# Package 'RItools'

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Author Jake Bowers <jwbowers@illinois.edu>, Mark Fredrickson</jwbowers@illinois.edu>
<pre><mark.m.fredrickson@gmail.com>, and Ben Hansen <ben.hansen@umich.edu></ben.hansen@umich.edu></mark.m.fredrickson@gmail.com></pre>
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R topics documented:
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#### **Description**

This plotting function summarizes variable by stratification matrices. For each variable (a row in the x argument), the values are under each stratification (the columns of x) plotted on the same line.

#### Usage

```
balanceplot(x, ordered = FALSE, segments = TRUE, colors = "black",
    shapes = c(15, 16, 17, 18, 0, 1, 10, 12, 13, 14), segments.args = list(col
    = "grey"), points.args = list(cex = 1), xlab = "Balance", xrange = NULL,
    groups = NULL, tiptext = NULL, include.legend = TRUE,
    legend.title = NULL, ...)
```

#### Arguments

X	A matrix of variables (rows) by strata (columns).
ordered	Should the variables be ordered from most to least imbalance on the first statistic?
segments	Should lines be drawn between points for each variable?
colors	Either a vector or a matrix of shape indicators suitable to use as a col argument to the points function. If the argument is a vector, the length should be the same as the number of columns in x. If the argument is a matrix, it should have the same dims as x.
shapes	Either a vector or a matrix of shape indicators suitable to use as a pch argument to the points function. If the argument is a vector, the length should be the same as the number of columns in x. If the argument is a matrix, it should have the same dims as x. The suggested vector has been selected to work with RSVGTipsDevice tool tips.

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segments.args A list of arguments to pass to the segments function. A list of arguments to pass to the points function. points.args The label of the x-axis of the plot. xlab xrange The range of x-axis. By default, it is 1.25 times the range of x. groups A factor that indicates the group of each row in x. Groups are printed under a common header. If you are using the RSVGTipsDevice library for rendering, you can include an tiptext array of the dimensions of x with another dimension of length 2. For example, if there are 4 observations and 2 strata, the array should be 4 by 2 by 2. The tiptext[i, j, 1] entry will be the first line of the tool tip for the data in x[i, j]. Likewise for the second row of the tool tip. include.legend Should a legend be included?

An optional title to attach to the legend.

legend.title

Additional arguments to pass to plot.default.

#### **Details**

It is conventional to standardize the differences to common scale (e.g. z-scores), but this is not required. When ordered is set to true, plotting will automatically order the data from largest imbalance to smallest based on the first column of x.

You can fine tune the colors and shapes with the like named arguments. Any other arguments to the points function can be passed in a list as points.args. Likewise, you can fine tune the segments between points with segments.args.

#### See Also

```
plot.xbal, xBalance, segments, points
```

```
set.seed(20121204)
# generate some balance data
nvars <- 10
varnames <- paste("V", letters[1:nvars])</pre>
balance_data <- matrix(c(rnorm(n = nvars, mean = 1, sd = 0.5),
                          rnorm(n = nvars, mean = 0, sd = 0.5)),
                        ncol = 2)
colnames(balance_data) <- c("Before Adjustment", "After Matching")</pre>
rownames(balance_data) <- varnames</pre>
RItools:::balanceplot(balance_data,
                       colors = c("red", "green"),
                       xlab = "Balance Before/After Matching")
```

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```
# base R graphics are allowed
abline(v = colMeans(balance_data), lty = 3, col = "grey")
```

flatten.xbalresult

Flattens xBalance output.

#### **Description**

Details...

#### Usage

```
flatten.xbalresult(x, show.signif.stars = getOption("show.signif.stars"),
    show.pvals = !show.signif.stars, ...)
```

#### Arguments

```
x show.signif.stars
Should signif stars be shown?
show.pvals Should p-vals be shown?
... Ignored
```

#### Value

Structure

formula.xbal

Returns formula attribute of an xbal object.

#### **Description**

Returns formula attribute of an xbal object.

#### Usage

```
## S3 method for class 'xbal' formula(x, ...)
```

#### **Arguments**

```
x An xbal object.
```

... Ignored.

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#### Value

The formula corresponding to xbal.

harmonic

Harmonic mean

### Description

Calculate harmonic mean

#### Usage

harmonic(data)

#### Arguments

data

Data.

#### Value

Harmonic mean.

makePval

Get p-value for Z-stats

### Description

Get p-value for Z-stats

### Usage

makePval(zs)

#### Arguments

zs

A Z-statistic.

#### Value

A P-value

6 nuclearplants

#### **Description**

Does this by doing something...

#### Usage

```
naImpute(FMLA, DATA, impfn = median, na.rm = TRUE)
```

What to do with NA's

#### Arguments

FMLA	Formula
DATA	Data
impfn	Function for imputing.

#### Value

Structure

na.rm

nuclearplants	Nuclear Power Station Construction Data

#### Description

The data relate to the construction of 32 light water reactor (LWR) plants constructed in the U.S.A in the late 1960's and early 1970's. The data was collected with the aim of predicting the cost of construction of further LWR plants. 6 of the power plants had partial turnkey guarantees and it is possible that, for these plants, some manufacturers' subsidies may be hidden in the quoted capital costs.

### Usage

nuclearplants

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#### **Format**

A data frame with 32 rows and 11 columns

- cost: The capital cost of construction in millions of dollars adjusted to 1976 base.
- date: The date on which the construction permit was issued. The data are measured in years since January 1 1990 to the nearest month.
- t1: The time between application for and issue of the construction permit.
- t2: The time between issue of operating license and construction permit.
- cap: The net capacity of the power plant (MWe).
- pr: A binary variable where 1 indicates the prior existence of a LWR plant at the same site.
- ne: A binary variable where 1 indicates that the plant was constructed in the north-east region
  of the U.S.A.
- ct: A binary variable where 1 indicates the use of a cooling tower in the plant.
- bw: A binary variable where 1 indicates that the nuclear steam supply system was manufactured by Babcock-Wilcox.
- cum.n: The cumulative number of power plants constructed by each architect-engineer.
- pt: A binary variable where 1 indicates those plants with partial turnkey guarantees.

#### Source

The data were obtained from the boot package, for which they were in turn taken from Cox and Snell (1981). Although the data themselves are the same as those in the nuclear data frame in the boot package, the row names of the data frame have been changed. (The new row names were selected to ease certain demonstrations in optmatch.)

This documentation page is also adapted from the boot package, written by Angelo Canty and ported to R by Brian Ripley.

#### References

Cox, D.R. and Snell, E.J. (1981) Applied Statistics: Principles and Examples. Chapman and Hall.

plot.xbal

Plot of balance across multiple strata

#### **Description**

The plot allows a quick visual comparison of the effect of different stratification designs on the comparability of different variables. This is not a replacement for the omnibus statistical test reported as part of print.xbal. This plot does allow the analyst an easy way to identify variables that might be the primary culprits of overall imbalances and/or a way to assess whether certain important covariates might be imbalanced even if the omnibus test reports that the stratification overall produces balance.

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#### Usage

```
## S3 method for class 'xbal'
plot(x, xlab = "Standardized Differences",
    statistic = "std.diff", absolute = FALSE, strata.labels = NULL,
    variable.labels = NULL, groups = NULL, ...)
```

#### Arguments

An object returned by xBalance Х The label for the x-axis of the plot xlab statistic The statistic to plot. The default choice of standardized difference is a good choice as it will have roughly the same scale for all plotted variables. absolute Convert the results to the absolute value of the statistic. strata.labels A named vector of the from c(strata1 = "Strata Label 1", ...) that maps the stratification schemes to textual labels. variable.labels A named vector of the from c(var1 = "Var Label1", ...) that maps the variables to textual labels. A vector of group names for each variable in x\$results. By default, factor groups level variables will be grouped.

By default all variables and all strata are plotted. The scope of the plot can be reduced by using the subset.xbal function to make a smaller xbal object with only the desired variables or strata.

additional arguments to pass to balanceplot

xBalance can produce several different summary statistics for each variable, any of which can serve as the data for this plot. By default, the standardized differences between treated and control units makes a good choice as all variables are on the same scale. Other statistics can be selected using the statistic argument.

#### See Also

**Details** 

```
xBalance, subset.xbal, balanceplot
```

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```
t2 = "Time 2",
             cap = "Capacity",
             ne = "In North East",
             ct = "Cooling Tower",
             bw = "Babcock-Wilcox",
             cum.n = "Total Plants Built"),
     strata.labels = c("none" = "Raw Data", "pt" = "Partial Turn-key"),
     absolute = TRUE)
# Using user supplied grouping
plot(xb, variable.labels = c(date = "Date",
             t1 = "Time 1",
             t2 = "Time 2"
             cap = "Capacity",
             ne = "In North East",
             ct = "Cooling Tower",
             bw = "Babcock-Wilcox",
             cum.n = "Total Plants Built"),
     strata.labels = c("none" = "Raw Data", "pt" = "Partial Turn-key"),
     absolute = TRUE,
     groups = c("Group A", "Group A", "Group A", "Group B",
                "Group B", "Group B", "Group A", "Group B"))
```

print.xbal

Printing xBalance Objects

#### **Description**

A print method for xBalance objects.

#### Usage

```
## S3 method for class 'xbal'
print(x, which.strata = dimnames(x$results)[["strata"]],
  which.stats = dimnames(x$results)[["stat"]],
  which.vars = dimnames(x$results)[["vars"]], print.overall = TRUE,
  digits = NULL, printme = TRUE,
  show.signif.stars = getOption("show.signif.stars"),
  show.pvals = !show.signif.stars, horizontal = TRUE, ...)
```

#### **Arguments**

Х	An object of class "xbal" which is the result of a call to xBalance.		
which.strata	The stratification candidates to include in the printout. Default is all.		
which.stats	The test statistics to include. Default is all those requested from the call to xBalance.		
which.vars	The variables for which test information should be displayed. Default is all.		
print.overall	Should the omnibus test be reported? Default is TRUE.		

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digits To how many digits should the results be displayed? Default is max(2,getOptions("digits")-4).

printme Print the table to the console? Default is TRUE.

show.signif.stars

Use stars to indicate z-statistics larger than conventional thresholds. Default is TRUE.

show.pvals Instead of stars, use p-values to summarize the information in the z-statistics. Default is FALSE.

horizontal Display the results for different candidate stratifications side-by-side (Default, TRUE), or as a list for each stratification (FALSE).

Other arguments. Not currently used.

#### Value

vartable The formatted table of variable-by-variable statistics for each stratification.overalltable If the overall Chi-squared statistic is requested, a formatted version of that table is returned.

#### See Also

xBalance

```
data(nuclearplants)
xb0<-xBalance(pr~ date + t1 + t2 + cap + ne + ct + bw + cum.n,
              data=nuclearplants)
print(xb0)
xb1<-xBalance(pr~ date + t1 + t2 + cap + ne + ct + bw + cum.n,
         strata = data.frame(unstrat = factor(character(32)),
                             pt = factor(nuclearplants$pt)),
         data = nuclearplants,
         report = c("all"))
str(xb1)
print(xb1)
print(xb1, show.pvals = TRUE)
print(xb1, horizontal = FALSE)
## The following doesn't work yet.
## Not run: print(xb1, which.vars=c("date","t1"),
         which.stats=c("adj.means", "z.scores", "p.values"))
## End(Not run)
## The following example prints the adjusted means
```

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subset.xbal

Select variables, strata, and statistics from a xbal object

#### **Description**

If any of the arguments are not specified, all the of relevant items are included.

#### Usage

```
## S3 method for class 'xbal'
subset(x, vars = NULL, strata = NULL, stats = NULL,
  tests = NULL, ...)
```

#### **Arguments**

X	The xbal object, the result of a call to xBalance
vars	The variable names to select.
strata	The strata names to select.
stats	The names of the variable level statistics to select.
tests	The names of the group level tests to select.
	Other arguments (ignored)

#### Value

A xbal object with just the appropriate items selected.

withOptions

Safe way to temporarily override options()

#### **Description**

Safe way to temporarily override options()

#### Usage

```
withOptions(optionsToChange, fun)
```

#### **Arguments**

optionsToChange

Which options.

fun

Function to run with new options.

#### Value

Result of fun.

xBalance

Standardized Differences for Stratified Comparisons

#### **Description**

Given covariates, a treatment variable, and a stratifying factor, calculates standardized mean differences along each covariate, with and without the stratification and tests for conditional independence of the treatment variable and the covariates within strata.

#### **Usage**

```
xBalance(fmla, strata = list(unstrat = NULL), data, report = c("std.diffs",
  "z.scores", "adj.means", "adj.mean.diffs", "adj.mean.diffs.null.sd",
  "chisquare.test", "p.values", "all")[1:2], stratum.weights = harmonic,
  na.rm = FALSE, covariate.scaling = NULL, normalize.weights = TRUE,
 impfn = median, post.alignment.transform = NULL)
```

#### **Arguments**

fmla

A formula containing an indicator of treatment assignment on the left hand side and covariates at right.

strata

A list of right-hand-side-only formulas containing the factor(s) identifying the strata, with NULL entries interpreted as no stratification; or a factor with length equal to the number of rows in data; or a data frame of such factors. See below for examples.

data A data frame in which fmla and strata are to be evaluated.

report Character vector listing measures to report for each stratification; a subset of

c("adj.means", "adj.mean.diffs", "adj.mean.diffs.null.sd", "chisquare.test", "std.diff P-values reported are two-sided for the null-hypothesis of no effect. The option

"all" requests all measures.

stratum.weights

Weights to be applied when aggregating across strata specified by strata, defaulting to weights proportional to the harmonic mean of treatment and control group sizes within strata. This can be either a function used to calculate the weights or the weights themselves; if strata is a data frame, then it can be such a function, a list of such functions, or a data frame of stratum weighting schemes corresponding to the different stratifying factors of strata. See details.

na.rm

Whether to remove rows with NAs on any variables mentioned on the RHS of fmla (i.e. listwise deletion). Defaults to FALSE, wherein rows aren't deleted but for each variable with NAs a missing-data indicator variable is added to the variables on which balance is calculated and medians are imputed for the variable with missing data (in RItools versions 0.1-9 and before the default imputation was the mean, in RItools versions 0.1-11 and henceforth the default is the median). See the example below.

covariate.scaling

A scale factor to apply to covariates in calculating std.diffs. If NULL, xBalance pools standard deviations of each variable in the treatment and control group (defining these groups according to whether the LHS of formula is greater than or equal to 0). Also, see details.

normalize.weights

If TRUE, then stratum weights are normalized so as to sum to 1. Defaults to TRUE.

impfn

A function to impute missing values when na.rm=FALSE. Currently median. To impute means use mean.default.

post.alignment.transform

Optional transformation applied to covariates just after their stratum means are subtracted off.

#### **Details**

In the unstratified case, the standardized difference of covariate means is the mean in the treatment group minus the mean in the control group, divided by the S.D. (standard deviation) in the same variable estimated by pooling treatment and control group S.D.s on the same variable. In the stratified case, the denominator of the standardized difference remains the same but the numerator is a weighted average of within-stratum differences in means on the covariate. By default, each stratum is weighted in proportion to the harmonic mean 1/[(1/a+1/b)/2] = 2\*a\*b/(a+b) of the number of treated units (a) and control units (b) in the stratum; this weighting is optimal under certain modeling assumptions (discussed in Kalton 1968, Hansen and Bowers 2008). This weighting can be modified using the stratum.weights argument; see below.

When the treatment variable, the variable specified by the left-hand side of fmla, is not binary, xBalance calculates the covariates' regressions on the treatment variable, in the stratified case pooling these regressions across strata using weights that default to the stratum-wise sum of squared deviations of the treatment variable from its stratum mean. (Applied to binary treatment variables,

this recipe gives the same result as the one given above.) In the numerator of the standardized difference, we get a "pooled S.D." from separating units into two groups, one in which the treatment variable is 0 or less and another in which it is positive. If report includes "adj.means", covariate means for the former of these groups are reported, along with the sums of these means and the covariates' regressions on either the treatment variable, in the unstratified ("pre") case, or the treatment variable and the strata, in the stratified ("post") case.

stratum.weights can be either a function or a numeric vector of weights. If it is a numeric vector, it should be non-negative and it should have stratum names as its names. (i.e., its names should be equal to the levels of the factor specified by strata.) If it is a function, it should accept one argument, a data frame containing the variables in data and additionally Tx.grp and stratum.code, and return a vector of non-negative weights with stratum codes as names; for an example, do getFromNamespace("harmonic", "RItools").

If covariate. scaling is not NULL, no scaling is applied. This behavior is likely to change in future versions. (If you want no scaling, set covariate.scaling=1, as this is likely to retain this meaning in the future.)

adj.mean.diffs.null.sd returns the standard deviation of the Normal approximated randomization distribution of the strata-adjusted difference of means under the strict null of no effect.

#### Value

An object of class c("xbal", "list"). There are plot, print, and xtable methods for class "xbal"; the print method is demonstrated in the examples.

#### Note

Evidence pertaining to the hypothesis that a treatment variable is not associated with differences in covariate values is assessed by comparing the differences of means (or regression coefficients), without standardization, to their distributions under hypothetical shuffles of the treatment variable, a permutation or randomization distribution. For the unstratified comparison, this reference distribution consists of differences (more generally, regression coefficients) when the treatment variable is permuted without regard to strata. For the stratified comparison, the reference distribution is determined by randomly permuting the treatment variable within strata, then re-calculating the treatment-control differences (regressions of each covariate on the permuted treatment variable). Significance assessments are based on the large-sample Normal approximation to these reference distributions.

#### Author(s)

Ben Hansen and Jake Bowers and Mark Fredrickson

#### References

Hansen, B.B. and Bowers, J. (2008), "Covariate Balance in Simple, Stratified and Clustered Comparative Studies," *Statistical Science* 23.

Kalton, G. (1968), "Standardization: A technique to control for extraneous variables," *Applied Statistics* **17**, 118–136.

```
data(nuclearplants)
##No strata, default output
xBalance(pr^{\prime} date + t1 + t2 + cap + ne + ct + bw + cum.n,
         data=nuclearplants)
##No strata, all output
xBalance(pr^{-} date + t1 + t2 + cap + ne + ct + bw + cum.n,
         data=nuclearplants,
         report=c("all"))
##Stratified, all output
xBalance(pr~.-cost-pt, strata=factor(nuclearplants$pt),
         data=nuclearplants,
         report=c("adj.means", "adj.mean.diffs",
                  "adj.mean.diffs.null.sd",
                  "chisquare.test", "std.diffs",
                  "z.scores", "p.values"))
##Comparing unstratified to stratified, just adjusted means and
#omnibus test
xBalance(pr^{a} date + t1 + t2 + cap + ne + ct + bw + cum.n.
         strata=list(unstrat=NULL, pt=~pt),
         data=nuclearplants,
         report=c("adj.means", "chisquare.test"))
##Comparing unstratified to stratified, just adjusted means and
#omnibus test
xBalance(pr~ date + t1 + t2 + cap + ne + ct + bw + cum.n,
        strata=data.frame(unstrat=factor('none'),
           pt=factor(nuclearplants$pt)),
         data=nuclearplants,
         report=c("adj.means", "chisquare.test"))
##Missing data handling.
testdata<-nuclearplants
testdata$date[testdata$date<68]<-NA
##na.rm=FALSE by default
xBalance(pr ~ date, data = testdata, report="all")
xBalance(pr ~ date, data = testdata, na.rm = TRUE,report="all")
##To match versions of RItools 0.1-9 and older, impute means
#rather than medians.
##Not run, impfn option is not implemented in the most recent version
## Not run: xBalance(pr ~ date, data = testdata, na.rm = FALSE,
          report="all", impfn=mean.default)
## End(Not run)
##Comparing unstratified to stratified, just one-by-one wilcoxon
#rank sum tests and omnibus test of multivariate differences on
#rank scale.
```

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```
xBalance.find.goodstrats\\
```

xBalance helper function

#### Description

Finds good strata

#### Usage

```
xBalance.find.goodstrats(ss.df, zz, mm)
```

#### **Arguments**

ss.df Degrees of freedom.

zz Treatment

 $\,$  mm  $\,$  mm

#### Value

Data.frame

```
xBalance.make.stratwts
```

xBalance helper function

#### Description

Makes strata weights

#### Usage

```
xBalance.make.stratwts(stratum.weights, ss.df, goodstrat.df, zz, data, normalize.weights)
```

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#### Arguments

stratum.weights

Weights

ss.df

df.

 ${\tt goodstrat.df} \qquad df.$ 

zz treatment

data data normalize.weights

weights

#### Value

list

xBalance.makeMM

Helper function for xBalance

### Description

Make MM

#### Usage

```
xBalance.makeMM(tfm, dat)
```

#### Arguments

 $\begin{array}{ccc} \text{tfm} & & \text{tfm} \\ \\ \text{dat} & & \text{data} \\ \end{array}$ 

#### Value

mm

xBalanceEngine

xBalance.makepooledsd  $xBalance\ helper\ function$ 

#### Description

Make pooled SD

#### Usage

```
xBalance.makepooledsd(zz, mm, pre.n)
```

#### **Arguments**

zz Treatment mm mm pre.n pre.n

#### Value

pooled SD

xBalanceEngine

 $xBalance\ helper\ function$ 

#### Description

Make engine

#### Usage

```
xBalanceEngine(ss, zz, mm, report, swt, s.p, normalize.weights, zzname, post.align.trans)
```

#### Arguments

```
ss ss
zz zz
mm mm
report report
swt swt
s.p s.p
normalize.weights
```

normalize.weights

zzname zzname post.align.trans

post.align.trans

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#### Value

List

xtable.xbal An xtable method for xbal objects

#### **Description**

This function uses the xtable package framework to display the results of a call to xBalance in LaTeX format. At the moment, it ignores the omnibus chi-squared test information.

#### Usage

```
## S3 method for class 'xbal'
xtable(x, caption = NULL, label = NULL, align = c("l",
    rep("r", ncol(xvardf))), digits = 2, display = NULL, col.labels = NULL,
    ...)
```

#### Arguments

X	An object resulting from a call to xBalance.
caption	See xtable.
label	See xtable.
align	See xtable. Our default (as of version 0.1-7) is right-aligned columns; for decimal aligned columns, see details, below.
digits	See xtable. Default is 2.
display	See xtable.
col.labels	Labels for the columns (the test statistics). Default are come from the call to print.xbal.
	Other arguments to print.xbal.

#### **Details**

The resulting LaTeX will present one row for each variable in the formula originally passed to xBalance, using the variable name used in the original formula. If you wish to have reader friendly labels instead of the original variables names, see the code examples below.

To get decimal aligned columns, specify align=c("1",rep(".", <ncols>)), where <ncols> is the number of columns to be printed, in your call to xtable. Then use the dcolumn package and define ''.' within LaTeX: add the lines \usepackage{dcolumn} and \newcolumntype{.}{D{.}}{.}{2.2}} to your LaTeX document's preamble.

#### Value

This function produces an xtable object which can then be printed with the appropriate print method (see print.xtable).

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```
data(nuclearplants)
require(xtable)
# Test balance on a variety of variables, with the 'pr' factor
# indicating which sites are control and treatment units, with
# stratification by the 'pt' factor to group similar sites
xb1 \leftarrow xBalance(pr \sim date + t1 + t2 + cap + ne + ct + bw + cum.n,
               strata = data.frame(unstrat = factor(character(32)),
               pt = factor(nuclearplants$pt)),
               data = nuclearplants,
               'chisquare.test', 'p.values'))
xb1.xtab <- xtable(xb1) # This table has right aligned columns</pre>
# Add user friendly names in the final table
rownames(xb1.xtab) <- c("Date", "Application to Contruction Time",</pre>
"License to Construction Time", "Net Capacity", "Northeast Region", "Cooling Tower",
"Babcock-Wilcox Steam", "Cumlative Plants")
print(xb1.xtab,
      add.to.row = attr(xb1.xtab, "latex.add.to.row"),
     hline.after = c(0, nrow(xb1.xtab)),
     sanitize.text.function = function(x)\{x\},
      floating = TRUE,
      floating.environment = "sidewaystable")
```

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