

**University of California, Berkeley (CAL)**

**Athlete Agent Registration Form**

**GENERAL**

Name \_\_\_\_\_ Date \_\_\_\_\_  
 Firm \_\_\_\_\_ Firm Website \_\_\_\_\_  
 Office Phone \_\_\_\_\_ Cell Phone \_\_\_\_\_  
 Email \_\_\_\_\_ Fax \_\_\_\_\_  
 Address \_\_\_\_\_

**EDUCATION** (Please list all undergraduate and graduate degrees)

School	Degree	Major	Date of Degree

**EMPLOYMENT HISTORY**

Title	Employer	Supervisor	Supervisor Phone or Email	Dates

**PLAYERS ASSOCIATION CERTIFICATIONS** (Please attach a copy of your current, valid registration for each organization listed)

Association	Effective Date	Expiration Date
MLBPA		
NBPA		
NFLPA		
WNBPA		
FIBA		
FIFA		

--	--	--

Have you ever been disciplines or cited for violating players' association regulations governing athlete agents? If so, please explain \_\_\_\_\_

\_\_\_\_\_

\_\_\_\_\_

**STATE OF CALIFORNIA REGISTRATION** (Please attach a copy of your registration)

State \_\_\_\_\_ Status \_\_\_\_\_ Effective Date \_\_\_\_\_ Expiration Date \_\_\_\_\_

Have you ever been disciplined or cited for a violation of a state statute regulating athlete agents? If so, please explain \_\_\_\_\_

\_\_\_\_\_

\_\_\_\_\_

\_\_\_\_\_

**EMPLOYEES** (Please list all business associates and individuals acting on your behalf, or with your knowledge)

Name	Job Title	Planned Interaction with the Student-Athletes

**LEGAL**

Bar Admission: Please list all in which you have been admitted and any applications that are pending.

Jurisdiction	Date Admitted	License Status

Have you ever been disbarred, suspended, reprimanded, censured or otherwise disciplined or disqualified as an attorney, as a member of any other profession, or as a holder or any public office? If yes, please provided the date(s), actions taken, and the names and addresses of the authority imposing the action: \_\_\_\_\_

\_\_\_\_\_

Are there any charges or complaints currently pending against you? \_\_\_\_\_

**BUSINESS SERVICES OFFERED**

Services you or your firm currently offer:	Hourly Fee Charged	Percentage Charged
Player Contract Negotiations		
Appearance/Endorsement Contract Negotiations		
Entertainment/Marketing Assistance		
Financial Planning		
Estate Planning		
Tax Consulting		
Investment Counseling		
Grievance Arbitration		
Insurance Planning		
Insurance Coverage		
Legal Assistance		

Do you offer a separate contract for each of the services listed above? \_\_\_\_\_

Are your fees negotiable? \_\_\_\_\_

Are you currently registered with the Investment Advisor's Act? \_\_\_\_\_

Are you bonded? If yes, please fill out below; \_\_\_\_\_

Bond Amount	Company Name	Company Phone

Do you refer clients to other individuals or firms for services? If so, please list below: \_\_\_\_\_

Individual/Firm Name	Phone	Service

Do you receive a referral fee? \_\_\_\_\_

**CLIENTS**

Please list all former CAL student-athletes you are representing or have represented in the past.

Name	Sport	Dates of Representation

Please list five clients you are currently representing.

Name	Sport	Dates of Representation	Former Institution

**CURRENT STUDENT-ATHLETES**

Please list any and all current CAL student-athletes you are interested in contacting

Name	Sport

**ADDITIONAL BACKGROUND**

Have you ever been involved in or investigated for allegedly participating in actions violating NCAA, conference, university, Players Association, league, team or federation rules? If yes,

please explain \_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_

Has anyone in your firm (and or list above in the employees section of this registration form) ever been involved in or investigated for allegedly participating in actions violating NCAA, conference, university, Players Association, league, team or federation rules? If yes, please explain \_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_

Have you ever been convicted or plead guilty to a criminal charge other than a minor traffic violation? If yes, please explain \_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_

Have you ever been declared bankrupt or been an owner or part owner of a business which was declared bankrupt? If yes, please explain \_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_

Do you foresee any potential conflict of interest that may arise with any current CAL student-athletes that you would like to contact? If yes, please explain \_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_

Are you related to any student, employee or vendor at CAL? Please list their names and relationship to you: \_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_

Name a situation in the past where an athlete client terminated your representation and the circumstances surrounding that termination. \_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_

**ATTACHMENTS**

Please attach the following;

- A copy of your State of California Athlete Agent Registration
- A copy of your current, valid registration for all Players' Associations
- A copy of your standard representation contract
- Copies of any initial correspondence sent to current CAL student-athletes
- Current client list (please include name, sports, state, dates of service, and former college)
- Promotional materials

## University of California, Berkeley (“CAL”) Athlete Agent Registration Form Disclaimer

I agree that the information submitted on the University of California, Berkeley (CAL) Athlete Agent Registration Form are true and accurate as of my application dates and are made for the benefit of Cal and its student-athletes. I understand that the information herein is public information and may be provided by CAL to its student-athletes, families, coaches and administrators. Before completed and signing this application, I have read the CAL Athlete Agent Policy. I agree to be bound by and conform to this policy. Further, I certify that I understand applicable NCAA legislation and California agent registration and have not engaged in, nor will not engage in any activity that could jeopardize a CAL student-athlete’s intercollegiate athletics eligibility. I understand that failure to comply with the terms of this certification and the applicable NCAA legislations may result in the initiation of legal proceedings by the University of California against me and/or my agents, employees and affiliates and the assessment of civil and/or criminal penalties against me.

Applicant Name (Print) \_\_\_\_\_

Applicant Signature \_\_\_\_\_ Date \_\_\_\_\_

Please return the completed Cal Athlete Agent Registration form to:

Benjamin Gaus  
University of California  
Athletic Compliance Office  
119 HAAS Pavilion  
Berkeley, CA 94556

[bengaus@berkeley.edu](mailto:bengaus@berkeley.edu)

Office: 510-693-9039

Fax: 510-643-2536