# Complex Analysis Qual Sheet

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"Tricks and traps. Basically all complex analysis qualifying exams are collections of tricks and traps."

- Jim Agler

# 1 Useful facts

1. 
$$e^z = \sum_{n=0}^{\infty} \frac{z^n}{n!}$$

2. 
$$\sin z = \sum_{n=0}^{\infty} (-1)^n \frac{z^{2n+1}}{(2n+1)!} = \frac{1}{2i} (e^{iz} - e^{-iz})$$

3. 
$$\cos z = \sum_{n=0}^{\infty} (-1)^n \frac{z^{2n}}{2n!} = \frac{1}{2} (e^{iz} + e^{-iz})$$

4. If g is a branch of  $f^{-1}$  on G, then for  $a \in G$ ,  $g'(a) = \frac{1}{f'(g(a))}$ 

5. 
$$|z \pm a|^2 = |z|^2 \pm 2 \operatorname{Re} \overline{a} z + |a|^2$$

6. If f has a pole of order m at z = a and  $g(z) = (z - a)^m f(z)$ , then

Res
$$(f; a) = \frac{1}{(m-1)!} g^{(m-1)}(a).$$

7. The elementary factors are defined as

$$E_p(z) = (1-z) \exp\left(z + \frac{z^2}{2} + \dots + \frac{z^p}{p}\right).$$

Note that elementary factors are entire and  $E_p(z/a)$  has a simple zero at z=a.

8. The factorization of sin is given by

$$\sin \pi z = \pi z \prod_{n=1}^{\infty} \left( 1 - \frac{z^2}{n^2} \right).$$

9. If  $f(z) = (z - a)^m g(z)$  where  $g(a) \neq 0$ , then

$$\frac{f'(z)}{f(z)} = \frac{m}{z-a} + \frac{g'(z)}{g(z)}.$$

## 2 Tricks

- 1. If f(z) nonzero, try dividing by f(z). Otherwise, if the region is simply connected, try writing  $f(z) = e^{g(z)}$ .
- 2. Remember that  $|e^z| = e^{\text{Re}z}$  and  $\arg e^z = \text{Im}z$ . If you see a Rez anywhere, try manipulating to get  $e^z$ .
- 3. On a similar note, for a branch of the log,  $\log re^{i\theta} = \log |r| + i\theta$ .
- 4. Let  $z = e^{i\theta}$ .
- 5. To show something is analytic use Morera or find a primitive.
- 6. If f and g agree on a set that contains a limit point, subtract them to show they're equal.
- 7. Tait: "Expand by power series."
- 8. If you want to count zeros, either Argument Principle or Rouché.
- 9. Know these Möbius transformations:
  - (a) To map the right half-plane to the unit disk (or back),  $\frac{1-z}{1+z}$ .
  - (b) To map from the unit disk to the unit disk, remember  $\varphi_a(z) = \frac{z-a}{1-\overline{a}z}$ . This is a bijective map with inverse  $\varphi_{-a}(z)$ . Also,  $\varphi_a(a) = 0$ ,  $\varphi_a'(z) = \frac{1-|a|^2}{(1-\overline{a}z)^2}$ ,  $\varphi_a'(0) = 1-|a|^2$ , and  $\varphi_a'(a) = \frac{1}{1-|a|^2}$ .
- 10. If f(z) is analytic, then  $\overline{f(\overline{z})}$  is analytic (by Cauchy-Riemann). So if, for example, f(z) is real on the real axis, then  $f(z) = \overline{f(\overline{z})}$ .
- 11. To prove that a function defined by an integral is analytic, try Morera and reversing the integral. (e.g.  $\int_{\epsilon}^{\infty} e^{-t}t^{z-1}dt$  is analytic since  $\int_{T} \int_{\epsilon}^{\infty} e^{-t}t^{z-1}dtdz = \int_{\epsilon}^{\infty} \int_{T} e^{-t}t^{z-1}dzdt = 0.$ )
- 12. If given a point of f (say f(0) = a) and some condition on f' on a simply connected set, try  $\int_{[0,z]} f' = f(z) f(0)$ .
- 13. To create a non-vanishing function, consider exponentiating.

### 3 Theorems

1. Cauchy Integral Formula: Let G be region and  $f: G \to \mathbb{C}$  be analytic. If  $\gamma_1, \ldots, \gamma_m$  are closed rectifiable curves in G with  $\sum_{k=0}^m n(\gamma_k; w) = 0$  for all  $w \in \mathbb{C} \setminus G$ , then for  $a \in G \setminus (\bigcup_{k=1}^m \{\gamma_k\})$ ,

$$f^{(n)}(a) \cdot \sum_{k=0}^{m} n(\gamma_k; a) = \frac{n!}{2\pi i} \sum_{k=1}^{m} \int_{\gamma_k} \frac{f(z)}{(z-a)^{n+1}} dz.$$

2. Cauchy's Theorem: Let G be a region and  $f: G \to \mathbb{C}$  be analytic. If  $\gamma_1, \ldots, \gamma_m$  are closed rectifiable curves in G with  $\sum_{k=0}^m n(\gamma_k; w) = 0$  for all  $w \in \mathbb{C} \setminus G$ , then

$$\sum_{k=1}^{m} \int_{\gamma_k} f(z)dz = 0$$

- 3. Liouville's Theorem: If f is a bounded entire function, then f is constant.
- 4. **Maximum Modulus Theorem**: Let G be a region and  $f: G \to \mathbb{C}$  be analytic. If there exists an  $a \in G$  such that  $|f(a)| \ge |f(z)|$  for all  $z \in G$ , then f is constant on G.
- 5. Morera's Theorem: Let G be a region and  $f: G \to \mathbb{C}$  be continuous. If  $\int_T f = 0$  for every triangular path T in G, then f is analytic on G.
- 6. Goursat's Lemma: Let G be a region and let  $f: G \to \mathbb{C}$ . If f is differentiable, then f is analytic on G.
- 7. Cauchy-Riemann Equations: Let f(x,y) = u(x,y) + iv(x,y) for real-valued functions u and v. Then f is analytic if and only if

$$\frac{\partial u}{\partial x} = \frac{\partial v}{\partial y}$$
 and  $\frac{\partial u}{\partial y} = -\frac{\partial v}{\partial x}$ 

- 8. Constant functions: Let  $f: G \to \mathbb{C}$  be analytic. Then the following are equivalent
  - (i)  $f(z) \equiv \alpha$ ;
  - (ii)  $\{z \in G \mid f(z) = \alpha\}$  has a limit point in G;
  - (iii) there exists  $a \in G$  such that  $f^{(n)}(a) = 0$  for all  $n \ge 1$ .
- 9. Conformality: Let  $f: G \to \mathbb{C}$  be analytic. Then if  $z \in G$  and  $f'(z) \neq 0$ , f is conformal at z.
- 10. Roots of an analytic function: Let  $f: G \to \mathbb{C}$  be analytic. If f(a) = 0, then there exists a unique  $m \ge 1$  and g analytic such that

$$f(z) = (z - a)^m g(z)$$

with  $g(a) \neq 0$ .

11. **Power series**: A function f is analytic on B(a;R) if and only if there exists a power series  $f(z) = \sum_{n=0}^{\infty} a_n (z-a)^n$  where we compute

$$a_n = \frac{f^{(n)}(a)}{n!} = \frac{1}{2\pi i} \int_{\gamma} \frac{f(z)}{(z-a)^{n+1}} dz.$$

The series converges absolutely on B(a;R) and uniformly on B(a;r) for  $0 \le r < R$ .

12. Cauchy's Estimate: If f analytic on B(a;R), and  $|f(z)| \leq M$  for each  $z \in B(a;R)$ , then

$$\left| f^{(n)}(a) \right| \le \frac{n!M}{R^n}.$$

13. Winding Number: To compute the index of a closed curve about a point a,

$$n(\gamma; a) = \frac{1}{2\pi i} \int_{\gamma} \frac{dz}{z - a} \in \mathbb{Z}.$$

- 14. **Open Mapping Theorem**: Let G be a region, f a non-constant analytic function. If U is an open subset of G, then f(U) is open.
- 15. **Zero-Counting Theorem**: Let G be a region,  $f: G \to \mathbb{C}$  analytic with roots  $a_1, \ldots a_m$ . If  $\{\gamma\} \subseteq G$  and  $a_k \notin \{\gamma\}$  for all k, and  $\gamma \approx 0$  in G, then

$$\frac{1}{2\pi i} \int_{\gamma} \frac{f'(z)}{f(z)} dz = \sum_{k=1}^{m} n(\gamma; a_k)$$

Corollary: If  $f(a) = \alpha$ , then  $f(z) - \alpha$  has a root at a. So if  $f(a_k) = \alpha$ , then

$$\frac{1}{2\pi i} \int_{\gamma} \frac{f'(z)}{f(z) - \alpha} dz = \sum_{k=1}^{m} n(\gamma; a_k)$$

Corollary 2: If  $\sigma = f \circ \gamma$  and  $\alpha \notin \{\sigma\}$  and  $a_k$  are the points where  $f(a_k) = \alpha$ , then

$$n(\sigma; \alpha) = \sum_{k=1}^{m} n(\gamma; a_k)$$
 or

$$n(f \circ \gamma; f(a)) = \sum_{k=1}^{m} n(\gamma; a_k)$$

- 16. Roots of analytic functions: Suppose f is analytic on B(a; R) and let  $f(a) = \alpha$ . If  $f(z) \alpha$  has a zero of order m at z = a, then there exist  $\epsilon > 0$  and  $\delta > 0$  such that if  $0 < |\zeta \alpha| < \delta$ , the equation  $f(z) = \zeta$  has exactly m simple roots in  $B(a, \epsilon)$ .
- 17. **Existence of Logarithm**: Let f(z) be analytic and  $f(z) \neq 0$  on G, a simply connected region. Then there is analytic function g(z) on G such that  $f(z) = e^{g(z)}$  for all  $z \in G$ .
- 18. **Existence of Primitive**: Let f(z) be analytic on G, a simply connected region. Then f has a primitive.
- 19. Laurent Series: Let f be analytic on  $R_1 < |z a| < R_2$ , then there exists a sequence  $\{a_n\}_{n=-\infty}^{\infty}$  and

$$f(z) = \sum_{n=-\infty}^{\infty} a_n (z-a)^n$$

with absolute convergence in the open annulus and uniform convergence on every compact subset of the annulus. This series is called a Laurent series, and if  $\gamma$  is a closed curve in the annulus, then

$$a_n = \frac{1}{2\pi i} \int_{\gamma} \frac{f(z)}{(z-a)^{n+1}} dw.$$

(Note that this is just the same as number 11).

- 20. Classification of Singularities: Suppose f analytic on  $B(a; R) \setminus \{a\}$  and f has an isolated singularity at a. Then a is
  - (a) Removable singularity if there is a function g analytic on B(a;R) such that f(z) = g(z) for all  $z \in B(a;R) \setminus \{a\}$ .

The singularity is removable if and only if  $\lim_{z \to a} (z - a) f(z) = 0$ .

Also, the singularity is removable if and only if the Laurent series of f has no coefficients  $a_n$  for n < 0.

(b) Pole if  $\lim_{z \to a} |f(z)| = \infty$ .

If a is a pole, then there is a unique  $m \geq 1$  and an analytic function g such that  $f(z) = \frac{g(z)}{(z-a)^m}$  for all  $z \in B(a;R) \setminus \{a\}$  and  $g(a) \neq 0$ .

The singularity is a pole if and only if the Laurent series of f has only finitely many coefficients  $a_n$  for n < 0. The partial series for these coefficients is called the singular part of f.

- (c) Essential singularity if a is not removable and not a pole. The singularity is essential if and only if the Laurent series of f has infinitely many coefficients  $a_n$  for n < 0.
- 21. Casorati-Weierstrass: If f has an essential singularity at a, then for all  $\delta > 0$ ,  $f(\{z \mid 0 < |z a| < \delta\})$  is dense in  $\mathbb{C}$ .
- 22. **Residues**: If f has an isolated singularity at a, then the residue of f at a,  $Res(f; a) = a_{-1}$ . We can calculate the residue using the formula for Laurent coefficients:

$$\operatorname{Res}(f; a) = \frac{1}{2\pi i} \int_{\gamma} f(z) dz.$$

If a is a pole of order m, then if  $g(z) = (z - a)^m f(z)$ 

Res
$$(f; a) = \frac{g^{(m-1)}(a)}{(m-1)!}$$
.

23. **Residue Theorem**: Let f be analytic on a region G except for singularities at  $a_1, \ldots, a_m$ . Let  $\gamma \approx 0$  be a closed curve in G with  $a_1, \ldots, a_m \notin \{\gamma\}$ . Then

$$\frac{1}{2\pi i} \int_{\gamma} f(z)dz = \sum_{k=1}^{m} n(\gamma; a_k) \cdot \operatorname{Res}(f; a_k).$$

24. **Argument Principle**: Let f be meromorphic with roots  $z_1, \ldots, z_m$  and poles  $p_1, \ldots, p_n$  with  $z_1, \ldots, z_m, p_1, \ldots, p_n \notin \{\gamma\}$ . Then

$$\frac{1}{2\pi i} \int_{\gamma} \frac{f'}{f} = \sum_{k=1}^{m} n(\gamma; z_m) - \sum_{j=1}^{n} n(\gamma; p_n).$$

25. **Rouché's Theorem**: Let f, g be meromorphic on G and let  $\gamma$  be a closed curve in G. Then if, for all  $z \in {\gamma}$ ,

$$|f(z) + g(z)| < |f(z)| + |g(z)|$$

then  $Z_f - P_f = Z_q - P_q$ .

- 26. Jordan's Lemma: Suppose that:
  - (i) f(z) is analytic at all points z in the upper half plane  $y \ge 0$  that are exterior to a circle  $|z| = R_0$ ;
  - (ii)  $C_R$  denotes a semicircle  $z = Re^{i\theta}$  for  $0 \le \theta \le 2\pi$  with  $R > R_0$ ;
  - (iii) for all points z on  $C_R$  there is a positive constant  $M_R$  such that  $|f(z)| \leq M_R$ , with  $\lim_{R\to\infty} M_R = 0$

Then for every positive constant a:

$$\lim_{R \to \infty} \int_{C_R} f(z)e^{iaz}dz = 0.$$

27. **Fractional Residue**: If  $z_0$  is a simple pole of f(z), and  $C_R$  is an arc of the circle  $\{|z-z_0|=R\}$  of angle  $\theta$ , then

$$\lim_{R \to 0} \int_{C_R} f(z)dz = \theta i Res(f(z), z_0).$$

# 4 Theorems, part 2

- 1. Maximum Modulus Theorem:
  - (a) (First Version). If  $f: G \to \mathbb{C}$  is analytic and there exists  $a \in G$  with  $|f(a)| \ge |f(z)|$  for all  $z \in G$ , then f is constant.
  - (b) (Second Version). If G is open and bounded, and f analytic on G and continuous on  $\overline{G}$ , then

$$\max\{|f(z)|\mid z\in\overline{G}\}=\max|f(z)|\mid z\in\partial G\}.$$

(Or f attains its maximum on the boundary).

- (c) (Third Version). If  $f: G \to \mathbb{C}$  is analytic, and there is a constant M such that  $\limsup_{z\to a} |f(z)| \leq M$  for all  $a \in \partial_{\infty} G$ , then  $|f(z)| \leq M$  for all  $z \in G$ . (Where  $\limsup_{z\to a} f(z) = \lim_{r\to 0^+} \sup\{f(z) \mid z \in G \cap B(a;r)\}$ .)
- 2. Schwarz's Lemma: Suppose  $f: \mathbb{D} \to \mathbb{D}$  is analytic and f(0) = 0. Then
  - (i)  $|f'(0)| \le 1$ ,
  - (ii)  $|f(z)| \le z$ , and
  - (iii) if |f'(0)| = 1 or |f(z)| = z for any  $z \in \mathbb{D}$ , then f(z) = cz for some |c| = 1.
- 3. Generalized Schwarz's Lemma: Suppose  $f: \mathbb{D} \to \mathbb{D}$  is analytic. Then

- (i)  $|f'(a)| \le \frac{1 |f(a)|^2}{1 |a|^2}$ ,
- (ii) if equality, then  $f(z) = \varphi_{-a}(c\varphi_a(z))$ .
- 4. Logarithmic Convexity: Let a < b,  $G = \{z \in \mathbb{C} \mid a < \text{Re } z < b\}$ , and  $f : \overline{G} \to \mathbb{C}$ . If f is continuous on  $\overline{G}$ , analytic on G and bounded, then  $M(x) = \sup_{y \in \mathbb{R}} |f(x+iy)|$  is logarithmically convex.
- 5. **Phragmèn-Lindelöf**: Let G be simply connected,  $f:G\to\mathbb{C}$  analytic, and suppose there exists  $\varphi:G\to\mathbb{C}$  analytic, bounded, and nonzero on G. Suppose further that  $\partial_\infty G=A\cup B$  and
  - (i) for all  $a \in A$ ,  $\limsup_{z \to a} |f(z)| \le M$
  - (ii) for all  $b \in B$ , for all  $\eta > 0$ ,  $\limsup_{z \to b} |f(z)| |\varphi(a)|^{\eta} \le M$ ,

then  $|f(z)| \leq M$  on G.

6. Logic of the  $\rho$  metric: For all  $\epsilon > 0$ , there exist  $\delta > 0$  and  $K \subseteq G$  compact such that

$$\rho_K(f,g) < \delta \Longrightarrow \rho(f,g) < \epsilon$$

and for all  $\delta > 0$ , K compact, there exists an  $\epsilon$  such that

$$\rho(f,g) < \epsilon \Longrightarrow \rho_K(f,g) < \delta$$

- 7. Spaces of Continuous Functions: If  $\Omega$  is complete, then  $C(G,\Omega)$  is complete.
- 8. Normal Families:  $\mathscr{F} \subseteq C(G,\Omega)$ .  $\mathscr{F}$  is normal if all sequences have a convergent subsequence.

 $\mathscr{F}$  is normal iff  $\overline{\mathscr{F}}$  is compact iff  $\mathscr{F}$  is totally bounded (i.e. for all K,  $\delta > 0$ , there exist  $f_1, \ldots, f_n \in \mathscr{F}$  such that  $\mathscr{F} \subseteq \bigcup_{i=1}^n \{g \in C(G,\Omega) \mid \rho_K(f;g) < \delta\}$ .

- 9. Arzela-Ascoli: F is normal iff
  - (i) for all  $z \in G$ ,  $\{f(z) \mid f \in \mathcal{F}\}$  has compact closure in  $\Omega$ , and
  - (ii) for all  $z \in G$ ,  $\mathscr{F}$  is equicontinuous at z (for all  $\epsilon > 0$ , there exists  $\delta > 0$  such that  $|z w| < \delta \Rightarrow d(f(z), f(w)) < \epsilon$  for all  $f \in \mathscr{F}$ ).
- 10. The Space of Holomorphic Functions: Some useful facts:
  - (a)  $f_n \to f \iff$  for all compact  $K \subseteq G$ ,  $f_n \to f$  uniformly on K.
  - (b)  $\{f_n\}$  in H(G),  $f \in C(G, \mathbb{C})$ , then  $f_n \to f \Longrightarrow f \in H(G)$  (If  $f_n$  converges, it will converge to an analytic function).
  - (c)  $f_n \to f$  in  $H(G) \Longrightarrow f_n^{(k)} \to f^{(k)}$  (If f converges, its derivatives converge).
  - (d) H(G) is complete (Since H(G) is closed and  $C(G, \mathbb{C})$  is complete).

11. **Hurwitz's Theorem**: Let  $\{f_n\} \in H(G)$ ,  $f_n \to f$ ,  $f \not\equiv 0$ . Let  $\overline{B(a;r)} \subseteq G$  such that  $f \neq 0$  on |z-a|=r. Then there exists an N such that  $n \geq N \Longrightarrow f_n$  and f have the same number of zeros in B(a;r).

Corollary: If  $f_n \to f$  and  $f_n \neq 0$ , then either  $f(z) \equiv 0$  or  $f(z) \neq 0$ .

- 12. **Local Boundedness**: A set  $\mathscr{F}$  in H(G) is locally bounded iff for each compact set  $K \subset G$  there is a constant M such that  $|f(z)| \leq M$  for all  $f \in \mathscr{F}$  and  $z \in K$ . (Also,  $\mathscr{F}$  is locally bounded if for each point in G, there is a disk on which  $\mathscr{F}$  is uniformly bounded.)
- 13. **Montel's Theorem**:  $\mathscr{F} \subseteq H(G)$ , then  $\mathscr{F}$  is normal  $\iff \mathscr{F}$  is locally bounded (for all K compact, there exists M such that  $f \in \mathscr{F} \Rightarrow |f(z)| \leq M$  for all  $z \in K$ ).

Corollary:  $\mathscr{F}$  is compact iff  $\mathscr{F}$  is closed and locally bounded.

- 14. Meromorphic/Holomorphic Functions: If  $\{f_n\}$  in M(G) (or H(G)) and  $f_n \to f$  in  $C(G, \mathbb{C}_{\infty})$ , then either  $f \in M(G)$  (or H(G)) or  $f \equiv \infty$ .
- 15. **Riemann Mapping Theorem**: G simply connected region which is not  $\mathbb{C}$ . Let  $a \in G$ , then there is a unique analytic function such that:
  - (a) f(a) = 0 and f'(a) > 0;
  - (b) f is one-to-one;
  - (c)  $f(G) = \mathbb{D}$ .
- 16. Infinite Products: Some propositions for convergence of infinite products:
  - (a) Re  $z_n > 0$ . Then  $\prod z_n$  converges to a nonzero number iff  $\sum \log z_n$  converges.
  - (b) Re  $z_n > -1$ . Then  $\sum \log(1+z_n)$  converges absolutely iff  $\sum z_n$  converges absolutely.
  - (c) Re  $z_n > 0$ . Then  $\prod z_n$  converges absolutely iff  $\sum (z_n 1)$  converges absolutely.
- 17. Products Defining Analytic Functions: G a region and  $\{f_n\}$  in H(G) such that  $f_n \not\equiv 0$ . If  $\sum [f_n(z) 1]$  converges absolutely uniformly on compact subsets of G then  $\prod f_n$  converges in H(G) to an analytic function f(z). The zeros of f(z) correspond to the zeros of the  $f_n$ 's.
- 18. Entire Functions with Prescribed Zeros: Let  $\{a_n\}$  be a sequence with  $\lim |a_n| = \infty$  and  $a_n \neq 0$ . If  $\{p_n\}$  is a sequence of integers such that for all r > 0

$$\sum_{n=1}^{\infty} \left( \frac{r}{|a_n|} \right)^{p_n+1} < \infty,$$

then  $f(z) = \prod E_{p_n}(z/a_n)$  converges in  $H(\mathbb{C})$  and f is an entire function with the correct zeros. (Note that you can choose  $p_n = n - 1$  and it will always converge).

19. The (Boss) Weierstrass Factorization Theorem: Let f be an entire function with non-zero zeros  $\{a_n\}$  with a zero of order m at z=0. Then there is an entire function g and a sequence of integers  $\{p_n\}$  such that

$$f(z) = z^m e^{g(z)} \prod_{n=1}^{\infty} E_{p_n} \left(\frac{z}{a_n}\right).$$

- 20. Existence of Analytic Functions with Given Zeros: Let G be a region and  $\{a_j\}$  a sequence of distinct points with no limit point in G,  $\{m_j\}$  a sequence of integers. Then there is an analytic function f defined on G whose only zeros are the  $a_j$ 's with multiplicity  $m_j$ .
- 21. Meromorphic Functions as a Quotient of Analytic: If f is a meromorphic function on the open set G, then there are analytic functions g and h on G such that f = g/h.
- 22. Runge's Theorem: Let K be compact and E meet each component of  $\mathbb{C}_{\infty} \setminus K$ . If f is analytic in an open set containing K, then for any  $\epsilon > 0$ , there is a rational function R(z) with poles in E such that  $|f(z) R(z)| < \epsilon$  for all  $z \in K$ .

**Corollary**: Let G be an open subset of the plane and E a subset of  $\mathbb{C}_{\infty} \setminus G$  meeting each component. Let R(G, E) be the set of rational functions with poles in E. If  $f \in H(G)$  then there is a sequence  $\{R_n\}$  in R(G, E) such that  $f = \lim_{n \to \infty} R_n$ . (That is, R(G, E) is dense in H(G)).

Corollary: If  $\mathbb{C}_{\infty} \setminus G$  is connected, then polynomials are dense in G.

- 23. **Polynomially Convex Hull**: Let K be compact. The polynomially convex hull of K ( $\hat{K}$ ) is the set of all points w such that for every polynomial p,  $|p(w)| \leq \max\{|p(z)| \mid z \in K\}$ . If K is an annulus, then  $\hat{K}$  is the disk obtained by filling in the interior hole.
- 24. A Few Words on Simple Connectedness (Ha): The following are equivalent for  $G \subseteq \mathbb{C}$  open, connected:
  - (i) G is simply connected;
  - (ii)  $n(\gamma; a) = 0$  for every closed rectifiable curve  $\gamma$  in G and every point  $a \in \mathbb{C} \setminus G$ ;
  - (iii)  $\mathbb{C}_{\infty} \setminus G$  is connected;
  - (iv) For any  $f \in H(G)$ , there is a sequence of polynomials that converges to f in H(G);
  - (v) For any  $f \in H(G)$  and any closed rectifiable curve  $\gamma$  in G,  $\int_{\gamma} f = 0$ ;
  - (vi) Every function  $f \in H(G)$  has a primitive;
  - (vii) For any  $f \in H(G)$  such that  $f(z) \neq 0$ , there is a function  $g \in H(G)$  such that  $f(z) = \exp g(z)$ ;
  - (viii) For any  $f \in H(G)$  such that  $f(z) \neq 0$ , there is a function  $g \in H(G)$  such that  $f(z) = [q(z)]^2$ ;
  - (ix) G is homeomorphic to  $\mathbb{D}$ ;
  - (x) If  $u: G \to \mathbb{R}$  is harmonic then there exists a harmonic conjugate.
- 25. Mittag-Leffler's Theorem: Let G be open,  $\{a_k\}$  distinct points in G without a limit point in G, and  $\{S_k(z)\}$  be a sequence of singular parts at the  $a_k$ 's. Then there is a meromorphic function f on G whose poles are exactly the  $\{a_k\}$  such that the singular part of f at  $a_k$  is  $S_k(z)$ .
- 26. **Mean Value Property**: If  $u: G \to \mathbb{R}$  is a harmonic function and  $\overline{B(a;r)}$  is a closed disk contained in G, then

$$u(a) = \frac{1}{2\pi} \int_0^{2\pi} u(a + re^{i\theta}) d\theta.$$

In fact, for  $z \in B(0; r)$ ,

$$u(z) = \frac{1}{2\pi} \int_0^{2\pi} \operatorname{Re}\left(\frac{re^{i\theta} + z}{re^{i\theta} - z}\right) u(re^{i\theta}) d\theta.$$

27. **Jensen's Formula**: Let f be analytic on  $\overline{B(0;r)}$  and suppose  $a_1,\ldots,a_n$  are the zeros of f in B(0;r) repeated according to multiplicity. If  $f(0) \neq 0$ , then

$$\log|f(0)| = -\sum_{k=1}^{n} \log\left(\frac{r}{|a_k|}\right) + \frac{1}{2\pi} \int_0^{2\pi} \log|f(re^{i\theta})| d\theta.$$

28. **Poisson-Jensen Formula**: Let f be analytic on  $\overline{B(0;r)}$  and suppose  $a_1, \ldots, a_n$  are the zeros of f in B(0;r) repeated according to multiplicity. If  $f(z) \neq 0$ , then

$$\log|f(z)| = -\sum_{k=1}^{n} \log\left(\frac{r^2 - \overline{a_k}z}{r(z - a_k)}\right) + \frac{1}{2\pi} \int_0^{2\pi} \operatorname{Re}\left(\frac{re^{i\theta} + z}{re^{i\theta} - z}\right) \log|f(re^{i\theta})| d\theta.$$

- 29. Genus, Order, and Rank of Entire Functions:
  - Rank: Let f be an entire function with zeros  $\{a_k\}$  repeated according to multiplicity such that  $|a_1| \le |a_2| \le \dots$  Then f is of finite rank if there is a  $p \in \mathbb{Z}$  such that

$$\sum_{n=1}^{\infty} \frac{1}{|a_n|^{p+1}} < \infty.$$

If p is the smallest integer such that this occurs, then f is of rank p. A function with only a finite number of zeros has rank 0.

• Standard Form: Let f be an entire function of rank p with zeros  $\{a_k\}$ . Then the canonical product

$$f(z) = z^m e^{g(z)} \prod_{n=1}^{\infty} E_p\left(\frac{z}{a_n}\right)$$

is the standard form for f.

• Genus: An entire function f has finite genus if f has finite rank and g(z) is a polynomial. If the rank is p and the degree of g is q, then the genus  $\mu = \max(p, q)$ . If f has genus  $\mu$ , then for each  $\alpha > 0$ , there exists an  $r_0$  such that  $|z| > r_0$  implies

$$|f(z)| < e^{\alpha|z|^{\mu+1}}.$$

• Order: An entire function f is of finite order if there exists a > 0 and  $r_0 > 0$  such that  $|f(z)| < \exp(|z|^a)$  for  $|z| > r_0$ . The number

$$\lambda = \inf\{a \mid |f(z)| < \exp(|z|^a) \text{ for } |z| \text{ sufficiently large}\}$$

is called the order of f.

If f has order  $\lambda$  and  $\epsilon > 0$ , then  $|f(z)| < \exp(|z|^{\lambda + \epsilon})$  for all |z| sufficiently large, and a z can be found, with |z| as large as desired, such that  $|f(z)| \ge \exp(|z|^{\lambda - \epsilon})$ .

If f is of genus  $\mu$ , then f is of finite order  $\lambda \leq \mu + 1$ .

30. Hadamard's Factorization Theorem: If f is entire with finite order  $\lambda$ , then f has finite genus  $\leq \lambda$ . Combined with above, we have that f has finite order if and only if f has finite genus. Corollary: If f is entire with finite order, then for all  $c \in \mathbb{C}$  with one possible exception, we can always solve f(z) = c.

Corollary: If f is entire with finite order  $\lambda \notin \mathbb{Z}$ , then f has an infinite number of zeros.

## 5 Special Functions

1. The Riemann Zeta Function

$$\zeta(s) = \sum_{n=1}^{\infty} \frac{1}{n^s} = \prod_{p \text{ prime}} \frac{1}{1 - p^{-s}}$$
 and  $\zeta(s) = \zeta(1 - s)$ 

This function has a pole at s = 1, zeros at the negative even integers, and its remaining zeros are in the critical strip  $\{z \mid 0 < \text{Re } z < 1\}$ .

Riemann's functional equation is

$$\zeta(z) = 2(2\pi)^{z-1}\Gamma(1-z)\zeta(1-z)\sin\left(\frac{1}{2}\pi z\right).$$

2. **The Gamma Function**: The gamma function is the meromorphic function on  $\mathbb{C}$  with simple poles at  $z = 0, -1, -2, \ldots$  defined by:

$$\begin{split} \Gamma(z) &= \int_0^\infty e^{-t} t^{z-1} dt \\ &= \frac{e^{-\gamma z}}{z} \prod_{n=1}^\infty \left( 1 + \frac{z}{n} \right)^{-1} e^{z/n} \\ &= \lim_{n \to \infty} \frac{n! n^z}{z(z+1) \cdots (z+n)} = \frac{\Gamma(z+n)}{z(z+1) \cdots (z+n-1)}. \end{split}$$

The residues at each of the poles is given by

$$\operatorname{Res}(\Gamma, -n) = \frac{(-1)^n}{n!}.$$

The functional equation holds for  $z \neq 0, 1, \dots$ 

$$\Gamma(z+1) = z\Gamma(z).$$

Note further that

$$\Gamma(1-z)\Gamma(z) = \frac{\pi}{\sin(\pi z)}$$
 and  $\overline{\Gamma(z)} = \Gamma(\overline{z})$  and  $\Gamma(1/2) = \sqrt{\pi}$ .

## 6 Theorems, part 3

- 1. Schwarz Reflection Principle: Let G be a region such that  $G = G^*$  (symmetric with respect to real axis). If  $f: G_+ \cup G_0 \to \mathbb{C}$  is continuous and analytic on  $G_+$ , and  $f(G_0) \subseteq \mathbb{R}$ , then there is an analytic function  $g: G \to \mathbb{C}$  such that f(z) = g(z) for  $z \in G_+ \cup G_0$ .
- 2. Analytic Continuations: Let  $\gamma : [0,1] \to \mathbb{C}$  be a curve and  $[f]_a$  be a germ at  $a = \gamma(0)$ . An analytic continuation of  $[f]_a$  along  $\gamma$  is a family  $(f_t, G_t), t \in [0,1]$  such that
  - (i)  $\gamma(t) \in G_t$
  - (ii)  $[f_0]_a = [f]_a$
  - (iii)  $\forall t \in [0,1], \exists \delta > 0$  such that  $|s-t| < \delta \Longrightarrow \gamma(s) \in G_t$  and  $[f_s]_{\gamma(s)} = [f_t]_{\gamma(s)}$
- 3. Uniqueness of Analytic Continuations: Let  $\gamma:[0,1]\to\mathbb{C}$  be a path from a to b and let  $(f_t,G_t)$  and  $(g_t,B_t)$  be two analytic continuations along  $\gamma$  such that  $[f_0]_a=[g_0]_a$ . Then  $[f_1]_b=[g_1]_b$ .
- 4. Analytic Continuations along FEP Homotopic Curves: Let  $a \in \mathbb{C}$  and  $[f]_a$  a germ at a. If  $\gamma_0$  and  $\gamma_1$  are FEP homotopic and  $[f]_a$  admits analytic continuation along every  $\gamma_s, s \in [0, 1]$ , then the analytic continuations of  $[f]_a$  along  $\gamma_0$  and  $\gamma_1$  are equal.
- 5. Monodromy Theorem: Let G be a region,  $a \in G$ ,  $[f]_a$  a germ at a. If G is simply connected and admits unrestricted continuation of  $[f]_a$ . then there exists  $F \in H(G)$  such that  $[F]_a = [f]_a$ .
- 6. Neighborhood Systems: Let X be a set and for all  $x \in X$ ,  $\mathcal{N}_x$  a collection of subsets of X such that
  - (i) for each  $U \in \mathcal{N}_x$ ,  $x \in U$ ;
  - (ii) if  $U, V \in \mathcal{N}_x$ ,  $\exists W \in \mathcal{N}_x$  such that  $W \subseteq U \cap V$ ;
  - (iii) if  $U \in \mathscr{N}_x$  and  $V \in \mathscr{N}_y$  then for  $z \in U \cap V \exists W \in \mathscr{N}_z$  such that  $W \subseteq U \cap V$ .

Then  $\{\mathcal{N}_x \mid x \in X\}$  is a neighborhood system on X.

7. Sheaf of Germs: For an open set G in  $\mathbb{C}$  let

$$\mathscr{S}(G) = \{(z, [f]_z) \mid z \in G, f \text{ is analytic at } z\},\$$

and define a map  $\rho: \mathscr{S}(G) \to \mathbb{C}$  by  $\rho(z, [f]_z) = z$ . Then  $(\mathscr{S}(G), \rho)$  is the sheaf of germs of analytic functions on G.

We put a topology on the sheaf of germs by defining a neighborhood system. For  $D \subseteq G$ , and  $f \in H(D)$ , define

$$N(f, D) = \{ (z, [f]_z) \mid z \in D \}.$$

For each point  $(a, [f]_a) \in \mathscr{S}(G)$ , let

$$\mathscr{N}_{(a,[f]_a)} = \{N(g,B) \mid a \in B \text{ and } [g]_a = [f]_a\}.$$

This is a neighborhood system on  $\mathcal{S}(G)$  and the induced topology is Hausdorff.

- 8. Components of the Sheaf of Germs:
  - There is a path in  $\mathscr{S}(G)$  from  $(a, [f]_a)$  to  $(b, [g]_b)$  iff there is a path  $\gamma$  in G from a to b such that  $[g]_b$  is the analytic continuation of  $[f]_a$  along  $\gamma$ .
  - Let  $\mathscr{C} \subseteq \mathscr{S}(G)$  and  $(a,[f]_a) \in \mathscr{C}$ . Then  $\mathscr{C}$  is a component of  $\mathscr{S}(G)$  iff
    - $\mathscr{C} = \{(b, [g]_b) \mid [g]_b \text{ is the continuation of } [f]_a \text{ along some curve in } G\}.$
- 9. **Riemann Surfaces**: Fix a function element (f, D). The complete analytic function  $\mathscr{F}$  associated with (f, D) is the collection

$$\mathscr{F} = \{[g]_z \mid [g]_z \text{ is an analytic continuation of } [f]_a \text{ for any } a \in D\}.$$

Then  $\mathscr{R} = \{(z, [g]_z) \mid [g]_z \in \mathscr{F}\}$  is a component of  $\mathscr{S}(\mathbb{C})$ , and  $(\mathscr{R}, \rho)$  is the Riemann Surface of  $\mathscr{F}$ .

- 10. Complex Manifolds: Let X be a topological space.
  - A coordinate chart is a pair  $(U, \varphi)$  such that  $U \subseteq X$  is open and  $\varphi : U \to V \subseteq \mathbb{C}$  is a homeomorphism.
  - A complex manifold is a pair  $(X, \Phi)$  where X is connected, Hausdorff and  $\Phi$  is a collection of coordinate patches on X such that
    - (i) each point of X is contained in at least one member of  $\Phi$  and
    - (ii) if  $(U_a, \varphi_a), (U_b, \varphi_b) \in \Phi$  with  $U_a \cap U_b \neq \emptyset$ , then  $\varphi_a \circ \varphi_b^{-1}$  is analytic.
- 11. **Analytic Functions**: Let  $(X, \Phi)$  and  $(\Omega, \Psi)$  be analytic manifolds,  $f: X \to \Omega$  continuous,  $a \in X$ , and  $(a) = \alpha$ . Then f is analytic at a if for any patch  $(\Lambda, \psi) \in \Psi$  which contains  $\alpha$ , there is a patch  $(U, \varphi) \in \Phi$  which contains a such that
  - (i)  $f(U) \subseteq \Lambda$ ;
  - (ii)  $\psi \circ f \circ \varphi^{-1}$  is analytic on  $\varphi(U) \subseteq \mathbb{C}$ .
- 12. Some Results on Analytic Functions:
  - Let  $\mathscr{F}$  be a complete analytic function with Riemann surface  $(\mathscr{R}, \rho)$ . If  $\mathscr{F} : \mathscr{R} \to \mathbb{C}$  is defined by  $\mathscr{F}(z, [f]_z) = f(z)$  then  $\mathscr{F}$  is an analytic function.
  - Compositions of analytic function are analytic
  - (Limit Points) If f and g are analytic functions  $X \to \Omega$  and if  $\{x \in X : f(x) = g(x)\}$  has a limit point in X, then f = g.
  - (Maximum Modulus) If  $f: X \to \mathbb{C}$  is analytic and there is a point  $a \in X$  and a neighborhood U of a such that  $|f(a)| \ge |f(x)|$  for all  $x \in U$ , then f is constant.
  - (Liouville) If  $(X, \Phi)$  is a compact analytic manifold, then there is no non-constant analytic function from X into  $\mathbb{C}$ .
  - (Open Mapping) Let  $f: X \to \Omega$  be a non-constant analytic function. If U is an open subset of X, then f(U) is open in  $\Omega$ .

13. Mean Value Property: If  $u: G \to \mathbb{R}$  is a harmonic function and  $\overline{B(a;r)} \subset G$  then

$$u(a) = \frac{1}{2\pi} \int_0^{2\pi} u(a + re^{i\theta}) d\theta.$$

#### 14. Maximum Principles:

- I. Suppose  $u: G \to \mathbb{R}$  has the MVP. If there is a point  $a \in G$  such that  $u(a) \geq u(z)$  for all z in G, then u is constant. (Analogously, there is a Minimum Principle).
- II. Let  $u, v : G \to \mathbb{R}$  be bounded and continuous functions with the MVP. If for each point  $a \in \partial_{\infty} G$ ,

$$\limsup_{z \to a} u(z) \le \liminf_{z \to a} v(z)$$

then u(z) < v(z) for all z in G or u = v.

**Corollary**: If a continuous function satisfying the MVP is 0 on the boundary, then it is identically 0.

- III. If  $\varphi: G \to \mathbb{R}$  is a subharmonic function and there is a point  $a \in G$  with  $\varphi(a) \geq \varphi(z)$  for all z in G, then  $\varphi$  is constant.
- IV. If  $\varphi, \psi : G \to \mathbb{R}$  are bounded functions such that  $\varphi$  is subharmonic and  $\psi$  is superharmonic and for each point  $a \in \partial_{\infty} G$ ,

$$\limsup_{z \to a} \varphi(z) \le \liminf_{z \to a} \psi(z)$$

then  $\varphi(z) < \psi(z)$  for all z in G or  $\varphi = \psi$  is harmonic.

15. The Poisson Kernel: For  $0 \le r < 1, -\infty < \theta < \infty$ , the Poisson kernel is the following:

$$P_r(\theta) = \sum_{n=-\infty}^{\infty} r^{|n|} e^{in\theta} = \operatorname{Re}\left(\frac{1+re^{i\theta}}{1-re^{i\theta}}\right) = \frac{1-r^2}{1-2r\cos\theta+r^2}.$$

16. Dirichlet Problem in the Disk: If  $f: \partial \mathbb{D} \to \mathbb{R}$  is a continuous function, then there is a continuous harmonic function  $u: \overline{\mathbb{D}} \to \mathbb{R}$  such that u(z) = f(z) for all  $z \in \partial \mathbb{D}$ . Moreover, u is unique and defined by

$$u(re^{i\theta}) = \frac{1}{2\pi} \int_{-\pi}^{\pi} P_r(\theta - t) f(e^{it}) dt.$$

- 17. **Harmonicity vs. MVP**: If  $u: G \to \mathbb{R}$  is a continuous function which has the MVP, then u is harmonic.
- 18. **Harnack's Inequality**: If  $u : \overline{B(a;R)} \to \mathbb{R}$  is continuous, harmonic in B(a;R), and  $u \ge 0$  then for  $0 \le r < R$  and all  $\theta$

$$\frac{R-r}{R+r}u(a) \le u(a+re^{i\theta}) \le \frac{R+r}{R-r}u(a).$$

- 19. **Harnack's Theorem**: Let G be a region. The metric space  $\operatorname{Har}(G)$  is complete. If  $\{u_n\}$  is a sequence in  $\operatorname{Har}(G)$  such that  $u_1 \leq u_2 \leq \ldots$  then either  $u_n(z) \to \infty$  uniformly on compact subsets of G or  $\{u_n\}$  converges in  $\operatorname{Har}(G)$  to a harmonic function.
- 20. **Subharmonic Functions**: Let  $\varphi: G \to \mathbb{R}$  be continuous. Then  $\varphi$  is subharmonic iff for every  $G_1 \subseteq G$  and every harmonic  $u_1$  on  $G_1$ ,  $\varphi u_1$  satisfies the Maximum Principle on  $G_1$  **Corollary**:  $\varphi$  is subharmonic iff for every bounded region  $G_1$  such that  $\overline{G_1} \subset G$  and for every continuous function  $u_1: \overline{G_1} \to \mathbb{R}$  that is harmonic on  $G_1$  and satisfies  $\varphi(z) \leq u_1(z)$  on  $\partial G_1$ ,  $\varphi(z) \leq u_1(z)$  for  $z \in G_1$ .
- 21. Maxima of Subharmonic Functions: If  $\varphi_1$  and  $\varphi_2$  are subharmonic functions on G then  $\varphi(z) = \max\{\varphi_1(z)\varphi_2(z)\}\$  is a subharmonic function.
- 22. **Bumping** Let  $\varphi: G \to \mathbb{R}$  be subharmonic and  $\overline{B(a;r)} \subset G$ . Define  $\varphi'(z) = \varphi(z)$  if  $z \in G \setminus B(a;r)$  and  $\varphi'(z)$  be the solution to the Dirichlet problem for  $z \in B(a;r)$ . Then  $\varphi'$  is subharmonic.
- 23. The Perron Function: Let  $f: \partial_{\infty}G \to \mathbb{R}$  be continuous. Then  $u(z) = \sup\{\varphi(z) \mid \varphi \in \mathscr{P}(f,G)\}$  defines a harmonic function on G.  $(\mathscr{P}(f,G) = \{\varphi : G \to \mathbb{R} \mid \varphi \text{ subharmonic, } \lim\sup_{z\to a}\varphi(z) \leq f(a) \ \forall a \in \partial_{\infty}G\})$
- 24. **General Dirichlet Problem**: A region G is a Dirichlet Region iff there is a barrier for G at each point of  $\partial_{\infty}G$ .

(A barrier for G at a is a family  $\{\psi_r\}$  such that  $\psi_r$  is superharmonic on G(a;r) with  $0 \le \psi_r(z) \le 1$ ,  $\lim_{z \to a} \psi_r(z) = 0$ , and  $\lim_{z \to w} \psi_r(z) = 1$  for  $w \in G \cap \{w \mid |w - a| = r\}$ .)

**Corollary**: Let G be a region such that no component of  $\mathbb{C}_{\infty} \setminus G$  reduces to a point, then G is a Dirichlet region.

Corollary: A simply connected region is a Dirichlet region.