

# RECOGNIZING AND PREDICTING CONTEXT BY LEARNING FROM USER BEHAVIOR

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## *Abstract:*

*Current mobile devices like mobile phones or personal digital assistants have become more and more powerful; they already offer features that only few users are able to exploit to their whole extent. With a number of upcoming mobile multimedia applications, ease of use becomes one of the most important aspects. One way to improve usability is to make devices aware of the user's context, allowing them to adapt to the user instead of forcing the user to adapt to the device. Our work is taking this approach one step further by not only reacting to the current context, but also predicting future context, hence making the devices proactive. Mobile devices are generally suited well for this task because they are typically close to the user even when not actively in use. This allows such devices to monitor the user context and act accordingly, like automatically muting ring or signal tones when the user is in a meeting or selecting audio, video or text communication depending on the user's current occupation. This paper presents an architecture that allows mobile devices to continuously recognize current and anticipate future user context. The major challenges are that context recognition and prediction should be embedded in mobile devices with limited resources, that learning and adaptation should happen on-line without explicit training phases and that user intervention should be kept to a minimum with non-obtrusive user interaction. To accomplish this, the presented architecture consists of four major parts: feature extraction, classification, labeling and prediction. The available sensors provide a multi-dimensional, highly heterogeneous input vector as input to the classification step, realized by data clustering. Labeling associates recognized context classes with meaningful names specified by the user, and prediction allows to forecast future user context for proactive behavior.*

## **1 Introduction**

The purpose of our study is to enhance *information appliances* [18] to predict context and deliver proactive services to the user. An information appliance is a device designed to perform a specific function, specialized in information, with the ability to share information with other appliances. They are currently implemented as, for instance, mobile devices or within Pervasive Computing.

Many have already presented their visions of future computers, including Mark Weiser with Ubiquitous Computing [29] (which is also called Pervasive Computing), Steve Mann with Wearable Computing [15], Hiroshi Ishi with Tangible Bits [12] and Hans-Werner Gellersen with Smart-Its [9]. Common to most of them are the paradigms of Mobile Computing and Context Awareness [25]. Although these visions are radically different, they all agree that user interfaces should become less obtrusive and “smarter” in regards to adapting to the user. Today, most interfaces are explicit ones, forcing the user to adapt to the interface, to learn how to use it. If a “Personal Computer” or “Per-

sonal Digital Assistant” (*PDA*) would live up to its name, it should instead adapt to the user, offering implicit, intuitive and sometimes invisible interfaces.

This paper strives to add another aspect to the vision of future computers: proactivity. We postulate that a PDA, which is not bounded to being a single physical device, can only fulfill its intentions if it acts proactively – good human assistants stand out for this reason. Our idea is to provide software applications not only with information about the current user context, but also with predictions of future user context. When equipped with various sensors, an information appliance should classify current situations and, based on those classes, learn the user’s behaviors and habits by deriving knowledge from historical data. Our current research focus is to forecast future user context by extrapolating the past.

It should be pointed out that the topic of proactivity in computer science is a controversial one, especially in HCI. Because the predicted future context and thus implicitly the actions started by the appliance based on these assumption might be erroneous, they might need to be reverted by the user, possibly causing severe problems. Thus, proactivity in applications, when utilized for controlling actuators with impact on the real world, must be handled with care. However, the possible uses for predicted user context in applications are manifold, ranging from simple reminder messages to emergency procedures being started before an accident happens. This paper is primarily concerned with techniques that enable proactivity in embedded devices.

In the following, we present our architecture for an application framework which provides predicted user context on the basis of historical data in real-time. The remainder of this paper is structured as follows: Section 2 defines our notions of proactivity and context. Section 3 lists related work and sets our work in relation to other projects. The main part of this paper, section 4, explains the architecture including our contribution of adding proactivity to context awareness. Finally, section 5 shortly summarizes our work and describes future aims.

## 2 Definitions

### 2.1 Proactivity

The term proactivity has been used in computer science mostly for software agents, where one important difference between agent oriented programming and object oriented programming is the proactivity of software agents [30]. Formally, the difference between reactivity and proactivity lies in the dependence of the current system output on the system state trajectory. If interpreted as an abstract (Moore) state machine, the internal “state” of a system at time  $t$  can be described as  $q_t = \delta\langle q_{t-1}, a_{t-1} \rangle$ , where  $q_t$  is the current state,  $q_{t-1}$  is the last state and  $a_{t-1}$  is the input value at time  $t - 1$  (cf. [20]). In this definition, system inputs and state transitions are assumed at discrete time steps  $t \in \mathbb{N}_0$ . The system output depends on the state – this is how the difference between reactivity and proactivity is defined in the context of this paper. In a reactive system, the output  $b_t$  at time  $t$  only depends on the current and – implicitly – on past states:

$$b_t = \lambda(q_t)$$

In a proactive system, it can also depend on predicted future states:

$$b_t = \lambda\langle q_t, \bar{q}_{t+1}, \bar{q}_{t+2}, \dots, \bar{q}_{t+m} \rangle$$

The future states  $\bar{q}_{t+1}, \dots, \bar{q}_{t+m}$  for  $m$  discrete time steps are predicted recursively by some arbitrarily complex process  $\bar{q}_{t+i} = p\langle q_t, \bar{q}_{t+1}, \dots, \bar{q}_{t+i-1} \rangle$ ; if only  $q_t$  is needed for predicting any  $\bar{q}_{t+i}$ , then  $p$  can simply ignore the predicted states  $\bar{q}_{t+1}, \dots, \bar{q}_{t+i-1}$ .

## 2.2 Context

Context has been defined by Dey [4] as

any information that can be used to characterize the situation of an entity, where an entity can be a person, place, or a physical or computational object

which we adopt in this paper. Describing the situation in general, context can have many aspects, typically:

- geographical
- physical
- organizational
- social
- user
- task
- action
- technological
- time

As described in more detail in section 4.1, a single sensor does not seem to be appropriate to capture the different aspects of context.

## 3 Related Work

Context awareness is currently a highly active research topic [1], but most publications assume few but powerful sensors like video or infrastructure based location-tracking. Albrecht Schmidt and Kristof van Laerhoven have presented an architecture for recognizing context from multiple simple sensors [26, 25, 24] in the TEA project. Our work takes a comparable approach to context detection by using multiple diverse sensors, but extends it to also exploit qualitative, non-numerical features [16]. Additionally, our framework introduces the prediction of future context, which has not been considered in the TEA project. The ORESTEIA project is concerned with hybrid intelligent artefacts, but depends on a priori training of artefacts by a vendor in a special training phase and explicit retraining phases for adaption [19, Appendix A]; we seek to avoid the distinction of operation and training phases so that a device can be fully operational at all times. In [4], Anind K. Dey et.al. described a software infrastructure for context awareness, which depends on a server for aggregating context and is limited to discrete-valued types of sensors.

Feature extraction from different types of sensors has been described in various publications, e.g. [3] describes the use of K-Means clustering and HMM to obtain context from a microphone, while [2] describes the use of audio and video for context detection.

Learning user's habits has previously been explored by Michael C. Mozer in The Neural Network House [17], which is able to predict occupancy of rooms, hot water usage and likelihood that a zone is entered in the next few seconds using trained feedforward neural networks. Kidd et.al. reported [13] about the Aware House, which should also learn user's habits, but was not finished at the time of the report.

Time series forecast has been explored in different areas, including distributed simulation [6], software agents [22], data value prediction in processors [23], data mining from health records [28] and theoretically for neural networks [27].

Utilizing different types of features for context recognition and the use of time series forecast methods for predicting future context in this sense is, to the best of our knowledge, a new approach and has not been covered before by published research.

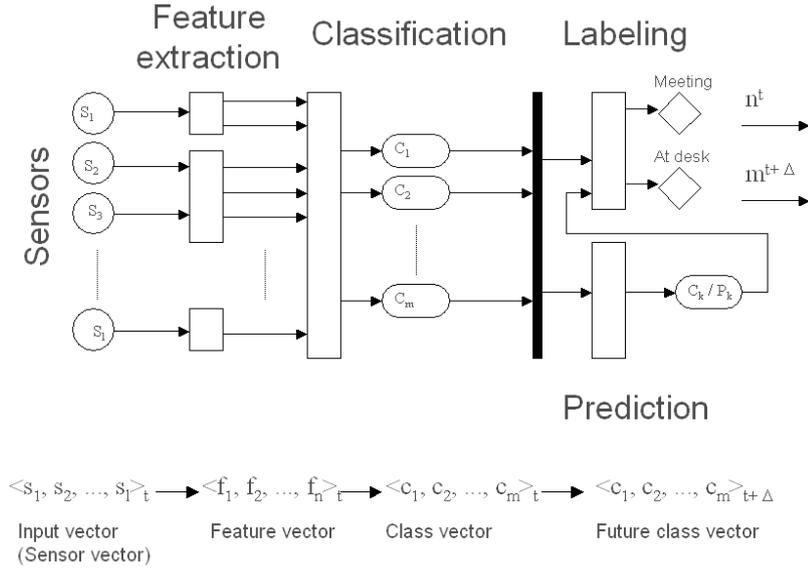


Figure 1: Architecture for proactivity via predicted user contexts

## 4 Architecture

Sensor readings are classified to detect common patterns in the input values. These patterns are interpreted as “states” of an abstract state machine that act as context identifiers. A user context is therefore abstracted to these states, whose internal data structures relate sensor readings to states. Although this interpretation makes it more complicated for applications to query for specific aspects of a context (e.g. location) instead of the context identifier, it allows to monitor and record the state trajectory of this abstract state machine. When a user advances from one context to another, sensor readings will change and another state will become active, reflecting the context change. Thus, interpreting the context changes as a state trajectory allows to forecast the future development of the trajectory, and therefore to predict the anticipated context. For clarity, we will only use the term context in the remainder of this paper, which is similar to a state in our interpretation.

It is important to note that context classification and prediction must be performed in real-time for any practical application; it is not feasible to log data and process it offline. For the vision described in section 1, an information appliance will have to be continuously running and always be able to provide services to the user. Therefore, our architecture is targeted towards embedded systems, running without user intervention for arbitrarily long periods.

To derive knowledge about the device/user context from raw sensor data, the following steps are applied, which are depicted in Fig. 1:

1. **Sensor data acquisition**: Sensors, e.g. brightness, microphone or IEEE802.15 Bluetooth and IEEE802.11b Wireless LAN (WLAN) network interfaces, provide data streams (time series) of measurements. Usually some physical values like the incoming RF signals are the base for measurements, but more abstract sensors like the currently active application can also be utilized. In [24], sensors are classified as physical or logical, which we are not doing for a variety of reasons. Within our work, a sensor is any entity that can provide measurements of the environment a device is situated in. Values are sampled at discrete time steps  $t \in \mathbb{N}_0$ , whose frequency should be set to the maximum desired sample frequency of the used sensors. As this is highly application specific, no general distance between sample time steps can be determined.

A *sensor vector*  $S_1 \times S_2 \times \dots \times S_l$  defines sensor readings  $\langle s_1, s_2, \dots, s_l \rangle_t \in S_1 \times S_2 \times \dots \times S_l$  for points in time  $t$ .

2. **Feature Extraction:** From raw sensor data, information can be extracted by domain-specific methods, yielding multiple data values per sensor, which are called *features*  $F$  with samples  $f \in F$  as a function of time. During feature extraction, the available data is deliberately simplified, transformed or even expanded, allowing it to be interpreted better. Usually, simple statistical parameters like the mean  $\bar{x}$ , standard deviation  $\sigma$  or higher moments are used as features for time series of numerical data. For nominal and ordinal data, alternative methods should be explored.

The set of features is called a *feature vector*  $F_1 \times F_2 \times \dots \times F_n$ , which defines samples  $\langle f_1, f_2, \dots, f_n \rangle_t \in F_1 \times F_2 \times \dots \times F_n$  for points in time  $t$  in the multi-dimensional *feature space*.

3. **Classification:** The task of classification is to find common patterns in the feature space, which are called *classes* or *clusters*. Because a feature vector should possibly be assigned to multiple classes with certain *degrees of membership* (the “probability” that the feature vector belongs to a class), soft classification / soft clustering approaches are utilized. These approaches map a feature vector of  $n$  different features to degrees of membership  $c \in C$  with  $C := [0; 1]$  of  $m$  different classes:  $F_1 \times F_2 \times \dots \times F_n \rightarrow C^m$ . The classes  $c_i$  for  $i = 1 \dots m$  are regarded as the detected user context and are identified by a simple index in the class vector.

The *class vector*  $C^m$  defines class degrees of membership  $\langle c_1, c_2, \dots, c_m \rangle_t \in C^m$  for points in time  $t$ .

4. **Labeling:** To ease the development of context-aware applications and for presenting detected context to the user, descriptive names should be assigned to single classes or combinations of classes (cf. [14]). Labeling maps class vectors to a set of names:  $C^m \rightarrow N$  where  $N$  is a set of user-defined context names (strings).

A *context name* or *context label*  $n_t \in N$  describes the currently active context for points in time  $t$ .

5. **Prediction:** To enable proactivity, our approach is to forecast future context. Thus, the prediction step generates anticipated future class vectors from current ones:  $C^m \times \mathbb{R}^+ \times \mathbb{R}^+ \rightarrow C^m$ .

A (future) class vector defines degrees of membership for each class:

$$\langle c_1, c_2, \dots, c_m \rangle_s = p(\langle c_1, c_2, \dots, c_m \rangle_t, t, s) \text{ for points in time } t \text{ and } s \text{ with } s > t.$$

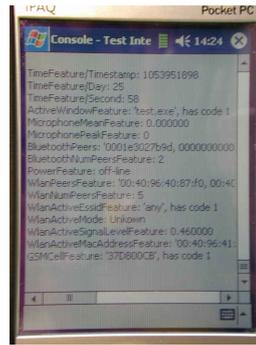
The following sections describe these five blocks in more detail.

## 4.1 Sensors

Context awareness of information appliances premises that they can rely on context-relevant information gathered by sensors. The acquired information should be as close to the user’s world-perception as possible [24]. Unlike sensing information in other domains (e.g. quality assurance, robotics, etc.), where the object of interest is explicitly investigated for the sake of accurate and highly reliable measurement reading, sensors for Pervasive Computing information appliances have to be less intrusive and ostensible. Furthermore, for collecting context information, varieties and events in the measured data are much more interesting than the actual sensor output; thus different techniques and methods are required [5]. Gellersen et.al. proposed the use of diverse simple sensors as an alternative to the integration of a single generic sensor. Presuming that current information appliances are already equipped with sensors that can be exploited for those means, this approach is more rational. The variety of different sensor types results in a better representation of the users context than a single generic sensor [9]. Examples of such sensors in a typical information appliance



(a) PDA and mobile phone, communicating via Bluetooth.



(b) Example values of features.

**Figure 2: Feature extraction on a typical PDA with a mobile phone as additional sensor.**

are listed in table 1, while table 2 lists additional sensors that might be useful for recognizing user context and can be easily added to current and future information appliances.

time
microphone
brightness
Bluetooth
Wireless LAN
(un)docked
logged on/off
application manager

**Table 1: Typical sensors available in a mobile device.**

GPS
GSM
compass
accelerometer
tilt sensor
temperature sensor
pressure sensor
various bio-medical sensors

**Table 2: Additional sensors for a mobile device.**

To improve the quality of context recognition, information appliances can share their perceptions to create a more complete model of the current context. This is accomplished by mutually granting access to the raw sensor data of devices in the neighborhood in a peer-to-peer manner. Own sensor data can be correlated with the data of sensors in range, increasing the accuracy. Nevertheless, context information can only be shared within a close range to ensure that the recognized context is still local and distinct and not a global representation of different neighboring situations. By this means, the list of sensors is easily extensible by equipping the user with smart sensors that expose their information to a close, interested (and authorized) device. E.g., a biological sensor could measure the user's pulse and transmit it to the information appliance via Bluetooth or similar ad-hoc communication methods. Fig. 2a shows an example of using a mobile phone for retrieving GSM sensor data via Bluetooth. The list of processed sensor information is only limited by processing capabilities and memory of the information appliance.

## 4.2 Feature Extraction

Although feature extraction and classification are well-known fields of research, most publications only cover numerical, continuous features. Recently we introduced a model for utilizing heterogeneous features (e.g. a list of Bluetooth or WLAN devices in range in combination with the time stamp) in a common classification step [16].

The feature vector  $\langle f_1, f_2, \dots, f_n \rangle_t$  formed by an arbitrary combination of these features is highly heterogeneous; therefore, it is necessary to find a way to cope with the different types and semantics of the feature space dimensions in the classification step. In our concept, a feature type is defined by the feature extractor that does the actual transformation of raw sensor data into the more relevant exposition of the data. Therefore, these transformations can be done independently for each feature and are completely domain specific; each feature type can implement its operators needed for classification differently. This abstraction virtually maps different kinds of sensor data and their respective feature types to a unified multidimensional, homogeneous feature space that can be classified by commonly used algorithms.

A preliminary comparison of different classification methods, ranging from clustering algorithms to neural networks, showed that only two operations are necessary on an abstract feature  $F$ : a distance metric and an adaptation operator [16]. With these two operations, supervised and un-supervised classifiers like the Kohonen Self-Organizing Map (*SOM*), K-Means clustering or Lifelong GNG [11] can be easily applied to any feature which defines them. In Fig. 2b, an example list of features in a typical mobile scenario is shown on the PDA screen, including lists of Bluetooth and WLAN devices in range, the current GSM cell (queried from a mobile phone via Bluetooth) and specific audio features from the microphone. Each of these features has been implemented with appropriate distance and modification operators.

### 4.3 Classification

The classification step is used to find similarities in and learn recurring patterns from its input data. It serves the input for the labeling and the prediction steps in form of a probability vector containing the probability of activity for each learned class.

A classification algorithm has to fulfill several requirements to be applicable for classifying sensor data and recognizing context:

- **On-line learning:** There is no dedicated training phase, learning has to be done unsupervised and continuously.
- **Adaptivity:** Learning must never stop; as user's habits will change over time, classes must always adapt to new input data. This prevents the use of a continuously decreasing learning rate as used in many methods (e.g. some neural networks).
- **Variable topology:** Because the number of classes can not be determined a priori for the general case, the internal topology must be able to adapt dynamically.
- **Soft classification:** Context classes are not mutually exclusive, more than one context can be active at the same time (e.g. 'at home' and 'sleeping').
- **Noise resistance:** When working with real-world data, the algorithms have to cope with the intrinsic noise that is sampled with all signals.
- **Limited resources:** The algorithm has to work within the capability constraints of an information appliance (small RAM, little processing power, etc.).
- **Simplicity:** In our case, the algorithm should perform as few distinct operations on the feature vector as possible. As the feature extractors have to provide the necessary operators (see feature extraction), a multitude of operators drastically complicates the implementation.

Ideally, a classification algorithm must be on-line and thus unsupervised and must have a variable network topology to cope with changing feature vector dimensionality (changing sensor configurations). The classification algorithm must not be hard competitive to allow multiple active contexts and it has to be designed for life long learning to not forget or overwrite already learned clusters over time (which is known as the plasticity-stability dilemma [10] in neural networks and clustering literature). Table 3 shows a comparison of the most common on-line clustering algorithms and serves

On-line Algorithm	Network Topology	Topology preserving	Competitive
SOM	fixed	yes	soft
RSOM	fixed	yes	soft
K-Means	fixed	no	hard
Leader	variable	no	hard
G K-Means	variable	no	hard
Neural Gas	variable	no	soft
NG+CHL	variable	yes	soft
GNG	variable	yes	soft
IDBSCAN	variable	no	hard

**Table 3: General overview of algorithms for unsupervised clustering of sensor data, based on [14]: Kohonen Self-Organizing Map (SOM), the Recurrent Self-Organizing Map (RSOM), K-Means clustering, Hartigan’s sequential leader clustering, growing K-means clustering, neural gas, neural gas with competitive Hebbian learning (NG+CHL), growing neural gas (GNG) and incremental DBSCAN (IDBSCAN). Unlike Van Laerhoven we rate NG+CHL and GNG as topology preserving [8].**

as a base for selecting the most appropriate one. K-Means, Leader, G K-Means and IDBSCAN segregate themselves due to their hard competitive classification strategies. SOM and RSOM tend to forget their previously learned classes very quickly due to their learning strategy and fixed network topology. Although this can be circumvented by combining the SOM with K-Means clustering [14], GNG [7] still seems to provide more flexibility in environments with changing configurations.

In [11], Hamker proposed modifications to the original GNG algorithm to cope with continuously changing environments and life-long learning (*LLGNG*). These modifications prevent the GNG from growing permanently by introducing a learning rate with a locality criteria. This results in a locally converging but globally still adaptive learning algorithm. New classes will always be learned but changes in already learned classes are only applied if the cluster representing this class does not match the new input vector properties to a reasonable extent. Due to these modifications, the algorithm also performs better in environments with small memory because a new cluster always represents a new context and is never redundant. Therefore, LLGNG can forget the oldest and most erroneous cluster when the memory boundaries are reached; this ensures that memory is always available for learning new classes. The basic rule behind learning and insertion in the LLGNG is that “*organisms only learn when events violate their expectations*”, previously assumed by [21].

Tests and performance evaluations are in work and will be presented in more detail in future publications.

#### 4.4 Labeling

Applications will generally be unaware of classes and their current degrees of membership. In real-world scenarios, it would be virtually impossible to design applications to work with these class vectors, because they are learned in an un-supervised way and will therefore differ from one device to another; class vectors depend on the order in which those classes were detected first. Therefore, the indices of the currently active classes need to be mapped to more meaningful values. In our architecture, simple strings are used as context labels allowing users to enter them. This is the only step where user interaction is necessary and even in this case, it can be non-obtrusive. Approaches for such an interface include a discreet icon in one corner of the device screen which blinks when a still unlabeled context class has been active for some period of time, allowing a user to assign a name for the current context. Another option is to display an automatically created context log in form of a diary, which allows the user to label formerly detected context classes.

The complexity necessary for this step mostly depends on the quality of the classification step. If classes are long-term stable, i.e. previously learned classes are not overwritten by different new ones, then a simple 1-to-1 mapping of classes to labels might be enough. However, if the used classification algorithm overwrites older classes in order to learn new context, then the degrees of membership of all classes will need to be mapped to labels. In [14], a simple K-Means clustering algorithm is used as a second step after clustering. For each class, represented by a winner neuron of the Kohonen SOM clustering, K-Means is applied to the input vectors of the SOM (which correspond to feature vectors in our architecture) to avoid overwriting of labels. This added complexity is necessary because of the shortcomings of the SOM, and one of the reasons for selecting GNG for our first experiments.

It is still an open issue if the classification quality will facilitate the use of a simple 1-to-1 mapping or if a more complex n-to-1 mapping from the whole class vector to labels will perform better.

## 4.5 Prediction

Prediction is the main novelty in our architecture and the focus of our current research. As prediction should again be performed without user interaction, it is not necessary to work with labeled context. Instead, the prediction step in our architecture builds upon the class vector generated by the classification step. This allows to predict more than a single future “best matching” context by exploiting the class degrees of membership (which would be impossible if the prediction would take the single “best matching”, labeled context as input).

The aim is to generate class vectors for future points in time, which have the same meaning as the current class vector provided by the classification step. This allows to feed the predicted class vectors into the labeling step to provide predicted context labels for use in proactive applications (cf. Fig. 1). Before going into more detail, it is generally good to first analyze the requirements for a prediction algorithm in this sense.

- Unsupervised model estimation: Model topology and parameters need to be estimated automatically without user interaction or explicit definition of input/output behavior.
- On-line learning: For embedded devices in real-world scenarios, it is infeasible to switch between artificially separated training and prediction phases or even to store enough history for a batch training. Therefore, the algorithm has to continuously adapt its parameters during normal operation, incorporating new class vectors as soon as they arrive.

An exception to this is to store only the recent history in detail, which could be used to optimize and/or evaluate the quality of the predictions (by splitting the history in a training and a test set).

- Incremental model growing: When new classes are detected in the classification step during run-time, new dimensions will be added to class vectors. The prediction algorithm must be able to incrementally increase its internal model topology without requiring a complete re-training, e.g. by initializing new dimensions with default values. It is currently unclear if shrinking of class vectors during run-time is also necessary or if “dead classes” could simply receive a minimum probability.
- Confidence estimation: The algorithm should be able to compute an estimation of the correctness of the forecasted context along with the forecast itself. This estimation can be used by the application as a confidence measure to determine if the prediction should be relied on for certain actions.
- Automatic feedback: The prediction engine should continuously estimate the next class vectors and evaluate its estimations by comparing with the real class vectors when they are available.

- Manual feedback: If some action that has been carried out automatically due to a forecast is reverted/canceled by the user, this forecast should receive a penalty to make it less likely the next time (this is known as reinforcement learning in machine learning) .
- Long-term vs. short-term: The used method should ideally be suitable (e.g. parameterizable) for different forecasting horizons, i.e. predicting context in the near future with high confidence, but also being able to predict later context, most probably with lower confidence.

We have currently not selected a specific algorithm for the prediction step because our architecture is open for arbitrary algorithms that can be adapted to suit our interface. However, after first research on possible candidates, Markov predictors with the special form of Variable Duration Hidden Markov Models (*VDHMM*) seem to be suited well. They explicitly model the duration distributions and are thus capable of predicting for different forecasting horizons and, as for nearly all variants of HMMs, there exist mature methods for learning model parameters.

## 5 Conclusions and Future Work

We have presented an architecture to recognize and predict user context by utilizing multiple heterogeneous sensors. This architecture consists of four steps: feature extraction (to generate a more relevant representation of sensor data, exploiting domain-specific knowledge), classification (to find similarities and common patterns in the input data), labeling (to assign simple context names to recognized classes) and prediction (to forecast future user context based on past behaviors). The novelties in this approach are the prediction of possible user actions via context forecast and the abstraction of feature types to allow heterogeneous features to be combined in a single classification step. To accomplish this, all feature types independently define the operations necessary for classification.

We have already implemented feature extraction for various sensors available on typical information appliances, including microphone, Bluetooth, Wireless LAN and additional, external sensors like a mobile phone accessible via Bluetooth. A next step in research will include gathering real-world data in an empirical study and evaluating classification and prediction algorithms based on this data.

Proactivity in applications can support users by allowing information appliances to adapt to the user instead of forcing the user to learn specifics of the interface. When equipped with multiple sensors and using those sensors to detect and predict context, an information appliance can become smarter and more intuitive to use, fostering a wider acceptance of information appliances in everyday life.

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