# Intelligent Control: An Overview of Techniques \*

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# 1 Introduction

Intelligent control achieves automation via the emulation of biological intelligence. It either seeks to replace a human who performs a control task (e.g., a chemical process operator) or it borrows ideas from how biological systems solve problems and applies them to the solution of control problems (e.g., the use of neural networks for control). In this chapter we will provide an overview of several techniques used for intelligent control and discuss challenging industrial application domains where these methods may provide particularly useful solutions.

This chapter should be viewed as a resource for those in the early stages of considering the development and implementation of intelligent controllers for industrial applications. It is impossible to provide the full details of a field as large and diverse as intelligent control in a single chapter. Hence, here the focus is on giving the main ideas that have been found most useful in industry. Examples of how these methods have been used are given, and references for further study are provided.

The chapter will begin with a brief overview of the main (popular) areas in intelligent control which are fuzzy control, neural networks, expert and planning systems, and genetic algorithms. In addition, complex intelligent control systems, where the goal is to achieve autonomous behavior, will be summarized. In each case, applications will be used to motivate the need for the technique. Moreover, we will explain in broad terms how to go about applying the methods to challenging problems. We will summarize the advantages and disadvantages of the approaches and discuss comparative analysis with conventional control methods.

<sup>\*</sup>Chapter in: T. Samad, Ed., "Perspectives in Control: New Concepts and Applications," IEEE Press, NJ, 2001.

Overall, this chapter should be viewed as a practitioner's *first* introduction to intelligent control. The focus is on challenging problems and their solutions. The reader should be able to gain novel ideas about how to solve challenging problems, and will find resources to carry these ideas to fruition.

# 2 Intelligent Control Techniques

In this section we provide brief overviews of the main areas of intelligent control. The objective here is not to provide a comprehensive treatment. We only seek to present the basic ideas to give a flavor of the approaches.

# 2.1 Fuzzy Control

Fuzzy control is a methodology to represent and implement a (smart) human's knowledge about how to control a system. A fuzzy controller is shown in Figure 1. The fuzzy controller has several components:

- The rule-base is a set of rules about how to control.
- Fuzzification is the process of transforming the numeric inputs into a form that can be used by the inference mechanism.
- The inference mechanism uses information about the current inputs (formed by fuzzification), decides which rules apply in the current situation, and forms conclusions about what the plant input should be.
- Defuzzification converts the conclusions reached by the inference mechanism into a numeric input for the plant.

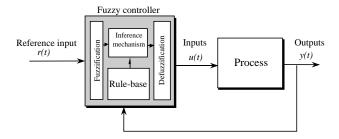


Figure 1: Fuzzy control system.

# 2.1.1 Fuzzy Control Design

As an example, consider the tanker ship steering application in Figure 2 where the ship is traveling in the x direction at a heading  $\psi$  and is steered by the rudder input  $\delta$ . Here, we seek to develop the control system in Figure 3 by specifying a fuzzy controller that would emulate how a ship captain would steer the ship. Here, if  $\psi_r$  is the desired heading,  $e = \psi_r - \psi$  and  $c = \dot{e}$ .

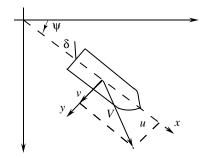


Figure 2: Tanker ship steering problem.

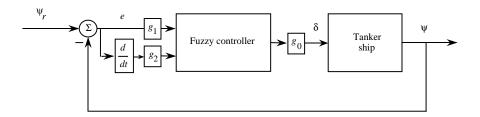


Figure 3: Control system for tanker.

The design of the fuzzy controller essentially amounts to choosing a set of rules ("rule base"), where each rule represents knowledge that the captain has about how to steer. Consider the following set of rules:

- 1. If e is neg and c is neg Then  $\delta$  is poslarge
- 2. If e is neg and c is zero Then  $\delta$  is possmall
- 3. If e is neg and c is pos Then  $\delta$  is zero
- 4. If e is zero and c is neg Then  $\delta$  is possmall
- 5. If e is zero and c is zero Then  $\delta$  is zero
- 6. If e is zero and c is pos Then  $\delta$  is negsmall
- 7. If e is pos and c is neg Then  $\delta$  is zero

- 8. If e is pos and c is zero Then  $\delta$  is negsmall
- 9. If e is pos and c is pos Then  $\delta$  is neglarge

Here, "neg" means negative, "poslarge" means positive and large, and the others have analogous meanings. What do these rules mean? Rule 5 says that the heading is good so let the rudder input be zero. For Rule 1:

- "e is neg" means that  $\psi$  is greater than  $\psi_r$ .
- "c is neg" means that  $\psi$  is moving away from  $\psi_r$  (if  $\psi_r$  is fixed).
- In this case we need a large positive rudder angle to get the ship heading in the direction of  $\psi_r$ .

The other rules can be explained in a similar fashion.

What, precisely, do we (or the captain) mean by, for example, "e is pos," or "c is zero," or " $\delta$  is poslarge"? We quantify the meanings with "fuzzy sets" ("membership functions"), as shown in Figure 4. Here, the membership functions on the e axis (called the e "universe of discourse") quantify the meanings of the various terms (e.g., "e is pos"). We think of the membership function having a value of 1 as meaning "true" while a value of 0 means "false." Values of the membership function in between 0 and 1 indicate "degrees of certainty." For instance, for the e universe of discourse the triangular membership function that peaks at e=0 represents the (fuzzy) set of values of e that can be referred to as "zero." This membership function has a value of 1 for e=0(i.e.,  $\mu_{zero}(0) = 1$ ) which indicates that we are absolutely certain that for this value of e we can describe it as being "zero." As e increases or decreases from 0 we become less certain that e can be described as "zero" and when its magnitude is greater than  $\pi$  we are absolutely certain that is it not zero, so the value of the membership function is zero. The meaning of the other two membership functions on the e universe of discourse (and the membership functions on the changein-error universe of discourse) can be described in a similar way. The membership functions on the  $\delta$  universe of discourse are called "singletons." They represent the case where we are only certain that a value of  $\delta$  is, for example, "possmall" if it takes on only one value, in this case  $\frac{40\pi}{180}$ , and for any other value of  $\delta$  we are certain that it is not "possmall." Finally, notice that Figure 4 shows the relationship between the scaling gains in Figure 3 and the scaling of the universes of discourse (notice that for the inputs there is an inverse relationship since an increase an input scaling gain corresponds to making, for instance, the meaning of "zero" correspond to smaller values).

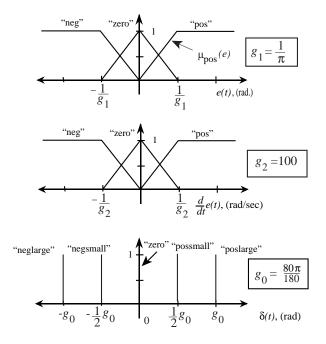


Figure 4: Membership functions for inputs and output.

It is important to emphasize that other membership function types (shapes) are possible; it is up to the designer to pick ones that accurately represent the best ideas about how to control the plant. "Fuzzification" (in Figure 1) is simply the act of finding, e.g.,  $\mu_{pos}(e)$  for a specific value of e.

Next, we discuss the components of the inference mechanism in Figure 1. First, we use "fuzzy logic" to quantify the conjunctions in the premises of the rules. For instance, the premise of Rule 2 is

"e is neg and c is zero."

Let  $\mu_{neg}(e)$  and  $\mu_{zero}(c)$  denote the respective membership functions of each of the two terms in the premise of Rule 2. Then, the premise certainty for Rule 2 can be defined by

$$\mu_{premise(2)} = \min \left\{ \mu_{neg}(e), \mu_{zero}(c) \right\}$$

Why? Think about the conjunction of two uncertain statements. The certainty of the assertion of two things is the certainty of the least certain statement.

In general, more than one  $\mu_{premise(i)}$  will be nonzero at a time so more than one rule is "on" (applicable) at every time. Each rule that is "on" can contribute to making a recommendation about how to control the plant and generally ones that are more on (i.e., have  $\mu_{premise(i)}$  closer

to one) should contribute more to the conclusion. This completes the description of the inference mechanism.

Defuzzification involves combining the conclusions of all the rules. "Center-average" defuzzification uses

$$\delta = \frac{\sum_{i=1}^{9} b_i \mu_{premise(i)}}{\sum_{i=1}^{9} \mu_{premise(i)}}$$

where  $b_i$  is the position of the center of the output membership function for the  $i^{th}$  rule (i.e., the position of the singleton). This is simply a weighted average of the conclusions. This completes the description of a simple fuzzy controller (and notice that we did not use a mathematical model in its construction).

There are many extensions to the fuzzy controller that we describe above. There are other ways to quantify the "and" with fuzzy logic, other inference approaches, other defuzzification methods, "Takagi-Sugeno" fuzzy systems, and multi-input multi-output fuzzy systems. See [25, 31, 7, 26] for more details.

# 2.1.2 Ship Example

Using a nonlinear model for a tanker ship [3] we get the response in Figure 5 (tuned using ideas from how you tune a proportional-derivative controller; notice that the values of  $g_1 = 2/\pi$ ,  $g_2 = 250$ , and  $g_0 = 8\pi/18$  are different than the first guess values shown in Figure 4) and the controller surface in Figure 6. The control surface shows that there is nothing mystical about the fuzzy controller! It is simply a static (i.e., memoryless) nonlinear map. For real-world applications most often the surface will have been shaped by the rules to have interesting nonlinearities.

# 2.1.3 Design Concerns

There are several design concerns that one encounters when constructing a fuzzy controller. First, it is generally important to have a very good understanding of the control problem, including the plant dynamics and closed-loop specifications. Second, it is important to construct the rule-base very carefully. If you do not tell the controller how to properly control the plant, it cannot succeed! Third, for practical applications you can run into problems with controller complexity since the number of rules used grows exponentially with the number of inputs to the controller, if you use all possible combinations of rules (however, note that the number of rules on at any one time grows much slower for the ship example). As with conventional controllers there are always concerns about the effects of disturbances and noise on, for example, tracking error (just because

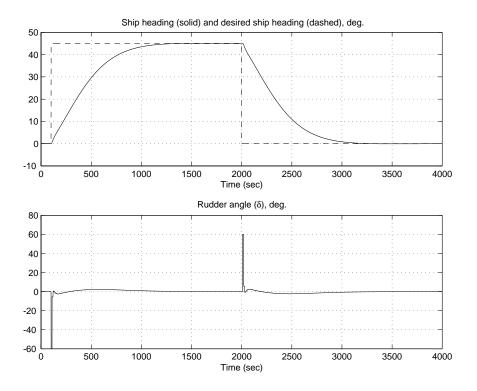


Figure 5: Response of fuzzy control system for tanker heading regulation (g1=2/pi;,g2=250;,g0=8\*pi/18;).

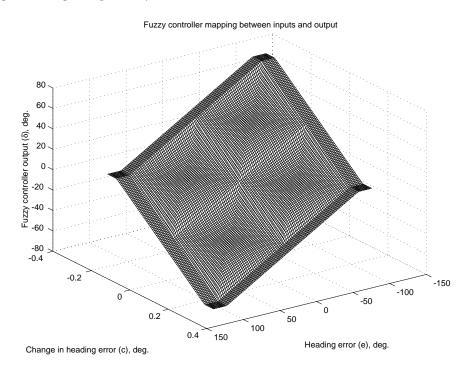


Figure 6: Fuzzy controller surface.

it is a fuzzy controller does not mean that it is automatically a "robust" controller). Indeed, analysis of robustness properties, along with stablity, steady state tracking error, and limit cycles can be quite important for some applications. As mentioned above, since the fuzzy controller is a nonlinear controller, the current methods in nonlinear analysis apply to fuzzy control systems also (see [25, 31, 24, 7] to find out how to perform stability analysis of fuzzy control systems).

In summary, the main advantage of fuzzy control is that it provides a heuristic (not necessarily model-based) approach to nonlinear controller construction. We will discuss why this advantage can be useful in the solution to challenging industrial applications in the next section.

#### 2.2 Neural Networks

Artificial neural networks are circuits, computer algorithms, or mathematical representations loosely inspired by the massively connected set of neurons that form biological neural networks. Artificial neural networks are an alternative computing technology that have proven useful in a variety of pattern recognition, signal processing, estimation, and control problems. In this chapter we will focus on their use in estimation and control.

## 2.2.1 Multilayer Perceptrons

The feedforward multilayer perceptron is the most popular neural network in control system applications and so we limit our discussion to it. The second most popular one is probably the radial basis function neural network (of which one form is identical to one type of fuzzy system).

The multilayer perceptron is composed of an interconnected set of neurons, each of which has the form shown in Figure 7. Here,

$$z = \sum_{i=1}^{n} w_i x_i - b$$

and the  $w_i$  are the interconnection "weights" and b is the "bias" for the neuron (these parameters model the interconnections between the cell bodies in the neurons of a biological neural network). The signal z represents a signal in the biological neuron and the processing that the neuron performs on this signal is represented with an "activation function" f where

$$y = f(z) = f\left(\sum_{i=1}^{n} w_i x_i - b\right). \tag{1}$$

The neuron model represents the biological neuron that "fires" (turns on) when its inputs are significantly excited (i.e., z is big enough). "Firing" is defined by an "activation function" f where two (of many) possibilities for its definition are:

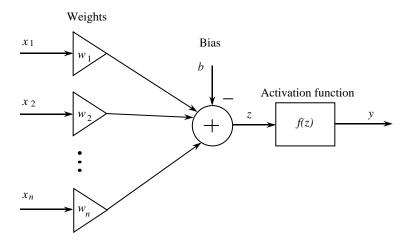


Figure 7: Single neuron model.

• Threshold function:

$$f(z) = \begin{cases} 1 & \text{if } z \ge 0 \\ 0 & \text{if } z < 0 \end{cases}$$

• Sigmoid (logistic) function:

$$f(z) = \frac{1}{1 + exp(-z)} \tag{2}$$

There are many other possible choices for neurons, including a "linear" neuron that is simply given by f(z) = z.

Equation (1), with one of the above activation functions, represents the computations made by one neuron. Next, we interconnect them. Let circles represent the neurons (weights, bias, and activation function), and lines represent the connections between the inputs and neurons and the neurons in one layer and the next layer. Figure 8 is a three "layer" perceptron since there are three stages of neural processing between the inputs and outputs.

Here, we have

- Inputs:  $x_i, i = 1, 2, ..., n$
- Outputs:  $y_i, j = 1, 2, ..., m$
- Number of neurons in the first "hidden layer,"  $n_1$ , in the second hidden layer  $n_2$ , and in the output layer, m
- In an N layer perceptron there are  $n_i$  neurons in the  $i^{th}$  hidden layer,  $i=1,2,\ldots,N-1$ .

We have

$$x_j^1 = f_j^1 \left( \sum_{i=1}^n w_{ij}^1 x_i - b_j^1 \right)$$

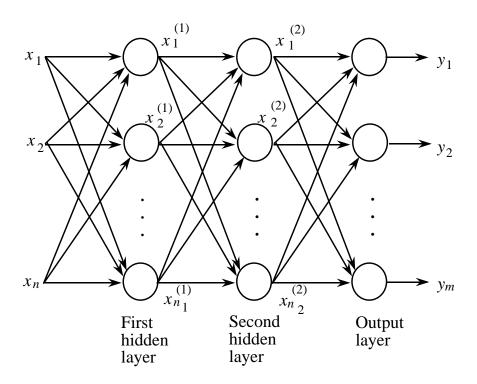


Figure 8: Multilayer perceptron model.

with  $j = 1, 2, \ldots, n_1$ . We have

$$x_j^2 = f_j^2 \left( \sum_{i=1}^{n_1} w_{ij}^2 x_i^1 - b_j^2 \right)$$

with  $j = 1, 2, \ldots, n_2$ . We have

$$y_j = f_j \left( \sum_{i=1}^{n_2} w_{ij} x_i^2 - b_j \right)$$

with j = 1, 2, ..., m. Here, we have

- $\bullet \ w^1_{ij} \ (w^2_{ij})$  are the weights of the first (second) hidden layer
- ullet  $w_{ij}$  are the weights of the output layer
- $b_j^1$  are the biases of the first hidden layer.
- $\bullet \ b_j^2$  are the biases of the second hidden layer
- $\bullet$   $b_j$  are the biases of the output layer
- $f_j$  (for the output layer),  $f_j^2$  (for the second hidden layer), and  $f_j^1$  (for the first hidden layer) are the activation functions (all can be different).

#### 2.2.2 Training Neural Networks

How do we construct a neural network? We train it with examples. Regardless of the type of network, we will refer to it as

$$y = F(x, \theta)$$

where  $\theta$  is the vector of parameters that we tune to shape the nonlinearity it implements (F could be a fuzzy system too in the discussion below). For a neural network  $\theta$  would be a vector of the weights and biases. Sometimes we will call F an "approximator structure." Suppose that we gather input-output training data from a function y = g(x) that we do not have an analytical expression for (e.g., it could be a physical process).

Suppose that y is a scalar but that  $x = [x_1, \dots, x_n]^\top$ . Suppose that  $x^i = [x_1^i, \dots, x_n^i]^\top$  is the  $i^{th}$  input vector to g and that  $y^i = g(x^i)$ . Let the training data set be

$$G = \{(x^i, y^i) : i = 1, \dots, M\}$$

The "function approximation problem" is how to tune  $\theta$  using G so that F matches g(x) at a test set  $\Gamma$  ( $\Gamma$  is generally a much bigger set than G). For system identification the  $x^i$  are composed of past system inputs and outputs (a regressor vector) and the  $y^i$  are the resulting outputs. In this case we tune  $\theta$  so that F implements the system mapping (between regressor vectors and the output). For parameter estimation the  $x^i$  can be regressor vectors but the  $y^i$  are parameters that you want to estimate. In this way we see that by solving the above function approximation problem we are able to solve several types of problems in estimation (and control, since estimators are used in, for example, adaptive controllers).

Consider the simpler situation in which it is desired to cause a neural network  $F(x, \theta)$  to match the function g(x) at only a single point  $\bar{x}$  where  $\bar{y} = g(\bar{x})$ . Given an input  $\bar{x}$  we would like to adjust  $\theta$  so that the difference between the desired output and neural network output

$$e = \bar{y} - F(\bar{x}, \theta) \tag{3}$$

is reduced (where  $\bar{y}$  may be either vector or scalar valued). In terms of an optimization problem, we want to minimize the cost function

$$J(\theta) = e^{\top} e. \tag{4}$$

Taking infinitesimal steps along the gradient of  $J(\theta)$  with respect to  $\theta$  will ensure that  $J(\theta)$  is nonincreasing. That is, choose

$$\dot{\theta} = -\bar{\eta} \nabla J(\theta), \tag{5}$$

where  $\bar{\eta} > 0$  is a constant and if  $\theta = [\theta_1, \dots, \theta_p]^\top$ ,

$$\nabla J(\theta) = \frac{\partial J(\theta)}{\partial \theta} = \begin{bmatrix} \frac{\partial J(\theta)}{\partial \theta_1} \\ \vdots \\ \frac{\partial J(\theta)}{\partial \theta_n} \end{bmatrix}$$
 (6)

Using the definition for  $J(\theta)$  we get

$$\dot{\theta} = -\bar{\eta} \frac{\partial e^{\top} e}{\partial \theta}$$

or

$$\dot{\theta} = -\bar{\eta} \frac{\partial}{\partial \theta} (\bar{y} - F(\bar{x}, \theta))^{\top} (\bar{y} - F(\bar{x}, \theta))$$

so that

$$\dot{\theta} = -\bar{\eta} \frac{\partial}{\partial \theta} (\bar{y}^{\top} \bar{y} - 2F(\bar{x}, \theta)^{\top} \bar{y} + F(\bar{x}, \theta)^{\top} F(\bar{x}, \theta))$$

Now, taking the partial we get

$$\dot{\theta} = -\bar{\eta}(-2\frac{\partial F(\bar{x},\theta)^{\top}}{\partial \theta}\bar{y} + 2\frac{\partial F(\bar{x},\theta)^{\top}}{\partial \theta}F(\bar{x},\theta))$$

If we let  $\eta = 2\bar{\eta}$  we get

$$\dot{\theta} = \eta \left. \frac{\partial F(\bar{x}, z)}{\partial z} \right|_{z=\theta}^{\top} (\bar{y} - F(\bar{x}, \theta))$$

SO

$$\dot{\theta} = \eta \zeta(\bar{x}, \theta) e \tag{7}$$

where  $\eta > 0$ , and

$$\zeta(\bar{x}, \theta) = \frac{\partial F(\bar{x}, z)}{\partial z} \Big|_{z=\theta}^{\top}, \tag{8}$$

Using this update method we seek to adjust  $\theta$  to try to reduce  $J(\theta)$  so that we achieve good function approximation.

In discretized form and with non-singleton training sets updating is accomplished by selecting the pair  $(x^i, y^i)$ , where  $i \in \{1, ..., M\}$  is a random integer chosen at each iteration, and then using Euler's first order approximation the parameter update is defined by

$$\theta(k+1) = \theta(k) + \eta \zeta^{i}(k)e(k). \tag{9}$$

where k is the iteration step,  $e(k) = y^i - F(x^i, \theta(k))$  and

$$\zeta^{i}(k) = \left. \frac{\partial F(x^{i}, z)}{\partial z} \right|_{z=\theta(k)}^{\top}.$$
 (10)

When M input-output pairs, or patterns,  $(x^i, y^i)$  where  $y^i = g(x^i)$  are to be matched, "batch updates" can also be done. In this case, let

$$e^i = y^i - F(x^i, \theta), \tag{11}$$

and let the cost function be

$$J(\theta) = \sum_{i=1}^{M} e^{i} e^{i}. \tag{12}$$

and the update formulas can be derived similarly. This is actually the "backpropagation method" (except we have not noted the fact that due to the structure of the layered neural networks certain computational savings are possible). In practical applications the backpropagation method, which relies on the "steepest descent approach," can be very slow since the cost  $J(\theta)$  can have long low slope regions. It is for this reason that in practice numerical methods are used to update neural network parameters. Two of the methods that have proven to be particularly useful are the Levenberg-Marquardt and conjugate-gradient methods. See [12, 13, 4, 16, 8, 5, 32, 17, 21, 14] for more details.

#### 2.2.3 Design Concerns

There are several design concerns that you encounter in solving the function approximation problem using gradient methods (or others) to tune the approximator structure. First, it is difficult to pick a training set G that you know will ensure good approximation (indeed, most often it is impossible to choose the training set; often some other system chooses it). Second, the choice of the approximator structure is difficult. While most neural networks (and fuzzy systems) satisfy the "universal approximation property," so that they can be tuned to approximate any continuous function on a closed and bounded set to an arbitrary degree of accuracy, this generally requires that you be willing to add an arbitrary amount of structure to the approximator (e.g., nodes to a hidden layer of a multilayer perceptron). Due to finite computing resources we must then accept an "approximation error." How do we pick the structure to keep this error as low as possible? This is an open research problem and algorithms that grow or shrink the structure automatically have been developed. Third, it is generally impossible to guarantee convergence of the training methods to a global minimum due to the presence of many local minima. Hence, it is often difficult to know when to terminate the algorithm (often tests on the size of the gradient update or measures of the approximation error are used to terminate). Finally, there is the important issue of "generalization," where the neural network is hopefully trained to nicely interpolate between similar inputs. It is very difficult to guarantee that good interpolation is achieved. Normally, all we can do is use a rich data set (large, with some type of uniform and dense spacing of data points) to test that we have achieved good interpolation. If we have not, then you may not have used enough complexity in your model structure, or you may have too much complexity that resulted in "over-training" where you match very well at the training data but there are large excursions elsewhere.

In summary, the main advantage of neural networks is that they can achieve good approximation accuracy with a reasonable number of parameters by training with data (hence, there is a lack of dependence on models). We will show how this advantage can be exploited in the next section for challenging industrial control problems.

# 2.3 Genetic Algorithms

A genetic algorithm (GA) is a computer program that simulates characteristics of evolution, natural selection (Darwin), and genetics (Mendel). It is an optimization technique that performs a parallel (i.e., candidate solutions are distributed over the search space) and stochastic but directed search to evolve the most fit population. Sometimes when it "gets stuck" at a local optimum it is able to use the multiple candidate solutions to try to simultaneously find other parts of the search space that will allow it to "jump out" of the local optimum and find a global one (or at least a better local one). GAs do not need analytical gradient information, but with modifications can exploit such information if it is available.

#### 2.3.1 The Population of Individuals

The "fitness function" of a GA measures the quality of the solution to the optimization problem (in biological terms, the ability of an individual to survive). The GA seeks to maximize the fitness function  $J(\theta)$  by selecting the individuals that we represent with the parameters in  $\theta$ . To represent the GA in a computer we make  $\theta$  a string (called a "chromosome") as shown in Figure 9.

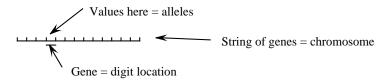


Figure 9: String for representing an individual.

In a base-2 representation "alleles" (values in the positions, "genes" on the chromosome) are 0 and 1. In base-10 the alleles take on integer values between 0 and 9. A sample binary chromosome is given by: 1011110001010 while a sample base-10 chromosome is: 8219345127066. These

chromosomes should not necessarily be interpretted as the corresponding positive integers. We can add a gene for the sign of the number and fix a position for the decimal point to represent signed reals. In fact, representation via chromosomes is generally quite abstract. Genes can code for symbolic or structural characteristics, not just for numeric parameter values, and data structures for chromosomes can be trees and lattices, not just vectors.

Chromosomes encode the parameters of a fuzzy system, neural network, or an estimator or controller's parameters. For example, to tune the fuzzy controller discussed earlier for the tanker ship you could use the chromosome:

$$b_1b_2\cdots b_9$$

(these are the output membership function centers). To tune a neural network you can use a chromosome that is a concatenation of the weights and biases of the network. Aspects of the structure of the neural network, such as the number of neurons in a layer, the number of hidden layers, or the connectivity patterns can also be incorporated into the chromosome. To tune a proportional-integral-derivative (PID) controller, the chromosome would be a concatenation of its three gains.

How do we represent a set of individuals (i.e., a population)? Let  $\theta_i^j(k)$  be a single parameter at time k (a fixed length string with sign digit) and suppose that chromosome j is composed of N of these parameters that are sometimes called "traits." Let

$$\theta^{j}(k) = \left[\theta_{1}^{j}(k), \theta_{2}^{j}(k), \dots, \theta_{N}^{j}(k)\right]^{\top}.$$

be the  $j^{th}$  chromosome.

The population at time ("generation") k is

$$P(k) = \left\{ \theta^{j}(k) : j = 1, 2, \dots, S \right\}$$
 (13)

Normally, you try to pick the population size S to be big enough so that broad exploration of the search space is achieved, but not too big or you will need too many computational resources to implement the genetic algorithm.

Evolution occurs as we go from a generation at time k to the next generation at time k+1 via fitness evaluation, selection, and the use of genetic operators such as crossover and mutation.

#### 2.3.2 Genetic Operators

Selection follows Darwin's theory that the most qualified individuals survive to mate. We quantify "most qualified" via an individual's fitness  $J(\theta^{j}(k))$ . We create a "mating pool" at time k:

$$M(k) = \left\{ m^{j}(k) : j = 1, 2, \dots, S \right\}.$$
(14)

Then, we select an individual for mating by letting each  $m^{j}(k)$  be equal to  $\theta^{i}(k) \in P(k)$  with probability

$$p_i = \frac{J(\theta^i(k))}{\sum_{j=1}^S J(\theta^j(k))}.$$
 (15)

With this approach, more fit individuals will tend to end up mating more often, thereby providing more off-spring. Less fit individuals, on the other hand, will have contributed less of the genetic material for the next generation.

Next, in the reproduction phase, that operates on the mating pool, there are two operations: "crossover" and "mutation." Crossover is mating in biological terms (the process of combining chromosomes), for individuals in M(k). For crossover, you first specify the "crossover probability"  $p_c$  (usually chosen to be near unity). The procedure for crossover is: Randomly pair off the individuals in the mating pool M(k). Consider chromosome pair  $\theta^j$ ,  $\theta^i$ . Generate a random number  $r \in [0,1]$ . If  $r \geq p_c$  then do not crossover (just pass the individuals into the next generation). If  $r < p_c$  then crossover  $\theta^j$  and  $\theta^i$ . To crossover these chromosomes select at random a "cross site" and exchange all the digits to the right of the cross site of one string with the other (see Figure 10). Note that multi-point (multiple cross sites) crossover operators can also be used, with the offspring chromosomes composed by alternating chromosome segments from the parents.

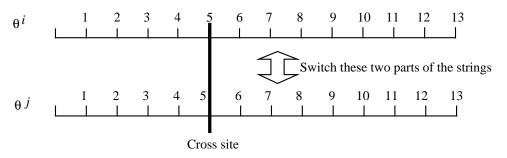


Figure 10: Crossover operation example.

Crossover perturbs the parameters near good positions to try to find better solutions to the optimization problem. It tends to perform a localized search around the more fit individuals (i.e., children are interpolations of their parents that may be more or less fit to survive).

Next, in the reproduction phase, after crossover, we have mutation. The biological analog of our mutation operation is the random mutation of genetic material. To do this, with probability  $p_m$  change (mutate) each gene location on each chromosome (in the mating pool) randomly to a member of the number system being used. Mutation tries to make sure that we do not get stuck at a local maximum of the fitness function and that we seek to explore other areas of the search space to help find a global maximum for  $J(\theta)$ . Since mutation is pure random search,  $p_m$  is usually near zero.

Finally, we produce the next generation by letting

$$P(k+1) = M(k)$$

Evolution is the repetition of the above process. For more details on GAs see [22, 20, 28, 10].

# 2.3.3 Design Concerns

There are many design concerns that one can encounter when using GAs to solve optimization problems. First, it is important to fully understand the optimization problem, know what you want to optimize, and what you can change to achieve the optimization. You also must have an idea of what you will accept as an optimal solution. Second, choice of representation (e.g., the number of digits in a base-10 representation) is important. Too detailed of a representation causes increases in computational complexity while if the representation is too coarse then you may not be able to achieve enough accuracy in your solution. Third, there are a wide range of other genetic operators (e.g., "elitism" where the most fit individual is passed to the next generation without being perturbed by crossover or mutation) and choosing the appropriate ones is important since they can affect convergence significantly. Fourth, just like for gradient optimization methods it is important to pick a good termination method (even if it is simply a test on how much improvement has been made on J over the last several generations). Finally, for practical problems it is difficult to guarantee that you will achieve convergence due to the presence of local maxima. Moreover, it can be difficult to select the best solution from the many candidate solutions that exist (most often you pick the parameters that resulted in the highest value of the fitness function and these may have been generated in a past generation, not at the final one).

In summary, the main advantage of genetic algorithms is that they offer an evolution-based stochastic search that can be useful in finding good solutions to practical complex optimization problems, especially when gradient information is not conveniently available.

# 2.4 Expert and Planning Systems

In this section we briefly overview the expert and planning systems [27] approaches to control. We keep the discussion particularly brief since the use of expert systems for control ("expert control") is conceptually similar to fuzzy control and since general planning operations often fall outside the area of traditional control problems (although they probably should not).

## 2.4.1 Expert Control

For the sake of our discussion, we will simply view the expert system that is used here as a controller for a dynamic system, as is shown in Figure 11. Here, we have an expert system serving as feedback controller with reference input r and feedback variable y. It uses the information in its knowledge-base and its inference mechanism to decide what command input u to generate for the plant. Conceptually, we see that the expert controller is closely related to the fuzzy controller. There are, however, several differences. First, the knowledge-base in the expert controller could be a rule-base, but is not necessarily so. It could be developed using other knowledge-representation structures, such as frames, semantic nets, causal diagrams, and so on. Second, the inference mechanism in the expert controller is more general than that of the fuzzy controller. It can use more sophisticated matching strategies to determine which rules should be allowed to fire. It can use more elaborate inference strategies such as "refraction," "recency," and various other priority schemes. Next, we should note that Figure 11 shows a direct expert controller. It is also possible to use an expert system as a supervisor for conventional or intelligent controllers.

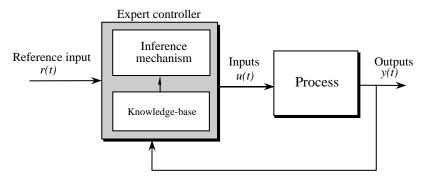


Figure 11: Expert control system.

#### 2.4.2 Planning Systems for Control

Artificially intelligent planning systems (computer programs that are often designed to emulate the way experts plan) have been used for several problems, including path planning and high-level decisions about control tasks for robots [6, 27]. A generic planning system can be configured in the architecture of a standard control system, as shown in Figure 12. Here, the "problem domain" (the plant) is the environment that the planner operates in. There are measured outputs  $y_k$  at step k(variables of the problem domain that can be sensed in real time), control actions  $u_k$  (the ways in which we can affect the problem domain), disturbances  $d_k$  (which represent random events that can affect the problem domain and hence the measured variable  $y_k$ ), and goals  $g_k$  (what we would like to achieve in the problem domain). There are closed-loop specifications that quantify performance and stability requirements. It is the task of the planner in Figure 12 to monitor the measured outputs and goals and generate control actions that will counteract the effects of the disturbances and result in the goals and the closed-loop specifications being achieved. To do this, the planner performs "plan generation," where it projects into the future (usually a finite number of steps, and often using a model of the problem domain) and tries to determine a set of candidate plans. Next, this set of plans is pruned to one plan that is the best one to apply at the current time (where "best" can be determined based on, e.g., consumption of resources). The plan is then executed, and during execution the performance resulting from the plan is monitored and evaluated. Often, due to disturbances, plans will fail, and hence the planner must generate a new set of candidate plans, select one, then execute that one. While not pictured in Figure 12, some planning systems use "situation assessment" to try to estimate the state of the problem domain (this can be useful in execution monitoring and plan generation); others perform "world modeling," where a model of the problem domain is developed in an on-line fashion (similarly to on-line system identification), and "planner design" uses information from the world modeler to tune the planner (so that it makes the right plans for the current problem domain). The reader will, perhaps, think of such a planning system as a general adaptive (model predictive) controller.

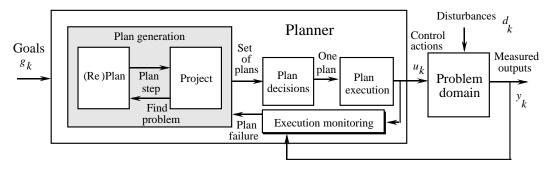


Figure 12: Closed-loop planning system.

## 2.5 Intelligent and Autonomous Control

Autonomous systems have the capability to independently (and successfully) perform complex tasks. Consumer and governmental demands for such systems are frequently forcing engineers to push many functions normally performed by humans into machines. For instance, in the emerging area of intelligent vehicle and highway systems (IVHS), engineers are designing vehicles and highways that can fully automate vehicle route selection, steering, braking, and throttle control to reduce congestion and improve safety. In avionic systems a "pilot's associate" computer program has been designed to emulate the functions of mission and tactical planning that in the past may have been performed by the copilot. In manufacturing systems, efficiency optimization and flow control are being automated, and robots are replacing humans in performing relatively complex tasks. From a broad historical perspective, each of these applications began at a low level of automation, and through the years each has evolved into a more autonomous system. For example, automotive cruise controllers are the ancestors of the (research prototype) controllers that achieve coordinated control of steering, braking, and throttle for autonomous vehicle driving. And the terrain following and terrain avoidance control systems for low-altitude flight are ancestors of an artificial pilot's associate that can integrate mission and tactical planning activities. The general trend has been for engineers to incrementally "add more intelligence" in response to consumer, industrial, and government demands and thereby create systems with increased levels of autonomy. In this process of enhancing autonomy by adding intelligence, engineers often study how humans solve problems, then try to directly automate their knowledge and techniques to achieve high levels of automation. Other times, engineers study how intelligent biological systems perform complex tasks, then seek to automate "nature's approach" in a computer algorithm or circuit implementation to solve a practical technological problem (e.g., in certain vision systems). Such approaches where we seek to emulate the functionality of an intelligent biological system (e.g., the human) to solve a technological problem can be collectively named "intelligent systems and control techniques." It is by using such techniques that some engineers are trying to create highly autonomous systems such as those listed above.

Figure 13 shows a functional architecture for an intelligent autonomous controller with an interface to the process involving sensing (e.g., via conventional sensing technology, vision, touch, smell, etc.), actuation (e.g., via hydraulics, robotics, motors, etc.), and an interface to humans (e.g., a driver, pilot, crew, etc.) and other systems. The "execution level" has low-level numeric signal processing and control algorithms (e.g., PID, optimal, adaptive, or intelligent control; param-

eter estimators, failure detection and identification (FDI) algorithms). The "coordination level" provides for tuning, scheduling, supervision, and redesign of the execution-level algorithms, crisis management, planning and learning capabilities for the coordination of execution-level tasks, and higher-level symbolic decision making for FDI and control algorithm management. The "management level" provides for the supervision of lower-level functions and for managing the interface to the human(s) and other systems. In particular, the management level will interact with the users in generating goals for the controller and in assessing the capabilities of the system. The management level also monitors performance of the lower-level systems, plans activities at the highest level (and in cooperation with humans), and performs high-level learning about the user and the lower-level algorithms. Conventional or intelligent systems methods can be used at each level. For more information on these types of control systems see [2, 29, 1, 30, 11].

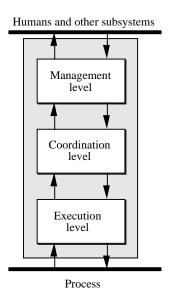


Figure 13: Intelligent autonomous controller.

# 3 Applications

In this section some of the main characteristics of the intelligent system methods that have proven useful in industrial applications are outlined. Then, examples are given for use of the methods.

# 3.1 Heuristic Construction of Nonlinear Controllers

The first area we discuss where intelligent control has had a clear impact in industry is the area of heuristic construction of nonlinear controllers. Two areas in intelligent control have made most

of the contributions to this area: fuzzy control and expert systems for control (here we will focus on fuzzy control, one type of rule-based controller, since the ideas extend directly to the expert control case). The reason that the methods are "heuristic" is that they normally do not rely on the development and use of a mathematical model of the process to be controlled.

# 3.1.1 Model-Free Control?

To begin with it is important to critically examine the claim that fuzzy control is "model-free" control. So, is a model used in the fuzzy control design methodology? It is possible that a mathematical model is not used and that the entire process simply relies on the ad hoc specification of rules about how to control a process (in an analogous manner to how PID controllers are often designed and implemented in industry). However, often a model is used in simulation to redesign a fuzzy controller (consider the earlier ship steering controller design problem). Others argue that a model is always used: even if it is not written down, some type of model is used "in your head" (even though it might not be a formal mathematical model).

Since most people claim that no formal model is used in the fuzzy control design methodology, the following questions arise:

- 1. Is it not true that there are few, if any, assumptions to be violated by fuzzy control and that the technique can be indiscriminately applied? Yes, and sometimes it is applied to systems where it is clear that a PID controller or look-up table would be just as effective. So, if this is the case, then why not use fuzzy control? Because it is more computationally complex than a PID controller and the PID controller is much more widely understood.
- 2. Are heuristics all that are available to perform fuzzy controller design? No. Any good models that can be used, probably should be.
- 3. By ignoring a formal model, if it is available, is it not the case that a significant amount of information about how to control the plant is ignored? Yes. If, for example, you have a model of a complex process, we often use simulations to gain an understanding of how best to control the plant—and this knowledge can be used to design a fuzzy controller.

Regardless, there are times when it is either difficult or virtually impossible to develop a useful mathematical model. In such instances, heuristic constructive methods for controllers can be very useful (of course we often do the same thing with PID controllers).

In the next section we give an example where fuzzy controllers were developed and proved to be very effective, and no mathematical model was used.

#### 3.1.2 Example: Vibration Damping in a Flexible-Link Robot

For nearly a decade, control engineers and roboticists alike have been investigating the problem of controlling robotic mechanisms that have very flexible links. Such mechanisms are important in space structure applications, where large, lightweight robots are to be utilized in a variety of tasks, including deployment, spacecraft servicing, space-station maintenance, and so on. Flexibility is not designed into the mechanism; it is usually an undesirable characteristic that results from trading off mass and length requirements in optimizing effectiveness and "deployability" of the robot. These requirements and limitations of mass and rigidity give rise to many interesting issues from a control perspective. Why turn to fuzzy control for this application?

The modeling complexity of multilink flexible robots is well documented, and numerous researchers have investigated a variety of techniques for representing flexible and rigid dynamics of such mechanisms. Equally numerous are the works addressing the control problem in simulation studies based on mathematical models, under assumptions of perfect modeling. Even in simulation, however, a challenging control problem exists; it is well known that vibration suppression in slewing mechanical structures whose parameters depend on the configuration (i.e., are time varying) can be extremely difficult to achieve. Compounding the problem, numerous experimental studies have shown that when implementation issues are taken into consideration, modeling uncertainties either render the simulation-based control designs useless, or demand extensive tuning of controller parameters (often in an ad hoc manner).

Hence, even if a relatively accurate model of the flexible robot can be developed, it is often too complex to use in controller development, especially for many control design procedures that require restrictive assumptions for the plant (e.g., linearity). It is for this reason that conventional controllers for flexible robots are developed either (1) via simple crude models of the plant behavior that satisfy the necessary assumptions (e.g., either from first principles or using system identification methods), or (2) via the ad hoc tuning of linear or nonlinear controllers. Regardless, heuristics enter the design process when the conventional control design process is used.

It is important to emphasize, however, that such conventional control-engineering approaches that use appropriate heuristics to tune the design have been relatively successful. For a process such as a flexible robot, you are left with the following question: How much of the success can be attributed to the use of the mathematical model and conventional control design approach, and how much should be attributed to the clever heuristic tuning that the control engineer uses upon implementation? Why not simply acknowledge that much of the problem must be solved with

heuristic ideas and avoid all the work that is needed to develop the mathematical models? Fuzzy control provides such an opportunity and has in fact been shown to be quite successful for this application [23] compared to conventional control approaches, especially if one takes into account the efforts needed to develop a mathematical model that is needed for the conventional approaches.

#### 3.2 Data-Based Nonlinear Estimation

The second major area where methods from intelligent control have had an impact in industry is in the use of neural networks to construct mappings from data. In particular, neural network methods have been found to be quite useful in pattern recognition and estimation. Below, we explain how to construct neural network based estimators and give an example where such a method was used.

#### 3.2.1 Estimator Construction Methodology

In conventional system identification you gather plant input-output data and construct a model (mapping) between the inputs and outputs. In this case, model construction is often done by tuning the parameters of a model (e.g., the parameters of a linear mapping can be tuned using linear least squares methods or gradient methods). To validate this model you gather novel plant input-output data and pass the inputs into your constructed model and compare its outputs to the ones that were generated by the model. If some measure of the difference between the plant and model outputs is small, then we accept that the model is a good representation of the system.

Neural networks or fuzzy systems are also tunable functions that could be used for this system identification task. Fuzzy and neural systems are nonlinear and are parameterized by membership function parameters or weights (and biases), respectively. Gradient methods can be used to tune them to match mappings that are characterized with data. Validation of the models proceeds along the same lines as with conventional system identification.

In certain situations you can also gather data that relates the inputs and outputs of the system to parameters within the system. To do this, you must be able to vary system parameters and gather data for each value of the system parameter (the gathered data should change each time the parameter changes and it is either gathered via a sophisticated simulation model or via actual experiments with the plant). Then, using a gradient method you can adjust the neural or fuzzy system parameters to minimize the estimation error. The resulting system can serve as a parameter estimator (i.e., after it is tuned—normally it cannot be tuned on-line because actual values of the parameters are not known on-line, they are what you are trying to estimate).

#### 3.2.2 Example: Automotive Engine Failure Estimation

In recent years significant attention has been given to reducing exhaust gas emissions produced by internal combustion engines. In addition to overall engine and emission system design, correct or fault-free engine operation is a major factor determining the amount of exhaust gas emissions produced in internal combustion engines. Hence, there has been a recent focus on the development of on-board diagnostic systems that monitor relative engine health. Although on-board vehicle diagnostics can often detect and isolate some major engine faults, due to widely varying driving environments they may be unable to detect minor faults, which may nonetheless affect engine performance. Minor engine faults warrant special attention because they do not noticeably hinder engine performance but may increase exhaust gas emissions for a long period of time without the problem being corrected. The minor faults we consider in this case study include "calibration faults" (here, the occurrence of a calibration fault means that a sensed or commanded signal is multiplied by a gain factor not equal to one, while in the no-fault case the sensed or commanded signal is multiplied by one) in the throttle and mass fuel actuators, and in the engine speed and mass air sensors. The reliability of these actuators and sensors is particularly important to the engine controller since their failure can affect the performance of the emissions control system. Here, we simply discuss how to formulate the problem so that it can be solved with neural or fuzzy estimation schemes. The key to this is to understand how data is generated for the training of neural or fuzzy system estimators.

The experimental setup in the engine test cell consists of a Ford 3.0 L V-6 engine coupled to an electric dynamometer through an automatic transmission. An air charge temperature sensor (ACT), a throttle position sensor (TPS), and a mass airflow sensor (MAF) are installed in the engine to measure the air charge temperature, throttle position, and air mass flow rate. Two heated exhaust gas oxygen sensors (HEGO) are located in the exhaust pipes upstream of the catalytic converter. The resultant airflow information and input from the various engine sensors are used to compute the required fuel flow rate necessary to maintain a prescribed air-to-fuel ratio for the given engine operation. The central processing unit (EEC-IV) determines the needed injector pulse width and spark timing, and outputs a command to the injector to meter the exact quantity of fuel. An ECM (electronic control module) breakout box is used to provide external connections to the EEC-IV controller and the data acquisition system. The angular velocity sensor system consists of a digital magnetic zero-speed sensor and a specially designed frequency-to-voltage converter, which converts frequency signals proportional to the rotational speed into an analog voltage.

Data is sampled every engine revolution. A variable load is produced through the dynamometer, which is controlled by a DYN-LOC IV speed/torque controller in conjunction with a DTC-1 throttle controller installed by DyneSystems Company. The load torque and dynamometer speed are obtained through a load cell and a tachometer, respectively. The throttle and the dynamometer load reference inputs are generated through a computer program and sent through an RS-232 serial communication line to the controller. Physical quantities of interest are digitized and acquired utilizing a National Instruments AT-MIO-16F-5 A/D timing board for a personal computer. Due to government mandates, periodic inspections and maintenance for engines are becoming more common. One such test developed by the Environmental Protection Agency (EPA) is the Inspection and Maintenance (IM) 240 cycle. The EPA IM240 cycle represents a driving scenario developed for the purpose of testing compliance of vehicle emissions systems for contents of carbon monoxide (CO), unburned hydrocarbons (HC), and nitrogen oxides (NO<sub>x</sub>). A modified version of this cycle was used in all the tests.

Using the engine test cell, measurements are taken of engine inputs and outputs for various calibration faults (i.e., we gather sequences of data for each fault). Then, we induce faults over the whole range of possible values of calibration faults. Data from all these experiments becomes our training data set (the set G described in the neural network section). This allows us to construct neural or fuzzy estimators for calibration faults that can be tested in the actual experimental testbed. Additional details on this application are given in [18].

#### 3.3 Intelligent Adaptive Control Strategies

In this section we overview how intelligent systems methods can be used to achieve adaptive control. Rather than providing a detailed overview of all the (many) strategies that have been investigated and reported in the literature, an overview will be provided in the first subsection of this section that will show how all the methods broadly relate to each other. The reader should keep in mind that all of these methods bear very close relationships to the work in conventional adaptive control [15].

#### 3.3.1 Fuzzy, Neural, and Genetic Adaptive Control

There are two general approaches to adaptive control, and in the first one, which is depicted in Figure 14, we use an on-line system identification method to estimate the parameters of the plant (by estimating the parameters of an "identifier model") and a "controller designer" module to subsequently specify the parameters of the controller. If the plant parameters change, the identifier

will provide estimates of these and the controller designer will subsequently tune the controller. It is inherently assumed that we are certain that the estimated plant parameters are equivalent to the actual ones at all times (this is called the "certainty equivalence principle"). Then if the controller designer can specify a controller for each set of plant parameter estimates, it will succeed in controlling the plant. The overall approach is called "indirect adaptive control" since we tune the controller indirectly by first estimating the plant parameters.

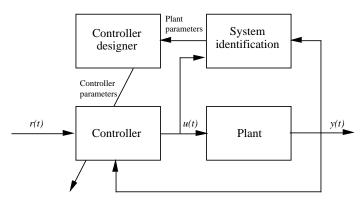


Figure 14: Indirect adaptive control.

The model structure used for the identifier model could be linear with adjustable coefficients. Alternatively, it could be a neural or fuzzy system with tunable parameters (e.g., membership function parameters or weights and biases). In this case, the model that is being tuned is a nonlinear function. Since the plant is assumed to be unknown but constant, the nonlinear mapping it implements is unknown. In adjusting the nonlinear mapping implemented by the neural or fuzzy system to match the unknown nonlinear mapping of the plant we are solving an on-line "function approximation problem." Normally, gradient or least squares methods are used to tune neural or fuzzy systems for indirect adaptive control (although problem-dependent heuristics can sometimes be useful for practical applications). Stability of these methods has been studied by several researchers (including Farrell and Polycarpou [9]). For an overview of the research see their chapter. Other times, a genetic algorithm has been employed for such on-line model tuning and in this case it may also be possible to tune the model structure.

In the second general approach to adaptive control, which is shown in Figure 15, the "adaptation mechanism" observes the signals from the control system and adapts the parameters of the controller to maintain performance even if there are changes in the plant. Sometimes, in either the direct or indirect adaptive controllers, the desired performance is characterized with a "reference model," and the controller then seeks to make the closed-loop system behave as the reference model would, even if the plant changes. This is called "model reference adaptive control" (MRAC).

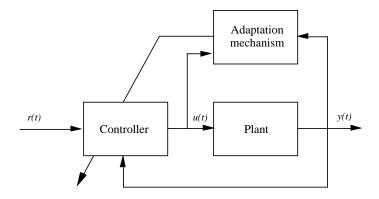


Figure 15: Direct adaptive control.

In neural control or adaptive fuzzy control the controller is implemented with a neural or fuzzy system, respectively. Normally, gradient or least squares methods are used to tune the controller (although sometimes problem-dependent heuristics have been found to be quite useful for practical applications, such as in the fuzzy model reference learning controller discussed below). Stability of direct adaptive neural or fuzzy methods has been studied by several researchers (again, for an overview of the research see the chapter by Farrell and Polycarpou [9]). Clearly, since the genetic algorithm is also an optimization method, it can be used to tune neural or fuzzy system mappings when they are used as controllers also. The key to making such a controller work is to provide a way to define a fitness function for evaluating the quality of a population of controllers (in one approach a model of the plant is used to predict into the future how each controller in the population will perform). Then, the most fit controller in the population is used at each step to control the plant. This is a type of adaptive model predictive control (MPC) method.

Finally, we would like to note that in practical applications it is sometimes found that a "supervisory controller" can be very useful. Such a controller takes as inputs data from the plant and the reference input (and any other information available, e.g., from the user) and tunes the underlying control strategy. For example, for the flexible-link robot application discussed earlier such a strategy was found to be very useful in tuning a fuzzy controller and in an aircraft application it was found useful in tuning an adaptive fuzzy controller to try to ensure that the controller was maximally sensitive to plant failures in the sense that it would quickly respond to them, but still maintained stable high performance operation.

#### 3.3.2 Example: Adaptive Fuzzy Control for Ship Steering

How good is the fuzzy controller that we designed for the ship steering problem earlier in this chapter? Between trips, let there be a change from "ballast" to "full" conditions on the ship (a

weight change). In this case, using the controller we had developed earlier, we get the response in Figure 16.

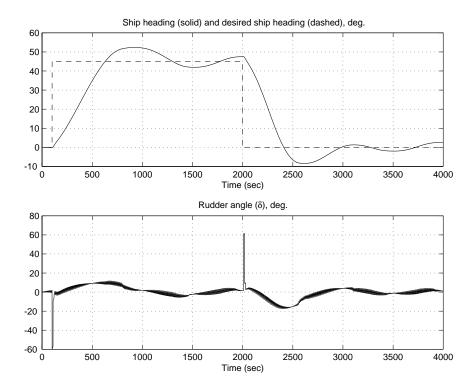


Figure 16: Response of fuzzy control system for tanker heading regulation, weight change.

Clearly there has been a significant degradation in performance. It is possible to tune the fuzzy controller to reduce the effect of this disturbance, but there can then be other disturbances that could also have adverse effects on performance. This presents a fundamental challenge to fuzzy control and motivates the need for a method that can automatically tune the fuzzy controller if there are changes in the plant.

Fuzzy model reference learning control (FMRLC) is one heuristic approach to adaptive fuzzy control and the overall scheme is shown in Figure 17. Here, at the lower level in the figure there is a plant that is controlled by a fuzzy controller (as an example, this one simply has inputs of the error and change in error). The "reference model" is a user-specified dynamical system that is used to quantify how we would like the system to behave between the reference input and the plant output. For example, we may request a first order response with a specified time constant between the reference input and plant output. The "learning mechanism" observes the performance of the low-level fuzzy controller loop and decides when to update the fuzzy controller. For this example, when the error between the reference model output and the plant output is large the learning mechanism will make large changes to the fuzzy controller (by tuning its output membership function centers),

while if this error is small, then it will make small changes. For more details, see [19].

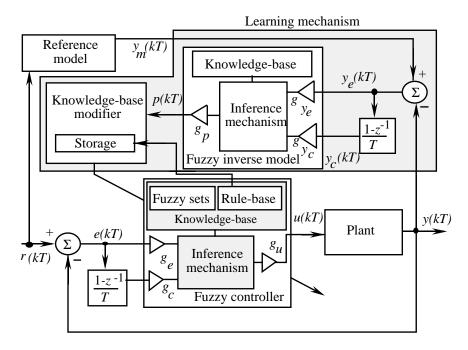


Figure 17: Fuzzy model reference learning controller.

How does the FMRLC work for the tanker ship? Assume that we initialize the controller with the one that was developed via manual tuning earlier. To see that it can tune a rule-base see the response in Figure 18 (we use a first order reference model). Here, at t = 9000 sec. the ship weight is suddenly changed from ballast to full and we see that while initially the weight change causes poor transient performance, it quickly recovers to provide good tracking. Compare this response to the direct fuzzy controller results in Figure 16. You can see that it does very well at tuning the fuzzy controller (although it may not be done tuning at the end of the simulation). The tuned controller surface (at the end of the simulation) is shown in Figure 19 and we see that it produced some shape changes relative to the manually constructed one in Figure 6 since it is trying to compensate for the effects of the weight change.

# 4 Concluding Remarks: Outlook on Intelligent Control

In this section we briefly note some of the current and future research directions in intelligent control. Current theoretical research in intelligent control is focusing on:

- Mathematical stability/convergence/robustness analysis for learning systems.
- Mathematical comparative analysis with nonlinear adaptive methods.

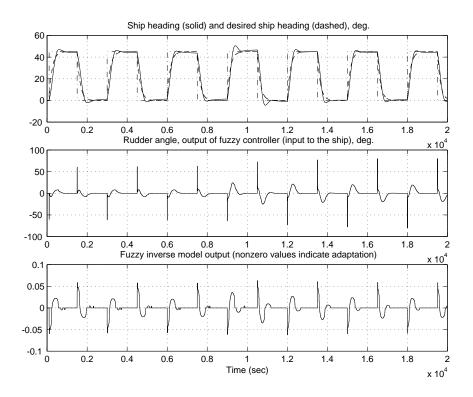


Figure 18: FMRLC response, rule-base tuning for tanker.

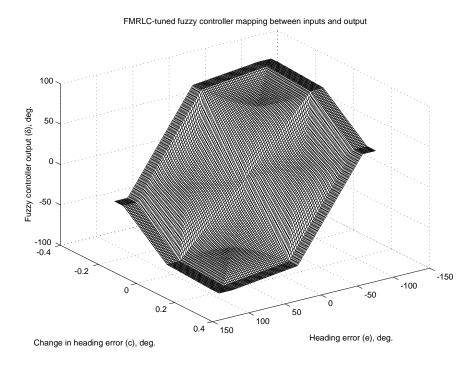


Figure 19: FMRLC response, rule-base tuning for tanker, tuned controller surface.

However, as Albert Einstein once said: "So far as the laws of mathematics refer to reality, they are not certain. And so far as they are certain, they do not refer to reality." Or stated another

way, your approaches that are developed with mathematical analysis are only as good as the model that you use to develop them.

Current research on the development of new *techniques* in intelligent control focuses on the following:

- Complex heuristic learning strategies.
- Memory and computational savings.
- Coping with "hybrid" discrete event / differential equation models.

Current research in *applications* and implementations is focusing on a wide variety of problems. It is important to note the following:

- There is a definite need for experimental research (especially in comparative analysis and new non-traditional applications).
- There have been definite successes in industry (we are certainly not providing a complete overview of these successess).
- For researchers in universities, working with industry is challenging and important.

In summary, intelligent control not only tries to borrow ideas from the sciences of physics and mathematics to help develop control systems, but also biology, neuroscience, artificial intelligence, and others. It has proven useful in some applications as we discussed in the previous section, and may offer useful solutions to the challenging problems that you encounter.

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