

University of North Carolina  
Chapel Hill

## Soci850 – SOCIAL STRATIFICATION

Fall 2015

Professor François NIELSEN

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**1 Time, Place & Contacts**

• **Classes Meet**

- Fri 9:00–11:30 AM in Hamilton 151

• **Instructor**

- Professor François Nielsen – Email: francois\_nielsen@unc.edu · Office: Hamilton 163 · Hours: by appointment · Phone: 919-962-5064
- The current version of this syllabus is available online at <http://www.unc.edu/~nielsen> (click on the link for Soci850 – Social Stratification)

**2 Description & Goals**

The course covers major classical and current approaches to social stratification in sociology, with some special emphasis on evolutionary approaches, issues related to the evolution of social inequality with industrial development and globalization, and comparative social mobility. I will try to prepare discussion questions ahead of time to guide the discussions. The class is organized as a seminar: students are expected to do the readings assigned for each period and to participate in class discussions. The attached reading list details the readings and the class period by which they should be read. “Additional references” readings are only suggestions and are not required for the course.

The goals of the course are the following.

1. To understand major substantive issues involved in social stratification research.
2. To achieve this understanding by becoming acquainted with the major world views, theories, models, methods, and people involved in stratification research; this knowledge should go a long way toward enabling students to launch a research project of their own on a topic pertaining to social stratification.
3. For graduate students in sociology especially, to acquire a solid basis for preparing the Ph.D. field exam in social stratification.

**3 About the Readings**

The following books will be used. You will almost certainly want to obtain a copy of the Grusky reader. The Herrnstein and Murray book is optional but used copies are widely available and cheap. I have not ordered these books at Student Stores. I suggest that you order them online at such places as Amazon.com.

Grusky, David, ed. 2014. *Social Stratification: Class, Race, and Gender in Sociological Perspective*. 4th ed. Boulder, CO: Westview Press. Binding: paper.

Piketty, Thomas. 2014. *Capital in the Twenty-First Century*. Cambridge, MA: Harvard University Press.

Herrnstein, Richard and Charles Murray. [1994] 1996. *The Bell Curve: Intelligence and Class Structure in American Life*. New York: Free Press. ISBN: 0684824299. Binding: paper.

Lenski, Gerhard. 2005. *Ecological-Evolutionary Theory: Principles and Applications*. Paradigm Publishers. Binding: paper.

Aron, Raymond. 1998. *Main Currents in Sociological Thought: Montesquieu, Comte, Marx, de Tocqueville, Sociologists and the Revolution of 1848*. Transaction Publishers. Binding: paper.

Many of the readings are from the *Social Stratification* reader edited by David B. Grusky. I use the new 4th edition of the reader, which I refer to as GRU4e. Some of the chapters we use were already included in earlier editions, in which case I also provide the old reference. Most of the other readings will be accessible as PDF files linked to the syllabus. Some of these texts are protected by a login that will be communicated to class participants. You are encouraged to share books and articles. Let me know if you have trouble obtaining any of the readings.

The field of social stratification is vast, so the works on this list represent only highlights of the important works in stratification.

## 4 Requirements

The course has the following requirements.

1. **Readings & Class Participation (20%)**. You are expected to do the readings for the day and to think about the questions about them so you can participate in class discussion and other activities. Questions for discussion will be posted under Class Notes in the side bar. These notes will be updated in the course of the semester.

As part of class participation each student will be a member of a team of two students that will lead and moderate the class discussion during a given class period. Given the number of students in the class this semester each student should be member of the team twice. For the first discussion period (Module 2) we will recruit two volunteers on the first day of class. I am open for suggestions on how to allocate team members for the other periods. We will decide collectively how to do this on the first day of class.

2. **Paper (60%)**. The main work in the class will consist of a paper on a topic of your choosing. I will discuss the kind of topics that are acceptable and provide examples early in the semester. You are welcome to choose a topic that relates to your field of study and may pave the way for a Master's thesis, or dissertation or other kind of publication. And your paper does not have to be the final product of a research and may be tentative (i.e., it may be along the lines of a proposal or preliminary report rather than completed research). The paper may consist of a review of a subfield of social stratification, or an empirical paper contributing new analysis of relevant data.
3. **Presentation (20%)**. A class presentation of your paper topic. Such presentations are often useful in helping people refine their ideas. Presentations will be in conference format, lasting 15–25 minutes (depending on the number of students in the class), with time left over for questions and discussion. You are encouraged to prepare a PowerPoint (or equivalent) presentation, although this is not a requirement.

There are three important dates that should be kept in mind:

1. Class 7 (Fri 2 Oct) A three-page abstract / outline of your paper / presentation topic is due
2. Class 12 (Fri 13 Nov) and 13 (Fri 20 Nov) Class presentations (actual date of your presentation TBA)
3. The final paper is due on or before the official date of the final for the course (TBA)

## 5 Outline & Schedule

I must reserve the right to modify the readings at any time during the semester. I will make every effort to post these changes in useful time online and alert the class about them.

Table 1: Summary Outline and Schedule

Module	Date	Subject
<a href="#">Module 1</a>	Fri 21 Aug	Introduction
<a href="#">Module 2</a>	Fri 28 Aug	Functionalist Approaches
<a href="#">Module 3</a>	Fri 4 Sep	Marxian Approaches & Neoclassical Critique
<a href="#">Module 4</a>	Fri 11 Sep	Responses to Marx: Weber & Elite Theorists
<a href="#">Module 5</a>	Fri 18 Sep	Evolutionary Approaches I
<a href="#">Module 6</a>	Fri 25 Sep	Evolutionary Approaches II
<a href="#">Module 7</a>	Fri 2 Oct	Socioeconomic Attainment & Mobility I
<a href="#">Module 8</a>	Fri 9 Oct	Socioeconomic Attainment & Mobility II: Gender & Networks
	Fri 16 Oct	No Class – Fall Recess
<a href="#">Module 9</a>	Fri 23 Oct	Socioeconomic Attainment & Mobility III: Cognitive & Non-cognitive Traits
<a href="#">Module 10</a>	Fri 30 Oct	Socioeconomic Attainment & Mobility IV: Race & Ethnicity
<a href="#">Module 11</a>	Fri 6 Nov	Inequality & Development in the World System
<a href="#">Module 12</a>	Fri 13 Nov	Class Projects – Presentations
<a href="#">Module 13</a>	Fri 20 Nov	Class Projects – Presentations (cont'd)
	Fri 28 Nov	No Class – Thanksgiving

**Module 1 – Introduction (21 Aug)**

- [Optional] Grusky, David B. and Katherine R. Weishaar. “Introduction – The Questions We ask About Inequality.” Pp. 1–16 in GRU4e.
- [Optional] Grusky, David and Szonja Szelényi. “The Stories We Tell About Inequality.” Pp. 17–25 in GRU4e.
- [Nielsen, François. “The Nature of Social Reproduction”. \[Slide presentation.\]](#)



Additional references:

- [Nielsen, François. 2008. “The Nature of Social Reproduction: Two Paradigms of Social Mobility.” Symposium / Social Sciences and Natural Sciences – What Connection?, edited by Mario Lucchini and Maurizio Pisati. Sociologica Nr 3 / 2008 \(November\): Pp. 1–35. \[Also available at <http://www.sociologica.mulino.it/>.\]](#)

**Module 2 – Functions & Disfunctions of Stratification, Recent Trends in Top Incomes (28 Aug)**

- [M2 Discussion topics](#)
- Davis, Kingsley and Wilbert Moore. “Some Principles of Stratification.” Pp. 28–30 in GRU4e. Pp. 30–33 in GRU3e. Pp. 55–63 in GRU2e.
- [Lenski, Gerhard. “New Light on Old Issues: The Relevance of ‘Really Existing Socialist Societies’ for Stratification Theory.”](#) Not in GRU4e. Pp. 33–41 in GRU3e. Pp. 77–84 in GRU2e.
- [Stinchcombe, Arthur. 1963. “Some Empirical Consequences of the Davis-Moore Theory of Stratification.” American Sociological Review 28: 805–808.](#)

- Tumin, Melvin M.. “Some Principles of Stratification: A Critical Analysis.” Pp. 31–38 in GRU4e. Pp. 41–49 in GRU3e. Pp. 65–73 in GRU2e.
- Fisher, Claude S., Michael Hout, Martin Sanchez Jankovski, Samuel R. Lucas, Ann Swidler, and Kim Voss. “Inequality by Design.” Pp. 39–42 in GRU4e. Pp. 49–53 in GRU3e. Pp. 73–76 in GRU2e.
- Atkinson, Anthony B., Thomas Piketty, and Emmanuel Saez. “Top Incomes in the Long Run of History.” Pp. 59–73 in GRU4e.



Additional references:

- Stinchcombe, Arthur. 1968. “Functional Causal Imagery.” Pp. 80–101 in *Constructing Social Theories*. Chicago, IL: University of Chicago Press.
- Brown, Donald E. 2002 [1991/1999]. “Donald E. Brown’s List of Human Universals.” Pp. 435–439 in *The Blank Slate: The Modern Denial of Human Nature*, by Steven Pinker. New York: Viking.
- Cullen, John and Shelley Novick. 1979. “The Davis-Moore Theory of Stratification: A Further Examination and Extension.” *American Journal of Sociology* 84: 1424–1437.
- Simpson, Richard. 1956. “A Modification of the Functional Theory of Social Stratification.” *Social Forces* 35: 132–137.
- Johnson, Miriam. 1993. “Functionalism and Feminism: Is Estrangement Necessary?” Chapter 6 in *Theory on Gender/Feminism on Theory*, edited by Paula England. New York: Aldine de Gruyter.

### Module 3 – Marxian Approach & Neoclassical Critique (4 Sep)

- [M3 Discussion topics](#)
- Aron, Raymond. 2004. *Main Currents in Sociological Thought, Vol. 1: Montesquieu, Comte, Marx, de Tocqueville, & Sociologists and the Revolution of 1848*. New Brunswick, NJ: Transaction Publishers.
  - “Karl Marx”. Pp. 145–236.
- Riga, Liliana. 2008. “The Ethnic Roots of Class Universalism: Rethinking the ‘Russian’ Revolutionary Elite” *American Journal of Sociology* 114: 3 (November): 649–705.
- Dahrendorf, Ralph. “Class and Class Conflict in Industrial Society.” Pp. 143–149 in GRU4e. Pp. 91–97 in GRU3e. Pp. 105–111 in GRU2e.
- Wright, Erik Olin. “A General Framework for the Analysis of Class Structure.” Pp. 149–162 in GRU4e. Pp. 98–110 in GRU3e. Pp. 116–128 in GRU2e.
- Wallerstein, Immanuel. “Class Conflict in the Capitalist World Economy.” Pp. 162–165 in GRU4e. Pp. 111–113 in GRU3e. Pp. 129–131 in GRU2e.



Additional references:

- Marx, Karl (some with Friedrich Engels) Pp. 74–90 in GRU3e. Pp. 87–105 in GRU2e (includes additional materials).
  - “Alienation and Social Classes.” (Pp. 74–79 in GRU3e. Pp. 87–91 in GRU2e.) [It would be interesting for a German-reading student to have a look at the German version of this text, to elucidate some of the terminology.]
  - “Classes in Capitalism and Pre-Capitalism.” (Pp. 79–88 in GRU3e. Pp. 91–101 in GRU2e.)
  - “Ideology and Class.” (Pp. 89–90 in GRU3e. Pp. 101–103 in GRU2e.)

- “Value and Surplus Value.” (Not in GRU3e. Pp. 103–105 in GRU2e.)
- Burawoy, Michael. 1982. “Introduction: The Resurgences of Marxism in American Sociology.” Pp. S1–S30 in *Marxist Inquiries* (Supplement to *American Journal of Sociology* 88), edited by Michael Burawoy and Theda Skocpol.
- Burawoy, Michael. 1990. “Marxism as Science.” *American Sociological Review* 55:775–793.
- Burawoy, Michael. 2004. “Public Sociologies: Contradictions, Dilemmas, and Possibilities.” *Social Forces* 82: 4 (June): 1603–1618.
  - Nielsen, François. 2004. “The Vacant ‘We’: Remarks on Public Sociology.” *Social Forces* 82: 4 (June): 1619–1627. [Commentary on Burawoy (2004).]
- Samuelson, Paul. 1967. *Economics: An Introductory Analysis*. New York: McGraw-Hill. [Chapter 29 - Competitive Wages & Collective Bargaining, Pp. 542–569. Especially pp. 542–553.]
- [REPEAT.] Lenski, Gerhard. “New Light on Old Issues: The Relevance of ‘Really Existing Socialist Societies’ for Stratification Theory.” (Pp. 33–41 in GRU3e. Pp. 77–84 in GRU2e.)
- Nielsen, François. 2008. “The Nature of Exploitation.” Presented at the American Sociological Association meeting in Boston, MA. 3 August. [Slides.]

#### Module 4 – Responses to Marx: Weber and Pareto (11 Sep)

- [M4 Discussion topics](#)

##### a. Max Weber and Other Economic Approaches

- Weber, Max. (Pp. 165–182 in GRU4e. Pp. 114–132 in GRU3e. Pp. 132–152 in GRU2e.)
  - “Class, Status, and Party.” Pp. 165–174 in GRU4e. Pp. 114–123 in GRU3e. Pp. 132–142 in GRU2e.
  - “Status Groups and Classes.” Pp. 175–178 in GRU4e. Pp. 124–127 in GRU3e. Pp. 142–145 in GRU2e.
  - “Open and Closed Relationships.” Pp. 179–182 in GRU4e. Pp. 128–132 in GRU3e. Pp. 146–149 in GRU2e.
- Giddens, Anthony. “The Class Structure of the Advanced Societies.” Pp. 183–193 in GRU4e. Pp. 132–142 in GRU3e.
- Parkin, Frank. “Marxism and Class Theory: A Bourgeois Critique.” Pp. 193–202 in GRU4e. Pp. 143–158 in GRU3e. Pp. 162–177 in GRU2e.
- Sørensen, Aage B. “Foundations of a Rent-Based Class Analysis.” Pp. 251–260 in GRU4e. Pp. 219–234 in GRU3e.

##### b. Pareto and Other Elite/Ruling Class Theorists

- Mosca, Gaetano. “The Ruling Class.” Pp. 276–282 in GRU4e. Pp. 268–274 in GRU3e. Pp. 195–201 in GRU2e.
- Pareto, Vilfredo. [1927] 1971. *Manual of Political Economy*. (Translated by Ann S. Schwier.) New York: Augustus M. Kelley. (Chapter VII Paragraphs 11–31 [ pp. 284–293])
- Pareto, Vilfredo. “Elites and Their Circulation.” Pp. 34–39 in Heller, C. 1987. *Structured Social Inequality*. 2nd ed. New York: MacMillan.
- Giddens, Anthony. “Elites and Power.” Pp. 292–297 in GRU4e. Pp. 285–290 in GRU3e.
- Domhoff, G. William. “Who Rules America? Power and Politics.” Pp. 297–302 in GRU4e. Pp. 290–295 in GRU3e.

- Brooks, David. “Bobos in Paradise.” Pp. 310–316 in GRU4e. Pp. 304–310 in GRU3e.
- Eyal, Gil, Iván Szélenyi, and Eleanor Townsley. “Post-Communist Managerialism.” Pp. 316–322 in GRU4e. Pp. 311–316 in GRU3e.
- Walder, Andrew. “China’s Evolving Oligarchy.” Pp. 322–330 in GRU4e.
- [Optional] Nielsen, François. 2007. “Economic Inequality, Pareto, and Sociology: The Route Not Taken.” In *Who Gets What and Why? The Sociology of Income Inequality* [special issue], edited by John Myles and Karen Myers. *American Behavioral Scientist* 50: 5 (January): 619–638.



Additional references:

- Kingston, Paul W. 2000. *The Classless Society*. Stanford, CA: Stanford University Press. Pp. 1–52.
- Mills, C. Wright. “The Power Elite.” (Pp. 275–285 in GRU3e. Pp. 202–212 in GRU2e.)
- Sørensen, Aage B. 1996. “The Structural Basis of Social Inequality.” *American Journal of Sociology* 101: 5 (March): 1333–1365. [Alternative to Sørensen, Aage B. Pp. 219–234 in GRU3e.]
- Cammack, Paul. 1990. “A Critical Assessment of the New Elite Paradigm.” *American Sociological Review* 55:415–420. [Reply by John Higley et al.: Pp. 421–426.]
- Giddens, Anthony. 1973. *The Class Structure of the Advanced Societies*. NY: Harper and Row.
- Domhoff, William. 1978. *The Powers That Be*. Vintage Books. (Preface, Chapter 1 & 3.)
- Robinson, Robert and Jonathan Kelley. 1979. “Class as conceived by Marx and Dahrendorf.” *American Sociological Review* 44:38–58.
- Whitt, J. Allen. 1979. “Toward a class-dialectic model of power.” *American Sociological Review* 44:81–100.
- Halaby, Charles N. and David L. Weakliem. 1993. “Ownership and authority in the earnings function: Alternative specifications.” *American Sociological Review* 58: 16–30. (Also comment by E.O. Wright and reply by Halaby, pp. 31–36.)

### Module 5 – Evolutionary Approaches I: Sociocultural Evolution (18 Sep)

- [M5 Discussion topics](#)
- Lenski, Gerhard. 2005. *Ecological-Evolutionary Theory*. Boulder, CO: Paradigm.
  - [Chapter 5 – Characteristics of Sets of Societies \(Pp. 81–109\)](#)
  - [Chapter 6 – Characteristics of the Global System of Societies \(Pp. 111–124\)](#)
- Diamond, Jared. 1997. *Guns, Germs, and Steel: The Fates of Human Societies*. New York: Norton. [Diamond’s book is an example of independent “rediscovery” of a technology-oriented perspective on socio-cultural evolution similar to Lenski’s.]
  - [Chapter 14 – From Egalitarianism to Kleptocracy \(Pp. 265–292\)](#)
- (Optional, Repeat) Grusky, David B. and Katherine R. Weishaar. “Introduction – The Questions We ask About Inequality.” Pp. 1–16 in GRU4e. [Compare their typology of societies with that of Lenski.]
- Nielsen, François. 2004. “The Ecological-Evolutionary Typology of Human Societies and the Evolution of Social Inequality.” In *Essays in Honor of Gerhard Lenski* [special issue], edited by Bernice McNair-Barnett. *Sociological Theory* 22: 292–314.
- Esping-Andersen, Gøsta and John Myles. “The Welfare State and Redistribution.” Pp. 52–59 in GRU4e.



Additional references:

- Lenski, Gerhard. 1994. “Societal Taxonomies: Mapping the Social Universe.” *Annual Review of Sociology* 20:1–26.
- Lenski, Gerhard, Jean Lenski, and Patrick Nolan. 1991. *Human Societies: An Introduction to Macrosociology*. 6e.
  - Chapter 3 – The Evolution of Human Societies (Pp. 45–68)
  - Chapter 4 – Types of Human Societies (Pp. 69–84)
- Lenski, Gerhard. [1966] 1983. *Power and Privilege: A Theory of Stratification*. Chapel Hill, NC: University of North Carolina Press.
  - Prefaces (Pp. vii–xxvi)
  - Chapter 2 – Man and Society (Pp. 24–42)
  - Chapter 3 – The Dynamics of Distributive Systems (Pp. 43–72)
  - Chapter 4 – The Structure of Distributive Systems (Pp. 73–93)
  - Chapter 13 – Retrospect and Prospect (Pp. 434–446)
  - Chapters 5–12: peruse to understand the organization of the book.

#### Module 6 – Evolutionary Approaches II: Behavioral Foundations of Social Stratification & Gender Roles (25 Sep)

- [M6 Discussion topics](#)
- [Wade, Nicholas. 2003. “A Course in Evolution, Taught by Chimps.” \*New York Times\* 25 November 2003.](#)
- [Mazur, Allan. 2005. \*Biosociology of Dominance and Deference\*. New York: Rowman & Littlefield.](#)
  - Chapter 1 – Fish...(Pp. 1–3)
  - Chapter 2 – ...And People (Pp. 5–18)
  - Chapter 5 (partial) – “Dominance in the Primate Series.” (Pp. 58–64)
  - Chapter 6 – Status Signs (Pp. 65–77)
- [Wilson, Margo and Martin Daly. 1992. “The Man Who Mistook His Wife For a Chattel.” Pp. 289–322 in \*The Adapted Mind: Evolutionary Psychology and the Generation of Culture\*, edited by Jerome H. Barkow, Leda Cosmides, and John Tooby. New York: Oxford University Press.](#)
- [Pinker, Stephen. 2002. \*The Blank Slate: The Modern Denial of Human Nature\*. New York: Viking.](#)
  - Chapter 16 – Gender (Pp. 337–371). [Note that pp. 359–371 are about rape; not strictly stratification but an illuminating discussion of a sensitive issue.]



Additional references:

- [Nielsen, François. 1994. “Sociobiology and Sociology.” \*Annual Review of Sociology\* 20:267–303.](#)
- [Lopreato, Joseph and Timothy Crippen. 1999. \*Crisis in Sociology: The Need for Darwin\*. New Brunswick, NJ: Transaction.](#)
  - Chapter 6 – Fundamentals of Sex Differences (Pp. 135–168)
  - Chapter 8 – Fundamentals of Social Stratification (Pp. 207–245)
- [Huber, Joan. 2007. \*On the Origins of Gender Inequality\*. Boulder, CO: Paradigm Publishers.](#)

- Blumberg, Rae. 1984. “A General Theory of Gender Stratification.” Pp. 23–101 in *Sociological Theory*, edited by Randall Collins. San Francisco, CA: Jossey-Bass.
- Blumberg, Rae. 1978. *Stratification: Socioeconomic and Sexual Inequality*. Dubuque, Iowa: WM. C. Brown. Chapters 1–5 (Pp. 1–62). [The discussion of apes in Chapter 1 is very outdated; treat as historical document only.]
  - [Chapters 1 to 3](#)
  - [Chapters 4 to 5](#)
- Chafetz, Janet Saltzman. 1984. *Sex and Advantage*. Totowa, NJ: Rowman & Allanheld.)
- Collins, R., J. S. Chafetz, R.L. Blumberg, S. Collins, and J. Turner. 1993. “Toward an Integrated Theory of Gender Stratification.” *Sociological Perspectives* 36: 185–216. [Article cited by Blumberg in McNair-Barnett (2004).]
- Dunn, Dana, Elizabeth Almquist, and Janet Saltzman Chafetz. 1993. “Macrostructural Perspectives on Gender Inequality: Economic Factors and Gender Stratification.” Pp. 69–83 in *Theory on Gender/Feminism on Theory*, edited by Paula England. New York: Aldine de Gruyter. (Especially pp. 78–83.)
- Lopreato, Joseph and Timothy Crippen. 1999. *Crisis in Sociology: The Need for Darwin*. New Brunswick, NJ: Transaction.
  - [Chapter 4 – Darwin’s Theory of Natural Selection \(Pp. 83–100\)](#)
  - [Chapter 5 – Elements of Evolutionary Behavioral Science \(Pp 101–134\)](#)
- Roughgarden, Joan. 2004. *Evolution’s Rainbow*. Berkeley, CA: University of California Press.
  - [Chapter 16 – Two-Gender Families \(Pp. 49–74\)](#).
- Verhrencamp, Sandra L. 1983a. “A Model for the Evolution of Despotic Versus Egalitarian Societies.” *Animal Behavior* 31: 667–682.
  - (Abstract only.) Verhrencamp, Sandra L. 1983a. “A Model for the Evolution of Despotic Versus Egalitarian Societies.” *Animal Behavior* 31: 667–682.
- Vehrencamp, Sandra L. 1983b. “Optimal Degree of Skew in Cooperative Societies.” *American Zoologist* 23: 327–335.

## Module 7 – Socioeconomic Attainment & Comparative Social Mobility I (2 Oct)

- [M7 Discussion topics](#)

### a. Conceptualizing Occupational Status

- Blau, Peter M. and Otis Dudley Duncan (with Andrea Tyree). “Measuring the Status of Occupations.” Not in GRU4e. Pp. 187–190 in GRU3e. Pp. 255–259 in GRU2e.
- Treiman, Donald J. “Occupational Prestige in Comparative Perspective.” Pp. 233–237 in GRU4e. Pp. 191–194 in GRU3e. Pp. 260–263 in GRU2e.
- Featherman, David L. and Robert M. Hauser. “Prestige or Socioeconomic Scales in the Study of Occupational Achievement?” Pp. 244–246 in GRU4e. Pp. 202–204 in GRU3e. Pp. 271–273 in GRU2e.
- Hauser, Robert M. and John Robert Warren. “Socioeconomic Indexes for Occupations: A Review, Update, and Critique.” Pp. 246–252 in GRU4e. Pp. 213–218 in GRU3e. Pp. 281–286 in GRU2e.

### b. Socioeconomic Attainment & Mobility

- Blau, Peter M. and Otis Dudley Duncan (with Andrea Tyree). “The Process of Stratification.” Pp. 506–517 in GRU4e. Pp. 486–497 in GRU3e. Pp. 390–403 in GRU2e.
- Jenks, Christopher, Marshall Smith, Henry Acland, Mary Jo Bane, David Cohen, Herbert Gintis, Barbara Heyns, and Stephan Michelson. “Inequality: A Reassessment of the Effect of Family and Schooling in America.” Pp. 517–524 in GRU4e. Pp. 498–504 in GRU3e. Pp. 403–409 in GRU2e.
- Featherman, David L. and Robert M. Hauser. “A Refined Model of Occupational Mobility.” Pp. 443–453 in GRU4e; read only left column of 443, Table 52.1 p. 447, Figure 52.1 p.448, Section “Mobility Chances: A new Perspective” pp. 450–451. Pp. 426–436 in GRU3e; read only left column of p. 426, Table 1 p. 429, Figure 1 p. 431, Section “Mobility Chances: A new Perspective” pp. 433–435. Pp. 325–335 in GRU2e; read only left column of p. 325, Table 1 p. 329, Figure 1 p. 330, Section “Mobility Chances: A new Perspective” pp. 332–333.
- Erikson, Robert and John H. Goldthorpe. “Trends in Class Mobility: The Post-War European Experience.” Pp. 453–464 in GRU4e. Pp. 437–465 in GRU3e. Pp. 344–372 in GRU2e. [This is a long paper. Try to get the gist from conclusion and graphs; don’t get bogged down in it.]
- Breen, Richard. “Social Mobility in Europe.” Pp. 464–480 in GRU4e. Pp. 465–480 in GRU3e. [This is a long paper. Try to get the gist from conclusion and graphs; don’t get bogged down in it.]
- Solon, Gary. “Intergenerational Income Mobility.” Pp. 496–501 in GRU4e. Pp. 481–486 in GRU3e.
- Ermisch, John, Markus Jäntti, Timothy S. Smeeding and James A. Wilson. “Advantage in Comparative Perspective.” Pp. 501–506 in GRU4e.
- [Optional] Esping-Andersen, Gøsta. 2004. “Unequal Opportunity and the Mechanisms of Social Inheritance.” Pp. 289–314 in *Generational Income Mobility in North America and Europe*, edited by Miles Corak. Cambridge, UK: Cambridge University Press. [This is a very dense but very useful overview of much of comparative social mobility research up to the time of writing.]

### c. Mechanisms of Attainment: Education

- Breen, Richard and John H. Goldthorpe. “Explaining Educational Differentials.” Pp. 524–536 in GRU4e.
- Reardon, Sean F. “The Widening Achievement Gap Between the Rich and the Poor.” Pp. 536–551 in GRU4e.
- Breen, Richard, Ruud Luijkx, Walter Müller, and Reinhard Pollak. “Nonpersistent Inequality in Educational Attainment.” Pp. 551–562 in GRU4e. [Note: Concentrate on theoretical introduction pp.551-557 including the figures on p.557 and on Conclusions p.560. Analysis section is optional.]
- Jackson, Michelle. “Determined to Succeed.” Pp. 562–569 in GRU4e.
- Torche, Florencia. “Does College Still Have Equalizing Effects?” Pp. 578–586 in GRU4e.
- Lareau, Annette. “Unequal Childhoods.” Pp. 1013–1022 in GRU4e.
- [Optional] Cheadle, Jacob E., and Paul R. Amato. 2011. “A Quantitative Assessment of Lareau’s Qualitative Conclusions about Class, Race, and Parenting.” *Journal of Family Issues* 32 (5): 679–706.
- [Optional] MacLeod, Jay. “Ain’t No Makin’ It: Leveled Aspirations in a Low-Income Neighborhood.” Pp. 608–621 in GRU4e. Pp. 528–542 in GRU3e. Pp. 421–434 in GRU2e.



Additional references:

- [Optional] Bourdieu, Pierre. “Distinction: A Social Critique of the Judgement of Taste.” Pp. 1013–1022 in GRU4e. Pp. 870–893 in GRU3e. Pp. 499–525 in GRU2e.

- Coleman, James S. 1988. “Social Capital in the Creation of Human Capital.” *American Journal of Sociology* 94: Supplement: S95–S120.
- Mouw, Ted. 2006. “Estimating the Causal Effect of Social Capital: A Review of Recent Research.” *Annual Review of Sociology* 32: 79–102. Key Words: peer effects, social networks, social homophily, fixed effects models Abstract: Although there is a large literature on social capital, empirical estimates of the effect of social capital may be biased because of social homophily, the tendency of similar people to become friends with each other. Despite the methodological difficulties, a recent literature has emerged across several different disciplines that tries to estimate the causal effect of social capital. This paper reviews this recent empirical literature on social capital, paying close attention to the statistical and theoretical assumptions involved. Overall, there is evidence that genuine progress has been made in estimating the effect of social capital. The reviewed articles should provide useful examples for future research.
- Harding, David J., Christopher Jencks, Leonard M. Lopoo, and Susan E. Mayer. “Family Background and Income in Adulthood, 1961–1999.” Not in GRU4e. Pp. 505–515 in GRU3e.
- Turner, Ralph H. “Sponsored and Contest Mobility and the School System.” Not in GRU4e. Pp. 420–425 in GRU3e. Pp. 319–324 in GRU2e.
- Hodge, Robert W. “The Measurement of Occupational Status.” Not in GRU4e. Pp. 205–213 in GRU3e. Pp. 273–281 in GRU2e.
- Becker, Gary S. and Nigel Tomes. 1979. “An Equilibrium Theory of the Distribution of Income and Intergenerational Mobility.” *Journal of Political Economy* 87: 6: 1153–89.
- Becker, Gary S. and Nigel Tomes. 1986. “Human Capital and the Rise and Fall of Families.” *Journal of Labor Economics* 4: 3: S1–S39.
- Lipset, Seymour Martin, Reinhard Bendix and Hans L. Zetterberg. “Social Mobility in Industrial Society.” (Not in GRU3e. Pp. 309–319 in GRU2e.)
- Breen, Richard, Ruud Luijkx, Walter Müller, and Reinhard Pollak. 2009. “Nonpersistent Inequality in Educational Attainment: Evidence from Eight European Countries.” *American Journal of Sociology* 114: 5 (March): 1475–1521. [Abstract: In their widely cited study, Shavit and Blossfeld report stability of socioeconomic inequalities in educational attainment over much of the 20th century in 11 out of 13 countries. This article outlines reasons why one might expect to find declining class inequalities in educational attainment, and, using a large data set, the authors analyze educational inequality among cohorts born in the first two thirds of the 20th century in eight European countries. They find, as expected, a widespread decline in educational inequality between students coming from different social origins. Their results are robust to other possible choices of method and variables, and the authors offer some explanations of why their findings contradict Shavit and Blossfeld’s conclusions.]
- Breen, Richard, and Jan Jonsson. 2005. “Inequality of Opportunity in Comparative Perspective: Recent Research on Educational Attainment and Social Mobility.” *Annual Review of Sociology* 31: 223–43. (For more about the earlier literature discussed in this paper see Ganzeboom, Treiman and Ultee 1991.)
- Ganzeboom, Harry, Donald Treiman, and Wout C. Ultee. 1991. “Comparative Intergenerational Stratification Research.” *Annual Review of Sociology* 17: 277–302. [This is a review of the whole literature; the distinction of the several phases of stratification research is important.]
- Corak, Miles. 2004. “Generational Income Mobility in North America and Europe: An Introduction.” Pp. 1–37 in *Generational Income Mobility in North America and Europe*, edited by Miles Corak. Cambridge, UK: Cambridge University Press. [See Corak (2006) for extended version of this chapter.]
- Corak, Miles. 2006. “Do Poor Children Become Poor Adults? Lessons from a Cross-Country Comparison of Generational Earnings Mobility.” Pp. 143–188 in *Dynamics of Inequality and Poverty*, edited by John Creedy and Guyonne Kalb. Amsterdam, Netherlands: Elsevier.

- Blau, Peter M. and Otis Dudley Duncan (with Andrea Tyree). 1967. *The American Occupational Structure*. New York: Wiley. (Especially Chapters 1, 2, 5, 12, and pp. 117–128.)
- Goldthorpe, John H. and Keith Hope. “Occupational Grading and Occupational Prestige.” (Pp. 195–202 in GRE3e. Pp. 264–271 in GRU2e.)
- Nakao, Keiko. 1994. “Updating Occupational Prestige and Socioeconomic Scores: How the New Measures Measure Up.” *Sociological Methodology* 1994:1–72.
- DiPrete, Thomas and David B. Grusky. 1990. “Structure and Trend in the Process of Stratification for American Men and Women.” *American Journal of Sociology* 96:107–143.
- Myles, John and Aage B. Sørensen. 1975. “Elite and Status Attainment Models.” *Canadian Journal of Sociology* 1: 75–88.
- Otto, L., and Archibald O. Haller. 1979. “Evidence for a Social Psychological View of the Status Attainment Process.” *Social Forces* 57: 887–914.

## Module 8 – Socioeconomic Attainment and Mobility II: Gender and networks (9 Oct)

- [M8 Discussion topics](#)

### a. Mechanisms of Attainment: Gender

#### Labor Force Participation

- Hochschild, Arlie Russell. “The Time Bind.” Pp. 803–807 in GRU4e. Pp. 730–734 in GRU3e.
- [Milkie, Melissa A., Sara B. Raley and Suzanne M. Bianchi. 2009. “Taking on the Second Shift: Time Allocations and Time Pressures of U.S. Parents with Preschoolers.” \*Social Forces\* 88: 2 \(December\): 487–518.](#)

#### Discrimination

- Goldin, Claudia and Cecilia Rouse. “Orchestrating Impartiality.” Pp. 820–831 in GRU4e. Pp. 747–758 in GRU3e.
- Correll, Shelley J., Stephen Benard, and In Paik. “Getting a Job: Is There a Motherhood Penalty?” Pp. 831–842 in GRU4e. Pp. 759–770 in GRU3e.
- Tilcsik, András. “Do Openly Gay Men Experience Employment Discrimination?” Pp. 842–848 in GRU4e.

#### Sex Segregation

- Bielby, William T. “The Structure and Process of Sex Segregation.” Pp. 865–876 in GRU4e. Pp. 786–797 in GRU3e. Pp. 703–713 in GRU2e.
- Jacobs, Jerry A. “Revolving Doors: Sex Segregation and Women’s Careers.” Pp. 876–881 in GRU4e. Pp. 797–802 in GRU3e.
- Reskin, Barbara F. “Labor Markets as Queues: A Structural Approach to Changing Occupational Sex Composition.” Pp. 881–890 in GRU4e. Pp. 802–812 in GRU3e. Pp. 719–733 in GRU2e.
- [Charles, Maria. 2011. “A World of Difference: International Trends in Women’s Economic Status.” \*Annual Review of Sociology\* 37: 355–371.](#) [See also Charles and Bradley (2009) below. The chapter by Charles and Grusky in GRU4e covers some of the same ground but in a more contorted and methodologically difficult fashion.]

### Gender Gap in Wages

- England, Paula. “Devaluation and the Pay of Comparable Male and Female Occupations.” Pp. 919–924 in GRU4e. Pp. 834–838 in GRU3e.)
- Tam, Tony. “Why Do Female Occupations Pay Less?” Pp. 924–928 in GRU4e. Pp. 838–842 in GRU3e. Pp. 776–780 in GRU2e.
- Blau, Francine D. “The Sources of the Gender Pay Gap?” Pp. 929–941 in GRU4e. Pp. 843–860 in GRU3e.
- Greenman, Emily and Yu Xie. “Double Jeopardy.” Pp. 943–954 in GRU4e.

### The Summers Affair

- Summers, Lawrence H. 2005. “Remarks.” Presented at NBER Conference on Diversifying the Science & Engineering Workforce. Cambridge, MA. 14 Jan 2005.
- ASA Council Statement on Causes of Gender Differences in Science and Math Career Achievement, 28 Feb 2005

### b. Mechanisms of Attainment: Peers and Network Influences

- Sewell, William H., Archibald O. Haller, and Alejandro Portes. “The Educational and Early Occupational Attainment Process.” Pp. 596–608 in GRU4e. Pp. 516–528 in GRU3e. Pp. 410–421 in GRU2e.
- Granovetter, Mark S. “The Strength of Weak Ties.” Pp. 653–657 in GRU4e. Pp. 576–580 in GRU3e. Pp. 447–451 in GRU2e.
- Lin, Nan. “Social Networks and Status Attainment.” Pp. 657–659 in GRU4e. Pp. 580–582 in GRU3e. Pp. 451–453 in GRU2e.
- Burt, Ronald S. “Structural Holes.” Pp. 659–663 in GRU4e. Pp. 583–587 in GRU3e. Pp. 454–458 in GRU2e.

#### Additional references:

- Charles, Maria and Karen Bradley. 2009. “Indulging Our Gendered Selves? Sex Segregation by Field of Study in 44 Countries.” *American Journal of Sociology* 114: 4 (January): 924–976.
- Petersen, Trond. “Discrimination: Conscious or Nonconscious?” Pp. 858–865 in GRU4e. Pp. 780–785 in GRU3e.)
- Female/male hourly earnings ratios in industrial countries, 1967–90 (Blau and Kahn 1995, Figure 3.1 p. 106)
- Professional degrees in dentistry, medicine, and law, 1950–present, by sex (Table 256 from NCES 2005)
- List of tables for 2003 NCES Digest of Education Statistics
- Murray, Charles. 2005. “The Inequality Taboo.” Fully annotated version of an article that appeared in the September 2005 issue of *Commentary*. [This article is also relevant for Module 10 on Race & Ethnic distinctions.]
- Sorensen, Annemette. 1994. “Women, Family, and Class.” *Annual Review of Sociology* 20:27–47.
- Rosenfeld, Rachel A. 1978. “Women’s Intergenerational Occupational Mobility.” *American Sociological Review* 43:36–46.
- Blau, Francine D. and Lawrence M. Kahn. 1995. “The Gender Earnings Gap: Some International Evidence.” Pp. 105–143 in *Differences and Changes in Wage Structure*, edited by R. B. Freeman and L. F. Katz. Chicago, IL: University of Chicago Press.

**Module 9 – Socioeconomic Attainment and Mobility III: Cognitive and Noncognitive Abilities (23 Oct)**

- [M9 Discussion topics](#)

**a. Mechanisms of Attainment: Intelligence****The Bell Curve Affair and Sociological Responses**

- Herrnstein, Richard and Charles Murray. [1994] 1996. *The Bell Curve*. New York: Free Press. [There are fairly informative summaries at the beginning of most chapters that are useful to get the gist of the chapters we don't read.]
  - Introduction, pp. 1–24.
  - Part I
    - The Emergence of a Cognitive Elite, pp. 25–27.
    - Chapter 1 – Cognitive Class and Education, 1900–1990, pp. 29–50.
    - Chapter 2 – Cognitive Partitioning by Occupation, pp. 51–61.
    - Chapter 3 – The Economic Pressure to Partition, pp. 63–89.
    - Chapter 4 – Steeper Ladders, Narrower Gates, pp. 91–115.
  - Part II
    - Cognitive Classes and Social Behavior, pp. 117–125.
    - Chapter 5 – Poverty, pp. 127–142.
    - Chapter 6 – Schooling, pp. 143–154.
  - [Optional] Afterword (by Charles Murray), pp. 553–75 (in the paperback edition).
- Fisher, Claude S., Michael Hout, Martín Sánchez Jankovski, Samuel R. Lucas, Ann Swidler, and Kim Voss. “Inequality by Design.” Pp. 39–42 in GRU4e. Pp. 49–53 in GRU3e. Pp. 73–76 in GRU2e. [REPEAT]
- [Optional] Hauser, Robert and Min-Hsiung Huang. 1997. “Verbal Ability and Socioeconomic Success: A Trend Analysis.” *Social Science Research* 26: 3 (September): 331–376.
- [Optional] Korenman, Sanders, and Christopher Winship. 2000. “A Reanalysis of The Bell Curve: Intelligence, Family Background, and Schooling.” Pp. 137–78. *Meritocracy and Economic Inequality.*, edited by Kenneth Arrow, Samuel Bowles, and Steven Durlauf. Princeton University Press.

**Mainstream Psychology on Intelligence**

- Neisser, Ulric, Gwyneth Boodoo, Thomas J. Bouchard, A. Wade Boykin, Nathan Brody, Stephen J. Ceci, Diane F. Halpern, John C. Loehlin, Robert Perloff, Robert J. Sternberg & Susana Urbina. 1996. “Intelligence: Knowns and Unknowns.” *American Psychologist* 51:77–101.

**b. Mechanisms of Attainment: Noncognitive Skills**

- Farkas, George. 2003. “Cognitive Skills and Noncognitive Traits and Behaviors in Stratification Processes.” *Annual Review of Sociology* 29: 541–562.
- Heckman, James J. and Yona Rubinstein. 2001. “The Importance of Noncognitive Skills: Lessons from the GED Testing Program.” *American Economic Review* 91: 2 (May): 145–149.
- Heckman, James J. “Skill Formation and the Economics of Investing in Disadvantaged Children.” Pp. 412–416 in GRU4e.

### c. Mechanisms of Attainment: Genetics

- Eckland, Bruce K. 1967. “Genetics and Sociology: A Reconsideration.” *American Sociological Review* 32: 2 (April): 173–194.
- [Optional] Scarr, Sandra and Richard A. Weinberg. 1978. “The Influence of ‘Family Background’ on Intellectual Attainment.” *American Sociological Review* 43:674–692.
- Jencks, Christopher and Laura Tach. 2006. “Would Equal Opportunity Mean More Mobility?” Pp. 23–58 in *Mobility and Inequality: Frontiers of Research from Sociology and Economics*, edited by Stephen Morgan, David Grusky and Gary Fields. Stanford, CA: Stanford University Press.
- Bouchard, Thomas J., Jr. and Matt McGue. 2003. “Genetic and Environmental Influences on Human Psychological Differences.” *Journal of Neurobiology* 54: 1 (Jan): 4–45.
- [Optional] Nielsen, François. 2008. “The Nature of Social Reproduction: Two Paradigms of Social Mobility.” Symposium / Social Sciences and Natural Sciences – What Connection?, edited by Mario Lucchini and Maurizio Pisati. *Sociologica* Nr 3 / 2008 (November): Pp. 1–35. [Online at <http://www.sociologica.mulino.it/>.]



Additional references:

- Branigan, Amelia R., Kenneth J. McCallum and Jeremy Freese. 2013. “Variation in the Heritability of Educational Attainment: An International Meta-analysis.” *Social Forces* 92: 1 (September): 109–140.
- Duncan, Otis Dudley. 1968. “Ability and Achievement.” *Eugenics Quarterly* 15: 1: 1–11.
- Nielsen, François and J. Micah Roos. 2015. “Genetics of Educational Attainment and the Persistence of Privilege at the Turn of the 21st Century.” *Social Forces*. [Advanced publication June 17 2015, pp.1–27, doi: 10.1093/sf/sovo80]
- Bell, Daniel. “The Coming of Post-Industrial Society.” (Pp. 966–978 in GRU3e. Pp. 805–817 in GRU2e.)
- Nisbett, Richard E. 2009. *Intelligence and How To Get It: Why Schools and Cultures Count*. New York: Norton. [Argues against an important role of genes in class and race differences in IQ and socioeconomic achievement.]
  - Nielsen, François. 2010. “Intelligence of Culture.” [Symposium on *Intelligence and How to Get It: Why Schools and Cultures Count* by Richard E. Nisbett.] *Contemporary Sociology* 39: 4: 391–396.
- Nielsen, Francois. 2006. “Achievement & Ascription in Educational Attainment: Genetic and Environmental Influences on Adolescent Schooling.” *Social Forces* 85:193–216.
- Weakliem, David, Julia McQuillan, and Tracy Schauer. 1995. “Toward Meritocracy? Changing Social-Class Differences in Intellectual Ability.” *Sociology of Education* 68: 4 (October): 271–286.
- Huang, Min-Hsiung. 2001. “Cognitive Abilities and the Growth of High-IQ Occupations”. *Social Science Research* 30: 4 (December): 529–551.
- Bowles, Samuel and Herbert Gintis. 2002. “The Inheritance of Inequality.” *Journal of Economic Perspectives* 16: 3(Summer): 3–30.
- Gottfredson, Linda S. 1997. “Mainstream Science on Intelligence: An Editorial With 52 Signatories, History, and Bibliography.” Pp. 13–23 in *Intelligence and Social Policy*, [special issue], edited by Linda S. Gottfredson. *Intelligence* 24 (1). [Originally appeared in *Wall Street Journal*, 13 Dec 1994.]
- Conley, Dalton. “What Do Low (or High) Sibling Correlations Tell Us About Social Ascription?” (Pp. 596–609 in GRU3e.)

- Jencks, C, S Bartlett, M Corcoran, J Crouse, D Eaglesfield, et al. 1979. *Who Gets Ahead? The Determinants of Economic Success in America*. New York: Basic Books. [Important discussion of the role of noncognitive skills in socioeconomic achievement.]
- Devlin, Bernie, Stephen E. Fienberg, Daniel P. Resnick, and Kathryn Roeder, eds. 1997. *Intelligence, Genes, & Success*. New York: Springer-Verlag.
- Murray, Charles. 1998. *Income Inequality and IQ*. Washington, DC: AEI Press.
- Fisher, Claude S., Michael Hout, Martín Sánchez Jankowski, Samuel R. Lucas, Ann Swidler, and Kim Vos. 1996. *Inequality by Design: Cracking the Bell Curve Myth*. Princeton, NJ: Princeton University Press.
- Bowles, Samuel, Herbert Gintis and Melissa Osborne Groves, eds. 2005. *Unequal Chances: Family Background and Economic Success*. New York: Russell Sage Foundation and Princeton, NJ: Princeton University Press.
- Eckland, Bruce K. 1979. “Genetic Variance in the SES-IQ Correlation.” *Sociology of Education* 52:191–96.
- Brody, Nathan. 1992. *Intelligence*. (2d edition.) New York: Academic Press.
  - Preface (Pp. ix–xi) [don’t skip this!]
  - Chapter 1 – Historical Background (Pp. 1–17)
  - Chapter 5 – Behavior Genetics of Intelligence (Pp. 126–167)
  - Chapter 6 – Environmental Determinants of Intelligence (Pp. 168–214)
  - Chapter 9 – Correlates of Intelligence (Pp. 252–279)
  - Chapter 10 – Group Differences in Intelligence (Pp. 280–328)
  - Chapter 12 – Epilogue: The Future of Intelligence (Pp. 349–356)
- Duncan, Otis Dudley, David L. Featherman, and Beverly Duncan. 1972. *Socioeconomic Background and Achievement*. New York: Seminar Press.
  - Chapter 5: “Intervening Variables, I: Intelligence.”)
- Young, Michael D. 1961. *The Rise of the Meritocracy, 1870–2033: An Essay on Education and Equality*. Baltimore, MD: Penguin Books.
- Hauser, Robert, Howard Taylor, and Troy Duster. 1995. “Symposium on *The Bell Curve*.” *Contemporary Sociology* 24:149–161.
- Massey, Douglas. 1995. Review of *The Bell Curve*. *American Journal of Sociology* 101:747–753.
- Nielsen, François. 1995. Review of *The Bell Curve*. *Social Forces* 74:337–342.
- Snyderman, M., and Rothman, S. 1987. “Survey of Expert Opinion of Intelligence and Aptitude Testing.” *American Psychologist* 42:137–144.
- Jensen, Arthur R. 1998. *The g Factor: The Science of Mental Ability*. Westport, CN: Praeger.
- Arrow, Kenneth, Samuel Bowles, and Steven Durlauf, eds. 2000. *Meritocracy and Economic Inequality*. Princeton, NJ: Princeton University Press.
- Guo, Guang and Elizabeth Stearns. 2002. “The Social Influences on the Realization of Genetic Potential for Intellectual Development.” *Social Forces* 80: 881–910.
- Scarr, Sandra. 1992. “Development Theories for the 1990s: Development and Individual Differences.” [Society for Research in Child Development Presidential Address.] *Child Development* 63:1–19.

**Module 10 – Socioeconomic Attainment & Mobility IV: Race & Ethnicity (30 Oct)**

- [M10 Discussion topics](#)

**a. Mechanisms of Attainment: Race & Ethnicity in the US**

- Bonacich, Edna. “A Theory of Ethnic Antagonism: The Split Labor Market.” Pp. 696–710 in GRU4e. Pp. 632–646 in GRU3e. Pp. 555–568 in GRU2e.
- Portes, Alejandro and Robert D. Manning. “The Immigrant Enclave: Theory and Empirical Examples.” Pp. 710–721 in GRU4e. Pp. 646–657 in GRU3e. Pp. 568–579 in GRU2e.
- Alba, Richard D. and Victor Nee. “Assimilation Theory for an Era of Unprecedented Diversity.” Pp.721–729 in GRU4e.
- Portes, Alejandro and Min Zhou. “The New Second Generation: Segmented Assimilation and Its Variants.” Pp.729–740 in GRU4e.
- Wilson, William Julius. “The Declining Significance of Race and Changing American Institutions: Revisited and Revised.” Pp.765–780 in GRU4e.
- Steele, Claude. “Stereotype Threat and African American Student Achievement.” Pp.752–757 in GRU4e. Pp. 678–683 in GRU4e.)
- [Optional] Pager, Devah. “Marked: Race, Crime, and Finding Work in an Era of Mass Incarceration.” Pp.757–765 in GRU4e.

**b. The Black-White Test Score Gap**

- Herrnstein, Richard and Charles Murray. [1994] 1996. *The Bell Curve*. New York: Free Press. NOTE: There is a fairly informative summary at the beginning of most chapters.
  - Part III: The National Context, p. 267
    - \* Chapter 13 – Ethnic Differences in Cognitive Ability, pp. 269–315
    - \* Chapter 14 – Ethnic Inequalities in Relation to IQ, pp. 317–340
  - Part IV: Living Together pp. 387–8
    - \* Chapter 19 – Affirmative Action in Higher Education, pp. 447–77
- [Optional] [Murray, Charles. 2005. “The Inequality Taboo.” Fully annotated version of an article that appeared in the September 2005 issue of \*Commentary\*. \[I found it on this site after the link to \*Commentary\* was broken.\]](#)
- [Jencks, Christopher and Meredith Phillips. 1998. “The Black-White Test Score Gap: An Introduction.” Pp. 1–51 in Jencks, Christopher and Meredith Phillips, eds. 1998. \*The Black-White Test Score Gap\*. Washington, DC: Brookings Institution Press. \[Very detailed introduction with an overview of all the chapters in this edited collection.\]](#)
- If you want to know more about the hereditarian-environmentalist debate on the origins of the test score gap see the review by Rushton and Jensen (2005) (reference below) together with critical comments including one by Richard E. Nisbett. Nisbett’s (2009) *Intelligence and How To Get It* is a book-length exposition of the culturalist / anti-hereditarian argument. It is reviewed by Rushton and Jensen (2009) and by Nielsen (2010). See references below.



Additional references:

- Massey, Douglas S. and Nancy A. Denton. “American Apartheid: Segregation and the Making of the Underclass.” (Pp. 340–349 in GRU3e. Pp. 660–670 in GRU2e.)

- Waters, Mary C. “Black Identities.” (Pp. 669–673 in GRU3e.)
- Oliver, Melvin L. “Black Wealth / White Wealth.” (Pp. 709–715 in GRU3e.)
- Nielsen, François. 1985. “Toward a Theory of Ethnic Solidarity in Modern Societies.” *American Sociological Review* 50: 133–145.
- Lieberman, Stanley. “A Piece of the Pie: Blacks and White Immigrants Since 1880.” Pp. 580–592 in GRU2e.

The following is a 2005 debate about origins of race differences in IQ. The target article is:

- Rushton, J. Philippe & Arthur R. Jensen. 2005. “Thirty Years of Research on Race Differences in Cognitive Ability.” *Psychology, Public Policy, and Law* 11: 2: 235–294.

Commentaries on the target article and authors’ rejoinder in the same journal issue:

- Sternberg, Robert J. “There Are No Public-Policy Implications: A Reply to Rushton and Jensen (2005).” Pp. 295–301.
- Nisbett, Richard E. 2005. “Heredity, Environment, and Race Differences in IQ: A Commentary on Rushton and Jensen.” Pp. 302–310.
- Gottfredson, Linda S. “What if the Hereditarian Hypothesis Is True?” Pp. 311–319.
- Suzuki, Lisa & Joshua Aronson. “The Cultural Malleability of Intelligence and Its Impact on the Racial/ethnic Hierarchy.” Pp. 320–327.
- Rushton, J. Philippe & Arthur R. Jensen. “Wanted: More Race-realism, Less Moralistic Fallacy.” Pp. 328–336.

Book by Richard E. Nisbett and reviews:

- Nisbett, Richard E. 2009. *Intelligence and How to Get It: Why Schools and Cultures Count*. New York: W. W. Norton. [A comprehensive exposition of the anti-hereditarian argument.]
  - Chapter 2 – Heritability and Mutability
  - Chapter 6 – IQ in Black and White
  - Appendix B – The Case for a Purely Environmental Basis for Black/White Differences in IQ
- Rushton, J. Philippe and Arthur R. Jensen. 2009. “Race and IQ: A Theory-Based Review of the Research in Richard Nisbett’s *Intelligence and How to Get It*.” Online at [http://www.ssc.uwo.ca/psychology/faculty/rushtonpdfs/Intelligence and How to Get It \(Working Paper\).pdf](http://www.ssc.uwo.ca/psychology/faculty/rushtonpdfs/Intelligence%20and%20How%20to%20Get%20It%20(Working%20Paper).pdf). Retrieved 11 Aug 2009.
- Nielsen, François. 2010. “Intelligence of Culture.” *Contemporary Sociology* 39: 4: 391–396. [Part of symposium on Nisbett’s *Intelligence and How to Get It*.]

## Module 11 – Inequality & Development in the World System (6 Nov)

- [M11 Discussion topics](#)

### a. The Kuznets Curve

- Kuznets, Simon. [1955] 1965. “Economic Growth and Income Inequality.” Pp. 257–287 in *Economic Growth and Structure*. New York: Norton. (Originally published in 1955 in *American Economic Review* 45:1–28.)
- Nielsen, François. 1994. “Income Inequality and Industrial Development: Dualism Revisited.” *American Sociological Review* 59: 654–677.
- [Optional] Bourguignon, François and Christian Morrisson. 1998. “Inequality and Development: The Role of Dualism.” *Journal of Development Economics* 57: 233–257. [Independently reaches conclusions about the role of dualism similar to Nielsen (1994).]

### b. The Great U-Turn

- [Optional, Repeat from Module 2] Atkinson, Anthony B., Thomas Piketty, and Emmanuel Saez. “Top Incomes in the Long Run of History.” Pp. 59–73 in GRU4e. [You may find the prose eye-watering; consider focusing on the graphs to understand the shapes and meanings of the trends depicted.]
- Goldin, Claudia and Lawrence F. Katz. “The Race Between Education and Technology.” Pp. 73–80 in GRU4e.
- Western, Bruce and Jake Rosenfeld. “Unions, Norms, and the Rise in U.S. Wages Inequality.” Pp. 80–86 in GRU4e.
- Frank, Robert. “Why is Income Inequality Growing?” Pp. 86–91 in GRU4e.
- [Optional] Hacker, Jacob S. and Paul Pierson. “Winner-Take-All Politics: Public Policy, Political Organization, and the Precipitous Rise of Top Incomes in the U.S.” Pp. 91–103 in GRU4e.
- Liu, Yujia. “The Winners of the Third Industrial Revolution.” Pp. 113–123.
- [Optional] Nielsen, François and Arthur S. Alderson. 2001. “Trends in Income Inequality in the United States.” Pp. 355–385 in *Sourcebook on Labor Markets: Evolving Structures and Processes*, edited by Ivar Berg and Arne L. Kalleberg. New York: Plenum.
- Alderson, Arthur S. and François Nielsen. 2002. “Globalization and the Great U-Turn: Income Inequality Trends in 16 OECD Countries.” *American Journal of Sociology* 107: 1244–1299.
- [Optional] Atkinson, Anthony B. and Andrea Brandolini. 2006. “The Panel-of-Countries Approach to Explaining Income Inequality: An Interdisciplinary Research Agenda.” Pp. 400–448 in *Mobility and Inequality: Frontiers of Research in Sociology and Economics*, edited by Stephen L. Morgan, David B. Grusky and Gary S. Field. Stanford, CA: Stanford University Press.
- [Optional.] Moller, Stephanie, Arthur S. Alderson, and François Nielsen. 2009. “Changing Patterns of Income Inequality in U.S. Counties, 1970–2000.” *American Journal of Sociology* 114: 4 (January): 1037–1101.

### c. Thomas Piketty’s Theory

- Piketty, Thomas. 2014. *Capital in the Twenty-First Century*. Cambridge, MA: Harvard University Press.
  - Introduction, pp. 1–35.
  - Chapter 8: Two Worlds, pp. 271–303.
  - Chapter 10, Inequality in Capital Ownership, pp. 336–376.
  - Conclusion, pp. 571–577.

### d. World Inequality: The Overall Picture

- Firebaugh, Glenn. “The New Geography of Global Income Inequality.” Pp. 1139–1150 in GRU4e. Pp. 1044–1056 in GRU3e. [Use this reading to organize and tie in the other readings for this module.]
- [Optional] Bourguignon, François and Christian Morrisson. 2002. “Inequality among World Citizens: 1820–1992.” *American Economic Review* 92: 4 (September): 727–744. [Compare with Firebaugh.]
- [Hans Rosling’s GAPMINDER site](#) [Some relevant parts will be shown in class.]

 Additional references:

### The World System Approach

- Wallerstein, Immanuel. 1972. “Three Paths of National Development in Sixteenth Century Europe.” *Studies in Comparative International Development* 7: 2 (June): 95–101.
- Bornschier, Volker and Christopher Chase-Dunn. 1985. *Transnational Corporations and Underdevelopment*. New York: Praeger.
  - [Chaptes 2 – Dependent Industrialization and Underdevelopment \[Pp. 22–34\]](#)
  - [Chapter 8 – Transnational Penetration and Income Inequality \[Pp. 117–130\]](#)
- Stiglitz, Joseph E. “Globalism’s Discontents.” (Pp. 1036–1043 in GRU3e.)
- [Optional.] Krueger, Alan B. and Jitka Malecková. “Does Poverty Cause Terrorism? The Economics and the Education of Suicide Bombers.” (Pp. 1056–1062 in GRU3e.)
- Alderson, Arthur S. and François Nielsen. 1999. “Inequality, Development, & Dependence: A Reconsideration.” *American Sociological Review* 64:606–631.
- Chirot, Daniel and Thomas Hall. 1982. “World-system Theory.” *Annual Review of Sociology* 8:81–106.
- Chase-Dunn, Christopher and Peter Grimes. 1995. “World-Systems Analysis.” *Annual Review of Sociology* 21: 387–417.
- Weede, Erich and Horst Tiefenbach. 1981. “Some Recent Explanations of Income Inequality: An Evaluation and Critique.” *International Studies Quarterly* 25: 255–282.
- Sullivan, Gerard. 1983. “Uneven Development and National Income Inequality in Third World Countries: A Cross-National Study of the Effects of External Economic Dependence.” *Sociological Perspectives* 26: 201–231.
- Firebaugh, Glenn. 1992. “Growth Effects of Foreign and Domestic Investment.” *American Journal of Sociology* 98:105–130.
- Dixon, William J. and Terry Boswell. 1996. “Dependency, Disarticulation, and Denominator Effects: Another Look at Foreign Capital Penetration.” *American Journal of Sociology* 102: 543–62.
- Firebaugh, Glenn. 1996. “Does Foreign Capital Harm Poor Nations? New Estimates Based on Dixon and Boswell’s Measure of Capital Penetration.” *American Journal of Sociology* 102: 563–75

### Other Additional references

- Pakulski, Jan and Malcolm Waters. “The Death of Class.” (Pp. 1022–1030 in GRU3e. Pp. 866–874 in GRU2e.)
- Alderson, Arthur S., Jason Beckfield, and François Nielsen. 2005. “Exactly How Has Income Inequality Changed? Patterns of Distributional Change in Core Societies.” *International Journal of Comparative Sociology* 46: 405–424.
- Lee, Cheol-Sung, François Nielsen, and Arthur S. Alderson. 2007. “Income Inequality, Dependence, and the Role of the State.” *Social Forces* 86: 1 (September): 77–111.
- Esping-Andersen, Gøsta. “Social Foundations of Postindustrial Economies.” (Pp. 978–993 in GRU3e. Pp. 830–845 in GRU2e.)
- Firebaugh, Glenn. 1999. “Empirics of World Income Inequality.” *American Journal of Sociology* 104:1597–1630.
- Lindert, Peter H. and Jeffrey G. Williamson. 1985. “Growth, Equality, and History.” *Explorations in Economic History* 22:341–377.
- Williamson, Jeffrey G. 1991. *Inequality, Poverty, and History*. Cambridge, MA: Basil Blackwell.

- Lecaillon, Jacques, Felix Paukert, Christian Morrisson, and Dimitri Germidis. 1984. *Income Distribution and Economic Development: An Analytical Survey*. Geneva, Switzerland: International Labour Office. (Introduction; Chapters 1–4 [Pp. 1–114])
- Gagliani, Giorgio. 1987. “Income Inequality and Economic Development.” *Annual Review of Sociology* 13: 313–334.
- Nielsen, François and Arthur S. Alderson. 1995. “Income Inequality, Development, and Dualism: Results from an Unbalanced Cross-National Panel.” *American Sociological Review* 60: 674–701.
- Lindert, Peter H. 2000. “Three Centuries of Inequality in Britain and America.” Pp. 167–216 in *Handbook of Income Distribution*, Volume 1, edited by Anthony B. Atkinson and François Bourguignon. Amsterdam, Netherlands: Elsevier Science.
- Nee, Victor. “Postsocialist Stratification.” (Pp. 994–999 in GRU3e. Pp. 846–852 in GRU2e.)
- Gustafsson, Bjorn and Mats Johannson. 1999. “In Search of Smoking Guns: What Makes Income Inequality Vary Over Time in Different Countries?” *American Sociological Review* 64: 585–605.
- Levy, Frank and Richard G. Michel. 1991. *The Economic Future of American Families: Income and Wealth Trends*. Washington, DC: Urban Institute Press. (Chapters 1–4, Chapter 8 [Pp. 1–42, 117–130])
- Levy, Frank and Richard J. Murnane. 1992. “U. S. Earnings Levels and Earnings Inequality: A Review of Recent Trends and Proposed Explanations.” *Journal of Economic Literature* 30: 1333–1381.
- Harrison, Bennett and Barry Bluestone. 1988. *The Great U-Turn: Corporate Restructuring and the Polarizing of America*. New York: Basic Books.
- Bluestone, Barry. 1990. “The Great U-Turn Revisited: Economic Restructuring, Jobs, and the Redistribution of Earnings.” Pp. 7–37 in John D. Kasarda (ed.) *Jobs, Earnings, and Employment Growth Policies in the United States*. Boston: Kluwer Academic Publishers.
- Nielsen, François and Arthur S. Alderson. 1997. “The Kuznets Curve and the Great U-Turn: Income Inequality in U.S. Counties, 1970 to 1990.” *American Sociological Review* 62: 12–33.
- Morris, Martina and Bruce Western. 1999. “Inequality in Earnings at the Close of the Twentieth Century.” *Annual Review of Sociology* 25: 623–657. [This is the article on which the shorter chapter in GRU is based.]
- Kerr, Clark, John T. Dunlop, Frederick H. Harbison, and Charles A. Myers. “Industrialism and Industrial Man.” (Pp. 954–965 in GRU3e; Pp. 793–804 in GRU2e.)

#### **Module 12 – Student Presentations (13 Nov)**

#### **Module 13 – Student Presentations (cont’d) (20 Nov)**