names. Some examples:

- A standard function that compares two strings is strcmp.
- A standard macro that queries for a property is isless.
- A function that accesses a data field could be called toto\_getFlag.
- $\bullet$  The corresponding one that sets such a field would be toto\_setFlag.
- A function that multiples two matrices matrixMult.

### 10. Organization and documentation

Being an important societal, cultural and economic activity, programming needs a certain form of organization to be successful. As for coding style, beginners tend to underestimate the effort that should be put into code and project organization and documentation: unfortunately many of us have to go to the experience of reading his or her own code and not have any clue what this is all about.

Documenting, or more generally explaining, program code is not an easy task. We have to find the right balance between providing context and necessary information and boringly stating the obvious. Let's have a look at the two following lines:

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The first isn't good, because it uses magic constants, a function name that doesn't tell what is going on and a variable name that bares not much meaning, at least to me. The smiley comment indicates that the programmer had fun when writing this, but is not very helpful to the casual reader or maintainer.

In the second line, the comment is just superfluous and states just what any even not so experienced programmer knows about the ++ operator.

Compare this to

```
1  /* 33 and 28 are suitable because they are coprime. */
2  u = nextApprox(u, i, 33, 28);
/* Theorem 3 ensures that we may move to the next step. */
4  ++i;
```

Here we may deduce a lot more. With that, I'd expect u to be a floating point value, probably **double**, that is subject to an approximation procedure. That procedure runs in steps, indexed by i, and needs some additional arguments that are subject to a primality condition.

Generally we have the <u>what</u>, <u>what for</u>, <u>how</u> and <u>why</u> rules, by order of their importance.

Rule 2.10.0.11 (what) Function interfaces describe what is done.

Rule 2.10.0.12 (what for) Interface comments document the purpose of a function.

Rule 2.10.0.13 (how) Function code tells how things are done.

Rule 2.10.0.14 (why) Code comments explain why things are done as they are.

In fact, if you think of a larger library project that is used by others, you'd expect that all users will read the interface specification (e.g. in the synopsis part of a man page), most of them will read the explanation about these interfaces (the rest of the man page). Much less of them will look into the source code and read up  $\underline{\text{how}}$  or  $\underline{\text{why}}$  a particular interface implementation does things the way it does them.

A first consequence of these rules is that code structure and documentation go hand in hand. Especially the distinction between interface specification and implementation is important.

Rule 2.10.0.15 *Separate interface and implementation.* 

This rule is reflected in the use of two different kinds of C source files, <u>header files</u> $^{C}$ , usually ending with ".h" and **translation units** $^{C}$ , ".c".

Syntactical comments have two distinct roles in those two kinds of source files that should well be separated.

Rule 2.10.0.16 *Document the interface – Explain the implementation.* 

**10.1. Interface documentation.** Other than more recent languages such as Java or perl, C has no "builtin" documentation standard. But in recent years a cross platform public domain tool has been widely adopted in many projects, doxygen<sup>4</sup>. It can be used to automatically produce web pages, pdf manuals, dependency graphs and a lot more. But even if you don't use doxygen or another equivalent tool, you should use its syntax to document interfaces.

Rule 2.10.1.1 Document interfaces thoroughly.

Doxygen has a lot of categories that help for that, a extended discussion goes far beyond the scope of this book. Just consider the following example:

```
heron_k.h
116
117
        Obrief use the Heron process to approximate @a a to the
        power of `1/k
118
119
120
        Or in other words this computes the @f$k^{th}@f$ root of @a a
121
     ** As a special feature, if @a k is `-1` it computes the
122
     ** multiplicative inverse of @a a.
123
124
     ** @param a must be greater than `0.0`
125
     ** @param k should not be `0` and otherwise be between
126
        `DBL_MIN_EXP*FLT_RDXRDX` and
127
     ** `DBL_MAX_EXP*FLT_RDXRDX`.
128
129
     ** @see FLT_RDXRDX
130
131
    double heron(double a, signed k);
```

Doxygen produces online documentation for that function that looks similar to Fig. 1 and also is able to produce formatted text that we can include in this book:

```
heron k.h
heron: use the Heron process to approximate a to the power of 1/k
Or in other words this computes the k^{th} root of a. As a special feature, if k is -1 it
computes the multiplicative inverse of a.
Parameters:
                       must be greater than 0.0
                       should not
                                       be
                                             0
                                                 and
                                                         otherwise
                                                                     be
                                                                           he-
                       tween
                                     DBL MIN EXP*FLT RDXRDX
                                                                          and
                       DBL_MAX_EXP*FLT_RDXRDX.
See also: FLT_RDXRDX
 double heron (double a, signed k);
```

<sup>&</sup>lt;sup>4</sup>http://www.stack.nl/ dimitri/doxygen/

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```
heron_k.h

FLT_RDXRDX: the radix base 2 of FLT_RADIX

This is needed internally for some of the code below.

# define FLT_RDXRDX something
```

As you have probably guessed, words starting with "@" have a special meaning for doxygen, they start its keywords. Here we have "@param", "@a" and "@brief". The first documents a function parameter, the second refers to such a parameter in the rest of the documentation and the last provides a brief synopsis for the function.

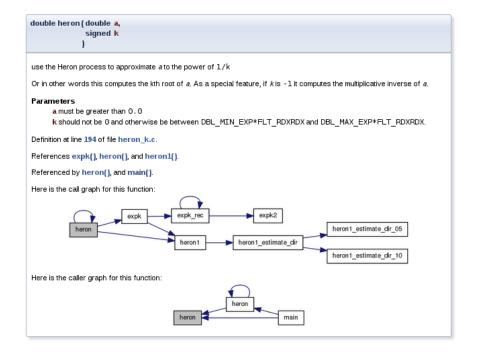


FIGURE 1. Documentation produced by doxygen

Additionally, we see that there is some "markup" capacity for in comment code, and also that doxygen was able to identify the place in compilation unit "heron\_k.c" that defines the function and the call graph of the different functions involved in the implementation

To make up for a good "project" organization, it is important that users of your code easily find connected pieces and don't have to search all over the place.

Rule 2.10.1.2 Structure your code in units that have strong semantic connections.

Most often that is simply done by grouping all functions that treat a specific data type in one header file. A typical header file "brian.h" for struct brian would be like

```
#ifndef BRIAN_H
define BRIAN_H 1
#include <time.h>
/** @file
```

```
** @brief Following Brian the Jay
 7
 8
 9
    typedef struct brian brian;
10
    enum chap { sct, en, };
11
    typedef enum chap chap;
12
13
    struct brian {
14
      struct timespec ts; /**< point in time */</pre>
      unsigned counter;  /**< wealth
chap masterof;  /**< occupation</pre>
15
16
17
    };
18
19
20
    ** @brief get the data for the next point in time
21
22
    brian brian_next(brian);
23
24
    #endif
25
```

That file comprises all the interfaces that are necessary to use that **struct**. It also includes other header files that might be needed to compile these interfaces and protect against multiple inclusion with **include guards** $^{C}$ , here the macro BRIAN\_H.

**10.2. Implementation.** If you read code that is written by good programmers (and you should do that often!), you'll notice that it is often scarcely commented. Nevertheless it may end up to be quite readable, provided that the reader has basic knowledge of the C language. Good programming only needs to explain the ideas and prerequisites that are <u>not</u> obvious, the difficult part. Through the structure of the code, it shows what it does and how.

## Rule 2.10.2.1 *Implement literally*.

A C program text is a descriptive text about what is to be done. The rules for naming entities that we introduced above play a crucial role to make that descriptive text readable and clear. The other is an obvious flow of control through visually clearly distinctive structuring in {}-blocks that are linked together with comprehensive control statements.

Rule 2.10.2.2 Control flow must be obvious.

There are many possibilities to obfuscate control flow. The most important are

**burried jumps:** These are **break**, **continue**, **return** or **goto**<sup>5</sup> statements that are burried in a complicated nested structure of **if** or **switch** statements, eventually combined with loop structures.

**flyspeck expressions:** These are controlling expressions that combine a lot of operators in an unusual way (e.g. !!++\*p-- or a --> 0) such that they must be flyspecked with a looking glass to understand where the control flow goes from here.

10.3. Macros. We already happen to know one tools that can be very much abused to obfuscate control flow, macros. As you hopefully remember from Section 5.4.3 and 8, macros define textual replacements that can contain almost any C text. Because of the problems that we illustrate in the following, many projects ban macros completely. This is not the direction the evolution of the C standard goes, though. As we have seen, e.g.

<sup>&</sup>lt;sup>5</sup>These will be discussed in Sections 13.2.2 and 15.1.

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type-generic macros are <u>the</u> modern interface to mathematical functions (see 8.1), macros should be used for initialization constants (5.4.3) or are used to implement some compiler magic (errno p. 8).

So instead of denying it, we should try to tame the beast and setup some simple rules, that confine the possible damage.

Rule 2.10.3.1 *Macros should shouldn't change control flow in a surprising way.* 

Notorious examples that pop up in discussion with beginners from time to time are things like:

```
#define begin {
#define end }
#define forever for (;;)
#define ERRORCHECK(CODE) if (CODE) return -1

forever
begin
// do something
ERRORCHECK(x);
end
```

Don't do that. The visual habits of C programmers and of our tools don't easily work with that, and if you use such things in complicated code, it will almost certainly go wrong.

Here the ERRORCHECK macro is particularly dangerous. Its name doesn't suggest that a non-local jump such as a **return** might be hidden in there. And its implementation is even more dangerous. Consider the following two lines:

```
1 if (a) ERRORCHECK(x);
2 else puts("a_is_0!");
```

These lines are rewritten as

```
1 if (a) if (x) return -1;
2 else puts("a_is_0!");
```

The else-clause (so-called <u>dangling else</u> $^{C}$ ) is attached to the innermost if, which we don't see. So this is equivalent to

```
1    if (a) {
2        if (x) return -1;
3        else puts("a_is_0!");
4    }
```

probably quite surprising for the casual reader.

This doesn't mean that control structures shouldn't be used in macros at all. They should just not be hidden and have no surprising effects.

This macro by itself is probably not as obvious, but its use has no surprises.

```
1 if (a) ERROR_RETURN(x);
2 else puts("a_is_0!");
```

The name of the macro makes it explicit that there might be a **return**. The dangling **else** problem is handled because the replaced text

```
1     if (a) do {
2         if (CODE) return -1;
3     } while (false);
4     else puts("a_is_0!");
```

structures the code as expected: the else is associated to the first if.

The do-while (false) -trick is obviously ugly, and you shouldn't abuse it. But is a standard trick to surround one or several statements with a {}-block without changing the block structure that is visible to the naked eye. Its intent is to fulfill

Rule 2.10.3.2 Function like macros should syntactically behave like function calls.

Possible pitfalls are:

if without else: As we already have demonstrated above.

**trailing semicolon:** These can terminate an external control structure in a surprising way. **comma operator:** The comma is an ambiguous fellow in C. In most contexts it is used as a list separator, *e.g.* for function calls, enumerator declarations or initializers. In the context of expressions it is a control operator. Avoid it.

**continuable expressions:** Expressions that will bind to operators in an unexpected way when put into a non-trivial context. [Exs 6] In the replacement text, put parentheses around parameters and expressions.

**multiple evaluation:** Macros are textual replacements. If a macro parameter is used twice (or more) its effects are done twice. [Exs 7]

**10.4. Pure functions.** Functions in C such as size\_min (Section 4.4) or gcd (Section 7.3) that we declared ourselves have a limit in terms of what we are able to express: they don't operate on objects but on values. In a sense they are extensions of the value operators in Table 2 and not of the object operators of Table 3.

Rule 2.10.4.1 Function parameters are passed by value.

That is, when we call a function all parameters are evaluated and the parameters, variables that are local to the function, receive the resulting values as initialization. The function then does whatever it has to do and then sends back the result of its computation through the return value.

For the moment, the only possibility that we have for two functions to manipulate the same <u>object</u> is to declare an object such that the declaration is visible to both functions. Such <u>global variables</u> $^{C}$  have a lot of disadvantages: they make code inflexible (the object to operate on is fixed), are difficult to predict (the places of modification are scattered all over) and difficult to maintain.

Rule 2.10.4.2 Global variables are frowned upon.

A function with the following two properties is called **pure** $^{C}$ :

- The function has no other effects than returning a value.
- The function return value only depends on its parameters.

<sup>[</sup>Exs 6] Consider a macro sum (a, b) that is implemented as a+b. What is the result of sum (5, 2)  $\star$ 7? [Exs 7] Let max (a, b) be implemented as ((a) < (b)? (b): (a)). What happens for max (i++, 5)?

The only "interesting" about the execution of a pure function is its result, and that result only depends on the arguments that are passed. From the point of view of optimization, pure functions can such be moved around or even executed in parallel to other tasks. Execution can start at any point when its parameters are available and must be finished before the result is used.

Effects that would disqualify a function from being pure would be all those that change the abstract state machine other than by providing the return value. *E.g.* 

- The functions reads part of the program's changeable state by other means than through its arguments.
- The functions modifies a global object.
- The function keeps a persistent internal state between calls<sup>8</sup>
- The function does IO<sup>9</sup>

Pure functions are a very good model for functions that perform small tasks, but they are pretty limited once we have to perform more complex ones. On the other hand, optimizers <u>love</u> pure functions, since their impact on the program state can simply be described by their parameters and return value. The influence on the abstract state machine that a pure function can have is very local and easy to describe.

Rule 2.10.4.3 Express small tasks as pure functions whenever possible.

With pure functions we can be surprisingly far, even for some object-oriented programming style, if, for a first approach we are willing to accept a little bit of copying data around. Consider the structure type rat in Listing 2.1 that is supposed to be used for rational arithmetic.

Let us look into those functions that are named rat\_get\_xxxx, to emphasize on the fact the they return a value of type rat. The others, working with pointers to rat will used later on in Section 11.3.

This is a straightforward implementation of such a type, nothing that you should use as a library outside the scope of this learning experience. For simplicity it has numerator and denominator of identical type (size\_t) and keeps track of the sign of the number in field .sign. We also include the file "euclid.h" to have access to the gcd function that we described earlier.

With that we can define functions that do maintenance. The main idea is that such rational numbers should always be normalized. Not only is this easier to capture by humans, also it might avoid overflows while doing arithmetic.

Using these, we may define functions that are supposed to be used by others, namely rat\_get\_prod and rat\_get\_sum.

As you can see from all this, the fact that these are all pure functions ensures that they can easily used, even in our own implementation, here. The only thing that we have to watch is to always assign the return values of our functions to some variable, such as in Line 38. Otherwise, since we don't operate on the object  $\times$  but only on its value, changes during the function would be lost. [Exs 10] [Exs 11]

As mentioned earlier, because of the repeated copies this may result in compiled code that is not as efficient as it could. But this is not dramatic at all: the overhead by the copy operation can already be kept relatively low by good compilers. With optimization

<sup>&</sup>lt;sup>8</sup>Persistent state between calls to the same function can be established with local **static** variables, we will see this concept only in Section 13.2.

<sup>&</sup>lt;sup>9</sup>Such an IO would *e.g.* occur by using **printf**.

<sup>[</sup>Exs 10] The function rat\_get\_prod can produce intermediate values that may have it produce wrong results, even if the mathematical result of the multiplication is representable in rat. How is that?

<sup>[</sup>Exs 11] Reimplement the rat\_get\_prod function such that it produces a correct result all times that the mathematical result value is representable in a rat. This can be done with two calls to rat\_get\_normal instead of one.

LISTING 2.1. A type for computation with rational numbers.

```
#ifndef RATIONALS_H
 1
2
   # define RATIONALS_H 1
3
     include <stdbool.h>
   # include "euclid.h"
 5
6
   typedef struct rat rat;
8
   struct rat {
9
      bool sign;
10
      size_t num;
11
      size_t denom;
12
   };
13
14
   /* Functions that return a value of type rat. */
15
   rat rat_get(long long num, unsigned long long denom);
   rat rat_get_normal(rat x);
16
17
   rat rat_get_extended(rat x, size_t f);
18
   rat rat_get_prod(rat x, rat y);
19
   rat rat_get_sum(rat x, rat y);
20
21
22
   /* Functions that operate on pointers to rat. */
23
   void rat_destroy(rat * rp);
24
   rat * rat_init(rat * rp,
25
                  long long num,
26
                  unsigned long long denom);
27
   rat * rat_normalize(rat * rp);
28
   rat * rat_extend(rat * rp, size_t f);
   rat * rat_sumup(rat * rp, rat y);
30
   rat * rat_rma(rat * rp, rat x, rat y);
31
32
   #endif
```

```
rationals.c
3
   rat rat_get(long long num, unsigned long long denom) {
4
     rat ret = {
5
       .sign = (num < 0),
6
        .num = (num < 0) ? -num : num,
7
        .denom = denom,
8
     };
9
      return ret;
10
```

switched on, they usually can operate directly on the structure in place, as it is returned from such a function. Then such worries could be completely premature, because your program is short and sweet anyhow, or because the real performance problems of it lay elsewhere. Usually this should be completely sufficient for the level of programming skills that we have reach so far. Later (**put ref here**) we will learn how to use that strategy efficiently by using so-called **inline** functions or <u>link time optimization</u> that many modern tool chains provide.

```
rationals.c

12     rat rat_get_normal(rat x) {

13         size_t c = gcd(x.num, x.denom);

14         x.num /= c;

15         x.denom /= c;

16         return x;

17     }
```

```
rationals.c
25
   rat rat_get_prod(rat x, rat y) {
26
     rat ret = {
27
        .sign = (x.sign != y.sign),
28
        .num = x.num * y.num,
29
        .denom = x.denom * y.denom,
30
     };
31
      return rat_get_normal(ret);
32
```

```
rationals.c
   rat rat_get_sum(rat x, rat y) {
34
     size_t c = gcd(x.denom, y.denom);
35
36
      size_t ax = y.denom/c;
37
      size_t bx = x.denom/c;
38
     x = rat\_get\_extended(x, ax);
39
     y = rat_get_extended(y, bx);
40
      assert (x.denom == y.denom);
41
42
      if (x.sign == y.sign) {
43
       x.num += y.num;
44
      } else if (x.num > y.num) {
       x.num -= y.num;
45
46
      } else {
47
        x.num = y.num - x.num;
48
        x.sign = !x.sign;
49
50
      return rat_get_normal(x);
51
```

## 11. Pointers

Pointers are the first real hurdle to a deeper understanding of C. They are used in contexts where we have to be able to access objects from different points in the code, or where

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data is structured dynamically on the fly. The confusion of inexperienced programmers between pointers and arrays is notorious, so be warned that you might encounter difficulties in getting the terms correct.

On the other hand, pointers are one of the most important features of C. They are a big plus to help us in abstracting from the bits and odds of a particular platform and enable us to write portable code. So please, equip yourself with patience when you work through this section, it is crucial for the understanding of most of the rest of this book.

**11.1. Address-of and object-of operators.** If we have to perform tasks that can't be expressed with pure functions, things get more involved. We have to poke around in objects that are not locally defined in a function and need a suitable abstraction for doing

Let us take the task double\_swap of swapping the contents of two double objects do and d1. The unary  $\underline{address\text{-of}}^C$  operator  $\underline{\alpha}$  allows us to refer to an object through its  $address^C$ . A call to our function could look as

```
double_swap(&d0, &d1);
```

The type that the address-of operator returns is a **pointer type** $^{C}$ , marked with a  $\star$ . In

```
void double_swap(double* p0, double* p1) {

double tmp = *p0;

*p0 = *p1;

*p1 = tmp;
}
```

the function definition pointers p0 and p1 hold the addresses of the objects on which the function is supposed to operate. Inside we use the unary <u>object-of</u><sup>C</sup> operator  $\underline{*}$  to access the two objects: \*p0 then is the object corresponding to the first argument, with the call above that would be d0, and similarly \*p1 is the object d1.

In fact for the specification of the function double\_swap we wouldn't even need the pointer notation. In the notation that we used so far it can equally be written as:

```
void double_swap(double p0[static 1], double p1[static 1]) {
    double tmp = p0[0];
    p0[0] = p1[0];
    p1[0] = tmp;
}
```

Both, the use of array notation for the interface and the use of [0] to access the first element are simple rewrite operations that are built into the C language.

Remember from Section 6.2 that other than holding a valid address pointers may also be null or indeterminate.

Rule 2.11.1.1 *Using \* with an indeterminate or null pointer has undefined behavior.* 

In practice, both cases will usually behave differently. The first might access some random object in memory and modify it. Often this leads to bugs that are difficult to trace. The second, null, will nicely crash your program. Consider this to be a feature.

**11.2. Pointer arithmetic.** We already have seen that a valid pointer holds the address of an object of its base type, but actually C is always willing to assume more:

Rule 2.11.2.1 A valid pointer addresses the first element of an array of the base type.

Simple additive arithmetic allows to access the following elements of this array. The following functions are equivalent:

```
double sum0(size_t len, double const* a) {
    double ret = 0.0;
    for (size_t i = 0; i < len; ++i) {
        ret += *(a + i);
    }
    return ret;
}</pre>
```

```
double sum1(size_t len, double const* a) {
   double ret = 0.0;
   for (double const* p = a; p < a+len; ++p) {
     ret += *p;
   }
   return ret;
}</pre>
```

```
double sum2(size_t len, double const* a) {
   double ret = 0.0;
   for (double const*const aStop = a+len; a < aStop; ++a) {
     ret += *a;
   }
   return ret;
}</pre>
```

These functions can then be called analogously to the following:

```
double A[7] = { 0, 1, 2, 3, 4, 5, 6, };

double s0_7 = sum0(7, &A[0]);  // for the whole

double s1_6 = sum0(6, &A[1]);  // for last 6

double s2_3 = sum0(3, &A[2]);  // for 3 in the middle
```

Unfortunately there is no way to know the length of the array that is hidden behind a pointer, therefore we have to pass it as a parameter into the function. The trick with **sizeof** as we have seen in Section 6.1.3 doesn't work.

Rule 2.11.2.2 The length an array object cannot be reconstructed from a pointer.

So here we see a first important difference to arrays.

```
Rule 2.11.2.3 Pointers are not arrays.
```

So if we pass arrays through pointers to a function, it is important to retain the real length that the array has. This is why we prefer the array notation for pointer interfaces throughout this book:

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```
double sum0(size_t len, double const a[len]);
double sum1(size_t len, double const a[len]);
double sum2(size_t len, double const a[len]);
```

These specify exactly the same interfaces as above, but clarify to the casual reader of the code that a is expected to have len elements.

Another difference is that pointers have value and that that value can change. Thus they can be used by value operators as operands. This value is either the address of a valid object to which they are pointing or null, as we have already seen in Section 6.2.

Setting pointers to 0 if it hasn't some valid address is very important and should not be forgotten. It helps to check and keep track if a pointer has been set.

Rule 2.11.2.4 *Pointers have truth.* 

In application of Rule 1.3.1.3, you often will see code like

```
char const* name = 0;

// do something that eventually sets name

if (name) {
    printf("today's_name_is_%s\n", name);
} else {
    printf("today_we_are_anonymous\n");
}
```

A pointer must not only be set to an object (or null), such an object also must have the correct type.

Rule 2.11.2.5 A pointed-to object must be of the indicated type.

As a direct consequence, a pointer that points beyond array bounds must not be dereferenced.

```
double A[2] = { 0.0, 1.0, };
double * p = &A[0];
printf("element_%g\n", *p); // referencing object
++p; // valid pointer
printf("element_%g\n", *p); // referencing object
++p; // valid pointer, no object
printf("element_%g\n", *p); // referencing non-object
// undefined behavior
```

Here, in the last line p has a value that is beyond the bounds of the array. Even if this might be the address of a valid object, we don't know anything about the object it is pointing to. So p is invalid at that point and accessing it as a type of **double** has no defined behavior.

In the above example, the pointer arithmetic itself is correct, as long as we don't access the object in the last line. The valid values of pointers are all addresses of array elements and the address beyond the array. Otherwise **for**-loops with pointer arithmetic as above wouldn't work reliably.

Rule 2.11.2.6 A pointer must point to a valid object, one position beyond a valid object or be null.

So the example only worked up to the last line because the last ++p left the pointer value just one element after the array. This version of the example still follows a similar pattern as the one before:

Whereas this last example may already crash at the increment operation:

Pointer arithmetic as we discussed so far concerned operations between a pointer and an integer. There is also an inverse operation, **pointer difference** $^{C}$ , that takes to pointers and evaluates to some integer value. It is only allowed if the two pointers refer to elements of the same array object:

Rule 2.11.2.7 Only subtract pointers to elements of an array object.

The value of such a difference then is simply the difference of the indices of the corresponding array elements:

```
1 double A[4] = { 0.0, 1.0, 2.0, -3.0, };
2 double * p = &A[1];
3 double * q = &A[3];
4 assert (p-q == -2);
```

We already have stressed the fact that the correct type for sizes of objects is <code>size\_t</code>, an unsigned type that on many platforms is different from <code>unsigned</code>. This has its correspondence in the type of a pointer difference: in general we cannot assume that a simple <code>int</code> is wide enough to hold the possible values. Therefore the standard header <code>stddef.h</code> provides us with another type. On most architectures it will just be the signed integer type that corresponds to <code>size\_t</code>, but we shouldn't care much.

Rule 2.11.2.8 All pointer differences have type ptrdiff\_t.

Rule 2.11.2.9 Use ptrdiff\_t to encode signed differences of positions or sizes.

11.3. Pointers and structs. Pointers to structure types are crucial for most coding in C, so some specific rules and tools have been put in place to ease this typical usage. Let us *e.g.* consider the task of normalizing a **struct timespec** as we have encountered it previously. The use of a pointer parameter in the following function allows us to manipulate the object that we are interested directly:

```
timespec.c

void timespec_normalize(struct timespec * a) {

long const giga = 1000000000L;

if (a->tv_nsec >= giga) {
    a->tv_sec += a->tv_nsec / giga;
    a->tv_nsec = a->tv_nsec % giga;
} else if (a->tv_nsec < 0) {
    long sec = -(a->tv_nsec) / giga;
```

#include <stddef.h>

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```
19     long nsec = -(a->tv_nsec) % giga;
20     a->tv_sec -= (sec+1);
21     a->tv_nsec = giga - nsec;
22   }
```

Here we use a new operator,  $\rightarrow$ , that we had not previously introduced. It symbol is meant to represent a pointer as left operand that "points" to a field of the underlying **struct** as right operand. It is equivalent to a combination of " $\star$ " and ".", namely a->tv\_sec is nothing but ( $\star$ a) .tv\_sec.

As another example, reconsider the type rat for rational numbers that we introduced in Section 10.4. The functions operating on pointers to that type of Listing 2.1 could be realized as follows.

```
rationals.c

95     void rat_destroy(rat * rp) {
        if (rp) *rp = (rat) { 0 };
        }
```

```
rat * rat_init(rat * rp,
long long num,
unsigned long long denom) {
    if (rp) *rp = rat_get(num, denom);
    return rp;
}
```

```
rationals.c

106
rat * rat_normalize(rat * rp) {
    if (rp) *rp = rat_get_normal(*rp);
    return rp;
109
}
```

```
rationals.c

111
rat * rat_extend(rat * rp, size_t f) {
    if (rp) *rp = rat_get_extended(*rp, f);
    return rp;
114
}
```

These first four functions are straight forward wrappers for the analogous functions that used copies instead of pointing to the object in question. The only pointer operations the use is to test validity and then, if the pointer is valid, to refer to the object in question. So these functions can be safely used, even if the pointer argument is null.

All four return their pointer argument. This is a convenient strategy to compose such functions, as we can see in the definitions of the two following arithmetic functions.

```
rationals.c
116
    rat * rat_sumup(rat * rp, rat y) {
117
       size_t c = gcd(rp->denom, y.denom);
118
       size_t ax = y.denom/c;
119
       size_t bx = rp->denom/c;
120
      rat_extend(rp, ax);
121
      y = rat_get_extended(y, bx);
122
       assert (rp->denom == y.denom);
123
124
       if (rp->sign == y.sign) {
125
         rp->num += y.num;
       } else if (rp->num > y.num) {
126
127
         rp->num -= y.num;
128
       } else {
129
         rp->num = y.num - rp->num;
         rp->sign = !rp->sign;
130
131
132
       return rat_normalize(rp);
133
```

```
rationals.c

135

rat * rat_rma(rat * rp, rat x, rat y) {

return rat_sumup(rp, rat_get_prod(x, y));

137
}
```

In particular the last, "rational multiply add", comprehensively shows its purpose, to add the product of the two other function arguments to the object referred by  ${\tt rp.}^{[Exs~12]}$ 

11.4. Opaque structures. Another special rule applies to pointers to structure types: they can be used even if the structure type itself is unknown. Such <u>opaque structures</u> $^{C}$  are often used to strictly separate the interface of some library and its implementation. E.g. a fictive type toto could just be presented in an include file as follows:

```
/* forward declaration of struct toto */
struct toto;
struct toto * toto_get(void);
void toto_destroy(struct toto *);
void toto_doit(struct toto *, unsigned);
```

Both, the programmer and the compiler, wouldn't need more than that to use the type **struct** toto. The function toto\_get could be used to obtain a pointer to an object of type **struct** toto, regardless how it might have been defined in the compilation unit that defines the functions. And the compiler gets away with it because he knows that all pointers to structures have the same representation, regardless of the specific definition of the underlying type.

Often such interfaces use the fact that null pointers are special. In the above example toto\_doit(0, 42) could be a valid use case. This is why many C programmers don't like it if pointers are hidden inside **typedef**.

```
/* forward declaration of struct toto_s and user type toto */
typedef struct toto_s * toto;
toto toto_get(void);
void toto_destroy(toto);
void toto_doit(toto, unsigned);
```

[Exs 12] Write a function rat \* rat\_dotproduct(rat \* rp, size\_t n, rat A[n], rat B[n]); that computes  $\sum_{i=0}^{n-1} A[i] * B[i]$  and returns that value in \*rp.

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This is valid C, but hides the fact that 0 is a special value that toto\_doit may receive.

Rule 2.11.4.1 Don't hide pointers in a typedef.

This is not the same as just introducing a **typedef** name for the **struct** as we have done before.

```
/* forward declaration of struct toto and typedef toto */
typedef struct toto toto;
toto * toto_get(void);
void toto_destroy(toto *);
void toto_doit(toto *, unsigned);
```

Here, the fact that the interface receive a pointer is still sufficiently visible.

**11.5. Array and pointer access are the same.** Now we are able to attact the major hurdle to understand the relationship between arrays and pointers.

Rule 2.11.5.1 The two expressions A[i] and \*(A+i) are equivalent.

This holds regardless whether A is an array or a pointer.

If it is a pointer we already understand the second expression. Here, Rule 2.11.5.1 just says that we may write the same expression as A[i]. Applying this notion of array access to pointers should just improve the readability of your code. The equivalence does not mean, that all of the sudden an array object appears where there was none. If A is null, A[i] should crash as nicely as should  $\star$  (A+i).

If A is an array,  $\star$  (A+i) shows our first application of one of the most important rules in C, called **array-to-pointer decay**<sup>C</sup>:

Rule 2.11.5.2 *Evaluation of an array* A *returns* &A[0].

In fact, this is the reason behind Rules 1.6.1.3 to 1.6.1.5. Whenever an array occurs that requires a value, it decays to a pointer and we loose all additional information.

**11.6. Array and pointer parameters are the same.** As a consequence of Rule 2.11.5.2, arrays can't be function arguments. There would be no way to call such a function with an array parameter; before any call to the function an array that we feed into it would decay into a pointer, and thus the argument type wouldn't match.

But we have seen declarations of functions with array parameters, so how did that work? The trick C gets away with it is to rewrite array parameters to pointers.

Rule 2.11.6.1 In a function declaration any array parameters rewrites to a pointer.

Think of this and what it means for a while, understanding of that "chief feature" (or character flaw) is central for coding in C at ease.

To come back to our examples from Section 6.1.5 the functions that were written with array parameters could be declared

```
size_t strlen(char const* s);
char* strcpy(char* target, char const* source);
signed strcmp(char const* s0, char const* s1);
```

These are completely equivalent and any C compiler should be able to use both forms interchangeably.

Which one to use is a question of habits, culture or other social context. The rule that we follow in this book to use array notation if we suppose that this can't be null, and pointer notation if it corresponds to one single item of the base type that also can be null to indicate a special condition.

If semantically a parameter is an array, we also note what size we expect the array to be, if this is possible. And to make it possible, it is usually better to specify the length before the arrays/pointers. An interface as tells a whole story. This becomes even more

interesting if we handle two-dimensional arrays. A typical matrix multiplication could look as follows:

```
void matrix_mult(size_t n, size_t k, size_t m,
2
                     double C[n][m],
3
                     double A[n][k],
4
                     double B[k][m]) {
      for (size_t i = 0; i < n; ++i) {
5
6
         for (size_t j = 0; j < m; ++j) {
7
           C[i][j] = 0.0;
8
           for (size_t = 0; 1 < k; ++1) {
9
             C[i][j] += A[i][l]*B[l][j];
10
11
12
13
```

The prototype is equivalent to the less readable

```
void matrix_mult(size_t n, size_t k, size_t m,

double (C[n])[m],

double (A[n])[k],

double (B[k])[m]);
```

and

Observe that once we have rewritten the innermost dimension as a pointer, the parameter type is not an array anymore, but a "pointer to array". So there is no need to rewrite the subsequent dimensions.

Rule 2.11.6.2 *Only the innermost dimension of an array parameter is rewritten.* 

So finally we have gained a lot by using the array notation. We have, without problems passed pointers to VLA into the function. Inside the function we could use straight forward indexing to access the elements of the matrices. Not much acrobatics were required to keep track of the array lengths:

Rule 2.11.6.3 Declare length parameters before array parameters.

They simply have to be known at the point where you use them first.

**11.7. Null pointers.** Some of you may have wondered that through all this discussion about pointers the macro **NULL** has not yet been used. The reason is that unfortunately the simple concept of a "generic pointer of value 0" didn't succeed very well.

C has the concept of a  $\underline{\bf null\ pointer}^C$  that corresponds to a 0 value of any pointer type.  $^{13}$  Here

```
double const*const nix = 0;
double const*const nax = nix;
```

"nix" and "nax" would be such a pointer object of value 0. But unfortunately a <u>null</u> pointer constant  $^{C}$  is then not what you'd expect.

First, here by <u>constant</u> the term refers to a compile time constant and not to a <u>const-qualified</u> object. So for that reason already, both pointer objects above <u>are not</u> null pointer constants. Second the permissible type for these constants is restricted, it may be any constant expression of integer type or of type **void**\*. Other pointer types are not permitted, and we will only learn about pointers of that "type" below in Section 12.4.

The definition by the C standard of what could be the expansion of the macro **NULL** is quite loose, it just has to be a null pointer constant. Therefore a C compiler could chose any of the following for it:

expansion	type
0U	unsigned
0	signed
'\0'	
enumeration constant of value 0	
OUL	unsigned long
OL	signed long
OULL	unsigned long long
OLL	signed long
(void*)0	void *

Commonly used values are 0, 0L and (void\*) 0.14

Here it is important that the type behind **NULL** is not prescribed by the C standard. Often people use it to emphasize that they talk about a pointer constant, which it simply isn't on many platforms. Using **NULL** in context that we don't master completely is even dangerous. This will in particular appear in the context of functions with variable number of arguments that will be discussed in Section **??**. For the moment we go for the simplest solution:

```
Rule 2.11.7.1 Don't use NULL.
```

**NULL** hides more than it clarifies, either use 0, or if you really want to emphasize that the value is a pointer use the magic token sequence (**void**\*) 0 directly.

## 12. The C memory model

The operators that we have introduced above provide us with an abstraction, the C memory model. We may apply unary operator & to (almost) all objects<sup>15</sup> to retrieve their

<sup>&</sup>lt;sup>13</sup>Note the different capitalization of "null" versus **NULL**.

<sup>&</sup>lt;sup>14</sup>In theory, there are even more possible expansions for **NULL**, such as  $((\mathbf{char}) + 0)$  or  $((\mathbf{short}) - 0)$ .

<sup>&</sup>lt;sup>15</sup>Only objects that are declared with keyword **register** don't have an address, see Section ?? on Level 2

address. Seen from C, no distinction of the "real" location of an object is made. It could reside in your computers RAM, in a disk file or correspond to an IO port of some temperature sensor on the moon, you shouldn't care. C is supposed to do right thing, regardless.

The only thing that C must care about is the <u>type</u> of the object which a pointer addresses. Each pointer type is derived from another type, its base type, and each such derived type is a distinct new type.

Rule 2.12.0.2 *Pointer types with distinct base types are distinct.* 

**12.1.** A uniform memory model. Still, even though generally all objects are typed, the memory model makes another simplification, namely that all objects are an assemblage of <a href="bytes">bytes</a>. The sizeof operator that we already introduced in the context of arrays measures the size of an object in terms of the bytes that it uses. There are three distinct types that by definition use exactly one byte of memory: the character types char, unsigned char and signed char.

```
Rule 2.12.1.1 sizeof (char) is 1 by definition.
```

Not only can all objects be "accounted" in size as character typed on a lower level they can even be inspected and manipulated as if they were arrays of such character types. Below, we will see how this can be achieved, but for them moment we just note

Rule 2.12.1.2 Every object A can be viewed as unsigned char [sizeof A].

Rule 2.12.1.3 *Pointers to character types are special.* 

Unfortunately, the types that are used to "compose" all other object types is derived from **char**, the type that we already have seen for the characters of strings. This is merely an historical accident and you shouldn't read too much into it. In particular, you should clearly distinguish the two different use cases.

Rule 2.12.1.4 Use the type char for character and string data.

Rule 2.12.1.5 *Use the type* **unsigned char** *as the atom of all object types.* 

The type **signed char** is of much less importance than the two others.

From Rule 2.12.1.1 we also see another property of the **sizeof** operator, it also applies to object <u>types</u> if they are enclosed in (). This variant returns the value that an object of corresponding type would have.

Rule 2.12.1.6 The sizeof operator can be applied to objects and object types.

**12.2. Unions.** Let us now look into a way to look at the individual bytes of objects. Our preferred tool for this are **union**'s. These are similar in declaration to **struct** but have different semantic.

```
#include <inttypes.h>

typedef union unsignedInspect unsignedInspect;
union unsignedInspect {
   unsigned val;
   unsigned char bytes[sizeof(unsigned)];
};
unsignedInspect twofold = { .val = 0xAABBCCDD, };
```



The difference here is that such a **union** doesn't collect objects of different type into one bigger object, but it <u>overlays</u> an object with different type interpretation. By that it is the perfect tool to inspect the individual bytes of an object of another type.

Let us first try to figure out what values we would expect for the individual bytes. In a slight abuse of language let us speak of the different parts of an unsigned number that correspond to the bytes as representation digits. Since we view the bytes as being of type unsigned char they can have values  $0 \dots \text{UCHAR\_MAX}$ , including, and thus we interpret the number as written within a base of UCHAR\\_MAX+1. In the example, on my machine, a value of type unsigned can be expressed with sizeof (unsigned) == 4 such representation digits, and I chose the values  $0 \times \text{AA}$ ,  $0 \times \text{BB}$ ,  $0 \times \text{CC}$  and  $0 \times \text{DD}$  for the highest to lowest order representation digit. The complete unsigned value can be computed by the following expression, where CHAR BIT is the number of bits in a character type.

```
1 ((0xAA << (CHAR_BIT*3))
2 | (0xBB << (CHAR_BIT*2))
3 | (0xCC << CHAR_BIT)
4 | 0xDD)
```

With the union defined above, we have two different facets to look at the same object twofold: twofold.val presents it as being an unsigned, twofold.bytes presents it as array of unsigned char. Since we chose the length of twofold.bytes to be exactly the size of twofold.val it represents exactly its bytes, and thus gives us a way to inspect the in memory representation C of an unsigned value, namely all its representation digits.

```
printf("value_is_0x%.08X\n", twofold.val);

for (size_t i = 0; i < sizeof twofold.bytes; ++i)

printf("byte[%zu]:_0x%.02hhX\n", i, twofold.bytes[i]);
```

On my own computer, I receive a result as indicated below: 16

```
Terminal

o ~/build/modernC% ./code/endianess

value is 0xAABBCCDD

byte[0]: 0xDD

byte[1]: 0xCC

byte[2]: 0xBB

byte[3]: 0xAA
```

For my machine, we see that the output above had the low-order representation digits of the integer first, then the next-lower order digits and so on. At the end the highest order digits are printed. So the in-memory representation of such an integer on my machine is to have the low-order representation digits before the high-order ones.

All of this is not normalized by the standard, it is implementation defined behavior.

Rule 2.12.2.1 The in-memory order of the representation digits of a numerical type is implementation defined.

That is, a platform provider might decide to provide a storage order that has the highest order digits first, then print lower order digits one by one. The storage order as given above is called <u>little Endian</u> $^C$ , the later one <u>big Endian</u> $^C$ . Both orders are commonly used by modern processor types. Some processors are even able to switch between the two orders on the fly.

<sup>&</sup>lt;sup>16</sup>Test that code on your own machine.

The output above also shows another implementation defined behavior: I used the feature of my platform that one representation digit can nicely be printed by using two hexadecimal digits. Stated otherwise I assumed that UCHAR\_MAX+1 is 256 and that the number of value bits in an unsigned char, CHAR\_BIT, is 8. As said, again this is implementation defined behavior: although the waste majority of platforms have these properties<sup>17</sup>, there are still some around that have wider character types.

```
Rule 2.12.2.2 On most architectures CHAR_BIT is 8 and UCHAR_MAX is 255.
```

In the example we have investigated the in-memory representation of the simplest arithmetic base types, unsigned integers. Other base types have in-memory representations that are more complicated: signed integer types have to encode the sign, floating point types have to encode sign, mantissa and exponent and pointer types themselves may follow any internal convention that fits the underlying architecture. [Exs 18][Exs 19][Exs 20]

12.3. Memory and state. The value of all objects constitutes the state of the abstract state machine, and thus the state of a particular execution. C's memory model provides something like a unique location for (almost) all objects through the & operator, and that location can be accessed and modified from different parts of the program through pointers.

Doing so makes the determination of the abstract state of an execution much more difficult, if not impossible in many cases.

```
double blub(double const* a, double* b);

int main(void) {
   double c = 35;
   double d = 3.5;
   printf("blub_is_%g\n", blub(&c, &d));
   printf("after_blub_the_sum_is_%g\n", c + d);
}
```

Here we (as well as the compiler) only see a declaration of function blub, no definition. So we cannot conclude much of what that function does to the objects its arguments point to. In particular we don't know if the variable d is modified and so the sum c+d could be anything. The program really has to inspect the object d in memory to find out what the values after the call to blub are.

Now let us look into such a function that receives two pointer arguments:

Such a function can operate under two different assumptions. First if called with two distinct addresses as arguments, \*a will be unchanged, and the return value will be the same as myA. But if both argument are the same, e.g. the call is blub(&c, &c), the assignment to \*b would have changed \*a, too.

The phenomenon of accessing the same object through different pointers is called  $\underline{\mathbf{aliasing}}^C$ . It is a common cause for missed optimization, the knowledge about the abstract state of an execution can be much reduced. Therefore C forcibly restricts the possible aliasing to pointers of the same type:

<sup>&</sup>lt;sup>17</sup>In particular all POSIX systems.

<sup>[</sup>Exs 18] Design a similar **union** type to investigate the bytes of a pointer type, **double**\* say.

<sup>[</sup>Exs 19] With such a **union**, investigate the addresses of to consecutive elements of an array.

<sup>[</sup>Exs 20] Compare addresses of the same variable between different executions.

Rule 2.12.3.1 With the exclusion of character types, only pointers of the same base type may alias.

To see this rule in effect consider a slight modification of our example above:

```
size_t blob(size_t const* a, double* b) {
size_t myA = *a;

*b = 2*myA;
return *a; // must be myA
}
```

Because here the two parameters have different types, C <u>assumes</u> that they don't address the same object. In fact, it would be an error to call that function as blob(&e, &e), since this would never match the prototype of blob. So at the **return** statement we can be sure that the object \*a hasn't changed and that we already hold the needed value in variable myA.

There are ways to fool the compiler and to call such a function with a pointer that addresses the same object. We will see some of these cheats later. Don't do this, this is a road to much grief and despair. <u>If</u> you do so the behavior of the program becomes undefined, so you'd have to guarantee (prove!) that no aliasing takes place.

In the contrary, we should try write our program such that to protect our variables from ever being aliased, and there is an easy way to achieve that:

```
Rule 2.12.3.2 Avoid the & operator.
```

Depending on properties of a given variable, the compiler may then see that the address of the variable is never taken, and thus that the variable can't alias at all. In Section 13.2 we will see which properties of a variable or object may have influence on such decisions and how the **register** keyword can protect us from taking addresses inadvertently.

Later then, in Section 16.2, we will see how the **restrict** keyword allows to specify aliasing properties of pointer arguments, even if they have the same base type.

**12.4. Pointers to unspecific objects.** In some places we already have seen pointers that point to a valid address, but for which we do not control the type of the underlying object. C has invented some sort of <u>non-type</u> for such beasts, **void**. Its main purpose is to be used a fallback pointer type:

```
Rule 2.12.4.1 Any object pointer converts to and from void *.
```

Observe that this only talks about pointers to objects, not pointers to functions. Not only that the conversion to  $\mathbf{void}*$  is well defined, it also is guaranteed to behave well with respect to the pointer value.

Rule 2.12.4.2 Converting an object pointer to **void**\* and then back to the same type is the identity operation.

So the only thing that we loose when converting to **void**\* is the type information, the value remains intact.

```
Rule 2.12.4.3 (avoid<sup>2*</sup>) Avoid void \star.
```

It completely removes any type information that was associated to an address. Avoid it whenever you can. The other way around is much less critical, in particular if you have a C library call that returns a **void** $\star$ .

**void** as a type by itself shouldn't be used for variable declarations since it wouldn't lead to an object with which we could do anything.

**12.5. Implicit and explicit conversions.** We already have seen many places where a value of a certain type is  $\underline{\text{implicitly}}$  converted to a value of a different type. *E.g.* in an initialization

```
unsigned big = -1;
```

The int value −1 on the right is converted to an unsigned value, here UINT\_MAX. In most cases, C does the right thing, and we don't have to worry.

In other cases it is not as obvious (neither for you nor the compiler) so the intent must be made clearer. Notorious for such misunderstandings are narrow integer types. In the following let us assume that **int** has a width of 32 bit:

```
unsigned char highC = 1;
unsigned high highU = (highC << 31); // undefined behavior</pre>
```

Looks innocent, but isn't. The first line is ok, the value 1 is well in the range of **unsigned char**. In the second, the problem lays in the RHS. As we already had seen in Table 2, narrow types are converted before doing arithmetic on them. Here in particular highC is converted to **int** and the left shift operation is then performed on that type. By our assumption shifting by 31 bit shifts the 1 into the highest order bit, the sign bit. Thus the result of the expression on the right is undefined.

The details of all this are a bit arbitrary and more or less just historical artifacts. Don't dig to deep to understand them, just avoid them completely.

Rule 2.12.5.1 Chose your arithmetic types such that implicit conversions are harmless.

In view of that, narrow types only make sense in very special circumstances:

- You have to save memory. You need to use a really big array of small values. Really big here means potentially millions or billions. In such a situation storing these values may gain you something.
- You use **char** for characters and strings. But then you wouldn't do arithmetic with them.
- You use unsigned char to inspect the bytes of an object. But then, again, you wouldn't do arithmetic with them.

Rule 2.12.5.2 Don't use narrow types in arithmetic.

Rule 2.12.5.3 *Use unsigned types whenever you may.* 

Things become even more delicate if we move on to pointer types. There are only two forms of implicit conversions that are permitted for pointers to data, conversions from and to  $\mathbf{void}_{\star}$  and conversions that add a qualifier to the target type. Let's look at some examples.

The first two conversions that use  $void_*$  are already a bit tricky: we convert a pointer back and forth, but we watch that the target type of pfv is the same as f so everything works out fine.

Then comes the erroneous part. In the initialization of pd the compiler can protect us from a severe fault: assigning a pointer to a type that has a different size and interpretation can and will lead to serious damage. Any conforming compiler <u>must</u> give a diagnosis for this line. As you have by now well integrated Rule 0.1.2.3, you know that you should not continue until you have repaired such an error.

The last line is worse: it has an error, but that error is syntactically correct. The reason that this error might go undetected is that our first conversion for pv has striped the pointer from all type information. So in general the compiler can't know what is behind.

In addition to the implicit conversions that we have seen until now, C also allows to convert explicitly, so-called <u>casts</u><sup>C</sup>.<sup>21</sup> With a cast you are telling the compiler that you know better and that the type of the object behind the pointer is not what he might think and to shut up. In most use cases that I have come across in real life, the compiler was right and the programmer was wrong: even experienced programmers tend to abuse casts to hide poor design decisions concerning types.

```
Rule 2.12.5.4 Don't use casts.
```

They deprive you of precious information and if you chose your types carefully you will only need them at very special occasions.

One such occasion is when you want to inspect the contents of an object on byte level. Constructing a **union** around an object as we have seen in Section 12.2 might not always be possible (or too complicated) so here we can go for a cast:

```
endianess.c

unsigned val = 0xAABBCCDD;
unsigned char* valp = (unsigned char*)&val;

for (size_t i = 0; i < sizeof val; ++i)
printf("byte[%zu]:_0x%.02hhX\n", i, valp[i]);</pre>
```

In that direction (from "pointer to object" to a "pointer to character type") a cast is mostly harmless.

**12.6.** Alignment. The inverse direction (from "pointer to character type" to "pointer to object") is not harmless at all. This has to do with another property of C's memory model, <u>alignment</u> $^C$ : objects of most non-character types can't start at any arbitrary byte position, they usually start at a <u>word boundary</u> $^C$ . The alignment of a type then describes the possible byte positions at which an object of that type can start.

If we force some data to a false alignment, really bad things can happen. To see that have a look at the following code:

```
#include <stdio.h>
   #include <inttypes.h>
3
   #include <complex.h>
   #include "crash.h"
   void enable_aligmnent_check(void);
   typedef complex double cdbl;
8
9
   int main(void) {
10
     enable_aligmnent_check();
11
     /* An overlay of complex values and bytes. */
12
     union {
13
       cdbl val[2];
        unsigned char buf[sizeof(cdb1[2])];
14
```

<sup>&</sup>lt;sup>21</sup>A cast of an expression X to type T has the form (T) X. Think of it as in "to cast a spell".

```
15
      } toocomplex = {
16
        .val = { 0.5 + 0.5 * I, 0.75 + 0.75 * I, },
17
18
      printf("size/alignment:_%zu/%zu\n",
19
             sizeof(cdbl), _Alignof(cdbl));
20
      /st Run over all offsets, and crash on misalignment. st/
21
      for (size_t offset = sizeof(cdbl); offset; offset /=2) {
22
        printf("offset\t%zu:\t", offset);
23
        fflush (stdout);
24
        cdbl* bp = (cdbl*)(&toocomplex.buf[offset]); // align!
25
        printf("%g\t+%gI\t", creal(*bp), cimag(*bp));
26
        fflush (stdout);
27
        *bp *= *bp;
        printf("%g\t+%gI", creal(*bp), cimag(*bp));
28
29
        fputc('\n', stdout);
30
31
   }
```

This starts with a declaration of a **union** similar to what we already have seen above. Again, we have a data object (of type **complex double**[2] in this case) that we overlay with an array of **unsigned char**. Besides that this part is a bit more complex, at a first glance there is no principal problem with it. But, if I execute this program on my machine I get:

```
Terminal
    ~/.../modernC/code (master % u=) 14:45 <516>$ ./crash
0
    size/alignment: 16/8
1
2
    offset
                  16:
                             0.75
                                         +0.75I
                                                                +1.125I
    offset
                  8:
                            0.5
                                       +0I
                                                  0.25
                                                              +0I
    offset
                  4:
                            Bus error
```

The program crashes with an error indicated as  $\underline{\mathbf{bus}\ \mathbf{error}}^C$ , which is a shortcut for something like "data bus alignment error". The real problem line is

```
crash.c

cdbl* bp = (cdbl*) (&toocomplex.buf[offset]); // align!
```

Here we see a pointer cast in the RHS, an **unsigned char**\* is converted to a **complex double**\*. With the **for**-loop around it this cast is performed for byte offsets offset from the beginning of toocomplex. These are powers of 2: 16, 8, 4, 2, and 1. As you can see in the output above, it seems that **complex double** still works well for alignments of half of its size, but then with an alignment of one fourth, the program crashes.

Some architectures are more tolerant to misalignment than others and we might have to force the system to error out on such a condition. We use the little function <code>enable\_aligmnent\_check</code> at the beginning to enable that crashing:

crash.c

```
enable_aligmnent_check: enable alignment check for i386 processors
```

Intel's i386 processor family is quite tolerant in accepting misalignment of data. This can lead to irritating bugs when ported to other architectures that are not as tolerant.

This function enables a check for this problem also for this family or processors, such that you can be sure to detect this problem early.

```
 \frac{\text{I found that code on Ygdrasil's blog: http://orchistro.tistory.com/206}}{\text{void enable\_aligmnent\_check(void);} }
```

If you are interested in portable code (and if you are still here, you probably are) early errors in the development phase are really helpful.<sup>22</sup> So consider crashing a feature. See mentioned blog entry for an interesting discussion on this topic.

In the above code example we also see a new operator, \_Alignof, that provides us with the alignment of a type or object. There are chances that you will rarely find the occasion to use that in real live code.

## 13. Allocation, initialization and destruction

So far, most objects that we handled in our programs have been <u>variables</u>, that is objects that are declared in a regular declaration with a specific type and an identifier that refers to it. Sometimes they then were defined at a different place in the code than they were declared, but even such a definition referred to them with type and identifier.

Another category of objects that we have seen less is only specified with a type but not with an identifier: compound literals as introduced in Section 5.4.4.

All such objects, variables or compound literals, have a  $\underline{\textbf{lifetime}}^C$  that depends on the syntactical structure of the program. They have an object lifetime and identifier visibility that either spans the whole program execution (global variables, global literals and variables that are declared with static) or are bound to a block of statements inside a function.<sup>23</sup>

**13.1.** malloc and friends. For programs that have to handle growing collections of data these types of objects are too restrictive. To handle varying user input, web queries, large interaction graphs or other irregular data, big matrices or audio streams it is convenient to reclaim objects for storage on the fly and then release them, once they are not needed anymore. Such a scheme is called **dynamic memory allocation**<sup>C</sup>.

The following set of functions, available with stdlib.h, has been designed to provide such an interface to allocatable objects:

#include <stdlib.h>

```
#include <stdlib.h>
void* malloc(size_t size);

void free(void* ptr);

void* calloc(size_t nmemb, size_t size);

void* realloc(void* ptr, size_t size);

void* aligned_alloc(size_t alignment, size_t size);
```

Here the first two, malloc (memory allocate) and free, are by far the most prominent. As their names indicate, malloc creates an object for us on the fly, and free then annihilates it. The three other functions are specialized versions of malloc; calloc (clear allocate) sets all bits of the new object to 0, realloc may grow or shrink an object and aligned\_alloc ensures non-default alignment.

 $<sup>^{22}</sup>$ For the code that is used inside that function, please consult the source code of crash.h to inspect it.

<sup>&</sup>lt;sup>23</sup>In fact, this is a bit of a simplification, we will see the gory details below.

All these functions operate with **void**\*, that is with pointers for which no type information is known. Being able to specify such a "non-type" for this series of functions is probably the <u>raison d'être</u> for the whole game with **void**\* pointers. By that, they become universally applicable to all types.

```
size_t length = livingPeople();
double* largeVec = malloc(length * sizeof *largeVec);
for (size_t i = 0; i < length; ++i) {
    largeVec[i] = 0.0;
}
...
free(largeVec);</pre>
```

Here we allocate a large vector of **double** one for each living person. [Exs  $^{24}$ ] Because **malloc** knows nothing about the later use or type of the object, the size of the vector is specified in bytes. In the idiom given above we have specified the type information only once, as the pointer type for largeVec. By using **sizeof** \*largeVec in the parameter for the **malloc** call, we ensure that we will allocate the right amount of bytes. Even if we change largeVec later to have type **size\_t** \*, the allocation will adapt.

Another idiom that we will often encouter strictly takes the size of the type of the object that we want to create, namely an array of length elements of type double.

```
double* largeVec = malloc(sizeof(double[length]));
```

We already have been haunted by the introduction of "casts", explicit conversions. It is important to note that the call to malloc stands as is, the conversion from void\*, the return type of malloc, to the target type is automatic and doesn't need any intervention.

Rule 2.13.1.1 Don't cast the return of malloc and friends.

Not only is such a cast superfluous, doing an explicit conversion can even be counterproductive when we forget to include the header file stdlib.h. Older C compilers then

suppose a return of **int** and trigger the wrong conversion from **int** to a pointer type. I have seen many crashes and subtle bugs triggered by that error, in particular in beginners code who's author have been subject to bad advice.

In the above code, as a next step we initialize the object that we just allocated. As from that point we view the large object that we allocated through a pointer to **double**, all actions that we can perform on this object are those that are allowed for this data type. But what we <u>must</u> do first, is initialize the object. Here, we do that by setting all elements to

Rule 2.13.1.2 *Objects that are allocated through* malloc are uninitialized.

#include <stdlib.h>

<sup>[</sup>Exs 24] Don't try this allocation but compute the size that would be needed on your platform. Is allocating such a vector feasible on your platform?

13.1.1. A complete example with varying array size. Let us now look at an example where using a dynamic array that is allocated with malloc brings us more flexibility than a simple array variable.

The following interface describes a circular buffer of double values called circular:

circular.h

circular: an opaque type for a circular buffer for double values

This data structure allows to add **double** values in rear and to take them out in front. Each such structure has a maximal amount of elements that can be stored in it.

```
typedef struct circular circular;
```

circular.h

circular\_append: Append a new element with value value to the buffer c.

**Returns**: c if the new element could be appended, 0 otherwise.

```
circular* circular_append(circular* c, double value);
```

circular.h

circular\_pop: Remove the oldest element from *c* and return its value.

**Returns**: the removed element if it exists, 0.0 otherwise.

```
double circular_pop(circular* c);
```

The idea is that, starting with 0 elements, new elements can be appended to the buffer or dropped from the front, as long as the number of elements that are stored doesn't exceed a certain limit. The individual elements that are stored in the buffer can be accessed with the following function:

circular.h

circular\_element: Return a pointer to position pos in buffer c.

**Returns**: a pointer to the *pos'* element of the buffer, 0 otherwise.

```
double* circular_element(circular* c, size_t pos);
```

Since our type circular will need to allocate and deallocate space for the circular buffer, we will need to provide consistent functions for initialization and destruction of instances of that type. This functionality is provided by two pairs functions:

ircular.h

circular\_init: Initialize a circular buffer c with maximally  $max\_len$  elements.

Only use this function on an uninitialized buffer.

Each buffer that is initialized with this function must be destroyed with a call to circular\_destroy.

```
circular* circular_init(circular* c, size_t max_len);
```

```
circular_destroy: Destroy circular buffer c.

c must have been initialized with a call to circular_init

void circular_destroy(circular* c);
```

```
circular_new: Allocate and initialize a circular buffer with maximally len elements.

Each buffer that is allocated with this function must be deleted with a call to circular_delete.

circular* circular_new(size_t len);
```

```
circular_delete: Delete circular buffer c.

c must have been allocated with a call to circular_new

void circular_delete(circular* c);
```

The first pair is to be applied to existing objects. They receive a pointer to such an object and ensure that space for the buffer is allocated or freed. The first of the second pair creates an object and initializes it; the last destroys such an object and then deallocates the memory space.

If we'd use regular array variables the maximum amount of elements that we can store in a circular would be fixed once we created such an object. We want to be more flexible such that this limit be raised or lowered by means of function circular\_resize and the number of elements can be queried with circular\_getlength.

```
circular_resize: Resize to capacity max_len.

circular* circular* c, size_t max_len);
```

```
circular_getlength: Return the number of elements stored.

size_t circular_getlength(circular* c);
```

Then, with function circular\_element it behaves like an array of double: calling it with a position within the current length, we obtain the address of the element that is stored in that position.

The hidden definition of the structure is as follows:

```
10 | double* tab; /**< array holding the data */  
11 |};
```

The idea is that the pointer field tab will always point to an array object of length max\_len. At a certain point in time the buffered elements will start at start and the number of elements stored in the buffer is maintained in field len. The position inside the table tab is computed modulo max\_len.

The following table symbolizes one instance of this circular data structure, with max\_len=10, start=2 and len=4.

table index	0	1	2	3	4	5	6	7	8	9
buffer content	glatald	gadd	6.0	7.7	81.0	99.0	g/alv/b	galald	gado	g/atv/lø
buffer position			0	1	2	3				

We see that the buffer content, the four numbers 6.0, 7.7, 81.0 and 99.0, are placed consecutively in the array object pointed to by tab.

The following scheme represents a circular buffer with the same four numbers, but the storage space for the elements wraps around.

table index	0	1	2	3	4	5	6	7	8	9
buffer content	81.0	99.0	g/als/lb	g/atvl6	g/atv/b	galvo	g/alv/b	galald	6.0	7.7
buffer position	2	3							0	1

Initialization of such data structure needs to call malloc to provide memory for the tab field. Other than that it is quite straight forward:

```
circular.c
13
    circular* circular_init(circular* c, size_t max_len) {
14
      if (c) {
15
        if (max_len) {
16
           *c = (circular) {
17
             .max_len = max_len,
18
             .tab = malloc(sizeof(double[max_len])),
19
20
             // allocation failed
21
           if (!c->tab) c->max_len = 0;
22
          else {
23
           *c = (circular) \{ 0 \};
24
25
26
      return c;
27
```

Observe that this functions always checks the pointer parameter  $\circ$  for validity. Also, it guarantees to initialize all other fields to  $\circ$  by assigning compound literals in both distinguished cases.

The library function malloc can fail for different reasons. *E.g.* the memory system might be exhausted from previous calls to it, or the reclaimed size for allocation might just be too large. On general purpose systems that you are probably using for your learning experience such a failure will be rare (unless voluntarily provoked) but still is a good habit to check for it:

Rule 2.13.1.3 malloc indicates failure by returning a null pointer value.

Destruction of such an object is even simpler: we just have to check for the pointer and then we may free the tab field unconditionally.

```
circular.c

void circular_destroy(circular* c) {
   if (c) {
      free (c->tab);
      circular_init(c, 0);
    }
}
```

The library function free has the friendly property that it accepts a null parameter and just does nothing in that case.

The implementation of some of the other functions uses an internal function to compute the "circular" aspect of the buffer. It is declared **static** such that it is only visible for those functions and such that it doesn't pollute the identifier name space, see Rule 2.9.2.3.

```
circular.c

static size_t circular_getpos(circular* c, size_t pos) {
  pos += c->start;
  pos %= c->max_len;
  return pos;
}
```

Obtaining a pointer to an element of the buffer is now quite simple.

```
circular.c
68
    double* circular_element(circular* c, size_t pos) {
69
      double * ret = 0;
70
      if (c) {
71
        if (pos < c->max_len) {
72
          pos = circular_getpos(c, pos);
73
          ret = &c->tab[pos];
74
75
76
      return ret;
77
```

With all of that information, you should now be able to implement all but one of the function interfaces nicely. [Exs 25] The one that is more difficult is circular\_resize. It starts with some length calculations, and then distinguishes the cases if the request would enlarge or shrink the table:

Here we have the naming convention of using  $\circ$  (old) as the first character of a variable name that refers to a feature before the change, and n (new) its value afterwards. The end of the function then just uses a compound literal to compose the new structure by using the values that have been found during the case analysis.

Let us now try to fill the gap in the code above and look into the first case of enlarging an object. The essential part of this is a call to **realloc**:

<sup>[</sup>Exs 25] Write implementations of the missing functions.

```
circular.c
92
    circular* circular_resize(circular* c, size_t nlen) {
93
       if (c) {
94
         size_t len = c->len;
95
         if (len > nlen) return 0;
96
         size_t olen = c->max_len;
97
         if (nlen != olen) {
98
           size_t ostart = circular_getpos(c, 0);
99
           size_t nstart = ostart;
100
           double* otab = c->tab;
101
           double* ntab;
102
           if (nlen > olen)
```

```
circular.c
138
139
             *c = (circular) {
140
               .max_len = nlen,
               .start = nstart,
141
               .len = len,
142
143
               .tab = ntab,
144
            };
145
146
147
        return c;
148
```

For this call **realloc** receives the pointer to the existing object and the new size that the relocation should have. It either returns a pointer to the new object with the desired size or null. In the line immediately after we check the later case and terminate the function if it was not possible to relocate the object.

The function realloc has interesting properties:

- The returned pointer may or may not be the same as the argument. It is left to the discretion of the runtime system to realize if the resizing can be performed in place (if there is space available behind the object, *e.g.*) or if a new object must be provided.
- If the argument pointer and the returned one are distinct (that is the object has been copied) nothing has to be done (or even should) with the previous pointer, the old object is taken care of.
- As far as this is possible, existing content of the object is preserved:
  - If the object is enlarged, the initial part of the object that corresponds to the previous size is left intact.
  - If the object shrank, the relocated object has a content that corresponds to the initial part before the call.
- If 0 is returned, that is the relocation request could not be fulfilled by the runtime system, the old object is unchanged. So nothing is lost, then.

Now that we know that the newly received object has the size that we want, we have to ensure that tab still represents a circular buffer. If previously the situation has been as in the first table, above, that is the part that corresponds to the buffer elements is contiguous, we have nothing to do. All data is nicely preserved.

If our circular buffer wrapped around, we have to do some adjustments:

```
circular.c
105
             // two separate chunks
106
             if (ostart+len > olen) {
107
                size_t ulen = olen - ostart;
                size_t llen = len - ulen;
108
                if (llen <= (nlen - olen)) {
109
                  /\star cpy the lower one up after the old end \star/
110
111
                 memcpy(ntab + olen, ntab,
112
                         llen*sizeof(double));
113
                 else {
114
                  /* mv the upper one up to the new end */
115
                  nstart = nlen - ulen;
116
                 memmove(ntab + nstart, ntab + ostart,
117
                          ulen*sizeof(double));
118
119
             }
```

The following table illustrates the difference in contents between before and after the changes for the first subcase, namely that the lower part finds enough place inside the part that had been added.

table index	0	1	2	3	4	5	6	7	8	9			
old content	81.0	99.0	g/atvlb	glatulus	g/alv/lb	g/alv/b	g/alv/b	g/alvlb	6.0	7.7			
old position	2	3							0	1			
new position	2	В							0	1	2	3	
new content	8/Y <i>I</i> (0	9910	g/atvlb	g/atr/ls	g/alv/lb	g/alv/b	g/alv/b	g/alvlb	6.0	7.7	81.0	99.0	glatalle
table index	0	1	2	3	4	5	6	7	8	9	10	11	12

The other case, where the lower part doesn't fit into the newly allocated part is similar. This time the upper half of the buffer is shifted towards the end of the new table.

table index	0	1	2	3	4	5	6	7	8	9	
old content	81.0	99.0	g/atvlb	galde	galdo	g/alv/b	g/alvl6	g/alv/lb	6.0	7.7	
old position	2	3							0	1	
new position	2	3								0	1
new content	81.0	99.0	g/atvlb	galde	galdo	g/alv/b	g/alvl6	g/alv/lb	6/.0/	6.0	7.7
table index	0	1	2	3	4	5	6	7	8	9	10

The handling of both cases shows a subtle difference, though. The first is handled with memcpy, source and target elements of the copy operation can't overlap, so using it here is save. For the other case, as we see in the example, the source and target elements may overlap and thus the use of the less restrictive memmove function is required. [Exs 26]

 $<sup>[</sup>Exs\ 26]$  Implement shrinking of the table: it is important to reorganize the table contents before calling  ${f realloc}$ .

LISTING 2.2. An example for shadowing by local variables

```
void squareIt(double* p) {
1
2
      *p *= *p;
3
4
   int main(void) {
5
      double x = 35.0;
      double * xp = &x;
6
7
        squareIt(&x); /* referring to double x */
8
9
10
        int x = 0;
                       /* shadow double x */
11
        squareIt(xp); /* valid use of double x */
12
13
14
15
16
                       /* refering to double x */
     squareIt(&x);
17
18
```

13.1.2. *Ensuring consistency of dynamic allocations*. As in both our code examples, malloc and free should always come in pairs. This mustn't necessarily be inside the same function, but in most case simple counting of the occurrence of both should give the same number:

Rule 2.13.1.4 For every malloc there must be a free.

If not this could indicate a  $\underline{\mathbf{memory leak}}^C$ , a loss of allocated objects. This could lead to resource exhaustion of your platform, showing itself in low performance or random crashes.

Rule 2.13.1.5 For every free there must be a malloc.

The memory allocation system is meant to be simple, thus free is only allowed for pointers that have been allocated with malloc or that are null.

Rule 2.13.1.6 Only call free with pointers as they are returned by malloc.

They must not

- point to an object that has been allocated by other means, that is a variable or a compound literal,
- yet have been freed,
- only point to a smaller part of the allocated object.

Otherwise your program will crash. Seriously, this will completely corrupt the memory of your program execution, one of the worst types of crashes that you can have. Be careful.

13.2. Storage duration, lifetime and visibility. We have already seen in different places that visibility of an identifier and accessibility of the object to which it refers are not the same thing. As a simple example take the variable(s)  $\times$  in Listing 2.2:

Here the visibility scope of the identifier x that is declared in Line 5 starts from that line and goes to the end of the function main, but with a noticeable interruption: from Line 10 to 14 this visibility is **shadowed**<sup>C</sup> by another variable, also named x.

LISTING 2.3. An example for shadowing by an extern variable

```
#include <stdio.h>
2
3
   unsigned i = 1;
4
5
   int main(void) {
6
     unsigned i = 2;
                              /* a new object */
7
      if (i) {
8
        extern unsigned i;
                               /* an existing object */
9
        printf("%u\n", i);
10
       else {
11
        printf("%u\n", i);
12
13
```

Rule 2.13.2.1 *Identifiers only have visibility inside their scope, starting at their declaration.* 

Rule 2.13.2.2 The visibility of an identifier can be shadowed by an identifier of the same name in a subordinate scope.

We also see that visibility of an identifier and usability of the object that it represents, are not the same thing. First, the **double**  $\times$  <u>object</u> is used by all calls to <code>squareIt</code>, although the identifier  $\times$  is not visible at the point of definition of the function. Then, in Line 12 we pass the address of the **double**  $\times$  variable to the function <code>squareIt</code> although the identifier is shadowed, there.

Another example concerns declarations that are tagged with the storage class **extern**. These always designate an object of static storage duration that is expected to be defined at file scope<sup>27</sup>, see Listing 2.3.

This program has three declarations for variables named i, but only two definitions: the declaration and definition in Line 6 shadows the one in Line 3. In turn declaration Line 8 shadows Line 6, but it refers to the same object as the object defined in Line 3. [Exs 28]

Rule 2.13.2.3 Every definition of a variable creates a new distinct object.

So in the following the **char** arrays A and B identify distinct objects, with distinct addresses; the expression A == B must always be false.

```
char const A[] = { 'e', 'n', 'd', '\0', };
char const B[] = { 'e', 'n', 'd', '\0', };
char const* c = "end";
char const* d = "end";
char const* e = "friend";
char const* f = (char const[]) { 'e', 'n', 'd', '\0', };
char const* g = (char const[]) { 'e', 'n', 'd', '\0', };
```

But how many distinct array objects are there in total? It depends, the compiler has a lot of choices:

Rule 2.13.2.4 Read-only object literals may overlap.

 $<sup>^{27}</sup>$ In fact, such an object can be defined at file scope in another translation unit. [Exs  $^{28}$ ] Which value is printed by this program?

In the above example we have three string literals and two compound literals. These are all object literals and they are read-only: strings literals are read-only by definition, the two compound literals are **const**-qualified. Four of them have exactly the same base type and content ('e', 'n', 'd', '\0'), and so the four pointers c, d, f and g may all be initialized to the same address of one **char** array. The compiler may even save more memory: this address may just be &e[3], by using the fact that "end" appears at the end of "friend".

As we have seen from the examples above, the usability of an object is not only a lexical property of an identifier or of the position of definition (for literals) but also depends on the state of execution of the program. The  $\underline{\textbf{lifetime}}^C$  of an object has a starting point and an end point:

Rule 2.13.2.5 *Objects have a lifetime outside of which they can't be accessed.* 

Rule 2.13.2.6 Refering to an object outside of its lifetime has undefined behavior.

How this start and end point of an object are defined depends on the tools that we use to create it. We distinguish four different storage durations for objects in C, static when it is determined at compile time, automatic when it is automatically determined at run time, allocated when it is explicitly determined by function calls malloc and friends, and thread when it is bound to a certain thread of execution.

For the first three types we already have seen a lot of examples. Thread storage duration (\_Thread\_local or thread\_local) is related to C's thread API, which we only will see later in Section 19.

Allocated storage duration, is relatively straight forward: the lifetime of such an object starts from the corresponding call to malloc, calloc, realloc or aligned\_alloc that creates it. It ends with a call to free or realloc that destroys it, or, if no such call is issued with the end of the program execution.

The two other cases of storage duration need more explanation, and so we will discuss them in more length below.

13.2.1. *Static storage duration*. Objects with static storage duration can be defined by two different means:

- Objects that are <u>defined</u> in file scope. Variables or compound literals can have that property.
- Variables that are declared inside a function block and that have the storage class specifier static.

Such objects have a lifetime that is the whole program execution. Because they are considered alive before any application code is executed, they can only be initialized with expressions that are known at compile time or can be resolved by the system's process startup procedure.

This defines four objects of static storage duration, those identified with A, p and B and a compound literal defined in Line 2. Three of them have type **double**, one has type **double**\*.

All four objects are properly initialized from the start; three of them are initialized explicitly, B is initialized implicitly with D.

Rule 2.13.2.7 *Objects with static storage duration are always initialized.* 

The example of B shows that an object with a lifetime that is the whole program execution isn't necessarily visible in the whole program. The **extern** example above also shows that an object with static storage duration that is defined elsewhere can become visible inside a narrow scope.

13.2.2. Automatic storage duration. This is the most complicated case in nature: rules for automatic storage duration are implicit and therefore need the most explanation. There are several cases of objects that can be defined explicitly or implicitly that fall under this category:

- any block scope variables that are not declared static, that are declared as auto (the default), or register
- block scope compound literals
- some temporary objects that are returned by function calls.

The simplest and most current case for the lifetime of automatic objects

Rule 2.13.2.8 Unless they are VLA or temporary objects, automatic objects have a lifetime corresponding to the execution of their block of definition.

So this rule covers automatic variables and compound literals that are declared inside functions. Such objects of automatic storage duration have a big advantage for optimization: the compiler usually sees the full usage of such a variable and may by that decide if it may alias. This is where the difference between the **auto** and **register** variables comes into play:

Rule 2.13.2.9 The & operator is not allowed for variables declared with register.

With that, we can't inadvertently violate Rule 2.12.3.2 and take the address of a register variable. As a simple consequence we get:

Rule 2.13.2.10 Variables declared with register can't alias.

So with **register** variable declarations the compiler can be forced to tell us where there would eventually be some optimization potential.

Rule 2.13.2.11 Declare local variables in performance critical code as register.

Let's get back to rule 2.13.2.8. It is quite particular if you think of it: the lifetime of such an object does already start when its scope of definition is entered and not, as one would perhaps expect, later, when its definition is first met during execution.

To note the difference let us look at Listing 2.4, which is in fact a variant of an example that can be found in the C standard document.

We will be particularly interested in the lines printed if this function is called as fgoto(2). On my computer the output looks as

```
Terminal

1: p and q are unequal, *p is 0

2: p and q are equal, *p is 1
```

Admittedly, this code is a bit contrived. It uses a new construct that we haven't yet seen in action, **goto**. As the name indicates this is a **jump statement** $^C$ . In this case in instructs to continue execution at the place of **label** $^C$  AGAIN. Later we will see contexts where using **goto** makes a bit more sense. The demonstrative purpose here is just to jump over the definition of the compound literal.

LISTING 2.4. A contrived example for the use of a compound literal

```
3
    void fgoto (unsigned n) {
4
      unsigned j = 0;
5
      unsigned * p = 0;
6
      unsigned * q;
7
    AGAIN:
      if (p) printf("%u:_p_and_q_are_%s,_*p_is_%u\n",
8
                      (q == p) ? "equal" : "unequal",
10
11
12
      q = p;
13
      p = & ((unsigned) \{ j, \});
14
      ++j;
15
      if (j \le n) goto AGAIN;
16
```

j	р	q	printf
0	0	indetermined	skipped
1	addr of literal of $j = 0$	0	printed
2	addr of literal of $j=1$	addr of literal of $j = 0$	printed

So let us look what happens with the printf call during the execution. For n=2 execution meets the corresponding line three times, but because p is 0, initially, at the first passage the printf call itself is skipped. The values of our three variables in that line is:

Here we see that for j==2 pointers p and q hold addresses that are obtained at different iterations. So why then in my printout above I have that both addresses are equal? Is this just a coincidence? Or is there even undefined behavior because I am using the compound literal lexically at a place before it is defined?

In fact the C standard prescribes that the output that is shown above  $\underline{\text{must}}$  be produced. In particular, for j==2 the values of p and q are equal and valid, and the value of the object they are pointing to is 1. Or stated otherwise:

In the example above, the use of \*p is well defined, although lexically the evaluation of \*p precedes the definition of the object. Also, there is exactly one such compound literal, and therefore the addresses are equal for j==2.

Rule 2.13.2.12 *Initializers of automatic variables and compound literals are evaluated each time the definition is met.* 

In fact, in our example the compound literal is visited three times, and set to values 0, 1 and 2 in turn.

For VLA the lifetime is given by a different rule, namely

Rule 2.13.2.13 For a VLA, lifetime starts when the definition is encountered, ends when the visibility scope is left.

So for a VLA, our strange trick from above using **goto** would not be valid: we are not allowed to use the pointer to a VLA in code that precedes the definition, even if we still are inside the same block. The reason for this special treatment of VLA is that their size is a runtime property and therefore the space for it simply can't be allocated when the block of the declaration is entered.

The other case that has a special treatment are chimeras: sort-of-objects that are the return values of functions. As you know now, functions normally return values and not objects. There is one exceptions if the return type contains an array type, such as here:

```
struct demo { unsigned ory[1]; };
struct demo mem(void);

printf("mem().ory[0]_is_%u\n", mem().ory[0]);
```

The only reason that objects with temporary lifetime exist in C is to be able to access fields of such a function return value. Don't use them for anything else:

Rule 2.13.2.14 *Objects of temporary lifetime are read-only.* 

Rule 2.13.2.15 *Temporary lifetime ends at the end of the enclosing full expression.* 

**13.3. Initialization.** In Section 5.3 we already have seen the importance of initialization. It is crucial to guarantee that a program starts in a well defined state and stays so during all execution. The storage duration of an object determines how it is initialized.

Rule 2.13.3.1 *Objects of static or thread storage duration are initialized per default.* 

As you probably recall, such a default initialization is the same as to initialize all fields of an object by 0. In particular, default initialization works well for base types that might have a non-trivial representation for their "0" value, namely pointers and floating point types.

For other objects, automatic or allocated, we must do something

Rule 2.13.3.2 *Objects of automatic or allocated storage duration must be initialized explicitly.* 

The simplest way to achieve initialization are initializers, they put variables and compound literals in a well defined state as soon as they become visible.

For arrays that we allocate as VLA or through dynamic allocation this is not possible, so we have to provide initialization through assignment. In principle we could do this manually each time we allocate such an object, but such code becomes difficult to read and to maintain, because such initialization parts may then visually separate definition and use. The easiest way to avoid this is to encapsulate initialization into functions:

Rule 2.13.3.3 *Systematically provide an initialization function for each of your data types.* 

Here, the emphasis lays on "systematically": you should have a consistent convention how such initializing functions should work and how they should be named. To see that let us got back to rat\_init, the initialization function for our rat data type. It implements a specific API for such functions:

- For a type toto the initialization function is named toto\_init.
- The first argument to such a \_init function is the pointer to the object that is to be initialized.
- If that pointer to object is null, the function does nothing.
- Other arguments can be provided to pass initial values for certain fields.
- The function returns the pointer to the object that it received or 0 if an error

With such properties such a function can be used easily in an initializer for a pointer:

```
rat const* myRat = rat_init(malloc(sizeof(rat)), 13, 7);
```

Observe that this has several advantages:

- If the call to malloc fails by returning 0, the only effect is that myRat is initialized to 0. Thus myRat is always in a well defined state.
- If we don't want the object to be changed afterwards we can qualify the pointer target as **const** from the start. All modification of the new object happens inside the initialization expression on the right side.

Since such initialization can then appear in many places we can also encapsulate this into another function:

The initialization using that function becomes

```
1 rat const* myRat = rat_new(13, 7);
```

For macro addicts as myself we can even easily define a type generic macro that does such an encapsulation once and for all

```
#define P99_NEW(T, ...) T ## _init(malloc(sizeof(T)), __VA_ARGS__
)
```

With this we could have written the initialization above as

```
1 rat const* myRat = P99_NEW(rat, 13, 7);
```

Which has the advantage to be at least as readable as the rat\_new variant, but avoids the additional declaration of such a function for all types that we define.

Such macro definitions are frowned upon by many, so many projects would probably not accept this as a general strategy, but you should at least be aware that such a possibility exists. It uses two features of macros that we have not yet encountered:

- Concatenation of tokens is achieved with the ## operator. Here T ## \_init just melts the argument T and \_init into one token: with rat this produces rat\_init, with toto this produces toto\_init.
- The construct . . . provides an argument list of variable length. The whole set of arguments that is passed after the first is accessible inside the macro expansion as \_\_VA\_ARGS\_\_. By that we can pass any number of arguments as required by the corresponding \_\_init\_ function to P99\_NEW.

If we have to initialize arrays by means of a **for**-loop things get even uglier. Here also it is easy to encapsulate with a function:

```
1  rat* rat_vinit(size_t n, rat p[n]) {
2    if (p)
3    for (size_t i = 0; i < n; ++i)
4        rat_init(p+i, 0, 1);
5    return p;
6  }</pre>
```

With such a function, again, initialization becomes straight forward:

```
rat* myRatVec = rat_vinit(44, malloc(sizeof(rat[44])));
```

Here an encapsulation into a function is really better, since repeating the size may easily introduce errors

```
rat* rat_vnew(size_t size) {
2
     return rat_vinit(size, malloc(sizeof(rat[size])));
3
```

**13.4.** Digression: a machine model. Up to now, we mostly argued about C code from within, using the internal logic of the language to describe what was going on. This section here is an optional digression that deviates from that. If you really can't bear it, yet, you may skip over, otherwise remember Rule B and dive.

We will see how a typical compiler translates C to a language that is closer to a specific machine, why certain choices for such a translation are made, and how these choices reflect back into the definition of C. To do so we have to have an idea (a model) about how computers actually work.

Traditionally, computer architectures were described with the von Neumann model<sup>29</sup>. In this model a processing unit has a finite number of hardware registers that can hold integer values, a main memory that holds the program as well as data and that is linearly addressable, and a finite instruction set that describes the operations that can be done with these components.

The intermediate programming language family that is usually used to describe machine instructions as they are understood by your CPU are called **assembler** $^{C}$ , and it still pretty much builds upon the von Neumann model. There is not one unique assembler language (as e.g. C that is valid for all platforms) but a whole set of dialects that take different particularities into account: of the CPU, the compiler or the operating system. The assembler that we use in the following is the one used by the gcc compiler for the x86\_64 processor architecture. [Exs 30] If you don't know what that means, don't worry, this is just an example of one such architecture.

Listing 2.5 shows an assembler print out for the function fgoto from Listing 2.4. Such assembler code operates with  $instructions^{C}$  on hardware registers and memory locations. E.g. the line movi \$0,  $-16(\sqrt[8]{\text{rbp}})$  stores ("moves") the value 0 to the location in memory that is 16 bytes below the one indicated by register %rbp. The assembler program also contains labels<sup>C</sup> that identify certain points in the program. E.g. fgoto is entry point<sup>C</sup> of the function and .L\_AGAIN is the counterpart in assembler of the goto label AGAIN in C.

As you probably have guessed, the text on the right after the # character are comments that try to link individual assembler instructions to their C counterparts.

This assembler function uses hardware registers %eax, %ecx, %edi, %edx, %esi, %rax, %rbp, %rcx, %rdx, and %rsp. This is much more than the original von Neumann machine had, but the main ideas are still present: we have some general purpose registers that are used to represent values from our data, such as j or p, of our C program. Two others have a very special role, %rbp (base pointer) and %rsp (stack pointer).

The function disposes of a reserved area in memory, often called **The Stack** $^{C}$ , that holds its local variables and compound literals. The "upper" end of that area is designated by the %rbp register, and the objects are accessed with negative offsets relative to that register. E.g. the variable n is found from position -36 before %rbp encoded as -36 (%rbp). The following table represents the layout of this memory chunk that is reserved for function fgoto, and the values that are stored there at three different points of the execution of the function.

<sup>&</sup>lt;sup>29</sup>Invented around 1945 by J. Presper Eckert and John William Mauchly for the ENIAC project; first described by John von Neumann (1903 - 1957, also Neumann János Lajos and Johann Neumann von Margitta) one of the pioneers of modern science, in von Neumann [1945]. [Exs 30] Find out which compiler arguments produce assembler output for your platform.

LISTING 2.5. An assembler version of the **fgoto** function

```
10
             .type
                       fgoto, @function
11
    fgoto:
12
             pushq
                      %rbp
                                             # save base pointer
                      %rsp, %rbp
13
             movq
                                             # load stack pointer
                       $48, %rsp
14
                                             # adjust stack pointer
             subq
                      %edi, -36 (%rbp)
15
             movl
                                             \# fgoto\#0 \Rightarrow n
                       $0, −4 (%rbp)
16
             movl
                                             # init j
17
             movq
                       $0, -16(%rbp)
                                             # init p
18
    .L_AGAIN:
19
             cmpq
                       $0, -16(%rbp)
                                               if (p)
20
             jе
                       .L_ELSE
21
             movq
                       -16 (%rbp), %rax
                                                 p ==> rax
22
             movl
                       (%rax), %edx
                                                \star p ==> edx
23
             movq
                       -24 (%rbp), %rax
                                                    == \mathbf{q})?
24
             cmpq
                       -16 (\% rbp), \% rax
                                                       )?
25
             jne
                       .L_YES
26
                       $.L_STR_EQ, %eax
             movl
                                             # yes
27
                       .L_NO
             jmp
28
    .L_YES:
29
             movl
                       $.L_STR_NE, %eax
                                             # no
30
    .L_NO:
31
             movl
                       -4 (%rbp), %esi
                                               j
                                                       ==> printf #1
32
             movl
                      %edx, %ecx
                                                       ==>
                                                            printf#3
                                               * p
                      %rax, %rdx
33
             movq
                                             \# eq/ne ==> printf#2
34
                       $.L_STR_FRMT, %edi
             movl
                                             # frmt ==>
                                                           printf#0
35
                       $0, %eax
             movl
                                             # clear eax
36
             call
                       printf
37
    .L_ELSE:
38
                       -16 (%rbp), %rax
             movq
39
                      %rax, -24 (%rbp)
             mova
40
             movl
                       -4 (%rbp), %eax
                                               j ==|
41
             movl
                      %eax, -28 (%rbp)
                                                     ==> cmp_lit
42
                       -28 (%rbp), %rax
                                               \& cmp_lit == |
             leaq
43
             movq
                      %rax, -16(%rbp)
                       $1, −4 (%rbp)
44
             addl
                                               ++ j
45
                       -4 (%rbp), %eax
                                               if (j
             movl
                       -36 (%rbp), %eax
46
             cmpl
                                                       \langle = n \rangle
47
                      .L_AGAIN
                                               goto AGAIN
             jbe
48
             leave
                                               rearange stack
49
             ret
                                             # return
```

printf			fgoto					caller	
position		-48	$-36\dots$	$-28\dots$	$-24\dots$	$-16\dots$	-8	$-4\dots$	rbp
meaning			n	cmp_lit	q	р		j	
after init	lgatb	lgatb	2	lgtat1b	<i>lgt</i> atb	0	lgatb	0	
after iter 0	lg atb	lgatb	2	0	0	rbp-28	lgatb	1	
after iter 1	lg atb	lgatb	2	1	rbp-28	rbp-28	lgatb	2	

This example is of particular interest to learn about automatic variables, and how these are set up, when execution enters the function. On this particular machine when entering fgoto, three registers hold information for this call: **%edi** holds the function argument, n, **%rbp** points to the base address of the calling function and **%rsp** points to the top address in memory where this call to fgoto may store its data.

Now let us consider how the above assembler code sets up things. Right at the start fgoto executes three instructions to set up its "world" correctly. It saves **%rbp** because it needs this register for its own purpose, it moves the value from **%rsp** to **%rbp** and then decrements **%rsp** by 48. Here, 48 is the number of bytes that the compiler has computed for all automatic objects that that fgoto needs. Because of this simple type of setup, the space that is reserved by that procedure is not initialized but filled with garbage. In the three following instructions three of the automatic objects are then initialized (n, j and p), but others remain uninitialized until later.

After having done such a setup, the function is ready to go. In particular it can easily call another function itself: **%rsp** now points to the top of a new memory area that such a called function can use. This can be seen in the middle part, after the label .L\_NO. This part implements the call to **printf**: it stores the four arguments that the function is supposed to receive in registers **%edi**, **%esi**, **%ecx**, **%rdx**, in that order, clears **%eax**, and then calls the function.

To summarize, the setup of a memory area for the automatic objects (without VLA) of a function only needs very few instructions, regardless how many such automatic objects are effectively used by the function. If the function had more, the magic number 48 from above would just have to be modified to the new size of the area.

As a consequence of the way this is done,

- automatic objects are usually available from the start of a function or scope
- initialization of automatic variables is not enforced.

This maps well the rules for the lifetime and initialization of automatic objects in C.

Now the assembler output from above is only half of the story, at most. It was produced without optimization, just to show the principle assumptions that can be made for such code generation. When using optimization the **as-if** Rule 1.5.0.12 allows to reorganize the code substantially. With full optimization my compiler produces something like Listing 2.6.

As you can see the compiler has completely restructured the code. This code just reproduces the <u>effects</u> that the original code had, namely its output is the same as before. But it doesn't use objects in memory, doesn't compare pointers for equality or even has any trace of the compound literal. *E.g.* it doesn't implement the iteration for j=0 at all. This iteration has no effects, so it is simply omitted. Then, for the other iterations it distinguishes a version with j=1, where the pointers p and q of the C program are known to be different. Then, the general case just has to increment j and to set up the arguments for **printf** accordingly. [Exs 31][Exs 32]

All we have seen here has been code that didn't use VLA. These change the picture, because the trick that simply modified **%rsp** by a constant doesn't work if the needed memory is not of constant size. For a VLA the program has to compute the size with the knowledge a the very point of definition, to adjust **%rsp** accordingly, there, and then to undo that modification of **%rsp** once execution leaves the scope of definition.

# 14. More involved use of the C library

**14.1. Text processing.** Now that we know about pointers and how they work, we will revisit some of the C library functions for text processing. As a first example consider the following program that reads a series of lines with numbers from **stdin** an writes these same numbers in a normalized way to **stdout**, namely as comma separated hexadecimal numbers.

<sup>[</sup>Exs 31] Using the fact that p is actually assigned the same value over and over, again, write a C program that gets closer to how the optimized assembler version looks like.

<sup>[</sup>Exs 32] Even the optimized version leaves room for improvement, the inner part of the loop can still be shortend. Write a C program that explores this potential when compiled with full optimization.

LISTING 2.6. An optimized assembler version of the **fgoto** function

```
12
            .type
                     fgoto, @function
13
    fgoto:
14
            pushq
                     %rbp
                                         # save base pointer
15
            pushq
                     %rbx
                                         # save rbx register
                     $8, %rsp
16
                                         # adjust stack pointer
            subq
                     %edi, %ebp
17
            movl
                                         \# fgoto \#0 \Rightarrow n
18
            movl
                     $1, %ebx
                                         \# init j, start with 1
                                        # 0
                                                ==> printf#3
19
            xorl
                     %ecx, %ecx
                     $.L_STR_NE, %edx # "ne" ==> printf#2
20
            movl
                     %edi, %edi
21
            testl
                                         \# if (n > 0)
22
            jne
                     L_N_GT_0
23
            jmp
                     .L_END
24
   .L_AGAIN:
25
            movl
                     %eax, %ebx
                                         \# j+1 ==> j
26
   .L_N_GT_0:
27
            movl
                     %ebx, %esi
                                         # j
                                                 ==> printf #1
28
                     $.L_STR_FRMT, %edi # frmt ==> printf#0
            movl
29
            xorl
                     %eax, %eax
                                         # clear eax
                     printf
30
            call
31
            leal
                     1 (%rbx), %eax
                                         \# j+1 ==> eax
                     $.L_STR_EQ, %edx
32
            movl
                                         # "eq" ==> printf#2
33
                     %ebx, %ecx
                                         # j
                                                ==> printf#3
            movl
                                         \# if (j \le n)
34
            cmpl
                     %ebp, %eax
35
            jbe
                     .L_AGAIN
                                         # goto AGAIN
   .L_END:
36
37
            addq
                     $8, %rsp
                                         # rewind stack
38
                     %rbx
                                         \# restore rbx
            popq
39
            popq
                     %rbp
                                         # restore rbp
40
            ret
                                         # return
```

This program splits the job in three different tasks: fgetline to read a line of text, numberline that splits such a line in a series of numbers of type size\_t, and fprintnumbers to print them.

At the heart is the function numberline. It splits the lbuf string that it receives in numbers, allocates an array to store them and also returns the count of these numbers through the pointer argument np if that is provided.

numberline: interpret string lbuf as a sequence of numbers represented with base

**Returns**: a newly allocated array of numbers as found in *lbuf* 

# **Parameters**:

<u>lbuf</u>	is supposed to be a string
np	if non-null, the count of numbers is stored in *np
base	value from 0 to 36, with the same interpretation as for strtoul

**Remarks**: The caller of this function is responsible to free the array that is returned.

```
 \begin{array}{c} size\_t \star \text{ numberline}(size\_t \text{ size, char const } \texttt{lbuf[restrict size],} \\ size\_t \star restrict \text{ np, int base);} \end{array}
```

```
numberline.c
197
     int fprintnumbers_opt(FILE*restrict stream,
198
                        char const form[restrict static 1],
199
                        char const sep[restrict static 1],
200
                        size_t len, size_t nums[restrict len]) {
201
       if (!stream)
                           return -EFAULT;
202
       if (len && !nums)
                           return -EFAULT;
203
       if (len > INT_MAX) return -EOVERFLOW;
204
205
       int err = errno;
206
       size_t const seplen = strlen(sep);
207
208
       size_t tot = 0;
209
       size_t mtot = len*(seplen+10);
210
       char* buf = malloc(mtot);
211
212
       if (!buf) return error_cleanup(ENOMEM, err);
213
214
       for (size_t i = 0; i < len; ++i) {</pre>
215
         tot += sprintf(&buf[tot], form, nums[i]);
216
         ++i;
217
         if (i >= len) break;
218
         if (tot > mtot-20) {
219
           mtot *= 2;
220
           char* nbuf = realloc(buf, mtot);
221
           if (buf) {
222
             buf = nbuf;
           \} else \{
223
224
             tot = error_cleanup(ENOMEM, err);
225
             goto CLEANUP;
226
```

That function itself is split into two parts, that perform quite different tasks; one that performs the task of interpretation of the line, numberline\_inner. The other other, numberline itself, is just a wrapper around the first that verifies or ensures the prerequisites for the first.

Function numberline\_inner puts the C library function strtoull in a loop that collects the numbers and returns a count of them.

```
numberline.c
97
    size\_t numberline_inner(char const*restrict act,
98
99
                               size_t numb[restrict], int base) {
100
       size_t n = 0;
101
       for (char* next = 0; act[0]; act = next) {
102
         numb[n] = strtoull(act, &next, base);
103
         if (act == next) break;
104
         ++n;
105
106
       return n;
107
```

Now we see the use of the second parameter of strtoull. Here, it is the address of the variable next, and next is used to keep track of the position in the string that ends the number. Since next is a pointer to char, the argument to strtoull is a pointer to a pointer to char.

Suppose strtoull is called as strtoull ("0789a", &next, base). According to the value of the parameter base that string is interpreted differently. If for example base has value 10, the first non-digit is the character 'a' at the end.

base	digits	number	*next
8	2	7	<b>'</b> 8'
10	4	789	<b>'</b> a <b>'</b>
16	5	30874	'\0'
0	2	7	181

There are two conditions that may end the parsing of the line that numberline\_inner receives.

- act points to a string termination, namely to a 0 character.
- Function strtoull doesn't find a number, in which case next is set to the value of act.

These two conditions are found as the controlling expression of the **for**-loop and as **if**-**break** condition inside.

Observe that the C library function strtoull has a historic weakness: the first argument has type **char const**\* whereas the second has type **char**\*\*, without **const**-qualification. This is why we had to type next as **char**\* and couldn't use **char const**\*. As a result of a call to **strtoull** we could inadvertently modify a read-only string and crash the program.



Rule 2.14.1.1 *The string* strto... *conversion functions are not* **const**-safe.

Now, the function numberline itself provides the glue around numberline\_inner:

- If np is null, it is set to point to an auxiliary.
- The input string is a checked for validity.
- An array with enough elements to store the values is allocated and tailored to the appropriate size, once the correct length is known.

We use three functions from the C library: memchr, malloc and realloc. As in previous examples a combination of malloc and realloc ensures that we have an array of the necessary length.

The call to memchr returns the address of the first byte that has value 0, if there is any, or  $(\mathbf{void}*)$  0 if there is none. Here, this is just used to check that within the first size bytes there effectively is a 0-character. By that it guarantees that all the string functions that are used underneath (in particular strtoull) operate on a 0-terminated string.

With **memchr** we encounter another problematic interface. It returns a **void**\* that potentially points into a read-only object.



Rule 2.14.1.2 *The* memchr and strchr search functions are not const-safe.

In contrast to that, functions that return an index position within the string would be safe.

Rule 2.14.1.3 *The* strspn *and* strcspn *search functions are* const-safe.

Unfortunately, they have the disadvantage that they can't be used to check if a **char**-array is in fact a string or not. So they can't be used, here.

Now, let us look into the second function in our example:

numberline.c 109 size\_t\* numberline(size\_t size, char const lbuf[restrict size], 110 size\_t \* restrict np, int base) { 111  $size_t * ret = 0;$  $size_t n = 0;$ 112 113  $/\star$  Check for validity of the string, first.  $\star/$ 114 if (memchr(lbuf, 0, size)) { 115 /\* The maximum number of integers encoded. To see that this 116 may be as much look at the sequence 08 08 08 08  $\dots$  \*/ 117 ret = malloc(sizeof(size\_t[1+(2\*size)/3])); 118 n = numberline\_inner(lbuf, ret, base); 119 120 121 /\* Supposes that shrinking realloc will always succeed. \*/122  $size_t$  len = n ? n : 1; 123 ret = realloc(ret, sizeof(size\_t[len])); 124 125 if (np) \*np = n;126 return ret; 127

```
fgetline: read one text line of at most size-1 bytes.

The '\n' character is replaced by 0.

Returns: s if an entire line was read successfully. Otherwise, 0 is returned and s contains a maximal partial line that could be read. s is null terminated.

char* fgetline(size_t size, char s[restrict size],

FILE* restrict stream);
```

This is quite similar to the C library function fgets. The first difference is the interface: the parameter order is different and the size parameter is a size\_t instead of an int. As fgets, it returns a null pointer if the read from the stream failed. Thereby the end-of-file condition is easily detected on stream.

More important is that fgetline handles another critical case more gracefully. It detects if the next input line is too long or if the last line of the stream ends without a ' $\n'$  character.

```
numberline.c
129
    char* fgetline(size_t size, char s[restrict size],
                     FILE* restrict stream) {
130
131
       s[0] = 0;
       char* ret = fgets(s, size, stream);
132
133
       if (ret) {
134
         /* s is writable so can be pos. */
135
         char* pos = strchr(s, '\n');
136
         if (pos) *pos = 0;
137
         else ret = 0;
138
139
       return ret;
140
```

The first two lines of the function guarantee that s is always null terminated: either by the call to fgets, if successful, or by enforcing it to be an empty string. Then, if something was read, the first '\n' character that can be found in s is replaced by 0. If none is found, a partial line has been read. In that case the caller can detect this situation and call fgetline again to attempt to read the rest of the line or to detect an end-of-file condition. [Exs 33]

Besides fgets this uses strchr from the C library. The lack of **const**-safeness of this function is not an issue, here, since s is supposed to be modifiable, anyhow. Unfortunately, with the interfaces as they exist now, we always have to do this assessment ourselves. Later, in Section 24.2 we will see a proposal to improve on that situation.

Since it involves a lot of detailed error handling, We will only go into all details of the function fprintnumbers in Section 15, below. For our purpose here we restrict ourselves to the discussion of function sprintnumbers, that is a bit simpler because it only writes to a string, instead of a stream, and because it just assumes that the buffer buf that it receives provides enough space.

```
sprintnumbers: print a series of numbers nums in buf, using printf format form, separated by sep characters and terminated with a newline character.

Returns: the number of characters printed to buf.

This supposes that tot and buf are big enough and that form is a format suitable to print size_t.

int sprintnumbers(size_t tot, char buf[restrict tot], char const form[restrict static 1], char const sep[restrict static 1], size_t len, size_t nums[restrict len]);
```

Function sprintnumbers uses a function of the C library that we haven't met yet, sprintf. Its formatting capacities are the same as those of printf or fprintf, only that it doesn't print to a stream, but to a char array, instead.

Function sprintf always ensures that a 0 character is placed at the end of the string. It also returns the length of that string, that is the number of characters before the 0 character that have been written. This is used above to update the pointer to the current position in the buffer. sprintf still has an important vulnerability:

Rule 2.14.1.4 sprintf makes no provision against buffer overflow.

<sup>[</sup>Exs 33] Improve the **main** of the example such that it is able to cope with arbitrarily long input lines.

```
numberline.c
147
    int sprintnumbers(size_t tot, char buf[restrict tot],
148
                        char const form[restrict static 1],
149
                        char const sep[restrict static 1],
150
                        size_t len, size_t nums[restrict len]) {
151
                        /* next position in buf */
       char* p = buf;
152
       size_t const seplen = strlen(sep);
153
       if (len) {
154
         size_t = 0;
155
         for (;;) {
156
           p += sprintf(p, form, nums[i]);
157
           ++i;
           if (i >= len) break;
158
159
           memcpy(p, sep, seplen);
160
           p += seplen;
161
162
163
      memcpy(p, "\n", 2);
164
       return (p-buf)+1;
165
```

That is, if we pass an insufficient buffer as a first argument, bad things will happen. Here inside sprintnumbers, much the same as **sprintf** itself, we <u>suppose</u> that the buffer is large enough to hold the result. If we aren't sure that the buffer can hold the result, we can use the C library function **snprintf**, instead.

This function ensures in addition that never more than n bytes are written to s. If the return value is larger or equal to n the string is been truncated to fit. In particular, if n is 0 nothing is written into s.

Rule 2.14.1.5 *Use* snprintf when formatting output of unknown length.

In summary snprintf has a lot of nice properties:

- The buffer s will not overflow.
- After a successful call s is a string.
- When called with n and s set to 0, snprintf just returns the length of the string that would have been written.

By using that, a simple **for**-loop to compute the length of all the numbers printed on one line looks as follows:

```
numberline.c

180     /* Count the chars for the numbers. */
181     for (size_t i = 0; i < len; ++i)
182     tot += snprintf(0, 0, form, nums[i]);</pre>
```

We will later see how this is used in the context of fprintnumbers.

TABLE 1. Format specifications for scanf and similar functions, with the general syntax [XX] [WW] [LL] SS

```
XX * assignment suppression

WW field width maximum number of input characters

LL modifier select width of target type

SS specifier select conversion
```

TABLE 2. Format specifiers for scanf and similar functions. With an '1' modifier, specifiers for characters or sets of characters ('c', 's', '[') transform multibyte character sequences on input to wide character wchar\_t arguments, see Section 14.3.

SS	conversion	pointer to	skip space	analogous to function
'd'	decimal	signed integer	yes	strtol, base 10
'i'	decimal, octal or hex	signed integer	yes	strtol, base 0
'u'	decimal	unsigned integer	yes	strtoul, base 10
<b>'</b> o <b>'</b>	octal	unsigned integer	yes	strtoul, base 8
'x'	hexadecimal	unsigned integer	yes	strtoul, base 16
'aefg'	floating point	floating point	yes	strtod
1%1	'%' character	no assignment	no	
'c'	character (sequence)	character	no	memcpy
's'	non-white-space	string	yes	<pre>strcspn with "_\f\n\r\t\v"</pre>
′[′	scan set	string	no	strspn or strcspn
'p'	address	void	yes	
'n'	character count	signed integer	no	

**14.2. Formatted input.** Similar as the **printf** family of functions for formatted output, the C library as a series of functions for formatted input: **fscanf**, for input from an arbitrary stream, **scanf** for **stdin**, and **sscanf** from a string. For example the following would read a line of three **double** values from **stdin**:

```
double a[3];
/* Read and process an entire line with three double values */
if (scanf("_%lg_%lg_", &a[0], &a[1], &a[2]) < 3) {
    printf("not_enough_input_values!\n");
}</pre>
```

Tables 1 to 3 give an overview of the format for specifiers. Unfortunately, these functions are more difficult to use than **printf** and also they have conventions that diverge from **printf** in subtle points:

- To be able to return values for all formats the arguments are pointers to the type that is scanned.
- White space handling is subtle and sometimes unexpected. A space character, '\_', in the format matches any sequence of white-space, namely spaces, tabs and newline characters. Such a sequence may in particular be empty or contain several newline characters.
- String handling is different. As the arguments to the scanf functions are pointers anyhow, formats "%c" and "%s" both refer to an argument of type char\*. Where "%c" reads a character array of fixed size (of default 1), "%s" matches any sequence of non-white-space characters and adds a terminating 0 character.

TABLE 3. Format modifiers for scanf and similar functions. Note that the significance for float \* and double\* arguments is different than for printf formats.

character	type
"hh"	char types
"h"	short types
" "	signed, unsigned, float, char arrays and strings
"1"	long integer types, double, wchar_t characters and strings
"11"	long long integer types
"j"	intmax_t, uintmax_t
"Z"	size_t
"t"	ptrdiff_t
"L"	long double

- The specification of types in the format have subtle differences compared to **printf**, in particular for floating point types. To be consistent between the two, best is to use "%lg" or similar for **double** and "%Lg" for **long double**, both for **printf** and **scanf**.
- There is a rudimentary utility to recognize character classes. For example, a format of "%[aeiouAEIOU]" can be use to scan for the vowels of the Latin alphabet. In such a character class specification the caret '^' negates the class if it is found at the beginning. Thus "%[^\n]%\*[\n]" scans a whole line (but which must be non-empty) and then discards the newline character at the end of the line.

These particularities make the scanf family of functions difficult to use. E.g. our seemingly simple example above has the flaw (or feature) that it is not restricted to read a single input line, but it would happily accept three **double** values that are spread over several lines. [Exs 34] in most cases where you have a regular input pattern such as a series of numbers they are best avoided.

**14.3. Extended character sets.** Up to now we have used only a limited set of character to specify our programs or the contents of string literals that we printed on the console: namely a set consisting of the Latin alphabet, Arabic numerals and some punctuation characters. This limitation is a historic accident that originates in the early market domination by the American computer industry, on one hand, and the initial need to encode characters with a very limited number of bits on the other. As we already have seen by the use of the type name **char** for the basic data cell, the concepts of a text character and of an atomic data component was not very well separated at the start.

Latin from which we inherited our character set, is a language that, as a spoken language, is long dead. Its character set is not sufficient to encode the particularities of the phonetics of other language. Among the European languages English has the particularity that it encodes the missing sounds with combinations of letters such as "ai", "ou" or "gh" (fair enough), not with diacritic marks, special characters or ligatures (fär ínóff), as do most of its cousins. So for other languages that use the Latin alphabet the possibilities were already quite restricted, but for languages and cultures that use completely different scripts (Greek, Russian) or even completely different concepts (Japanese, Chinese) this restricted American character set was clearly not sufficient.

<sup>[</sup>Exs 34] Modify the format string in the example such that it only accepts three numbers on a single line, separated by blanks, and such that the terminating newline character (eventually preceded by blanks) is skipped.

<sup>&</sup>lt;sup>35</sup>The character encoding that is dominantly used for the basic character set referred to as ASCII, <u>A</u>merican standard code for information interchange.

During the first years of market expansion out to the world different computer manufactors, countries and organizations provided native language support for their respective communities more or less randomly, added specialized support for graphical characters, mathematical typesetting, music scores ... without coordination, an utter chaos. As a result interchanging textual information between different systems, countries, cultures was difficult if not impossible in many cases; writing portable code that could be used in the context of different language and different computing platforms had much resemblance to black arts.

Luckily, these yearlong difficulties are now mainly mastered and on modern systems we will be able to write portable code that uses "extended" characters in a unified way. The following code snippet shows how this is supposed to work:

```
mbstrings-main.c

87  setlocale (LC_ALL, "");

88  /* Multibyte character printing only works after the locale

89  has been switched. */

90  draw_sep(TOPLEFT "_©_2014_jɛnz_'gvz,tɛt_", TOPRIGHT);
```

That is, near the beginning of our program we switch to the "native" locale, and then we can use and output text containing extended characters, here phonetics (so-called IPA). The output of this looks similar to

The means to achieve this are quite simple. We have some macros with magic string literals for vertical and horizontal bars, topleft and topright corners:

```
#define VBAR "\u2502" /**< a vertical bar character */
#define HBAR "\u2500" /**< a horizontal bar character */
#define TOPLEFT "\u250c" /**< topleft corner character */
#define TOPRIGHT "\u2510" /**< topright corner character */
```

And an adhoc function that nicely formats an output line:

This uses a function to count the number of print characters in a "multibyte string" (mbsrlen) and our old friends fputs and fputc for textual output.

The start of all of this by the call to setlocale is important. Chances are that otherwise you'd see just garbage if you output characters from the extended set to your terminal. But once you do that and your system is well installed, such characters placed inside multibyte strings "fär\_inoff" should work out not to badly.

Here, a <u>multibyte character</u> is a sequence of bytes that are interpreted as representing a single character of the extended character set, and a <u>multibyte string</u> is a string that contains such multibyte characters. Luckily, these beasts are compatible with ordinary strings as we have handled them so far:

Rule 2.14.3.1 Multibyte characters don't contain null bytes.

Rule 2.14.3.2 *Multibyte strings are null terminated.* 

Thus, many of the standard string function such as strcpy work out of the box for multibyte strings. They introduce one major difficulty, though, namely the fact that the number of printed characters can no longer be directly deduced from the number of elements of a **char** array or by the function strlen. This is why in the code above we use the (non-standard) function mbsrlen.

mbstrings.h

mbsrlen: Interpret a mb string in *mbs* and return its length when interpreted as a wide character string.

Returns: the length of the mb string or -1 if an encoding error occured.

This function can be integrated into a sequence of searches through a string, as long as a *state* argument is passed to this function that is consistent with the mb character starting in *mbs*. The state itself is not modified by this function.

Remarks: state of 0 indicates that mbs can be scanned without considering any context.

As you can already see from that description, parsing multibyte strings for the individual multibyte characters can be a bit more complicated. In particular, we generally we may need to keep a parsing state by means of the type  $mbstate_t$  that is provided by the C standard in the header files  $wchar.h^{36}$ . This header provides utilities for multibyte strings and characters, but also for a so-called wide character type  $wchar_t$ . We will see all of that below.

But before we can do that we have to introduce another international standard, ISO 10646, so-called <u>Unicode</u>. As the naming indicates Unicode<sup>37</sup> attempts to provide a unified framework for character codes. It provides a huge table<sup>38</sup> of basically all character <u>concepts</u> that have been conceived by mankind so far. "Concept" here is really important; we have to distinguish that from the print form or <u>glyph</u> that a particular character may have in a certain typesetting, such as a "Latin capital letter A" can appear as A,  $\underline{A}$ , A or A in the present text. Other such conceptual characters like the character "Greek capital letter Alpha" may even be printed with the same or similar glyph A.

Unicode places each character concept, <u>code point</u> in its own jargon, into a linguistic or technical context. In addition to the definition of the character itself it classifies it, *e.g.* as being a capital letter, and relates it to other code points, *e.g.* by stating that "A" is the capitalization of "a".

If you need special characters for your particular language, there are good chances that you have them on your keyboard and that you can enter them into multibyte string for coding in C just "as-is". That is that your system is configured to insert the whole byte

#include <wchar.h>

<sup>&</sup>lt;sup>36</sup>The header uchar. h also provides this type.

<sup>&</sup>lt;sup>37</sup>http://www.joelonsoftware.com/articles/Unicode.html

<sup>&</sup>lt;sup>38</sup>Today Unicode has about 110000 code points.

sequence for an "a", say, directly into the text and doing all the magic that is needed for you. If you don't have or want that, you can use the technique that we used for the macros HBAR etc above. There we have used an escape sequence that is new in C11<sup>39</sup>: a backslash and a "u" followed by four hexadecimal digits encodes a Unicode codepoint. *E.g.* the codepoint for "latin small letter a with diaeresis" is 228 or 0xE4. Inside a multibyte string this then reads as "\u00E4". Since four hexadecimal digits can only address 65536 codepoints, there is another possibility to specify 8 hexadecimal digits, introduced by a backslash an a capital "U", but you will only encounter these in very much specialized contexts.

In the example above we encoded 4 graphical characters with such Unicode specifications, characters that most likely are not placed on any keyboard. There are several online sites that allow you to find the code point for any character that you are searching.

If we want to do more than simple input/output with multibyte characters and strings, things become a bit more complicated. Already simple counting of the characters is not trivial, strlen does give the right answer, and other string functions such as strchr, strspn or strstr don't work as expected. Fortunately, the C standard gives us a whole set of replacement functions, usually prefixed with wcs instead of str, that will work on wide character strings, instead. The mbsrlen function that we introduced above can be coded as

```
mbstrings.c

size_t mbsrlen(char const*s, mbstate_t const*restrict state) {

if (!state) state = MBSTATE;

mbstate_t st = *state;

size_t mblen = mbsrtowcs(0, &s, 0, &st);

if (mblen == -1) errno = 0;

return mblen;
}
```

The core of this function is the use of the library function mbsrtowcs, "<u>multibyte string</u> (<u>mbs</u>), restartable, to wide character string (<u>wcs</u>)", which constitutes one of the primitives that the C standard provides to handle multibyte strings:

```
1 size_t mbsrtowcs(wchar_t*restrict dst, char const**restrict src,
2 size_t len, mbstate_t*restrict ps);
```

So once we decrypted the abbreviation of the name we know that this function is supposed to convert an mbs, src, to a wcs, dst. Here, wide characters (wc) of type wchar\_t are use to encode exactly one character of the extended character set and these wide characters are used to form wcs pretty much in the same way as char compose ordinary strings: they are null terminated arrays of such wide characters.

The C standard doesn't restrict the encoding that is used for **wchar\_t** much, but any sane environment should nowadays use Unicode for its internal representations. You can check this with two macros as follows:

Modern platforms typically implement wchar\_t by either 16 bit or 32 bit integer types. Which of these should usually not be of much concern to you, if you only use the code points that are representable with 4 hexadecimal digits in the \uxxxxx notation. Those platforms that use 16 bit effectively can't use the other code points in \uxxxxxxxxx notation, but this shouldn't bother you much.

Wide characters and wide character string literals follow analogous rules as we have seen them for **char** and strings. For both a prefix of L indicates a wide character or string, *e.g.* L'ä' and L'\u00E4' are the same character, both of type **wchar\_t**, and L"b\u00E4"

<sup>&</sup>lt;sup>39</sup>http://dotslashzero.net/2014/05/21/the-interesting-state-of-unicode-in-c/

```
mbstrings.h

23 #ifndef _STDC_ISO_10646__
24 # error "wchar_t_wide_characters_have_to_be_Unicode_code_points"
25 #endif
26 #ifdef _STDC_MB_MIGHT_NEQ_WC_
27 # error "basic_character_codes_must_agree_on_char_and_wchar_t"
28 #endif
```

is an array of 3 elements of type wchar\_t that contains the wide characters L'b',  $L'\ddot{a}'$  and 0.

To come back to mbsrtowes, this function <u>parses</u> the multibyte string <u>src</u> into snippets that correspond to multibyte character, and assigns the corresponding code point to the wide characters in <u>dst</u>. The parameter <u>len</u> describes the maximal length that the resulting wes may have. The parameter <u>state</u> points to a variable that stores an eventual <u>parsing</u> <u>state</u> of the mbs, we will discuss this concept briefly a bit later.

As you can see now, the function mbsrtowcs has two particularities. First when called with a null pointer for dst it simply doesn't store the wcs but only returns the size that such a wcs would have. Second, it can produce a <u>coding error</u> if the mbs is not encoded correctly. In that case the function returns (size\_t) -1 and sets errno to the value EILSEQ. Part of the code of mbsrlen is actually a "repair" of that error strategy by setting errno to 0, again.

Let's now see into a second function that will help us to handle mbs:

mbstrings.h
to a wide char-

mbsrdup: Interpret a sequence of bytes in s as mb string and convert it to a wide character string.

**Returns**: a newly malloc'ed wide character string of the appropriate length, 0 if an encoding error occurred.

**Remarks**: This function can be integrated into a sequence of such searches through a string, as long as a *state* argument is passed to this function that is consistent with the mb character starting in c. The state itself is not modified by this function.

state of 0 indicates that s can be scanned without considering any context.

```
wchar\_t \star \ \texttt{mbsrdup}\,(char \ const \star s, \ mbstate\_t \ const \star restrict \ \texttt{state})\,;
```

So this function returns a freshly allocated wcs with the same contents as the mbs  $\,\mathrm{s}\,$  that it receives on input. Besides the fuzz with the  $\,\mathrm{state}\,$  parameter, its implementation is straight forward:

After determining the length of the target string, we use malloc to allocate space and mbsrtowes to copy over the data.

To have a more fine grained control over the parsing of an mbs, the standard provides the function mbrtowc:

```
size_t mbrtowc(wchar_t*restrict pwc,
const char*restrict s, size_t len,
mbstate_t* restrict ps);
```

In this interface, parameter len denotes the maximal position in s that is scanned for a single multibyte character. Since in general we don't know how such a multibyte encoding works on the target machine, we have to do some guess work that helps us determine len.

```
mbstrings.c
38
   wchar_t* mbsrdup(char const*s, mbstate_t const*restrict state) {
39
      size_t mblen = mbsrlen(s, state);
40
      if (mblen == -1) return 0;
41
      mbstate_t st = state ? *state : *MBSTATE;
42
      wchar_t* S = malloc(sizeof(wchar_t[mblen+1]));
43
      /st We know that s converts well, so no error check st/
44
      if (S) mbsrtowcs(S, &s, mblen+1, &st);
45
      return S;
46
```

To encapsulate such a heuristic, we cook up the following interface. It has the similar semantic as mbrtowc but avoids the specification of len:

mbrtow: Interpret a sequence of bytes in c as mb character and return that as wide character through C.

Returns: the length of the mb character or -1 if an encoding error occured.

This function can be integrated into a sequence of such searches through a string, as long as the same *state* argument passed to all calls to this or similar functions.

**Remarks**: state of 0 indicates that c can be scanned without considering any context.

So this function returns the number of bytes that were identified for the first multibyte character in the string, or -1 when on error. **mbrtowc** as another possible return value, -2, for the case that len wasn't big enough. The implementation uses that return value, to detect such a situation and to adjust len until it fits:

```
mbstrings.c
    size_t mbrtow(wchar_t*restrict C, char const c[restrict static
14
       1],
15
                  mbstate_t*restrict state) {
16
      if (!state) state = MBSTATE;
17
      size_t len = -2;
18
      for (size_t maxlen = MB_LEN_MAX; len == -2; maxlen *= 2)
19
        len = mbrtowc(C, c, maxlen, state);
20
      if (len == -1) errno = 0;
21
      return len;
22
```

Here, MB\_LEN\_MAX is a standard value that will be a good upper bound for len in most situations.

Let us now go to a function that uses the capacity of mbrtow to identify mbc and to use that to search inside a mbs.

mbstrings.h

mbsrwc: Interpret a sequence of bytes in s as mb string and search for wide character C.

**Returns**: the *occurrence'th* position in s that starts a mb sequence corresponding to C or 0 if an encoding error occurred.

If the number of occurrences is less than *occurrence* the last such position is returned. So in particular using **SIZE\_MAX** (or -1) will always return the last occurrence.

**Remarks**: This function can be integrated into a sequence of such searches through a string, as long as the same *state* argument passed to all calls to this or similar functions and as long as the continuation of the search starts at the position that is returned by this function.

state of 0 indicates that s can be scanned without considering any context.

```
mbstrings.c
68
    char const* mbsrwc(char const s[restrict static 1], mbstate_t*
        restrict state,
69
                         wchar_t C, size_t occurrence) {
70
      if (!C \mid | C == WEOF) return 0;
71
      if (!state) state = MBSTATE;
72
      char const* ret = 0;
73
74
      mbstate_t st = *state;
75
      for (size_t len = 0; s[0]; s += len) {
76
        mbstate_t backup = st;
        wchar_t S = 0;
77
78
        len = mbrtow(&S, s, &st);
79
        if (!S) break;
80
        if (C == S) {
81
          *state = backup;
          ret = s;
82
83
          if (!occurrence) break;
84
          --occurrence;
85
86
87
      return ret;
88
```

As said, all of this encoding with multibyte strings and simple IO works perfectly fine if we have an environment that is consistent. That is if it uses the same multibyte encoding within your source code as for other text files and on your terminal. Unfortunately here not all environments use the same encoding, yet, so you may encounter difficulties when transferring text files (including sources) or executables from one environment to the other. Besides the definition of the big character table, Unicode also defines 3 encodings that are now widely used and that hopefully will replace all others, eventually. These are called UTF-8, UTF-16 and UTF-32 for Unicode Transformation Format with 8 bit, 16 bit and 32 bit words, respectively. With C11, the C language now includes rudimentary direct

support for these encodings without having to rely on the locale. String literals with these encodings can be coded as u8"text", u"text" and U"text" which have types char[], char16\_t[] and char32\_t[], respectively.

Good chances are that the multibyte encoding on a modern platform is UTF-8, anyhow, and then you wouldn't need these special literals and types. They would be mostly useful in a context where you'd have to ensure one of these encodings, *e.g.* in a network communication. Life on legacy platforms might be more difficult, see here for an overview for the Windows<sup>40</sup> platform.

**14.4. Binary files.** In Section 8.2 we have already seen that input and output to streams can also be performed in <u>binary</u> mode in contrast to the usual <u>text mode</u> as we have used it up to now. To see the difference, remember that text mode <u>IO</u> doesn't write the bytes that we pass to **printf** or **fputs** one-to-one to the target file or device.

- Depending on the target platform, a '\n' character can be encoded as one or several characters.
- Spaces that precede a new line can be suppressed.
- Multibyte characters can be transcribed from the execution character set (the program's internal representation) to the character set of the file system underlying the file.

And similar observations hold for reading data from text files.

If the data that we manipulate is effectively human readable text, all of this is fine, we can consider ourselves happy that the IO functions together with setlocale make this mechanism as transparent as possible. But if we are interested in reading or writing binary data just as it is present in some C objects, this can be quite a burden and lead to serious difficulties. In particular, binary data could implicitly map to the end-of-line convention of the file, and thus a write of such data could change the file's internal structure.

So to read and right binary data easier, we need some more interfaces.

The use of fread and fwrite is relatively straight forward. Each stream has a current file position for reading an writing. If successful, these two functions read or write size\*nmemb bytes from that position onward and then update the file position to the new value. The return value of both functions is the number of bytes that have been read or written, so usually size\*nmemb, and thus an error occurred if the return value is less than that

The functions **ftell** and **fseek** can be used to operate on that file position: **ftell** returns the position in terms of bytes from the start of the file, **fseek** positions the file according to the arguments offset and whence. Here whence can have one of the values, **SEEK\_SET** refers to the start of the file and **SEEK\_CUR** to current file position before the call. <sup>41</sup>

By means of these four functions, we may effectively move forward and backward in a stream that represents a file and read or write any byte of it as it pleases. This can *e.g.* be used to write out a large object in its internal representation to a file and read it in later by a different program, without performing any modifications.

This interface has some restrictions, though:

<sup>40</sup>http://www.nubaria.com/en/blog/?p=289

<sup>&</sup>lt;sup>41</sup>There is also **SEEK END** for the end-of-file position, but this may have platform defined glitches.

- Since this works with internal representations of objects this is only portable between platforms and program executions that use that same representation, *e.g.* the same endianess. Different platforms, operating systems and even program executions can have different representations.
- The use of the type **long** for file positions limits the size of files that can easily be handled with **ftell** and **fseek** to **LONG\_MAX** bytes. On most modern platforms this corresponds to 2GiB. [Exs 42]

## 15. Error checking and cleanup

C programs can encounter a lot of error conditions. Errors can be programming errors, bugs in the compiler or OS software, hardware errors or in some resource exhaustion (*e.g.* out of memory), or — any malicious combination of these. For our program to be reliable, we have to detect such error conditions and to deal with them gracefully.

As a first example take the following description of a function fprintnumbers, that continues the series of functions that we discussed in Section 14.1.

numberline.c

fprintnumbers: print a series of numbers *nums* on *stream*, using printf format *form*, separated by *sep* characters and terminated with a newline character.

**Returns**: the number of characters printed to *stream*, or a negative error value on error.

If *len* is 0, an empty line is printed and 1 is returned.

Possible error returns are:

- **EOF** (which is negative) if *stream* was not ready to be written to
- -EOVERFLOW if more than INT\_MAX characters would have to be written, including the case that *len* is greater than INT\_MAX.
- -EFAULT if stream or numb are 0
- -ENOMEM if a memory error occurred

This function leaves **errno** to the same value as occurred on entry.

#include <errno.h>

As you can see, this function distinguishes four different error conditions, that are indicated by the return of negative constant values. The macros for these values are generally provided by the platform in erroo.h and all start with the capital letter E. Unfortunately the C standard itself imposes only EOF (which is negative), and EDOM, EILSEQ and ERANGE which are positive. Other values may or may not be provided. Therefore, in the initial part of our code we have a sequence of preprocessor statements that give default values for those that are missing.

<sup>[</sup>Exs 42] Write a function fseekmax that uses **intmax\_t** instead of **long** and that achieves large seek values by combining calls to **fseek**.

```
numberline.c
   #include <limits.h>
36
37
   #include <errno.h>
   #ifndef EFAULT
38
39
   # define EFAULT EDOM
40
   #endif
41
   #ifndef EOVERFLOW
42
   # define EOVERFLOW (EFAULT-EOF)
43
   # if EOVERFLOW > INT_MAX
44
     error EOVERFLOW constant is too large
45
   # endif
   #endif
46
   #ifndef ENOMEM
47
   # define ENOMEM (EOVERFLOW+EFAULT-EOF)
48
49
   # if ENOMEM > INT_MAX
50
      error ENOMEM constant is too large
51
   # endif
   #endif
```

The idea of all of this is that we want to be sure to have distinct values for all of these macros. Now the implementation of the function itself looks as follows:

```
numberline.c
167
     int fprintnumbers(FILE*restrict stream,
168
                           char const form[restrict static 1],
169
                           char const sep[restrict static 1],
170
                           size_t len, size_t nums[restrict len]) {
171
       if (!stream)
                              return -EFAULT;
       if (len && !nums) return -EFAULT;
172
       if (len > INT_MAX) return -EOVERFLOW;
173
174
175
       size_t tot = (len ? len : 1) * strlen (sep);
       int err = errno;
176
177
       char* buf = 0;
178
179
       if (len) {
180
         /\star Count the chars for the numbers. \star/
181
          for (size_t i = 0; i < len; ++i)
182
            tot += snprintf(0, 0, form, nums[i]);
183
          /* We return int so we have to constrain the max size. */
          if \quad (\texttt{tot} \, > \, \underline{\textbf{INT\_MAX}}) \quad return \quad \texttt{error\_cleanup} \, (\underline{\textbf{EOVERFLOW}}, \, \, \texttt{err}) \, ;
184
185
186
187
       buf = malloc(tot+1);
188
       if (!buf) return error_cleanup(ENOMEM, err);
189
190
       sprintnumbers(tot, buf, form, sep, len, nums);
191
       /* print whole line in one go */
192
       if (fputs(buf, stream) == EOF) tot = EOF;
193
       free (buf);
194
       return tot;
195
```

In fact, error handling dominates pretty much the coding effort for the whole function. The first three lines handle errors that occur on entry to the functions and reflect missed pre-conditions, or to speak in the language of Annex K, see Sections 8 and  $\ref{eq:constraint}$ , runtime constraint violations.

Dynamic runtime errors are a bit more difficult to handlė. In particular, some functions of the C library may use the pseudo variable **errno** to communicate an error condition. If we want to capture and repair all errors, we have to avoid any change to the global state of the execution, including to **errno**. This is done by saving the current value on entry to the function and restoring it in case of an error by a call to the small function error\_cleanup.

```
numberline.c

142
static inline int error_cleanup(int err, int prev) {
    errno = prev;
    return -err;
}
```

Now the core of the function itself computes the total number of bytes that should be printed in a **for**-loop over the input array. In the body of the loop, **snprintf** with two 0 arguments is used to compute the size for each number. Then our function <code>sprintnumbers</code> from Section 14.1 is used to produce a big string that then is printed through **fputs**.

Observe that there is no error exit after a successful call to malloc. If an error is detected on return from the call to fputs the information is stored in the variable tot, but the call to free is not skipped. So even if such an output error occurs, no allocated memory is left leaking. Here, taking care of a possible IO error was relatively simple because the call to fputs occurred close to the call to free.

**15.1.** The use of goto for cleanup. The function fprintnumbers\_opt needs more care. It tries to optimize the procedure even further by printing the numbers immediately instead of counting the required bytes first. This may encounter more error conditions as we go, and we have to take care of them by still guaranteeing to issue a call to free at the end. The first such condition is that the buffer that we allocated initially is too small. If the call to realloc to enlarge it fails, we have to retreat carefully. The same holds if we encounter the unlikely condition that the total length of your string exceeds INT\_MAX.

For both cases the function uses **goto**, to jump to the cleanup code that then calls **free**. With C, this is a well established technique that ensures that the cleanup effectively takes place and that, on the other hand, avoids hard to read nested **if** – **else** conditions. The rules for **goto** are relatively simple

Rule 2.15.1.1 *Labels for* **goto** *are visible in the whole function that contains them.* 

Rule 2.15.1.2 goto can only jump to a label inside the same function.

Rule 2.15.1.3 goto should not jump over variable initializations.

The use of **goto** and similar jumps in programming languages has been subject to intensive debate, starting from an article by Dijkstra [1968]. Still today you will find people that seriously object code as it is given here, but let us try to be pragmatic about that: code with or without **goto** can be ugly and hard to follow. The main idea here is to have the "normal" control flow of the function mainly undisturbed and to clearly mark exceptional changes to the control flow with a **goto** or **return**. Later in Section 18.2 we will see another tool in C that allows even more drastic changes to the control flow, namely **setjmp/longjmp**, that will enable us to jump to other positions on the stack of calling functions.

```
numberline.c
197
    int fprintnumbers_opt(FILE*restrict stream,
198
                        char const form[restrict static 1],
199
                        char const sep[restrict static 1],
200
                        size_t len, size_t nums[restrict len]) {
201
       if (!stream)
                           return -EFAULT;
202
       if (len && !nums) return -EFAULT;
203
       if (len > INT_MAX) return -EOVERFLOW;
204
205
       int err = errno;
206
       size_t const seplen = strlen(sep);
207
208
       size_t tot = 0;
209
       size_t mtot = len*(seplen+10);
210
       char* buf = malloc(mtot);
211
212
       if (!buf) return error_cleanup(ENOMEM, err);
213
214
       for (size_t i = 0; i < len; ++i) {</pre>
215
        tot += sprintf(&buf[tot], form, nums[i]);
216
         ++i;
217
         if (i >= len) break;
218
         if (tot > mtot-20) {
219
           mtot \star= 2;
220
           char* nbuf = realloc(buf, mtot);
221
           if (buf) {
222
            buf = nbuf;
223
           } else {
224
             tot = error_cleanup(ENOMEM, err);
225
             goto CLEANUP;
226
227
228
         memcpy(&buf[tot], sep, seplen);
229
         tot += seplen;
230
         if \quad (\texttt{tot} \ > \ \underline{INT\_MAX}) \quad \{
231
           tot = error_cleanup(EOVERFLOW, err);
232
           goto CLEANUP;
233
         }
234
235
      buf[tot] = 0;
236
237
       /* print whole line in one go */
238
       if (fputs(buf, stream) == EOF) tot = EOF;
239
     CLEANUP:
240
       free (buf);
241
       return tot;
242 }
```

### LEVEL 4



# **Ambition**

Remark: The presentation of this level is probably not yet in its final form. Please bare with me and don't hesitate to make suggestions.

I have already noted here and there that C as a standardized language has evolved since its beginnings. Backwards compatibility is a major issue for the C community, so this evolution is slow compared to other programming languages. But nevertheless this evolution is happening, and it recently has brought in important features such as threads, atomics and **\_Generic**.

On this level I will try to give my vision of future developments of C. The idea is that they should be driven by a need, should be easy to implement <u>and</u> should only make minimal changes. Certainly these three criteria are subjective, but my hope is that this will somehow lead to some consensus of what is to be included and what would be extensions. The properties of C that I'd like to maintain are:

- C is a statically typed language.
- C is portable to all modern computer architectures.
- A well written program that conforms to one version of the standard, and that doesn't use deprecated features, should remain so for at least one subsequent version of the standard.<sup>2</sup>
- Additions to the standard should be based on well tested extensions.
- Changes that may modify the semantics of conforming programs must be well identified and must have an easy to implement compile time diagnostic. The number of programs that would have modified behavior must be marginal within the existing body of conforming programs.

There are several problem zones that I would like to be tackled. Resolving issues here would make programming in C even easier, without giving up any of the portability or optimization potential.

- Lack of constants for arbitrary types.
- Lack of type generic macro capacities.
- Lack of type generic interfaces for the C library.
- Lack of modularity, reusability and encapsulation.
- Lack of compile time and run time check of function calls.
- An inconsistent and confusing object and memory model.
- An inconsistent const-contract.

# Sections of the C standard to be amended

Blocks like this one are used to specify the changes that would have to be made to the C standard. If you are not interested in the very details of that but just in the proposed features you probably can skip these.

<sup>&</sup>lt;sup>1</sup>VLA are the only permitted extension from that rule.

<sup>&</sup>lt;sup>2</sup>I.e., it will have a minimal lifetime of about 20 years.

### 22. The rvalue overhaul

To see how we want to overcome the lack of general constants that can be specified in C, let us look at the two following definitions:

```
enum { fortytwo = 42, };
register int const fortytwo = 42;
```

From the point of view of the C standard, they specify very different things, an enumeration constant and an object. But as long as they are placed inside a function, the two entities are not much distinguishable by code that uses them.

- Both are of type int.
- Both have value 42.
- Both may not appear on the left hand side of an assignment.
- Both may not be subject of the address-of operator &.
- They have exactly the same spelling.

Seeing theses similarities, the idea is

Goal 1 Amend the C standard to allow the use of const qualified register objects as means to declare compile time constants.

There are differences that necessitate such an amendment seem quite artificial, compared to similarities that we have seen:<sup>3</sup>

**Scope:** Definitions with **register** storage are not allowed in file scope.

**ICE:** An object with **const** qualification is not an ICE, even if the initializer is an ICE. This changes semantics when defining an array with such a length, or forbids using it as a positional declarator in an initialization.

Another difficulty with register that is not yet apparent from the example is:

**Arrays:** Because of implicit address-of operations when accessing them, **register** is not valid for array types.

In view of that, for the rvalue overhaul I will try bring the concepts closer together, for which the separation is quite unproductive and artificial: rvalues and **const**-qualified **register** objects. Both are (with some boundary exception) just:

immutable and non-addressable entities in the program state

In general CS language this is nothing else than a constant within the scope of the program, and the distinction in C is probably just seen as quibble by the outside world.

You all know by now that the term <u>rvalue</u> is a short for <u>right</u> (hand) value, that is one that is forcibly found on the RHS of an <u>assignment</u> and can't be on the left. In some cases we have learned that there also are <u>unassignable lvalues</u>, objects that can't be assigned to. Semantically, this is very similar but the C concepts that are behind are subtly different.

In the following I'd like to shift your understanding of seeing rvalues more as <u>register values</u>, as entities that reside as abstract entities in the abstract state machine. Usually they are then realized in the program as one or several hardware register, assembler immediates, implicit constants, special instructions, or even be spilled to memory.

**22.1.** Introduce register storage class in file scope. The register storage class is perhaps the least understood, less esteemed and most underestimated tools of the C language. It merits better, since it can be used to force a very economic use of the & operator in code that is sensible to optimization. It helps to tackle one of the most important optimization problems that steams from C's "flat" memory model: aliasing. A reformulation of Rule 2.13.2.10 in the language of the C standard would be:

<sup>&</sup>lt;sup>3</sup>Another one would be perhaps, according to different schools of interpretation of the C standard, in a **Generic** primary expression.

Rule 4.22.1.1 Objects that are declared with register storage duration can't alias.

Unfortunately, with the current definition of **register** such a use is limited to function scope. There is no technical reason that it couldn't: file scope **register** declarations are already allowed from a viewpoint of syntax, they are just excluded by an explicit constraint.

Any compiler should be able to implement <u>objects</u> with **register** storage class easily. At the minimum they can just be realized as **static** with two additional features:

- Produce a diagnostic if the address of such an object is taken.
- If such a register object is constant, allow its use inside any inline function.

Functions with **register** storage class would be an interesting fallout of this idea. Unfortunately, as the function concept is currently formulated such a function could never be called: the standard function call feature takes the address of a function that is called. If we'd change the standard to allow for that just in the context of a call, this would give us in fact give rise to a new concept. Such functions would be similar to **static inline**, but in addition their address could not be taken, so we can be sure that the compiler can inline them without regret.

### Sections of the C standard to be amended

Textual changes for this feature that concern objects can be relatively minimal. The only problem spots are **const** qualified register objects. Since these are detectable at compile time, we can impose their explicit initialization. Thereby we avoid a clash with the concept of tentative definitions, which should just be forbidden for such **const** qualified objects.

- 6.7.1 p6
  - Add a mention of aliasing to the optimization potential.
  - Add: If such an object is of const qualified type, it shall be initialized explicitly.
- 6.7.1 p6 footnote. Transform this footnote into a note.
  - Add after "auto declaration": "(function scope) or static declaration (file scope)"
  - Add: A const qualified identifier with register storage class can not appear in a tentative definition.
- 6.9 p2 remove the mention of **register**
- 6.9.1 p4 add register to the list
- 6.9.2 p2 add register to the cases

### Sections of the C standard to be amended

To be useful as a concept for functions, too, we have to ensure that such functions can actually be called. Therefore we have to avoid the pointer conversion that happens on a function call.

- 6.3.2.1 p 4. Add ", or as the postfix expression of a function call" to the list of allowed operations.
- 6.5.2.2 p 1. Replace

... pointer to function returning void or returning a complete object type other than an array type.

by

... function returning void or returning a complete object type other than an array type, or shall have type pointer to such a function.

- 6.5.2.2 p 5. Replace
  - ... type pointer to function returning an object type, ...

by

... type function returning an object type or pointer to such a function, ...

## 22.2. Typed constants with register storage class and const qualification.

Besides helping with aliasing, allowing **register** in file scope has another important consequence, C gains real named constants for all data types. Data types that we declare in C often have some common <u>values</u> that should be shared by all users of that type, but unfortunately the C language only provides the possibility to define <u>objects</u> that are initialized with the value in question for most of the cases.

Most prominent in C is the all zero initialized value that we always can enforce trough a default initializer of a **static** object, others need detailed initializers:

```
typedef struct listElem listElem;
struct listElem { unsigned val; listElem* next; };
static listElem const singleton = { 0 };
static listElem const singleOne = { .val = 1 };
```

There are two ways to deal with such **const** qualified objects in file scope. If they are implemented as above with **static** storage class, the may result in one object per compilation unit and the compiler might throw spooky warnings on us if we define them in a header file but don't use them at all.

Rule 4.22.2.1 File scope static const objects may be replicated in all compilation units that use them.

Rule 4.22.2.2 File scope static const objects cannot be used inside inline functions with external linkage.

Another way is to declare them extern in a header file

```
extern listElem const singleton;
```

and to define them in one of the compilation units:

```
1 listElem const singleton = { 0 };
```

This second method has the big disadvantage that the value of the object is not available in the other units that include the declaration. Therefore we may miss some opportunities for the compiler to optimize our code. For example an initialization function for our structure type may be written as

```
inline
listElem* listElem_init(listElem* el) {
  if (el) *el = singleton;
  return el;
}
```

If the value of singleton is known, the assignment could be realized without loading it but only with immediats. And listElem\_init itself could then much easier be inlined where it is called.

Rule 4.22.2.3 *File scope* **extern const** *objects may miss optimization opportunities for constant folding and instruction immediates.* 

The other disadvantage of both cases (static or extern) is that the address of the object can be taken inadvertently. So aliasing of such "constants" may in some cases not be excluded by the compiler:

Rule 4.22.2.4 *File scope* **extern** *or* **static const** *objects may miss optimization opportunities because of mispredicted aliasing*.

So being **const** qualified, doesn't suffice to make an object suitable as constants in the same way literals for integer types are. Ideally, we would like to have a way to declare rvalues of any given type. C currently even has no direct support for all of the standard arithmetic types: all integer literals are at least as large as **int**.

For scalar types a cast can be used to produce a value expression:

```
#define myVeritableFalse ((_Bool)+0)
#define myVeritableTrue ((_Bool)+1)
#define HELLO ((char const*const)"hello")
```

Or some predefined macro for the special case of complex types:

```
#define Origin CMPLXF(0, 0)
```

The only named constants that can serve as genuine integral constant expressions (ICE) as C understands them are of type **int** and are declared through a declaration of an enumeration:

```
1 enum { uchar_upper = ((unsigned)UCHAR_MAX) + 1, };
```

All this, to just define a constant; we thereby define a new type (the unnamed enumeration type) and define a constant that isn't even of that type, but an **int**.

For composite types, in particular structure types, things are more complicated, because casts are only allowed for arithmetic types. To fabric a value expression that is not an assignable lvalue and such that the address can't be taken we have to find another way that produces an rvalue for a **struct** type.

Therefore we first define an object for the desired type and then feed it into an expression such that the result is an rvalue. There are only two operators that can return a composite type as a whole, assignment and the ternary operator. We use the ternary operator since it allows to have a type agnostic macro for all types but for arrays and narrow integer types:

```
1 #define RVALUE(X) (1 ? (X) : (X))
2 #define SINGLETON RVALUE((listElem const) { .val = 0, })
```

Such expression are quite ugly and can be hard to read for a human and a debugger. The biggest disadvantage is that such an expression is not suitable for initializers of objects with static storage duration. We'd have to define two different macros, one for the initializer and one for the constant expression:

```
#define SINGLETON_INIT { .val = 0, }
#define SINGLETON_CONST_LVALUE (listElem const)SINGLETON_INIT
#define SINGLETON RVALUE(SINGLETON_CONST_LVALUE)
```

In function scope, however, there is a construct that can be used to declare unmutable values of which no address can be taken: **const**-qualified objects with **register** storage class. All the above could be given in block scope with functionally equivalent definitions:

```
register listElem const
                                 singleton = { 0 };
                                 singleOne = { .val = 1 };
  register listElem const
3
  register _Bool
                                 myVeritableFalse = 0;
                     const
  register _Bool
                                 myVeritableTrue = 1;
                     const
                     const * const HELLO = "hello";
  register char
  register float _Complex const ORIGIN = CMPLXF(0, 0);
  register int
                     const
                                 uchar_upper = (unsigned)UCHAR_MAX +
       1;
  register listElem const
                                 SINGLETON = singleton;
```

The idea of this proposal is that these all become available in file scope and thus for header files, once **register** definitions would be allowed in file scope.

## Sections of the C standard to be amended

No additional changes to the ones in the previous section are <u>required</u>. It might be convenient though, to add some text to stress the fact that also a hidden modification of such objects is not standard conforming. This may be helpful to avoid that implementations claim the right to map and modify such objects, hiding behind the fact that the standard leaves behavior undefined when a **const** qualified object is modified.

- 6.6 p6: Changes to that paragraph are discussed in the next section.
- 6.6 p7: Add an item to the end of the list
  - an Ivalue of **const** but not **volatile** or **\_Atomic** type that has been declared with **register** storage class.

• Baptize the thing. Therefore add a new paragraph:

A <u>register constant</u> is an object that is of **const** but neither **volatile** nor <u>Atomic</u> qualified type, that is declared with the **register** storage class, for which the unique declaration is the definition, that is explicitly initialized and for which the initializer only contains constant expressions.(FOOTNOTE1) Such a register constant provides the same constant value as specified by the initialization throughout all its lifetime.(FOOTNOTE2)

FOOTNOTE1: Register constants can not appear in tentative definitions.

FOOTNOTE2: But for their spelling, for their type qualification, <u>for their qualification as an ICE</u>, and for their usability in preprocessor expressions, register constants are indistinguishable from literals of the same type and value. Therefore, register constants can be be used as named constants of their corresponding type.

- 6.6 p8: Add register constants of arithmetic type to the list.
- **6.7.1 p6:** new footnote:

An implementation should only map a **register** declared object to a hardware register or similar device which is subject to changes not effected by the program if the type of the object is **volatile** qualified and thereby cannot act as register constant.

- **6.7.4 p3** Allow the use of register constants in all inline functions. Therefore at the end add:
  - ... shall not contain a reference to an identifier with internal linkage unless this identifier is a register constant.
- **22.3. Extend ICE to register constants.** Now that we have global constants for all types, we need to integrate this into the computation of compile time integer constant, or as the C standard calls them <u>integer constant expressions</u>, ICE. The use of integers is in some contexts restricted to ICE, or if an integer expression is also ICE characteristics of definitions may be different:
  - Integer expressions of value 0 are only null pointer constants if they are also ICE.
  - An array declaration declares a VLA if the expression that is used for the size is an ICE.

In particular an expression such as

```
1 \qquad (\mathbf{void} \star) ((\mathbf{x}) - (\mathbf{x}))
```

is a null pointer constant, only if x is an ICE, and then

```
1 (1 ? (int*)0 : (void*)((x)-(x)))
```

is of type  $int \star$  or  $void \star$  according to that property of x. With \_Generic such types are observable

and code paths can be taken differently according to the status of an identifier:

```
1     if (IS_ICE(x)) {
2         // something
3     } else {
4         // different
5     }
```

Rule 4.22.3.1 Changing an identifier that represents an integer constant to be an ICE, can change effective types of objects and execution paths.

So introducing register constants to be ICE, may change the semantic of some programs. Nevertheless, that risk is low, it is detectable and will in most cases have a positive effect on the compiled program:

- A change could only happen for code that currently uses **const** qualified variables of **register** storage class. The usage of **register** is currently extremely low and almost non-existent, **const** qualification of them is even rarer.
- Compilers that would implement this new feature, or plan to implement this in future versions, can easily add a warning feature that detects the case that the only non-ICE sub-expressions inside an integer-type expression X originate from objects that are register constants.
- If a size expression of an array becomes an ICE that wasn't one before, the declared array then is a FLA instead of a VLA. This allows a compiler to produce better optimized code (*e.g.* the change in the stack pointer is a compile time constant) and avoids to trace the size of the array at runtime (*e.g.* for sizeof expressions). Most modern compilers do such optimization, anyhow.
- If an expression is determined by \_Generic or other code similar to the above to be an ICE (or not) this should usually be used to produce code that uses the fact that the value of the expression can be determined at run time, and that optimizes the program with this information.

## Sections of the C standard to be amended

- In all places that it occurs, change the syntax term <u>enumeration-constant</u> to named-constant.
- 6.4.4.3: Change the whole section to read

```
6.4.4.3 Named constants Syntax named-constant: identifier

Semantics

Named constants are identifiers that are declared as register constant
or as enumeration constant. An identifier declared as an enumeration-constant has type int.

Forward references: constant expressions (6.6), enumeration specifiers
(6.7.2.2).
```

- **6.6** p6 and footnote: change <u>enumeration constant</u> to <u>named constant of integer type.</u>
- 6.6 p8: change enumeration constant to named constants of arithmetic type.

**22.4. Unify designators.** If we want to extend the use of register constants, we have to ensure that the types that can be used for it are not artificially constrained. With the current version of the standard, arrays that are declared with a **register** storage class can't serve much purpose: we can't even access individual fields without constraint violation. This is because the [] designator is defined by taking addresses: refering to an array element through A[23] is equivalent an address-of operation, pointer arithmetic and dereferencing, namely \*(&A[0]+23). Thus if A is declared as register, this is a constraint violation.

Syntactically, C uses [] brackets in three different places, but in all that places they serve to specify a length (or the lack of) an array dimension or position:

- array declaration,
- array initialization,
- array indexing.

The expression that is enclosed inside [] can be

- a strictly positive integer constant expression (ICE), or empty [] to provide a constant value that is determined at compile time
- any other form of integer expression, or empty [\*] to provide a value that is determined at run time.

All actual C compilers are able to distinguish these two cases. For initializers, only the first form is allowed and using the second is a constraint violation. For array declaration, in the first case the array is FLA, in the second a VLA<sup>4</sup>.

As we have seen above, for indexing the C standard currently doesn't make the distinction. This is a bit unfortunate because conceptually indexing an array at fixed location is not different from accessing a sub-object of a **struct**.

Let us take a look a the following conditional type declaration:

```
#ifdef USE_STRUCT
typedef struct two two;
struct two { double e1; double e2; };
# define FRST(X) ((X).e1)
```

<sup>&</sup>lt;sup>4</sup>Which may or many not be supported by the compiler.

```
7  # define SEC(X) ((X).e2)
8  #else
9    typedef double two[2];
10  # define FRST(X) ((X)[0])
11  # define SEC(X) ((X)[1])
12  #endif
```

The two types will behave differently in many context, *e.g.* if we pass them as arguments to functions. But in both cases they are composed of two **double** elements that can be accessed through the macros FRST and SEC, respectively. With either of the choices I would expect a good optimizing compiler to produce exactly the same binary for code like:

```
array_or_struct.c
14
    void func0(void) {
15
      for (two sp = \{ 1, 1, \};
16
           FRST(sp) + SEC(sp) < 10;
17
           FRST(sp) += SEC(sp)) {
18
        printf("two_values_%g_%g\n", FRST(sp), SEC(sp));
19
        SEC(sp) += (FRST(sp) + SEC(sp))/2;
20
21
   }
```

And the compilers that I have on my machine achieve that, even without special optimization flags turned on. This is possible, because the code only contains offset calculations for fields that are compile time constants: in one case they are given by the field designators .el and .el, in the other by the array designators [0] and [1]. Since this code doesn't use the relative position of the fields in memory, the compiler can easily treat the two fields as if they were declared as separate variables.

For the case of the compilers on my machine, they don't even notice the constraint violation when I declare the variable sp to be of **register** class.

Sections of the C standard to be amended

initializer-list

## 6.5.2 Postfix operators

## Syntax

postfix-expression: primary-expression postfix-expression
array-designator postfix-expression [ expression ] postfix-expression (
argument-expression-listopt ) postfix-expression
struct-union-designator postfix-expression -> identifier

postfix-expression ++ postfix-expression -- ( type-name )

array-designator: [ expression ]

struct-union-designator: . identifier

#### Constraints

The  $\underline{\text{expression}}$  of an  $\underline{\text{array-designator}}$  shall be an integer constant expression.

### 6.5.2.1 Array subscripting

### Constraints

Array subscripting can occur in two different forms. For the first, the first expressions has type array object of type T and constant length L, for some type T non-negative integer constant L and is not a variable length array. The integer constant expression of the array-designator shall have a non-negative value that is strictly less than L. The result has type T.

Otherwise, after promotion the first expressions shall have type pointer to complete object type T, the other expression shall have integer type, and the result has type T.

### Semantics

A postfix expression followed by an <u>array-designator</u> designates the corresponding element of an array object.FOOTNOTE Successive array-designators designate an element of a multidimensional array object.

FOOTNOTE: Because the index expression is a integer constant such a designation is similar to the designation of structure or unique members.

## Otherwise, --- insert array subscript semantics ---

EXAMPLE Consider the array object defined by the declaration

int x[3][5];

Here x is a 3 x 5 array of ints; more precisely, x is an array of three element objects, each of which is an array of five ints. So the expression x[1] denotes an array object of five int, namely the second such array element. When used in the expression x[1][2], the

```
designator: array-designator struct-union-designator
```

Also change p6 and p7 of that section to directly refer to <u>array-designator</u> and <u>struct-union-designator</u>, respectively.

**22.5. Functions.** As already mentioned, **inline** declared functions have difficulties with other symbols that have no or internal linkage. Such functions that are not **static** at the same time can't access to **static** file scope variable, even if they are **const** qualified. Our proposal simplifies things with that respect.

- A function declaration of storage class **register** is equivalent to a declaration as **static inline** with the additional property that its address can't be taken.<sup>5</sup>
- All functions that are **static inline** have access to **register** objects that are visible at their point of definition.
- All functions declared **inline** have access to register constants that are visible at their point of definition.

# 23. Improve type generic expression programming

A classical example for an implementation of a type generic feature by means of a macro is the computation of a maximum value:

```
1 #define MAX(A, B) ((A) > (B) ? (A) : (B)) // bad, don't do that
```

Such a simple macro has two effects that any macro programming should avoid. First, if an argument expressions has a side effect, this side effect could be evaluated twice, see Section 10.3. Second, the expanded expression behaves differently to its surroundings with respect to sequencing than a function call would. A function call would be "<u>indeterminately sequenced</u>" to other evaluations inside the same expression. The expanded expression of the macro is unsequenced. Later, in Section 24.1, we will see how this lack of sequencing can even be dangerous for features of the C library.

If it wouldn't be for the type genericity of the macro, the same functionality could be implemented with an **inline** function:

```
inline
uintmax_t MAX(uintmax_t a, uintmax_t b) {
  return a > b ? a : b;
}
```

If we want to be type generic and use that method we would have to implement one function per type, e.g. all 16 variants for real types and  $\mathbf{void}_{\star}$ , and then encapsulate all of these into a big **Generic** expression. For an equivalent functionality for application types we would have modify the generic code each time we add a new type to our system.

Gcc and friends have two extensions that largely ease the programming of type generic macros: statement expressions and the \_\_typeof\_\_ operator. The first are expressions that contain a compound statement and who's return value is the last value that has been evaluated. The second allows to declare temporary local variable of a deduced type.

<sup>&</sup>lt;sup>5</sup>So there also is no need for the "instantiation" syntax for such functions, either.

6 })

So this introduces a new keyword, \_\_typeof\_\_ that works similar to sizeof, only that its "return" is a type and not a size. With this, we avoid the problem that we would encounter with the ternary expression. In that expression one of the operands is evaluated twice. So if one of the macro arguments has a side effect, that side effect could be duplicated.

```
1 	 x = MAX(f(r), g(s));
```

There are problems with both approaches. The \_\_typeof\_\_ constitutes an intrusion into C's syntax. It is not immediate to see that this doesn't create ambiguities. Second, C++ has introduced a similar feature "auto" variables that fulfill a similar purpose, but for which the syntax is different.

Statement expressions introduce the new concept of what should be the result type and value of a compound statement. *E.g.* in the example above the last expression to be evaluated is the ternary expression. This is against the rule of C that an expression that is evaluated as statement discards its value and is only evaluated for its side effects.

Also, a similar expression such as

```
#define MAX(A, B)

({
    __typeof__(A) a = (A);
    __typeof__(B) b = (B);
    /* evaluates to a void */
    if (a > b) a;
    else b;
})
```

does not give the same result: the result type is void, here.

In all the evaluation rules are surprising and form a substantial extension to C semantics.

With the proposal that we develop below a generic MAX macro could be implemented as in Listing 4.1.

LISTING 4.1. A macro expanding to a anonymous function

```
1 #define MAX(A, B)
2 (static (register a, register b)) {
3    if (a > b) return a;
4    else return b;
5 } ((A), (B))
```

Here the construct **static** (**register** a, **register** b) refers to a function prototype with incomplete types for return and the two arguments. The core of the macro itself is of a form (T) { something } that we already know for compound literals:

Goal 2 Amend the C standard to be able to use <u>anonymous functions</u> and <u>inferred types</u> for type generic expressions inside macros.

**23.1. Storage class for compound literals.** This proposal uses storage class specifiers to force the interpretation of certain expressions as declarations. Then we want to infer the type of such a declaration from the context, namely from an initialization, a function argument or from **return** statements.

In the current version of the C standard, compound literals may already have two of different storage durations: static or automatic. The one that is chosen follows some rules but there is also some slackness given to the compiler implementation.

- Compound literals in file scope always have static storage duration.
- Compound literals that are in block scope and that are not **const** qualified have automatic storage duration.

For others, that is in block scope <u>and</u> <u>const</u> qualified, it is up to the compiler to chose, and also the compiler is free to realize two such definitions that have the same value as the same object. So in the following

```
1
   static double * x = & (double const) \{ 1.0, \};
2
3
   void f(void) {
4
     double * y = & (double const) \{ 1.0, \};
5
     if (x == y)
       printf("the_same:_%p\n", (void*)x);
6
7
     else
8
       printf("different:_%p_and_%p\n", (void*)x, (void*)y);
9
```

both branches of the if could be taken.

In some cases pointers are also used as a tool to distinguish objects, even if they are constant, if they can originate from different calls to the same function. Also we might want to initialize a block scope **static** variable with the address of a compound literal.

This could easily be achieved by allowing to add storage class specifiers to compound literals:

```
void f(void) {
  static double* x = &(static double const) { 1.0, };
  auto double* y = &(auto double const) { 1.0, };
  if (x == y)
    printf("error:_%p\n", (void*)x);
  else
    printf("different:_%p_and_%p\n", (void*)x, (void*)y);
}
```

**23.2. Inferred types for variables and functions.** The second ingredient would be to allow objects and functions to have a type that is inferred from the context. This looks a bit like the new **auto** feature from C++, but is simpler than that. Previous versions of C already had a similar feature but which was later deprecated: the implicit **int** rule. Before C99 the following was valid:

```
1  max(a, b) {
2    if (a > b) return a;
3    else return b;
4  }
```

All of this was interpreted as if we had written

```
1 int max(int a, int b) {
2    if (a > b) return a;
3    else return b;
4 }
```

In fact, declarations as the following

```
1 register ret = a + b;
```

are still valid syntax, only a constraint forbids them.

There are other places where the type of an object is derived from its initializer: arrays.

```
1 double A[] = { [42] = 7., };
```

Here the type of  $\mathbb{A}$  is incomplete until the end of the initializer is reached. Only then the size is determined and the type is fixed to **double** [43].

For C's grammar to deduce that we are declaring an identifier, we either need a type or we need a storage class specifier. With the new feature the following should be valid:

```
register a = 0;
1
                                              // int
2
              f = 0.0f;
      static
                                               // float
3
               g = (double const) \{ 0 \};
                                               // double
      static
4
      _Atomic unsigned K;
5
      auto
              k = K;
                                               // unsigned
6
     auto
              kp = &K;
                                              // _Atomic(unsigned) *
7
             A[] = \{ [42] = 7., \};
                                              // double[43]
     auto
8
             B[] = { [4] = 6u, [2] = 7L, }; // unsigned[5] or long[5]
     auto
9
              c = \{ (char const) 0 \};
                                              // char
      anto
10
            C[] = { (char const) 0 };
      anto
                                              // int[1]
11
              p = (char const[]) \{ 0 \};
                                              // char const*
      auto
12
      inline f(int a) {
                                              // unsigned(int)
13
        if (a > 0) return a;
14
                   return Ou;
15
```

To make this possible, in contrast to the corresponding C++ feature, no change in the syntax is required. In particular, the keyword **auto** just remains with almost the same syntax and semantic as before. No code that is conforming to previous C standards is invalidated, only some syntactical constructs that were a constraint violation before become valid.

To do so, we have to establish some rules to determine the type of an initializer or a function definition, such that we then can reflect that type back to the declaration.

Self reference. If the type of an object x is determined by its initializer, this initializer can't yet refer to the object x, not even by using the x, sizeof, Alignof or offsetof operators.

*Simple objects.* This is when the declarator has no array designation and the initializer is a single expression, possibly inside braces.

- The type of the expression is not an array type. Then the type of the initializer is that of the expression, all qualifiers dropped.
- The expression is an array type of base type B. The type of the initializer is pointer to B.

Arrays. If the declarator has one or several array designation, either incomplete as [] or complete [N] for some expression N, the initializer is interpreted as an array initializer. This array initializer is completed with default initializers  $\{0\}$  where necessary to provide initializers for every array element.

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The flattened version of this initializer then has braces and an <u>initializer-list</u>,  $I_0, I_1, \ldots, I_{n-1}$ . Each of the elements  $I_i$  consists of an optional array designation and an initializer  $E_i$  that must be compatible with the to-be-determined base type of the array.

That base type of the array then is determined by applying the rules for the conditional operator ?: transitively to all expressions  $E_i$  that have a type. So supposing that all  $E_i$  are assignment expressions, the base type would have the type of the expression

$$(1?(1?\cdots(1?E_0:E_2)\cdots:E_{n-2}):E_{n-1})$$

Then one of following properties must hold:

- The initializers  $I_i$  are all <u>assignment-expression</u>  $E_i$ , possibly enclosed in braces.
  - The  $E_i$  are all of arithmetic type. The base type of the array then is the result of computing the <u>usual arithmetic conversions</u> of all its expressions  $E_i$ . As part of these conversions, integer promotion takes place, thus the difference in type between variables c and c in the example above. Also all qualifications that some of the expression  $E_i$  may have are lost.
  - At least one of the  $E_i$  has type pointer to B (possibly qualified) and all other  $E_i$  are pointers to B (possibly qualified differently), are  $\mathbf{void}_*$  or are null-pointer constants. The base type of the array is then pointer to B, eventually adapted to the strongest necessary qualification. If one of the pointers is a pointer to an atomic type, all that are not  $\mathbf{void}_*$  or null pointer constants must be so.
- At least one of the initializers is of structure or union type T. Then all initializers are valid initializers for a type compatible to T. The base type is then the unqualified type T.

*Functions*. For a function <u>definition</u> with undetermined return type, the type is inferred from the **return** statements of that function.

- If there is at least one execution branch of the function that doesn't hit a **return** statement, the function is of type **void**.
- If at least one of the **return** statements has no expression, the function type also is **void**.
- Otherwise all execution paths end in **return** Ei for a list of expressions  $E_i$ . The type of the function then is determined as for arrays and is the computed type that is either an arithmetic type, a pointer type or a **struct** or **union** type.

Regular function  $\underline{\text{definitions}}$  cannot have undetermined argument types, but see  $\underline{\text{anonymous}}$  functions, below.

For a function <u>declaration</u> that is not a definition, that has some undetermined types (**return** or arguments), but for which a definition is already visible, the inferred types are those that where specified or inferred at that definition. This is to ensure that the following construct is valid:

```
1  // Header (.h file):
2  inline f(int a) {
3    if (a > 0) return a;
4    else       return Ou;
5  }
6    // TU (.c file) that instantiates f
8  extern f(register);
```

*Errors.* In all other cases the type cannot be determined and these are considered constraint violations. Such an error must be diagnosed and the compilation stops.

23.2.1. Extended use of auto. In addition to extend the use of auto and other storage class specifiers to compound literals, the use of auto should also be permitted for function

parameters. In the current standard there is a constraint that forbids this and only allows for **register**. This is probably because function parameters have automatic storage, anyhow, and there was previously no need to specify more than that.

**23.3. Anonymous functions.** Anonymous functions are the most important change to the standard that we propose here. The idea is simple:

Goal 3 Extend the notion of compound literals to function types.

Syntactically this is quite simple: for a compound literal where the type expression is a function specification, the brace {} enclosed initializer is expected to be a <u>compound statement</u>, just as for an ordinary function. The successful presence of gcc's block expressions as an extension shows that such an addition can be added to C's syntax tree without much difficulties.

The semantic is a bit more complicated. There are three cases that we have to consider according to the storage class that is chosen for the anonymous function, **static**, **register** or **auto**. If such a storage class is not explicitly specified in the definition, we propose the following:

static: Anonymous functions that are defined in file scope are as if defined static.

**register:** Anonymous functions in block scope that refer to symbols defined in their function but don't have their address taken are as if defined **register**.

**auto:** Anonymous functions in block scope that refer to symbols defined in their function and have their address taken are as if defined **auto**.

**unspecified:** For other combinations the choice is unspecified and the implementation may chose any storage duration that is consistent with the specification.

The three different storage classes for functions imply some specific rules that we will develop now.

23.3.1. **static** *anonymous functions*. As long as the anonymous function is pure, that is doesn't access symbols that are defined outside of its own block scope, things are simple. Our first example from Listing 4.1 falls into that category.

More generally, this class of anonymous functions can be specified with some restrictions to their access to outside symbols. Of the symbols that are visible at its point of definition, an anonymous function that is of static storage duration may only access

- its parameters,
- symbols that are declared in file scope,
- block scope symbols that are static,
- block scope compile time constants and types.

In particular, the latter forbids access to variably modified types.

Such a function can then simply implemented as an ordinary **static** function with an implementation specific name, much as many implementations do for compound literals in file scope. If needed, the address of such a function can be obtain, just as for any other **static** function.

23.3.2. **register** *anonymous functions*. A **register** anonymous function has no name and no recoverable address, therefore it can only be used once, at the point of its definition. In particular, if it would be defined in file scope, it could never been called, and could thus be omitted from the final executable that is produced.

A function that is just called once can seamlessly be integrated into the calling function, which here is the same function inside which it is defined. Thereby it can use the block scope objects of its caller directly, and no supplementary mechanism to access all visible objects is necessary.

23.3.3. **auto** anonymous functions. This category of functions is the most difficult to implement. Such a function f is similar to a **register** anonymous function in that it must have access to the local variables (types etc) of its definition scope in function F.

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In addition, if f cannot be realized as **register** its address is taken and used in a context that is not directly visible to the compiler. As a consequence several calls of the enclosing function  $\mathbb{F}$  may be active simultaneously and f may have several instances that see different instances of the local variables of  $\mathbb{F}$ .

Such instantiation of an anonymous function that closes all "open" references to symbols (the local variables) have a long history in Computer Science and are known as <u>closures</u>, the concept and the term was coined by Landin [1964]. For Goal 2, closures are not imperative. Since the implementation of closures is non-trivial, we could leave their realization as implementation defined choice that could be tested with a feature macro, *e.g.* \_\_STD\_AUTO\_FUNCTIONS\_\_.

23.3.4. A partial implementation. There are several methods to implement closures effectively, the most promising in our context seems to be the one proposed by Breuel [1988]. It implements a closure of an anonymous function f(a,b), say, as a two step function call sequence.

At runtime, at every encounter of the definition of f(,) in F a small stub function  $f_c(a,b)$  is generated and is stored in some automatic storage. In that function (which is specific to the calling context) we store a pointer c to the context of the definition. When called  $f_c(a,b)$  retrieves c. Then it performs a call f'(a,b,c) to a statically compiled function f' that receives c as additional parameter.

This method of Breuel [1988] is used in gcc to implement <u>local functions</u>. These can be used as a base to what we propose here, by simply packing such a definition of a local function and an evaluation of its symbol into gcc's statement expression ( $\{\ldots\}$ ). The use pattern of such a macro ANONYMOUS for a simple case where all types are known looks as follows:

So the syntax is not exactly the same as it would just by extending compound literals to functions, but it comes sufficiently close. When we want to use undetermined types, we have to use gcc's \_\_typeof\_\_ feature.

```
anonymous_function.c
47
   #define MAX(A, B)
48
    /* function header */
49
      /* return type */
50
   ANONYMOUS (__typeof__(1 ? (A) : (B)))
51
    /*and then argument list */
52
    (__typeof__(A) a, __typeof__(B) b)
53
    /* function body */
54
    ( {
55
      if (a < b) return b;
56
      else return a;
57
     })
58
    /* function call */
    ((A), (B))
```

The implementation works with three macros that are chained to compose the whole statement expression.

```
anonymous_function.c

35  #define ANONYMOUS(RET) ({ RET __anon_func ANON_PART2}

36  #define ANON_PART2(...) (_VA_ARGS__) ANON_PART3

37  #define ANON_PART3(...) _VA_ARGS_ __anon_func; })
```

The first one opens a statement expression with ({, the third one closes it with }). Such a macro is fragile, so this is not something that I want to recommend in every day's code. All of this here just serves as a proof of concept.

#### 24. Improve the C library

**24.1. Add requirements for sequence points.** As it stands currently, all functions of the standard C library can also be implemented as macros. The standard takes care to enforce that such a replacement by a macro is functionally equivalent to a function call. *E.g.* it imposes that such macros must be written that they don't evaluate there arguments more than once (to avoid multiplication of side effects) and that the resulting expression must integrate well into any target expression. The latter is usually achieved by adding parenthesis around the expression, such that no operators can bind to the expression in a non-obvious way.

The standard misses one point, though, for which macro evaluation can be different from function evaluation: sequence points. Let us look at a function from the C standard:

```
char* fgets(char*, size_t, FILE*restrict);
```

Here is a code example that is a bit contrived:

```
char line[256];
if (fgets(line, 256, stdin) == fgets(line, 256, stdin)) {
   if (!feof(stdin) && !ferror(stdin)) {
     // do something if we read 2 lines successfully
     // the second line is stored in line
   }
}
```

If this are function calls, we know that they are indeterminately sequenced, so one is cleanly performed before the other. We would just not be interested which of the two calls is executed first. We know that

- If both calls are successful, they both return & line [0].
- If both of them fail, both return 0, but then feof or ferror would detect that.
- Otherwise, one of the calls returns & line [0], the other 0.

We also know that if both were successful, **line** contains the last of the two lines that were read.

This changes if **fgets** is realized as a macro, where the expansions are just ordinary expressions, handling *e.g.* directly the buffers that are hidden behind a **FILE**. Now this access to the buffers can not be assumed to be sequenced. The compiler may mix buffer accesses of both "calls" to **fgets**. The result returned in **line** could be some mixed state of the two calls and even the calls could fail or not for the wrong reasons.

Things become even more important when we are talking about atomics, where the fact if two operations are sequenced with respect to one another can validate or invalidate the whole state of an execution. An expression such as

```
atomic_fetch_add_explicit(a, 1, memory_order_acq_rel) +
   atomic_fetch_add_explicit(b, 1, memory_order_acq_rel)
```

can have an outcome that is quite different according to the two calls being sequenced or not.

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#### Sections of the C standard to be amended

In Section 7.1.4, "Use of library functions", change the phrase

Any invocation of a library function that is implemented as a macro shall expand to code that evaluates each of its arguments exactly once, fully protected by parentheses where necessary, so it is generally safe to use arbitrary expressions as arguments.

#### to the following

10

14

Any invocation of a library function that is implemented as a macro shall expand to code that is functionally equivalent to the function code and shall in terms of observability of state be indistinguishable from such a call.FOOTNOTE[This means in particular that (1) such a macro expansion evaluates each of its arguments exactly once, fully protected by parentheses where necessary, so it is generally safe to use arbitrary expressions as arguments; (2) the replacement expression is protected with parenthesis if necessary to avoid unexpected operator bindings to surrounding code; (3) the sequencing rules of the replacement expression to the evaluation of the macro arguments and to other evaluations must be observed as if a function call was made.]

#include <string.h>

**24.2.** Provide type generic interfaces for string search functions. The following functions in string. h are potentially dangerous, since they return a pointer that is not const-qualified of an object that is receive as such as a parameter:

```
void *memchr(void const *s, int c, size_t n);
char *strchr(char const *s, int c);
char *strpbrk(char const *s1, const char *s2);
char *strrchr(char const *s, int c);
char *strstr(char const *s1, const char *s2);
```

If the application code stores the returned pointer in a variable and uses this later this can lead to two types of problems:

- Writing to read only memory. The result would be a runtime crash of the program.
- A subtle unnoticed modification of an object that is received through a **const**-qualified pointer, and thus a break of an interface contract.

In any case writing to such an object has undefined behavior. Provenance of pointers to objects is a tedious enterprise, and **const**-qualification was just invented to ease that task.

Such functions should be overloaded by macros that provide the semantic of function interfaces that distinguish according to the **const**-qualification of their argument.

```
void const*memchr(void const *s, int c, size_t n);
char const*strchr(char const *s, int c);
char const*strpbrk(char const *s1, const char *s2);
char const*strrchr(char const *s, int c);
char const*strstr(char const *s1, const char *s2);
```

```
6  void *memchr(void *s, int c, size_t n);
7  char *strchr(char *s, int c);
8  char *strpbrk(char *s1, const char *s2);
9  char *strrchr(char *s, int c);
10  char *strstr(char *s1, const char *s2);
```

The same holds for wide character functions that have wcs in their name such as wcschr, for them equivalent interfaces should be provided that also map the functionalities to the corresponding str names.

By means of type generic selection, see Section 23, the implementation of such macros is straight forward. The following macro selects one of seven function pointers according to the first argument being a **void** pointer, a narrow or wide character type and according to **const**ness.

```
tgstring.h
17
       define _TG_FUNC static inline
18
      endif
19
    # define _TG_TO_CONST(DF, VN, VC, SN, SC, WN, WC, X, ...)
20
21
    _Generic (& (X[0]),
22
              default: DF,
23
              void ∗: VN,
24
              void const*: VC,
25
              char*: SN,
26
              char const*: SC,
```

This macro is not yet perfect, because it is not able to distinguish **const**ness for non-character pointer types. To implement such a feature we'd probably need an implementation defined extension.

We could add auxiliar declarations such as the following two, where \_TG\_FUNCTION would be something like static inline:

```
tgstring.h

30
31 // void *memchr(void const *s, int c, size_t n);

tgstring.h

4 return memchr(_s, _c, _n);

35 }
```

Then we define memchr as a macro:

```
tgstring.h

return wmemchr(_s, _c, _n);

}
```

Observe that in this case of a "mem" function a **default** fallback makes sense. Any pointer type should still fallback to the **void**\* variant. For "str" that suppose that their arguments point to strings, that is to null terminated character arrays, we can restrict the usage for the first three cases, such as for strstr:

The functions that should be covered by such type generic macros are: memchr, memcpp, memcpy, memmove, memset, strlen, strncat, strncap, strncpy, strpbrk, strrchr,

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strspn, strstr, strtod, strtof, strtok, strtol, strtold, strtoll, strtoul, westof, we

#### 25. Modules

Since decades, C is one of the most widely used programming languages<sup>6</sup>, and is used successfully for large software projects that are ubiquitous in modern computing devices of all scales. For many programmers, software projects and commercial enterprises C has advantages (relative simplicity, faithfulness to modern architectures, backward and forward compatibility) that largely outweigh its shortcomings. Among these shortcomings, is a lack of two important closely related features: <a href="modularity">modularity</a> and <a href="modularity">reusability</a>. C misses to encapsulate different translation units (TU) properly: all symbols that are part of the interface of a software unit such as functions are shared between all TU that are linked together into an executable.

The common practice to cope with that difficulty is the introduction of naming conventions. Usually software units (<u>modules</u>) are attributed a name prefix that is used for all data types and functions that constitute the programmable interface (API). Often such naming conventions (and more generally coding styles) are perceived as a burden. They require a lot of self-discipline and experience, and C is missing features that would support or ease their application.

**25.1.** C needs a specific approach. Modular C is a new approach that takes up at that point and proposes to fill the gap. It adds one feature (composed identifiers) to the core language and operates with a handful of directives to glue different modules into one project, providing modularity and reusability.

Modular C is thought to be simple and to conserve most features of the core language and of the habits of its community. It should not develop into a new language of its own, but remain a shallow addition on top of C. Hopefully it would be possible to add it as an optional feature to the standard.

- **25.2. All is about naming.** Already C's handling of its own library API already shows all weaknesses of the approach:
  - It is intrusive: naming choices for the C library strongly influence possible choices for other projects.
  - It is inconsistent: the naming convention shows a history of random choices.
  - It is ever-growing: every version adds new constraints.
  - It is incomplete: **struct** field names or parameters of **inline** functions are not "officially" reserved

A typical example when using composed identifiers, and some directives to import (and abbreviate) other modules, would look like this:

```
#pragma CMOD separator
2
  #pragma CMOD module head
                              = proj structs list
3
  #pragma CMOD import elem
                              = proj structs element
  #pragma CMOD import io
                              = C io
  #pragma CMOD import printf = C io printf
7
  /* The following declarations are exported */
8
  #pragma CMOD declaration
9
  /* Exports proj structs list */
```

<sup>&</sup>lt;sup>6</sup>http://www.tiobe.com/index.php/content/paperinfo/tpci/index.html

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```
11
    struct head {
12
      elem* first;
13
      elem* last;
14
    };
15
16
    /* From here on, only exported if external linkage. */
17
    #pragma CMOD definition
18
19
    void say_hello(void) {
20
      io puts("Hello_world");
21
22
    static unsigned count;
23
    static void say_goodbye(void) {
24
      printf("on_exit_we_see_%u", count);
25
26
   head* init(head* h) {
27
      if (h) *h = (head) \{ 0 \};
28
      return h;
29
30
   /\star Exports proj structs list top, no conflict with ctype.h \star/
31
    double top(head* h) {
32
      return h->first.val;
33
```

As you can see the main part of this is still "normal" C, only the interpretation and visibility of some identifiers change. There is a much longer story to tell about the features that come almost free when introducing this naming and import scheme. If you want to know more about Modern C you should read on with the research report<sup>7</sup> that I have written about it and then just try the reference implementation<sup>8</sup>:

```
https://scm.gforge.inria.fr/anonscm/git/cmod/cmod.git
```

There are still a lot of bugs and missing features, please help me to find them. Below you find a list of the advantages that you might get in return.

#### 25.3. Modular C features.

**Encapsulation:** We ensure encapsulation by introducing a unique composed module name for each TU that is used to prefix identifiers for export. This creates unique global identifiers that will never enter in conflict with any identifier of another module, or with local identifiers of its own, such as function or macro parameters or block scope variables. Thereby by default the import of a module will not pollute the name space of the importer nor interfere with parameter lists.

**Declaration by definition:** Generally, any identifier in a module will be defined (and thereby declared) exactly once. No additional declarations in header files or forward declarations for struct types are necessary.

**Brevity:** An abbreviation feature for module names systematically avoids the use of long prefixed identifiers as they are necessary for usual naming conventions.

**Completeness:** The naming scheme using composed identifiers applies to all file scope identifiers, that are objects, functions, enumeration constants, types and macros.

**Separation:** Implementation and interface of a module are mostly specified through standard language features. The separation between the two is oriented along the C notion of external versus internal linkage. For an inline function, the module that

<sup>&</sup>lt;sup>7</sup>https://hal.inria.fr/hal-01169491v2/document

<sup>&</sup>lt;sup>8</sup>http://cmod.gforge.inria.fr/

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defines it also provides its "instantiation". Type declarations and macro definitions that are to be exported have to be placed in code sections that are identified with a declaration directive.

**Code Reuse:** We export functionality to other modules in two different ways:

- by interface definition and declaration as described above, similar to what is usually provided through a C header file,
- by sharing of code snippets, similar to X macros or C++'s templates. The later allows to create parameterized data structures or functions easily.
- **Acyclic dependency:** Import of modules is not from source but uses a compiled object file. This enforces that the import relation between modules defines a directed acyclic graph. By that it can be updated automatically by tools such as POSIX' make and we are able to provide infrastructure for orderly startup and shutdown of modules according to the import dependency.
- **Exchangeability:** The abbreviation feature allows easy interchange of software components that fulfill the same interface contract.
- **Optimization:** Our approach allows for the full set of optimizations that the C compiler provides. It eases the use of inline functions and can be made compatible with link time optimization.
- **C library structure:** We provide a more comprehensive and structured approach to the C library.
- **Extensibility:** Our approach doesn't interfere with other extensions of C, such as OpenMP or OpenCL.
- **Migration path:** We propose a migration path for existing software to the module model. Legacy interfaces through traditional header files can still be used for external users of modules.

### 26. Simplify the object and value models

C's object model has several quirks that make it difficult to understand, even by experts. The goal here is to streamline the model by eliminating special cases.

Goal 4 Simplify the value and memory models.

**26.1. Remove objects of temporary lifetime.** Objects of temporary lifetime had been introduced to be able to handle array fields in **struct** types. If *e.g.* the return of a function is such a **struct**, with C11 the array field can only be accessed by taking its address. With the introduction of designators that can access arrays without using their address, see Section 22.4 above, this wouldn't be necessary anymore.

Code that accesses array fields of returned structures directly is rare, anyhow:

```
return functionCall(a, b).array[i];
```

We can reasonably expect that at least the designator can be made ICE.

**26.2.** Introduce comparison operator for object types. Although C has the concept of equality for (almost) all its object types, the == operator is not introduced for all these types. It is easy to define this operator for **struct** explicitly by a recursive definition. So let's just do this.

In contrast to that, equality of **union** is **not** well defined, so trying to use == for **union** types should remain a constraint violation.

With the introduction of this operator, we also can easily require value consistency:

• Force consistency between assignment = and comparison (== and !=) for all data types that allow for these operators.

**26.3. Make** memcpy **and** memcmp **consistent.** The memory (layout) model for types defines how an object of a given type can be accessed through memory. As of today the specification of memcpy can be interpreted that it will not successfully write a determinate value to padding bytes in structures. A later call to **memcmp** that is issued without knowledge about the **struct** and its padding, could then fail because it evaluates padding bytes to be unequal.

Although **unions** have values, their field overlay is only described through that layout. This is even the case if the **union** object itself is addressless, *e.g.* because it is an rvalue or declared with **register**.

Similar to the above ideas on values, we require representation consistency:

- Force consistency between memcpy and memcmp for all objects that have an address.
- **26.4.** Enforce representation consistency for \_Atomic objects. This guaranty should also be extended to \_Atomic objects. All functional interfaces make it clear that this type of object is acted upon for their representation. In any case comparison with == would first to an atomic load of the value, and the comparison would then be done with the unqualified value.

The generic **atomic\_compare\_exchange\_weak** etc. interfaces may perform comparison and storage operations. These should be clearly defined as operations on the representation. That is:

- The comparison operation has a result as-if it would be done with memcmp.
  - Some values that have multiple representations could test unequal for that reason, although a comparison of the values would lead to equality. This can happen for integer types with padding bits such as **\_Bool**.
  - Some floating point values that will never test equal, e.g. NAN, will test equal as representations.
- The assignment operation is done as-if with memcpy. In both cases (update of the expected value or update of the atomic target) this must be compatible with future compare-exchange operations on one of the involved objects.
- **26.5.** Make string literals char const[]. Modifying string literals (and wide string literals) has no defined behavior, bring their type in sync with this. Modifying old programs that are in order with this might be tedious, but should always be possible. It would be simplified by type generic string functions, see 24.2.
- **26.6.** Default initialize padding to 0. Treat padding bytes in struct and union just as if they where declared as unsigned char[n] for appropriate value of n.
- **26.7.** Make restrict qualification part of the function interface. The restrict qualifier is semantically different from the other three qualifiers:
  - It doesn't reflect a property of the qualified object but of a pointed-to object.
  - Such qualified parameters imposes a restriction to the caller of the function, not to the callee.
- 26.7.1. *Function parameters*. The restriction is problematic for the caller, because the qualification is not part of the prototype. As of C11 and before, the two following lines are compatible function prototypes:

```
extern void *memmove (void * restrict, void const * restrict, size_t);

extern void *memmove (void *, void const *, size_t);
```

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So on one hand, a **restrict** qualification constrains the caller of a function, but on the other hand this constraint is not part of the interface and can only be deduced from an informal description.

26.7.2. Function return. Functions that return pointers don't give any information concerning aliasing. This can lead to missed optimization opportunities when the function actually provides a pointer to a new object that are not yet part of the abstract state. The possibility of using a **restrict** qualifier for such a pointer would clarify this:

```
void * restrict malloc (size_t);
```

clearly states that the pointer that is returned by malloc cannot alias with any object previously known in the abstract state.

26.7.3. Proposed additions.

- Add restrict qualification as a discriminator to function parameter types.
- Clarify the role of qualifications of function return types. Omit **const**, **volatile** and **\_Atomic** from such return types, but keep **restrict** qualification as discriminating qualification.

**26.8. References.** Should we introduce references similar to C++? This would certainly improve the handling of array parameters a lot.

#### 27. Contexts

- **27.1. Introduce evaluation contexts in the standard.** Introduce classes of the expected type for each context of expression evaluation:
  - Type specification context T expressions in size of (T), Alignof (T), offset of (T, ...), casts (T), compound literals (T) { ... } and variable declarations.
  - Type evaluation context expressions in sizeof E, and all expressions in \_Generic.
  - Object context for operators &, ++ and -- and the left operands of all assignment operators.
  - Value contexts
    - ICE context for initialization of static integer objects, case expressions, initialization of enumeration constants and designator [] operator, including array lengths in FLA declarations.

It is a constraint violation to provide

- \* a negative value to a designator [] operator.
- \* a 0 value to a designator [] operator that is used for an array declaration.
- Boolean context for initialization or assignment to \_Bool objects, and controlling expressions of if, while, do-while and for.
- <u>Integer context</u> for initialization or assignment to other non-<u>Bool</u> integer objects, controlling expressions of switch and dynamic [] operator.
- Floating point context for initialization or assignment to floating point objects.
- Pointer context for initialization or assignment to pointer objects.
- Void context for evaluation of expressions that are unused.
- Unspecific context for function calls that do not impose one of the other context to their arguments, in particular variadic functions.
- **27.2.** Convert object pointers to void\* in unspecific context. All object pointers can be implicitly converted to void\*. Even if the platform has different formats for some specific object pointers, a necessary conversion should not be of the concern of the programmer when, e.g., printing an address with printf. Features in stdarg.h should be easily adaptable to such a change.

#include <stdarg.h>

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27.3. Introduce nullptr as a generic null pointer constant and deprecate NULL. Historically, the incompatibility in C++ between (void\*) 0 and other pointer types, had forced the wide use of some forms of integer zero, 0 or 0L as expansion of NULL, also in the C community. This use still has a major problem: NULL might or might not have the same width as a pointer, and thus using it in unspecific context such as functions with va\_arg parameters can lead to serious bugs.

After having imposed such a choice, C++ still wasn't satisfied and moved on to a real solution of their problem and introduced nullptr. Basically nullptr is what NULL had been intended to be from the beginning: a generic null pointer constant, that can't be used in integer contexts.

For C, a simple macro with expansion ((void\*)0) can serve that goal, so one option could be to force **NULL** to have that value. Unfortunately, currently **NULL** is still defined quite differently on different platforms, and it seems that different assumptions are widely made about what **NULL** would be. Therefore it seems to be simpler to phase the use of **NULL** out and introduce a new feature, that is designed analogous to the C++ feature.

This could be implemented as a macro, similar to the following

```
typedef void* __nullptr_t;
define nullptr ((__nullptr_t)+0)
```

or could be implemented as a keyword.

### APPENDIX A

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TABLE 1. Scalar types used in this book

ſ								
	name	other	category where	where	[min, max]	where	typical	printf
	size_t		unsigned	<stddef.h></stddef.h>	unsigned <stddef.h> [0, SIZE_MAX]</stddef.h>	< stdint . h>	$<$ stdint.h> $[0, 2^w - 1], w = 32,64$	"%Zn" "uZ%"
$\overline{}$	double		floating	builtin	[±DBL_MIN, ±DBL_MAX]	<float.h></float.h>	$[\pm DBL_MIN, \pm DBL_MAX]$   <\( \extrm{float.h} >   [\pm \pm \pm 2^{-w-2}, \pm 2^w], w = 1024   "\pm "ke" "\pm f" "\pm g" "\pm a" \pm a" \pm \pm a" \	"%e" "%f" "%g" "%a"
$\overline{}$	signed	int	signed	builtin	[INT_MIN, INT_MAX]	<li><li>limits .h&gt;</li></li>	climits .h> $[-2^w, 2^w - 1], w = 31$	"P%"
$\overline{}$	unsigned		unsigned   builtin	builtin	[0, UINT_MAX]	<li><li><li>limits .h&gt;</li></li></li>	thimits .h> $[0, 2^w - 1], w = 32$	"%" "n%"
$\overline{}$	bool	Bool	unsigned	unsigned   <stdbool.h>   [false, true]</stdbool.h>	[false, true]	<stdbool.h></stdbool.h>	[0, 1]	
	ptrdiff_t		signed	<stddef.h></stddef.h>	<std><stddef.h> [ MIN, MAX]</stddef.h></std>	< stdint . h>	$<$ stdint .h> $[-2^w, 2^w - 1], w = 31, 63$	"%td"
	char const*		string	builtin				"8%"
	char		character	builtin	[CHAR_MIN, CHAR_MAX]   <li>  (0, <math>2^w - 1</math>), <math>w = 7, 8</math></li>	<li><li><li>limits .h&gt;</li></li></li>	$[0, 2^w - 1], w = 7, 8$	"%c"
	*void*		pointer	builtin				"q%"
	unsigned char		unsigned builtin	builtin	[0, UCHAR_MAX]	<limits.h> $[0,255]$	[0, 255]	"%hhu" "%02hhx"

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TABLE 2. Value operators: "form" gives the syntactic form of the operation where @ represents the operator and a and eventually b denote values that serve as operands. Unless noted with "0,1 value", the type of the result is the same type as a (and b),

			type	restriction		
operator	nick	form	a	b	result	
		a	narrow		wide	promotion
+ -		a@b	pointer	integer	pointer	arithmetic
+ - * /		a@b	arithmetic	arithmetic	arithmetic	arithmetic
+ -		@a	arithmetic		arithmetic	arithmetic
%		a@b	integer	integer	integer	arithmetic
~	compl	@a	integer		integer	bit
	bitand					1
&   ^		a@b	integer	integer	integer	bit
	bitor					
	xor					
<< >>	4	a@b	integer	positive	integer	bit
== != < > <= >=	not_eq	a@b	scalar	scalar	0,1 value	comparison
	!!a	а	scalar		0,1 value	logic
!a	not	@a	scalar		0,1 value	logic
&&	and or	a@b	scalar	scalar	0,1 value	logic
		a@m	struct		value	member
*		@a	pointer		object	reference
[]		a[b]	pointer	integer	object	member
->		a@m	struct pointer		object	member
()		a(b)	function pointer		value	call
sizeof		@a	any		size_t	size

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TABLE 3. Object operators: "form" gives the syntactic form of the operation where @ represents the operator and  $\circ$  denotes an object and a denotes a suitable additional <u>value</u> (if any) that serve as operands. An additional \* in "type" requires that the object  $\circ$  is addressable.

operator	nick	form	type	result	
		0	array*	pointer	array decay
		0	function	pointer	function decay
		0	other	value	evaluation
=		o@a	non-array	value	assignment
+= -= *= /=		o@a	arithmetic	value	arithmetic
+= -=		o@a	pointer	value	arithmetic
%=		o@a	integer	value	arithmetic
++		00 00	real or pointer	value	arithmetic
&=  = ^=	and_eq	o@a	integer	value	bit
	or_eq xor_eq				
<<= >>=		o@a	integer	value	bit
		o@m	struct	object	member
[]		o[a]	array*	object	member
&		@0	any*	pointer	address
sizeof		00	non-function	size_t	size

TABLE 4. Type operators: these operators all return a value of type  $\mathbf{size}_{\_\mathbf{t}}$ . All have function-like syntax with the operands in parenthesis.

operator	nick	form	type	
sizeof		sizeof (T)	any	size
_Alignof	alignof	_Alignof(T)	any	aligment
	offsetof	offsetof (T, m)	struct	member offset

## Reminders

A	C and C++ are different, don't mix them and don't mix them up.	3
В	Don't panic.	1
0.1.1.1	C is an imperative programming language.	1
0.1.2.1	C is a compiled programming language.	3
0.1.2.2	A C program is portable between different platforms.	3
0.1.2.3	A C program should compile cleanly without warnings.	5
0.2.1.1	Punctuation characters can be used with several different meanings.	6
0.2.2.1	All identifiers of a program have to be declared.	7
0.2.2.2	Identifiers may have several consistent declarations.	8
0.2.2.3	Declarations are bound to the scope in which they appear.	8
0.2.3.1	Declarations specify identifiers whereas definitions specify objects.	9
0.2.3.2	An object is defined at the same time as it is initialized.	9
0.2.3.3	Missing elements in initializers default to 0.	9
0.2.3.4	For an array with n the first element has index 0, the last has index $n-1$ .	9
0.2.3.5	Each object must have exactly one definition.	10
0.2.4.1	Domain iterations should be coded with a for statement.	10
0.2.4.2	The loop variable should be defined in the initial part of a for.	11
1.3.1.1	The value 0 represents logical false.	16
1.3.1.2	Any value different from 0 represents logical true.	16
1.3.1.3	Don't compare to 0, false or true.	16
1.3.1.4	All scalars have a truth value.	16
1.3.3.1	case values must be integer constant expressions.	21
1.3.3.2	case labels must not jump beyond a variable definition.	21
1.4.0.3	The type size_t represents values in the range [0, SIZE_MAX].	22
1.4.1.1	Unsigned arithmetic is always well defined.	23
1.4.1.2	Operations +, - and * on size_t provide the mathematically correct	
	It if it is representable as a size_t.	23
1.4.1.3	For unsigned values, $a == (a/b) *b + (a%b)$ .	23
1.4.1.4	Unsigned / and % are well defined only if the second operand is not 0.	23
1.4.1.5	Arithmetic on size_t implicitly does computation % (SIZE_MAX+1).	23
1.4.1.6	In case of overflow, unsigned arithmetic wraps around.	23
1.4.1.7	The result of unsigned / and % is always smaller than the operands.	23
1.4.1.8	Unsigned / and % can't overflow.	23
1.4.2.1	Operators must have all their characters directly attached to each other.	24
1.4.2.2	Side effects in value expressions are evil.	24
1.4.2.3	Never modify more than one object in a statement.	24
1.4.3.1	Comparison operators return the values false or true.	25

1.4.3.2	Logic operators return the values false or true.	25
1.4.5.1	&&,   , ?: and, evaluate their first operand first.	27
1.4.5.2	Don't use the , operator.	27
1.4.5.3	Most operators don't sequence their operands.	27
1.4.5.4	Function calls don't sequence their argument expressions.	27
1.4.5.5	Functions that are called inside expressions should not have side effects.	27
1.5.0.6	All values are numbers or translate to such.	28
1.5.0.7	All values have a type that is statically determined.	29
1.5.0.8	Possible operations on a value are determined by its type.	29
1.5.0.9	A value's type determines the results of all operations.	29
1.5.0.10	A type's binary representation determines the results of all operations.	29
1.5.0.11	A type's binary representation is observable.	29
1.5.0.12	Programs execute <b>as if</b> following the abstract state machine.	29
1.5.0.13	Type determines optimization opportunities.	30
1.5.1.1	Each of the 4 classes of base types has 3 distinct unpromoted types.	31
1.5.1.2	Use size_t for sizes, cardinalities or ordinal numbers.	31
1.5.1.3	Use unsigned for small quantities that can't be negative.	31
1.5.1.4	Use signed for small quantities that bear a sign.	31
1.5.1.5	Use ptrdiff_t for large differences that bear a sign.	31
1.5.1.6	Use double for floating point calculations.	31
1.5.1.7	Use double complex for complex calculations.	32
1.5.2.1	Numerical literals are never negative.	33
1.5.2.2	Decimal integer constants are signed.	33
1.5.2.3	A decimal integer constant has the first of the 3 signed types that fits it.	33
1.5.2.4	The same value can have different types.	33
1.5.2.5	Don't use octal or hexadecimal constants to express negative values.	33
1.5.2.6	Use decimal constants to express negative values.	33
1.5.2.7	Different literals can have the same value.	34
1.5.2.8	The effective value of a decimal floating point constant may be different	
	n its literal value.	34
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1.5.3.3	{0} is a valid initializer for all object types that are not VLA.	35
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1.5.4.7	An integer constant expression doesn't evaluate any object.	38
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1.5.4.9	A compound literal defines an object.	39
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1.5.4.11	Right-indent continuation markers for macros to the same column.	39
1.5.4.12	I is reserved for the imaginary unit.	39

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1.5.5.3	The maximum value of any integer type is of the form $2^p - 1$ .	40
1.5.5.4	Arithmetic on an unsigned integer type is determined by its precision.	40
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1.5.5.6	Positive values are represented independently from signedness.	42
1.5.5.7	Once the abstract state machine reaches an undefined state no further	
assu	mption about the continuation of the execution can be made.	43
1.5.5.8	It is your responsibility to avoid undefined behavior of all operations.	43
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	ibutive.	45
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1.6.3.1	Omitted <b>struct</b> initializers force the corresponding field to 0.	53
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	ag name.	56
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1.7.1.1	All functions must have prototypes.	59
1.7.1.2	Functions only have one entry but several return.	59
1.7.1.3	A function return must be consistent with its type.	59
1.7.1.4 state	Reaching the end of the {} block of a function is equivalent to a <b>return</b> ement without expression.	59
1.7.1.5	Reaching the end of the {} block of a function is only allowed for <b>void</b>	
	tions.	59
1.7.2.1	Use EXIT_SUCCESS or EXIT_FAILURE as return values of main.	60
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	rn EXIT_SUCCESS;	60
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1.7.2.6	Of the arguments to main, argv[0] holds the name of the program	
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1.7.3.1	Make all preconditions for a function explicit.	61
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