



**CNS 102a**  
**January 22, 2018**

**Introduction to social cognition**

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# Overview of social cognition, evolution, and key features

1. what is social cognition?
2. what do we see across animal species?
3. what's special about humans?
4. two neural mechanisms: empathy and mentalizing
5. study example

STAGES of social information processing

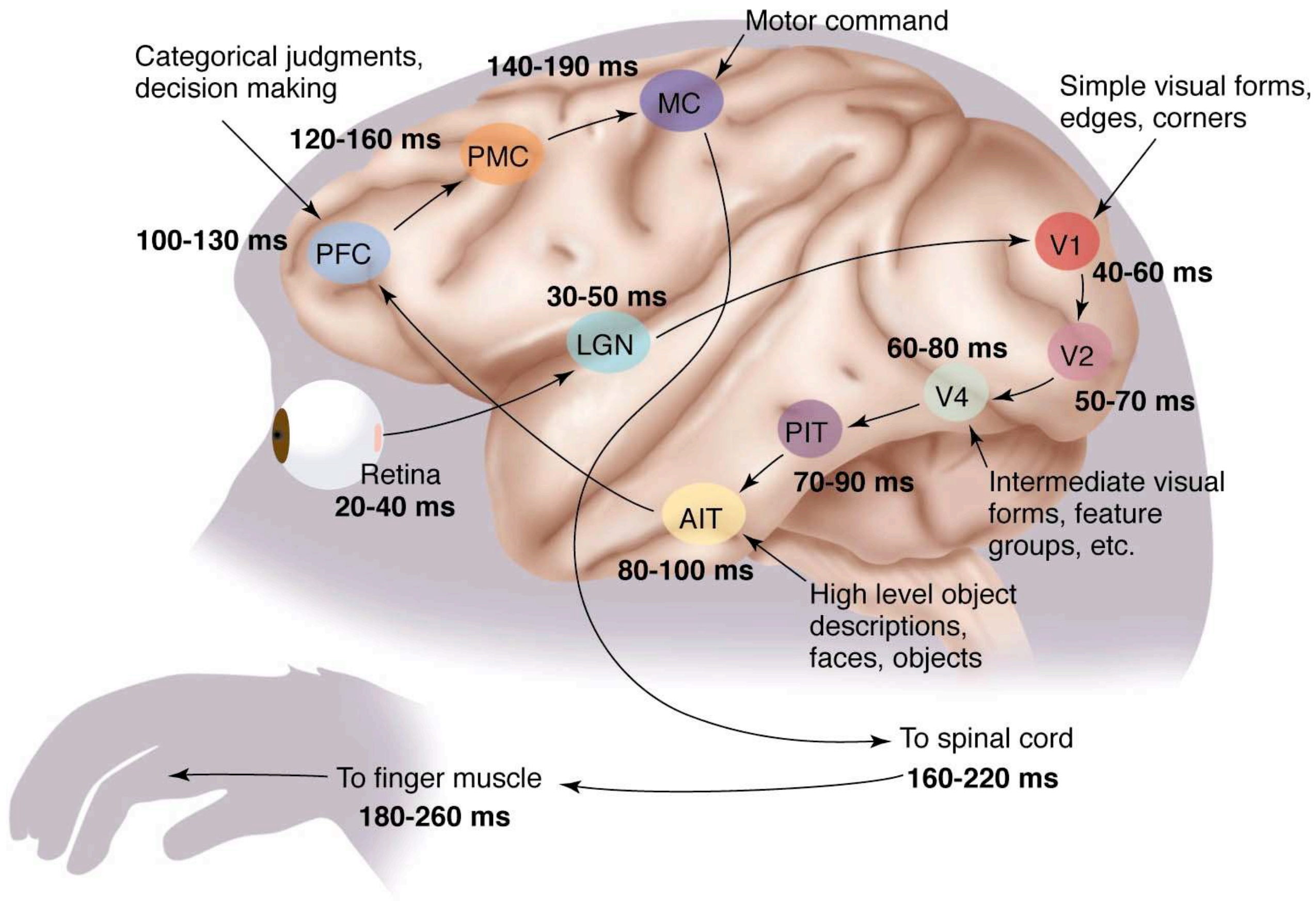
TYPE of processing

## STAGES of social information processing

- transduction
- perception
- inference
  
- “cognition” (attention, memory, learning, reasoning)
  
- decision-making
- behavior

## TYPE of processing

- domain-specificity



# Sensory modality specializations

## peripheral

- olfaction/vomeronasal: pheromones
- touch: social touch

## central

- vision: face processing
- audition: language

# Another way of carving things up

## 1. Knowledge of the nonsocial environment

-- sensory physiology, perception, object recognition

## 2. Knowledge of our own minds

--sensory feedback and efference copies

## 3. Knowledge of other minds

--Theory of mind, empathy, simulation

# What is Social Cognition?

"Thinking about other people"

"The collection of processes that allow us to understand and make sense of other people"

Social perception--> attributions --> social behavior



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## Types of human social behavior

- sexual, pairbonding
- maternal
- small group cooperation
- outgroup aggression
- helping
- peacemaking
- cultural transmission
- observational learning
- pedagogy
- language

## Animal models

- yes, many (e.g., voles)
- yes, many (e.g., sheep)
- yes, many mammals
- yes, many mammals
- unclear
- great apes
- great apes
- yes, many (e.g., octopus)
- probably none
- definitely none

Power and limitations of invertebrate models of cognition and behavior

Common name	Species	Neuroanatomy	Biochemistry	Molecular biology	Electrophysiology	Optophysiology	Modelling of cellular pathways	Non-associative learning	Associative learning	Operant learning	Natural learning	Modelling at the systems level
<i>Nematoda</i>												
Eelworm	<i>Caenorhabditis elegans</i>	High	Low	High	Low	Low	High	High	None	None	None	None
<i>Mollusca</i>												
Sea hare	<i>Aplysia californica</i>	Low	High	High	High	None	High	High	Low	Low	None	Low
Opalescent sea slug	<i>Hermisenda crassicornis</i>	Low	Low	Low	High	None	Low	Low	None	None	None	None
Freshwater snail	<i>Lymnea stagnalis</i>	High	High	Low	High	None	Low	High	High	Low	None	Low
Land slug	<i>Limax maximus</i>	Low	Low	Low	High	Low	Low	Low	High	Low	None	None
Octopus	<i>Octopus vulgaris</i>	Low	Low	None	Low	None	Low	None	Low	High	High	Low
<i>Arthropoda</i>												
Cockroach	<i>Periplaneta americana</i>	Low	Low	None	Low	None	Low	Low	High	Low	None	Low
Locust	<i>Locusta migratoria</i>	High	None	None	High	None	High	None	Low	None	None	None
Cricket	<i>Gryllus bimaculatus</i>	Low	None	None	High	None	None	Low	High	High	None	None
Hawkmoth	<i>Manduca sexta</i>	High	Low	None	High	None	Low	None	Low	Low	None	None
Fruitfly	<i>Drosophila melanogaster</i>	High	High	High	Low	High	High	Low	High	High	Low	Low
Honeybee	<i>Apis mellifera</i>	High	High	Low	High	High	None	Low	High	High	High	Low

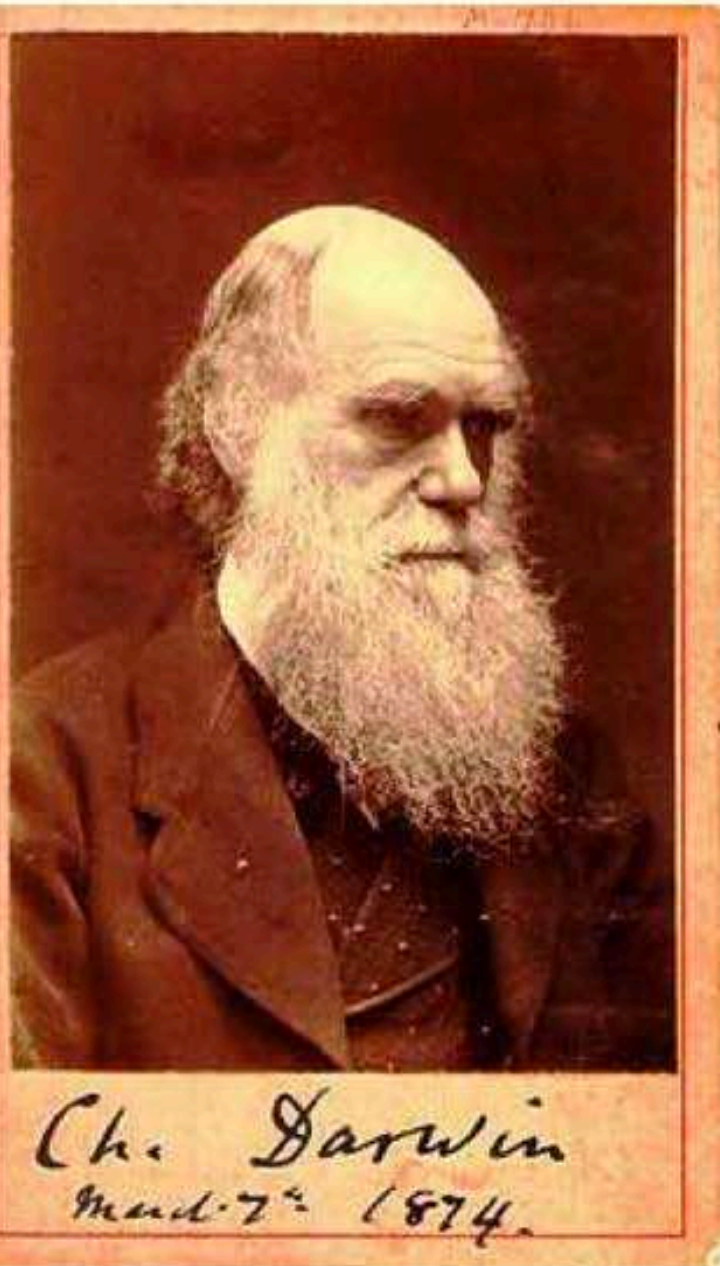
# Eusociality

- bees, ants, termites
  - arose at least 8 times in insect evolution
  - honey bee (*Apis mellifera*) one of the most advanced eusocial animals
- 
- reproductive division of labor
  - cooperative brood care
  - overlap of generations



# Darwin's enigma

“This difficulty (of sterile workers), though appearing insuperable, is lessened, or, as I believe, disappears, when it is remembered that selection may be applied to the family, as well as to the individual, and may thus gain the desired end”







# Bees

Invertebrate arthropods

Insects

Hymenoptera

Family Apidae

- ca 25,000 species
- high biodiversity in tropics
- several eusocial species
- honeybee (*Apis mellifera*) highly eusocial
- queen, drones, workers



# The real estate preferences of bees (1975)

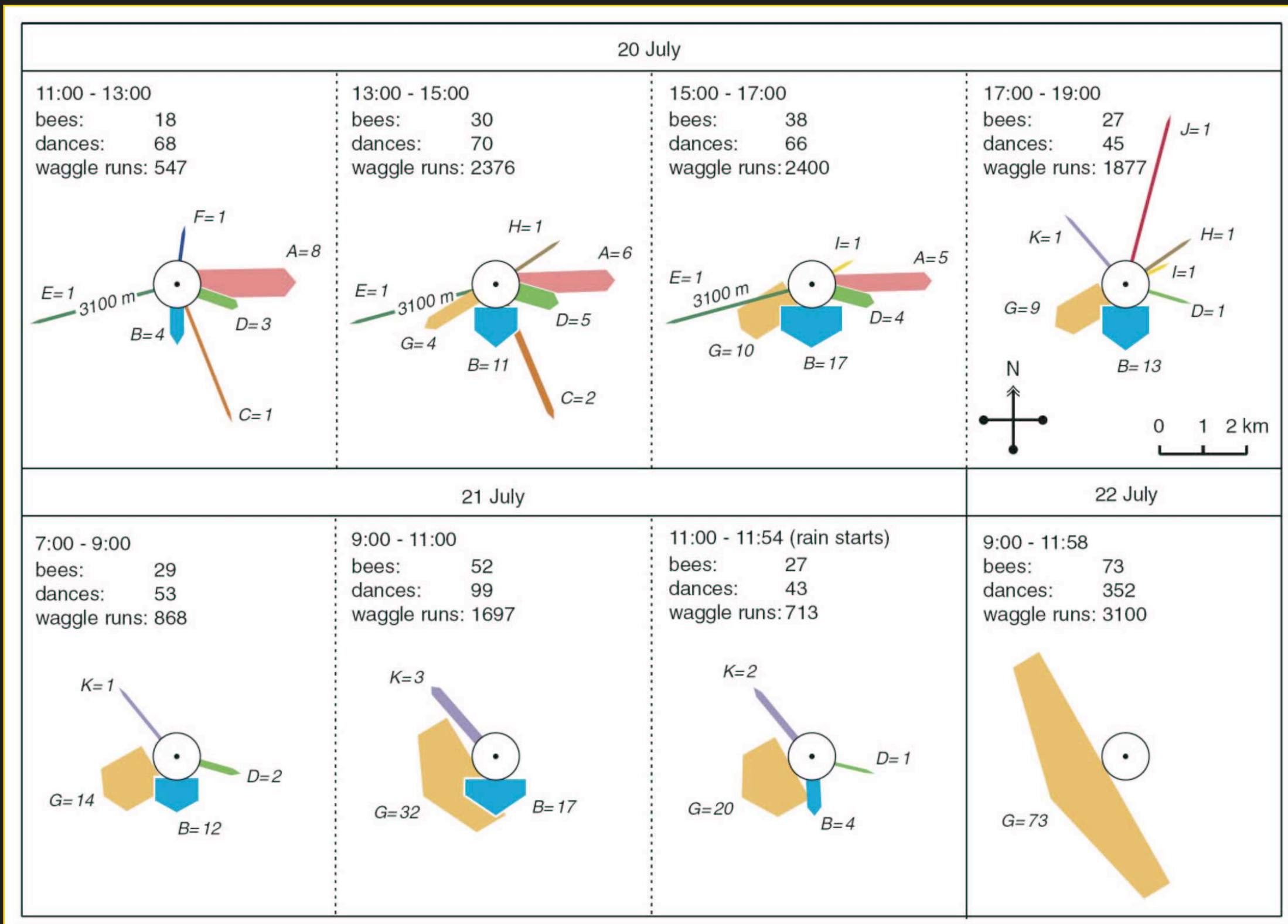


(“>” means “is preferred to”)

- Entrance height:  $5 > 1$  m
- Entrance area:  $15 > 75$  sq cm
- Entrance direction: south  $>$  north
- Entrance position: bottom  $>$  top
- Cavity volume:  $40 > 10$  liters
- Combs: with  $>$  without



# One 16-hour “debate”: 11 sites, 149 scouts



Seeley & Buhrman (1999) Behav. Ecol. Sociobiol. 45:19-31.

# The question of social choice:

*How can a group use the knowledge and opinions possessed by its members to produce an optimal choice of action for the group as a whole?*



## Group decision making

Individual Inputs



Aggregation Process



Group Action





# Conditioned defeat







# Species Differences in Social Organization

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## Prairie Vole   Montane Vole



Highly Social  
“Monogamous”  
Biparental



Solitary  
Promiscuous  
Uniparental

# Vasopressin and Oxytocin

The molecules of bonding

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## Vasopressin

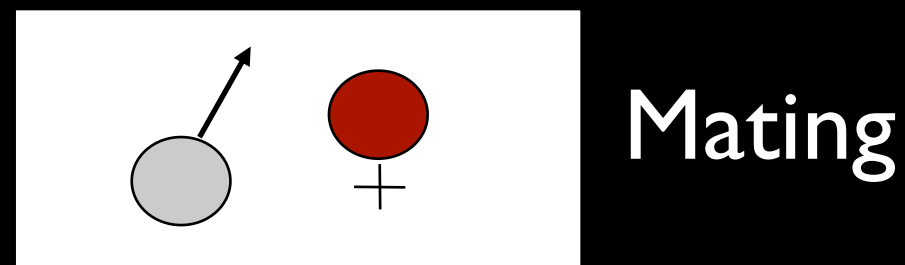
**Cys-Tyr-Ile-Gln-Asn-Cys-Pro-Leu-Gly-NH<sub>2</sub>**

## Oxytocin

**Cys-Tyr-Phe-Gln-Asn-Cys-Pro-Arg-Gly-NH<sub>2</sub>**

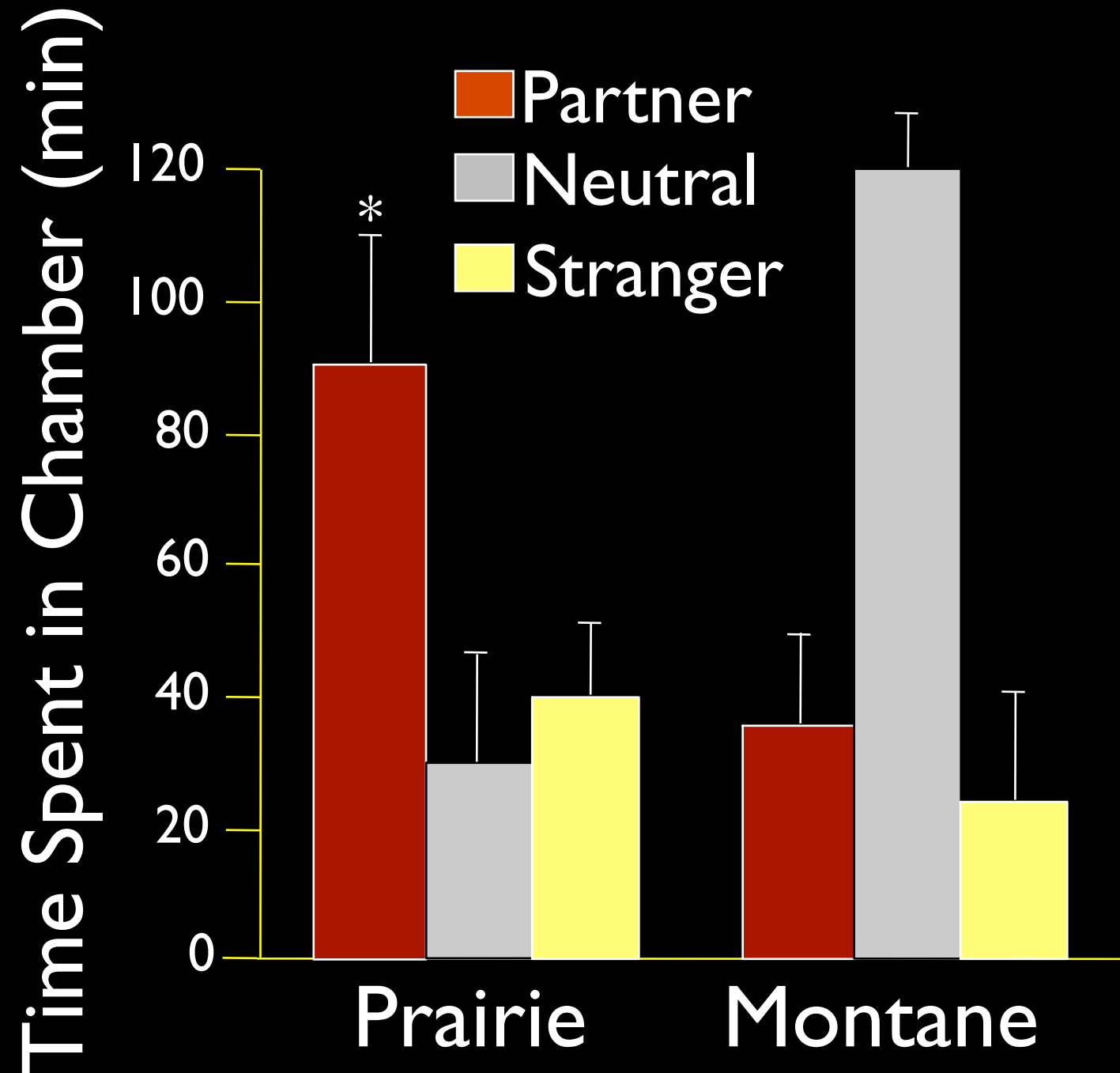
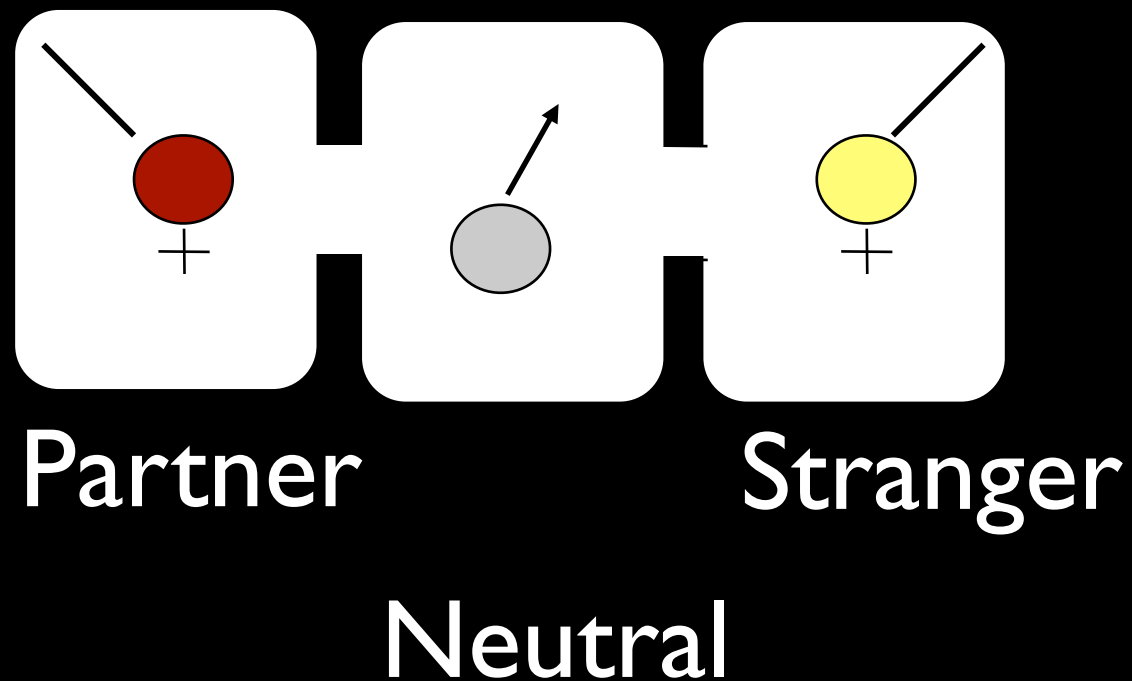
# Partner Preference Test

A laboratory assessment of pair bonding



Separation

A downward arrow indicates the transition from the mating phase to the separation phase.









# Dogs

- *Canis lupus*. Domesticated ca. 15,000 years ago (but maybe as far as 40,000)
  - Common ancestor with gray wolf
  - First domesticated species, most abundant carnivore
  - Huge behavioral and morphological variation
  - Complex social co-evolution with humans
- 
- Hunting, other specific tasks
  - Companionship, “pets”
  - Both require tameness
  - But breeding tameness brings several correlated traits with it





**Table 2** The genetic basis of breed-defining traits in domestic dogs based on genome-wide studies. Bold indicates the likely candidate gene(s) in an associated region

Phenotype category	Phenotype variant	Gene(s)	Variant mutation	CFA	References
Behavior	White spotting	MITF	Promoter mutations	20	Karlsson et al. (2007)
	Boldness	DRD1	Not fined-mapped	4	Chase et al. (2009)
	Boldness	<b>IGF1</b>	Not fined-mapped	15	Chase et al. (2009)
	Boldness	<b>PCDH9</b>	Not fined-mapped	22	Chase et al. (2009)
	Compulsive disorder	CDH2	SNP allele association	7	Dodman et al. (2010)
	Herding	<b>MC2R</b>	Not fined-mapped	1	Chase et al. (2009)
	Pointing	CNIH	Not fined-mapped	8	Chase et al. (2009)
	Domesticated	ZNF407, <b>CNDP1</b> , <b>CNDP2</b>	Not fined-mapped	1	vonHoldt et al. (2010)
	Domesticated	NEDD4L	Not fined-mapped	1	vonHoldt et al. (2010)
	Domesticated	MEIS3, GPR77, C5AR1	Not fined-mapped	1	vonHoldt et al. (2010)
	Domesticated	SNP cluster association	Not fined-mapped	2	vonHoldt et al. (2010)
	Domesticated	OPRM1, hNT	Not fined-mapped	5	vonHoldt et al. (2010)
	Domesticated	<b>WBSCR17</b>	Not fined-mapped	6	vonHoldt et al. (2010)
	Domesticated	<b>SLC24A4</b>	Not fined-mapped	8	vonHoldt et al. (2010)
	Domesticated	SNP cluster association	Not fined-mapped	12	vonHoldt et al. (2010)
	Domesticated	<b>ADCY8</b>	Not fined-mapped	13	vonHoldt et al. (2010)

- domestication from gray wolves (*Canis lupus*) 15,000 years ago
- breeding: most variable mammalian species
- variability in genetics, morphology, and behavior
- genome sequenced 2005, great for behavioral genetics

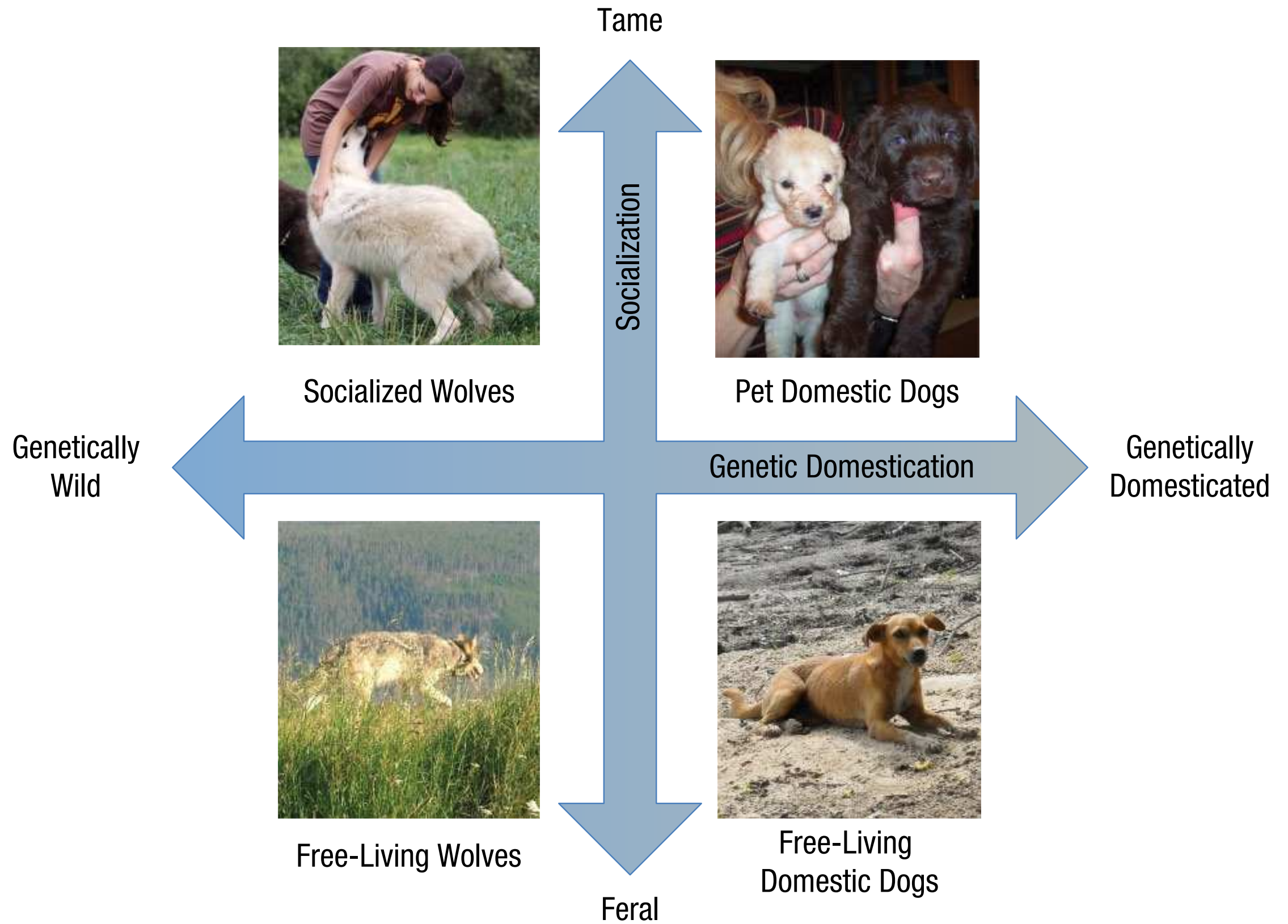
### **Distinctive (or not) abilities:**

- gaze and pointing following
- joint attention
- distinguishing owner's attention focus from their own
- social learning
- emotional contagion
- "showing behavior"
- auditory discrimination
- training, behavioral control
- attachment

Models for aspects of human social abilities

Models for human pathologies (OCD, separation anxiety)





# What skills distinguish/enable dog-human social interactions?

- in some respects, dogs exhibit social behavioral tendencies similar to human infants
- attachment
- attention (ostension-based learning)
- ability to use referential signals from humans
- big question: these are high-level behavioral dimensions; how do they come about? Can many different “building blocks” make them happen?



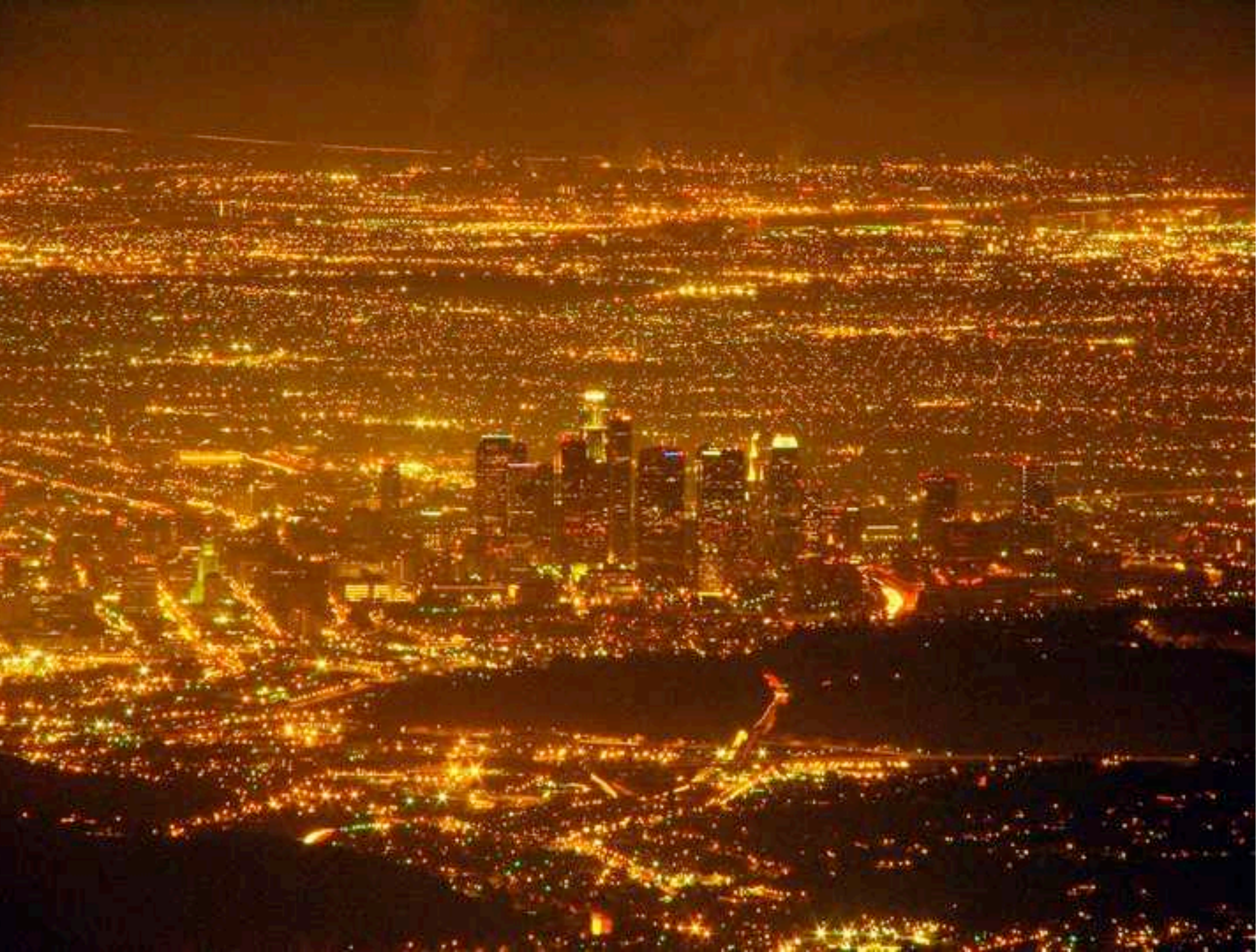


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“Our species is unique because, in only 35,000 years or so, we revolutionized the face of the earth.” (R. Passingham, 1982)

“The ability to travel mentally in time constitutes a discontinuity between humans and other animals.” (Suddendorf and Corballis, 1997)

“The basic fact is thus that human beings are able to pool their cognitive resources in ways that other species are not...made possible by a single very special form of social cognition, namely, the ability of individual organisms to understand conspecifics as beings *like themselves* who have intentional and mental lives like their own.” (Tomasello, 1999)

# Intelligence and Brain Size

Brain Size  
(log g)

10,000

1000

10

LARGER BRAIN  
THAN EXPECTED

Crow  
+ Jay

Parrot

Pigeon

Rat

Chimp

Human

Lion

Ostrich

Blue whale

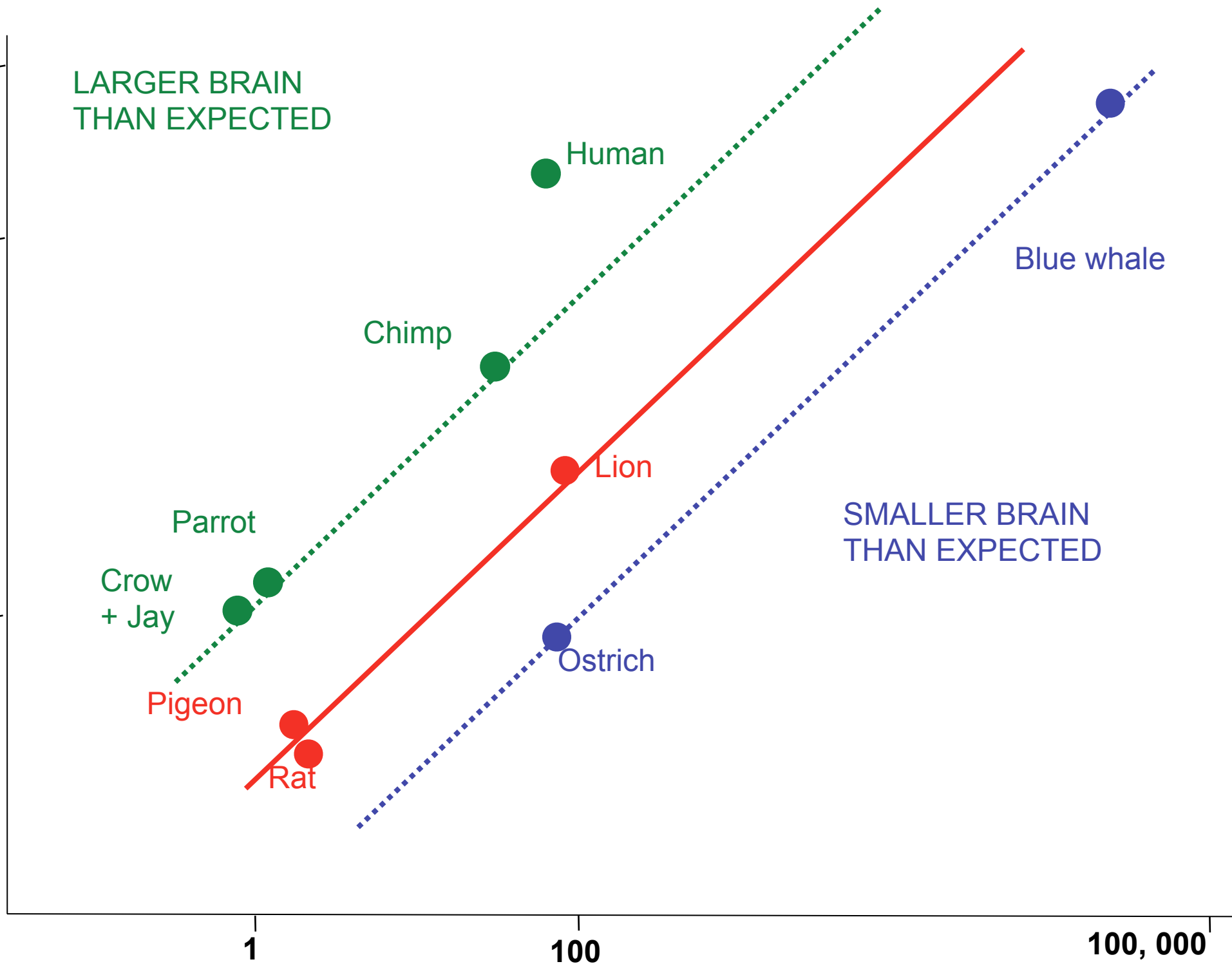
SMALLER BRAIN  
THAN EXPECTED

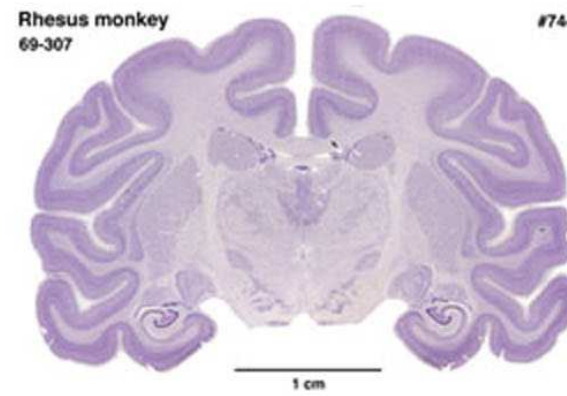
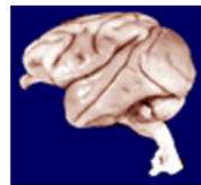
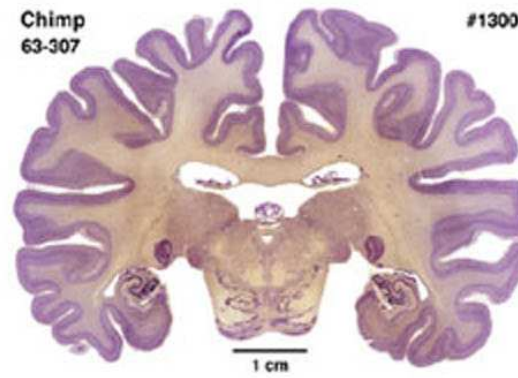
1

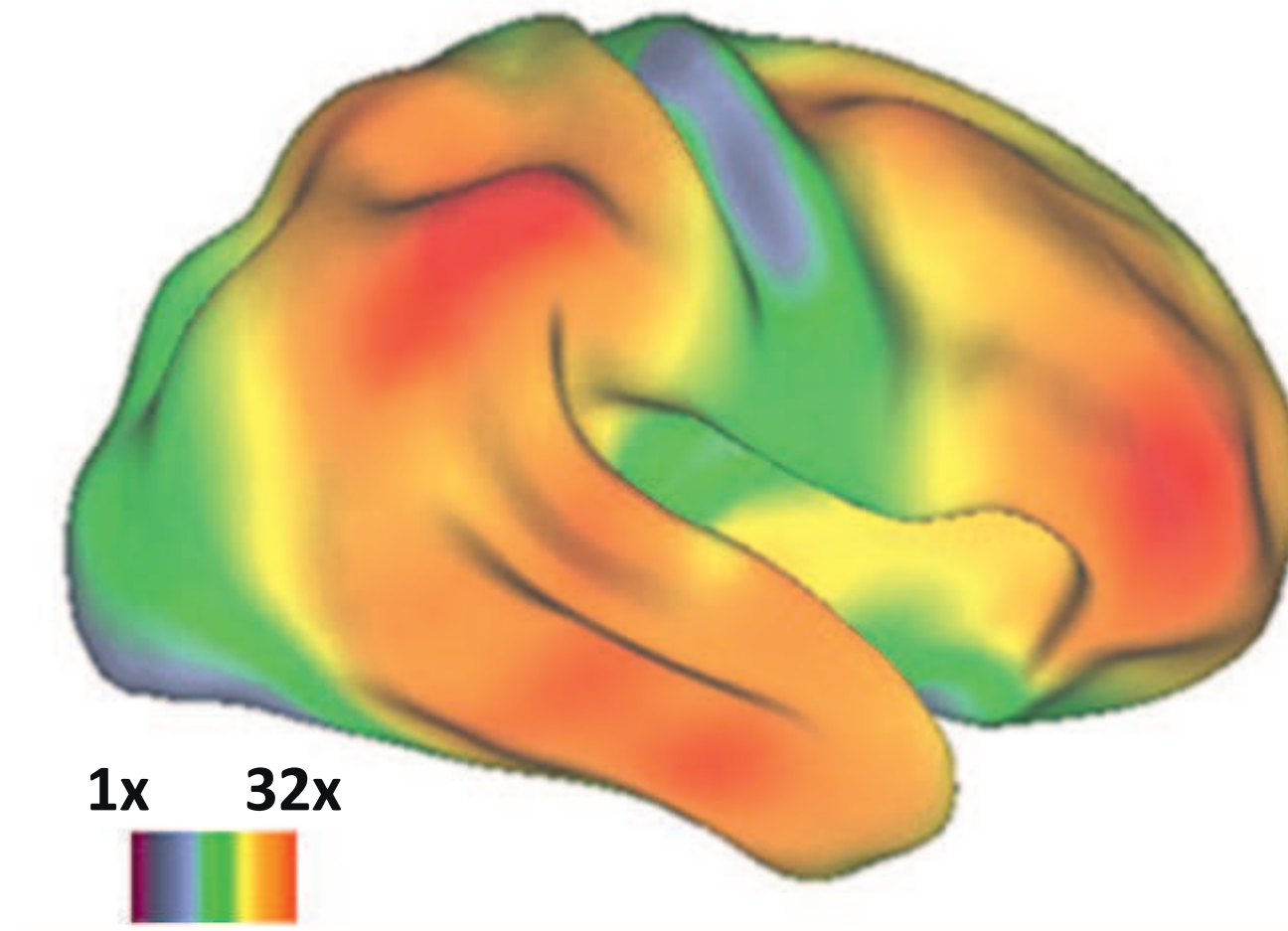
100

100,000

Body Size  
(log g)







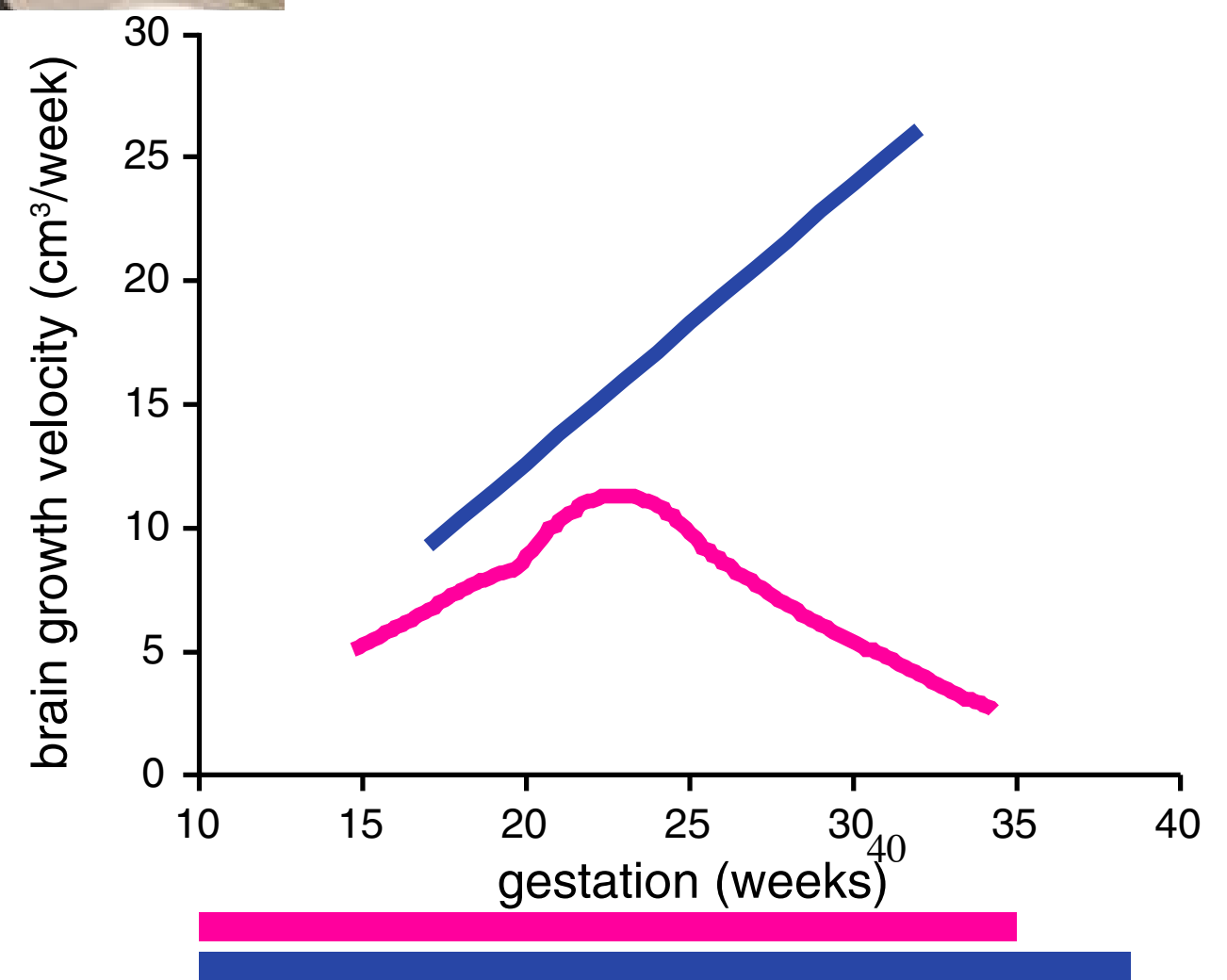
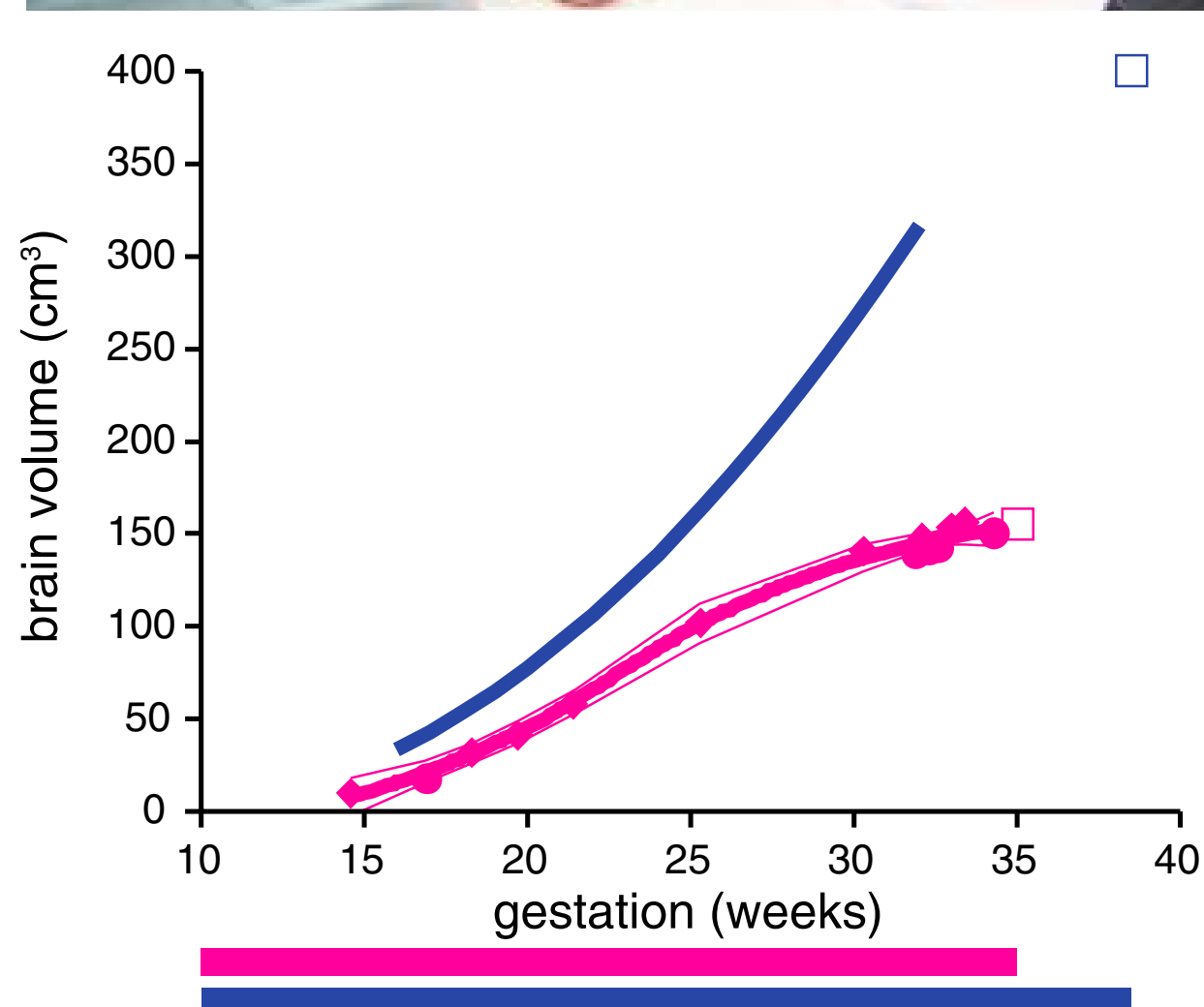
Amount of Macaque brain expansion needed to fit a monkey brain to a human brain

Rilling (2014). Trends in Cognitive Sciences 18: 46-55



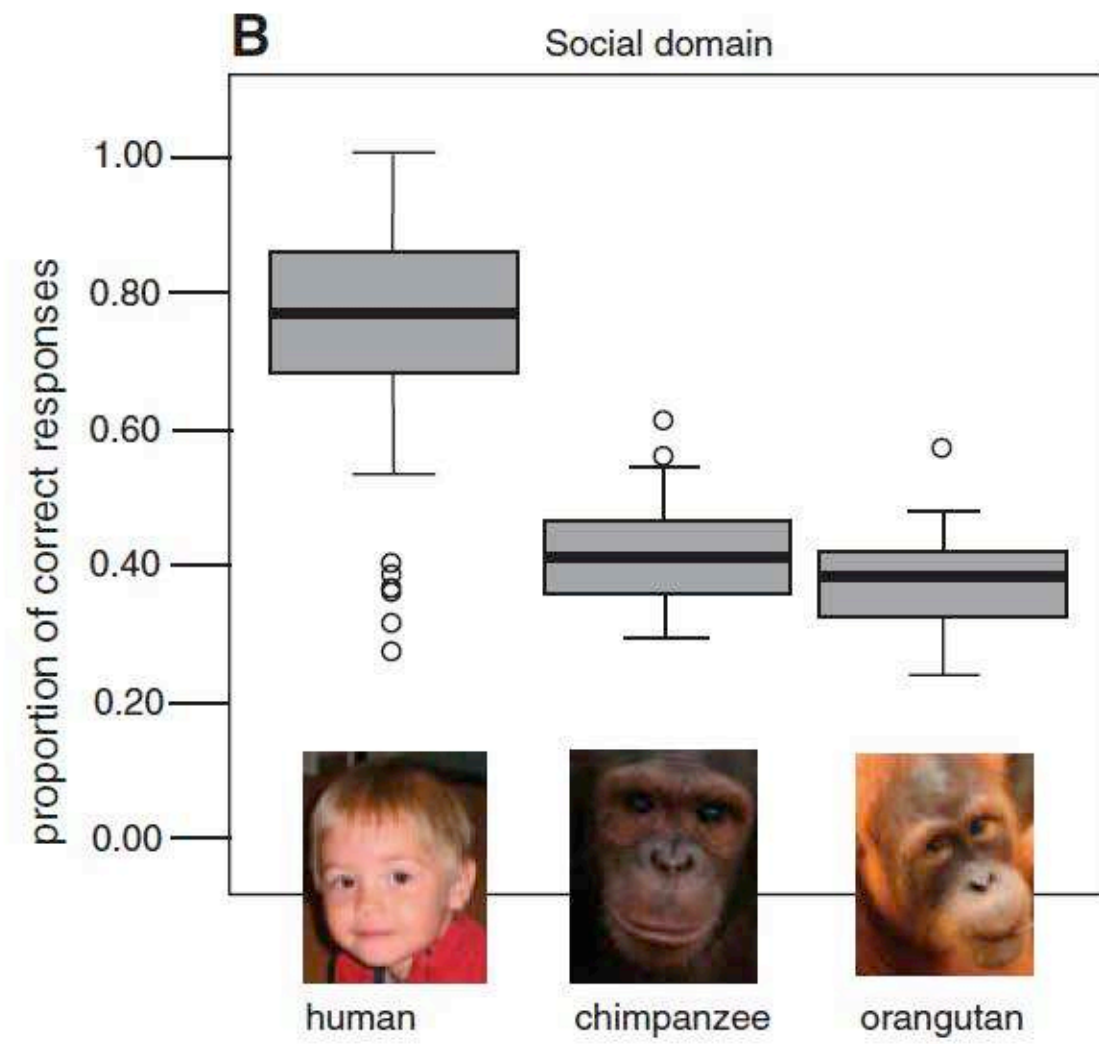
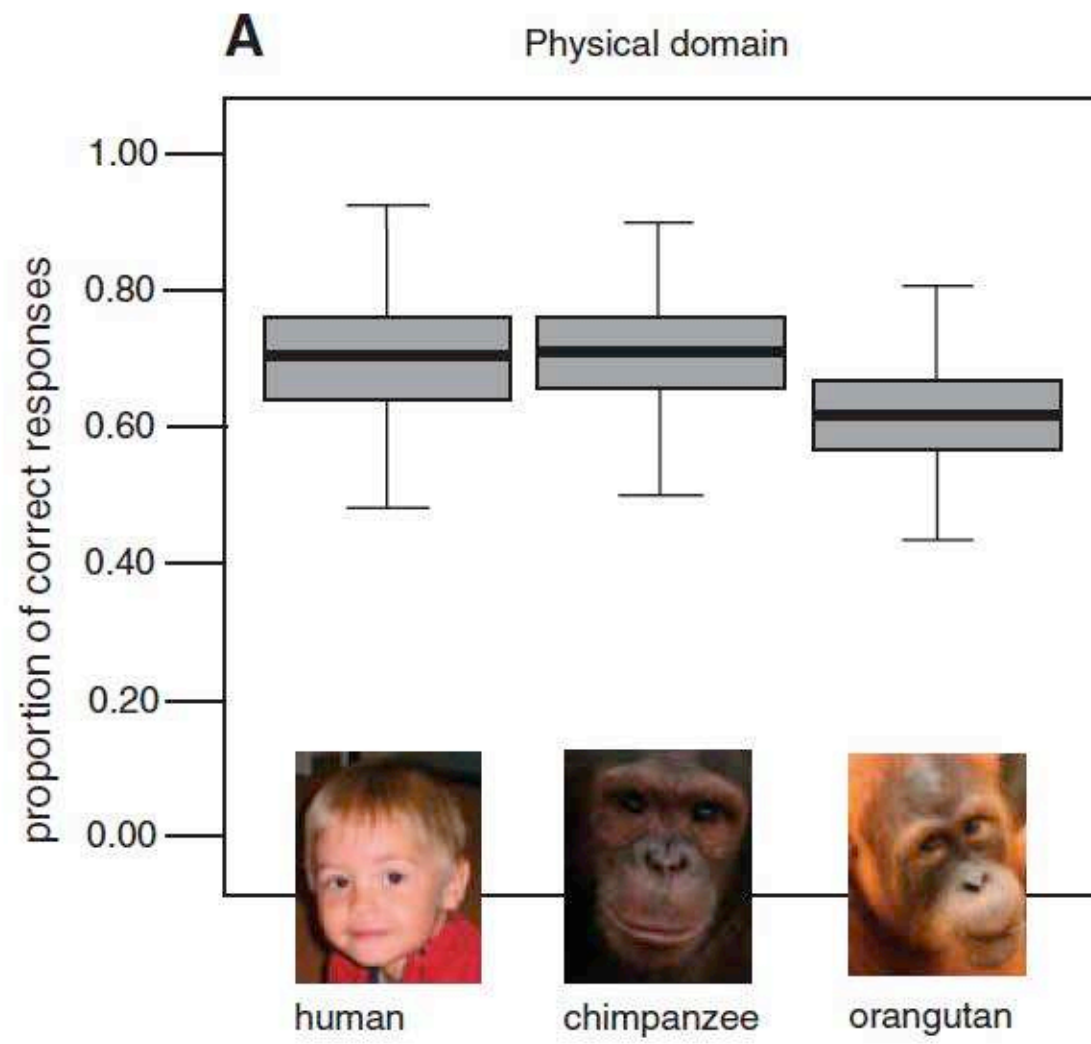
## work of Tetsuro Matsuzawa

Sakai et al., Current Biology 2012



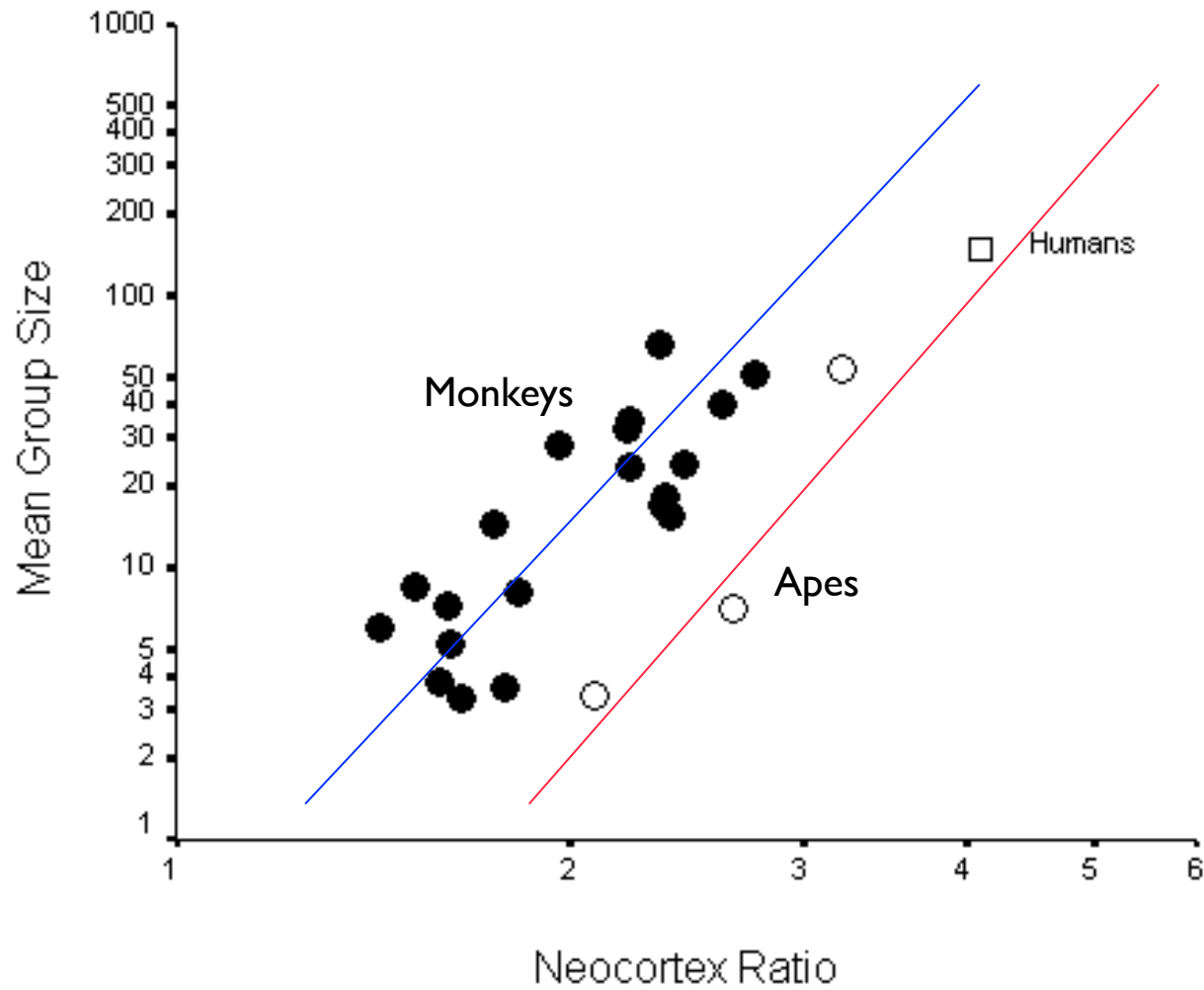


Domain	Scale	Task	Description
Physical	Space	Spatial memory (1 item, 3 trials)	Locating a reward.
		Object permanence (3 items, 9 trials)	Tracking of a reward after invisible displacement.
		Rotation (3 items, 9 trials)	Tracking of a reward after a rotation manipulation.
		Transposition (3 items, 9 trials)	Tracking of a reward after location changes.
	Quantities	Relative numbers (1 item, 13 trials)	Discriminating quantity.
		Addition numbers (1 item, 7 trials)	Discriminating quantity with added quantities.
	Causality	Noise (2 items, 6 trials)	Causal understanding of produced noise by hidden rewards.
		Shape (2 items, 6 trials)	Causal understanding of appearance change by hidden rewards.
		Tool use (1 item, 1 trial)	Using a stick in order to retrieve a reward which is out of reach.
		Tool properties (5 items, 15 trials)	Understanding of functional and nonfunctional tool properties.
	Social learning	Social learning (3 items, 3 trials)	Solving a simple but not obvious problem by observing a demonstrated solution.
Social	Communication	Comprehension (3 items, 9 trials)	Understanding communicative cues indicating a reward's hidden location.
		Pointing cups (1 item, 4 trials)	Producing communicative gestures in order to retrieve a hidden reward.
		Attentional state (4 items, 4 trials)	Choosing communicative gestures considering the attentional state of the recipient.
	Theory of mind	Gaze following (3 items, 9 trials)	Following an actor's gaze direction to a target.
		Intentions (2 items, 6 trials)	Understanding what an actor intended to do (unsuccessfully).

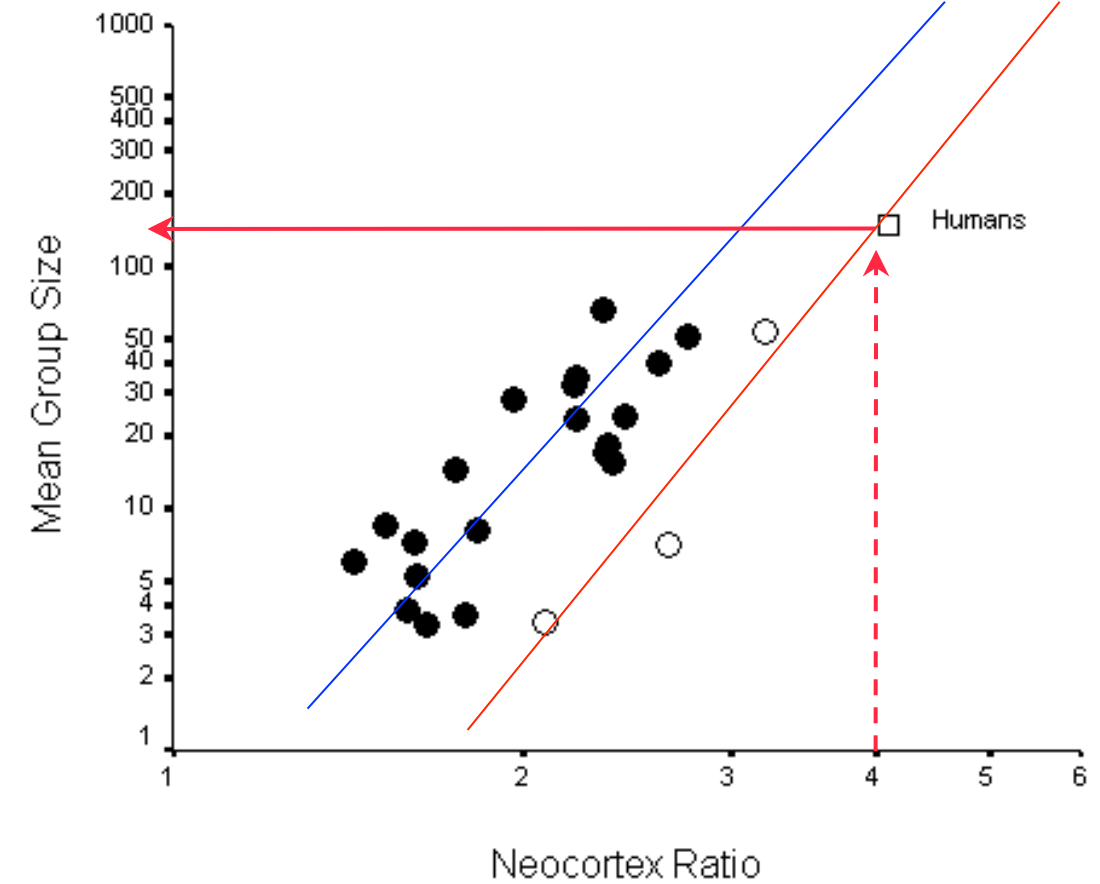


Herrmann et al. (2007) Science 317:1360.

- The human brain is exceptionally large
- We are exceptionally smart
- WHY?
  - Evolved in a complex social environment
  - Have to compete with other smart people
  - Idea of a “mental arms race”



A



**But it is more complicated than this!**

Robin Dunbar (1992, 1993, 1998)

1993 BBS 16:681-735

## Takehome points:

- essentially all animals show social behavior
- this shows many parallel modules to aspects of human behavior
- certain species may be good model systems to study
- humans may be especially smart in the social domain

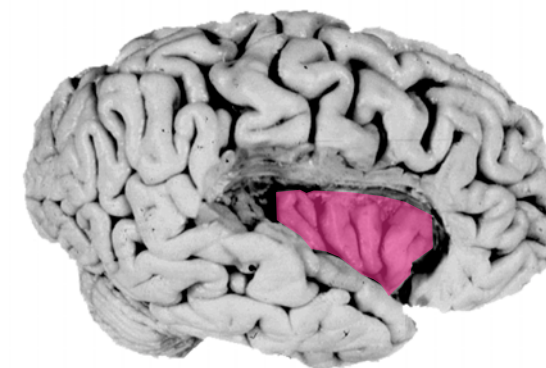
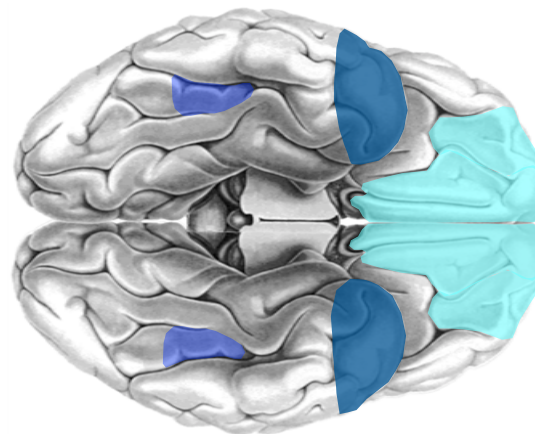
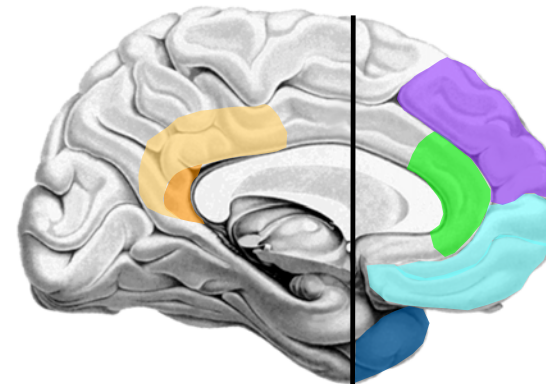
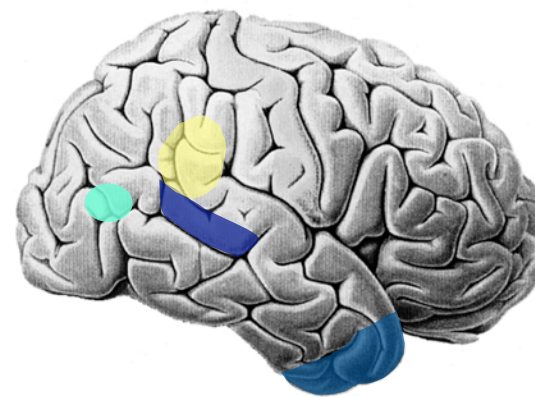


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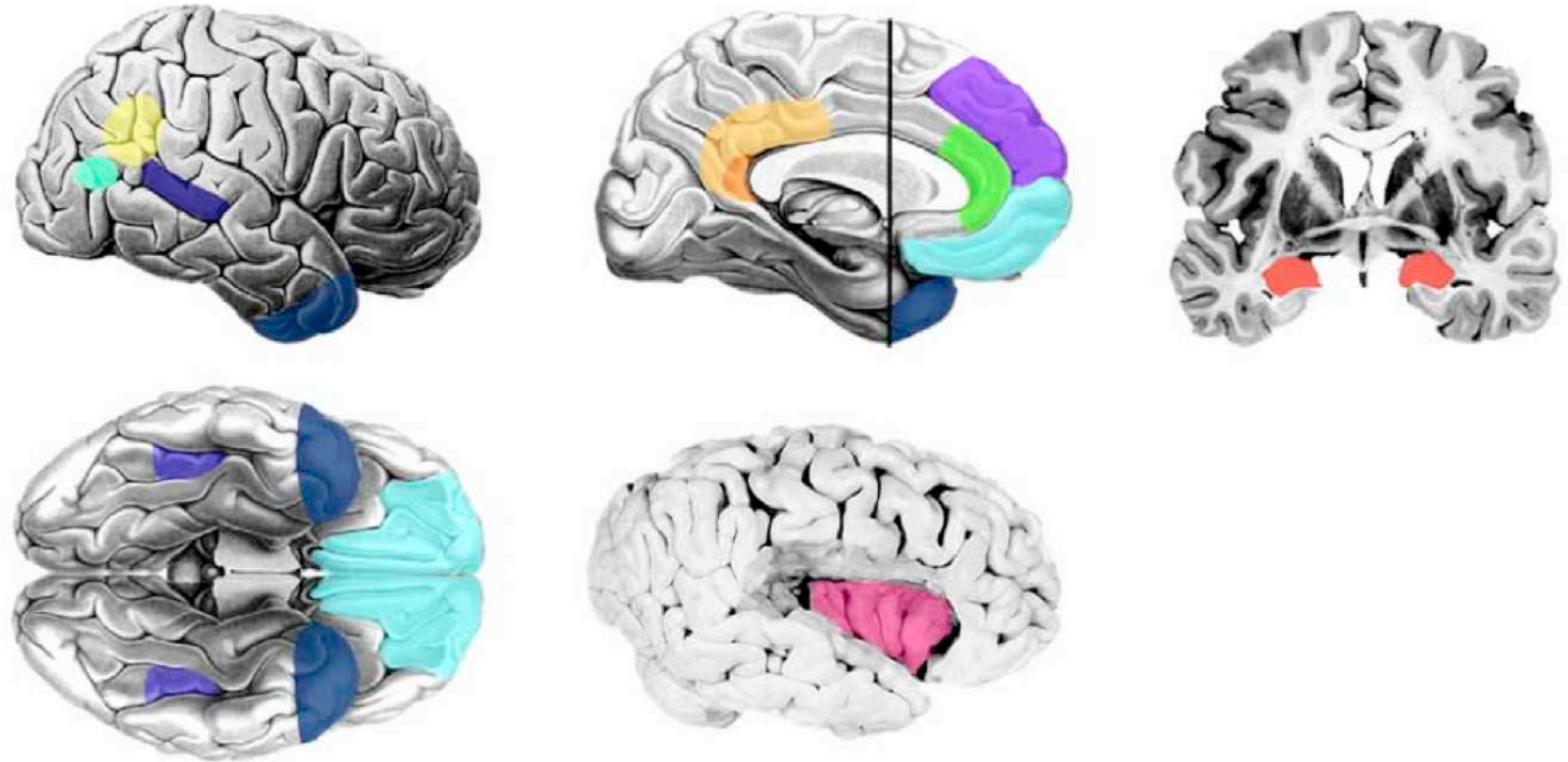
# “The Social Brain”

- Amygdala
- Insula
- TPJ
- dMPFC
- Anterior Cingulate
- STS/STG
- Posterior Cingulate
- Retrosplenial Cortex
- FFA
- Temporal Pole
- vMPFC/OFC
- Extrastriate Body Area



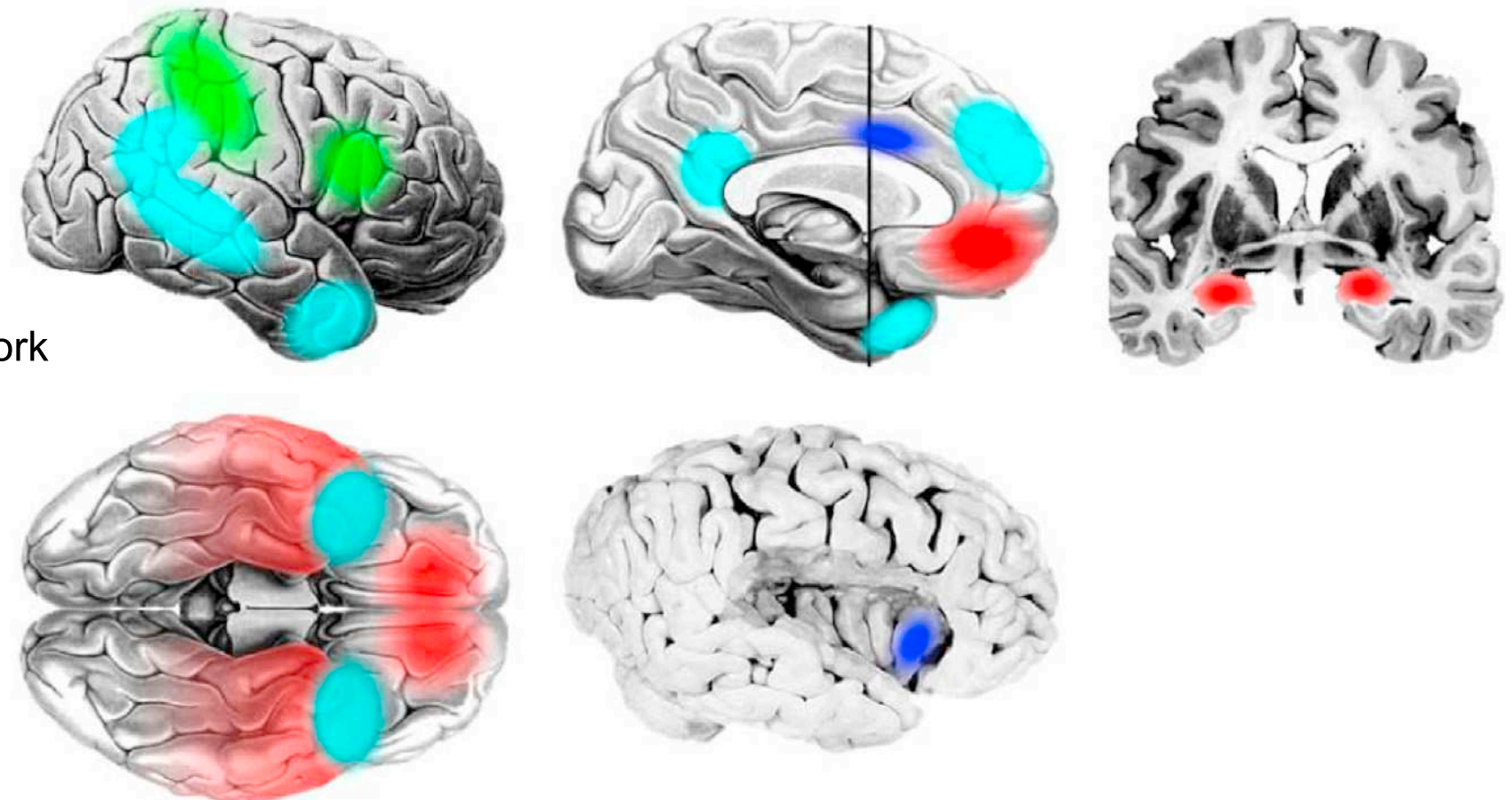
## Key:

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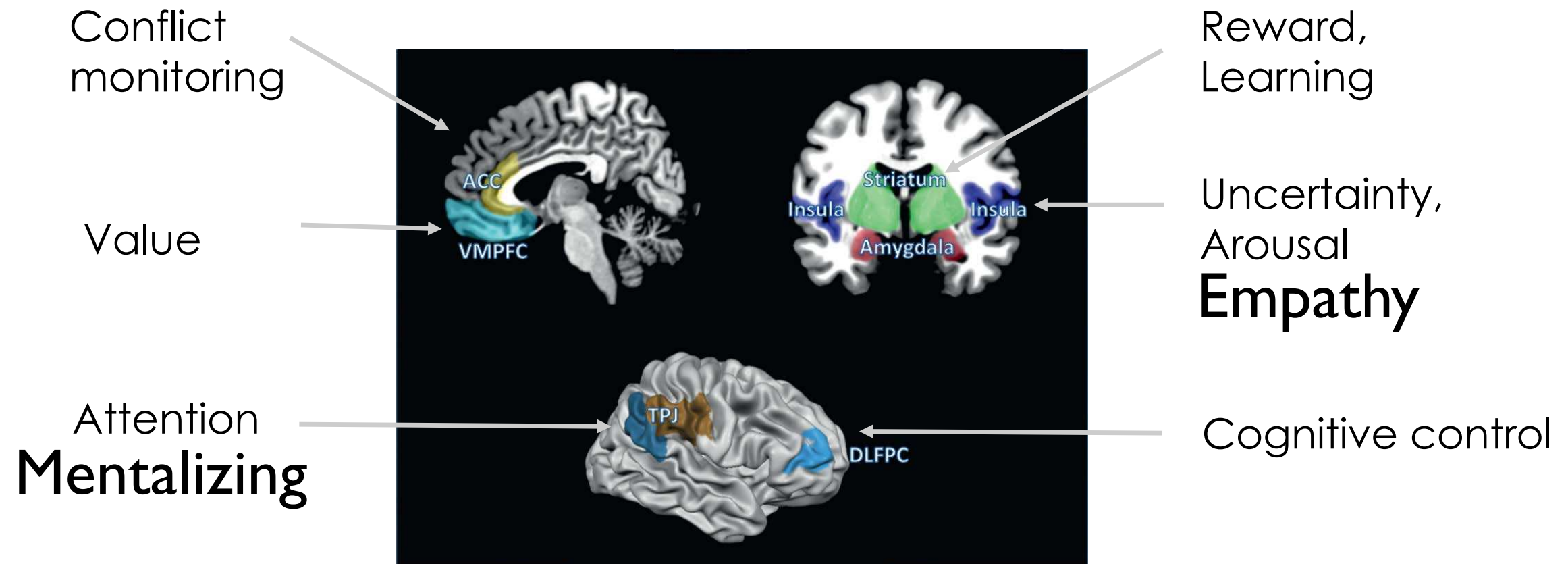
## Key:

- Amygdala Network
- Mentalizing Network
- Empathy Network
- Mirror/Simulation/  
Action-Perception Network



D. Kennedy, R. Adolphs (2012). *Trends in Cognitive Sciences* 16: 559-572.





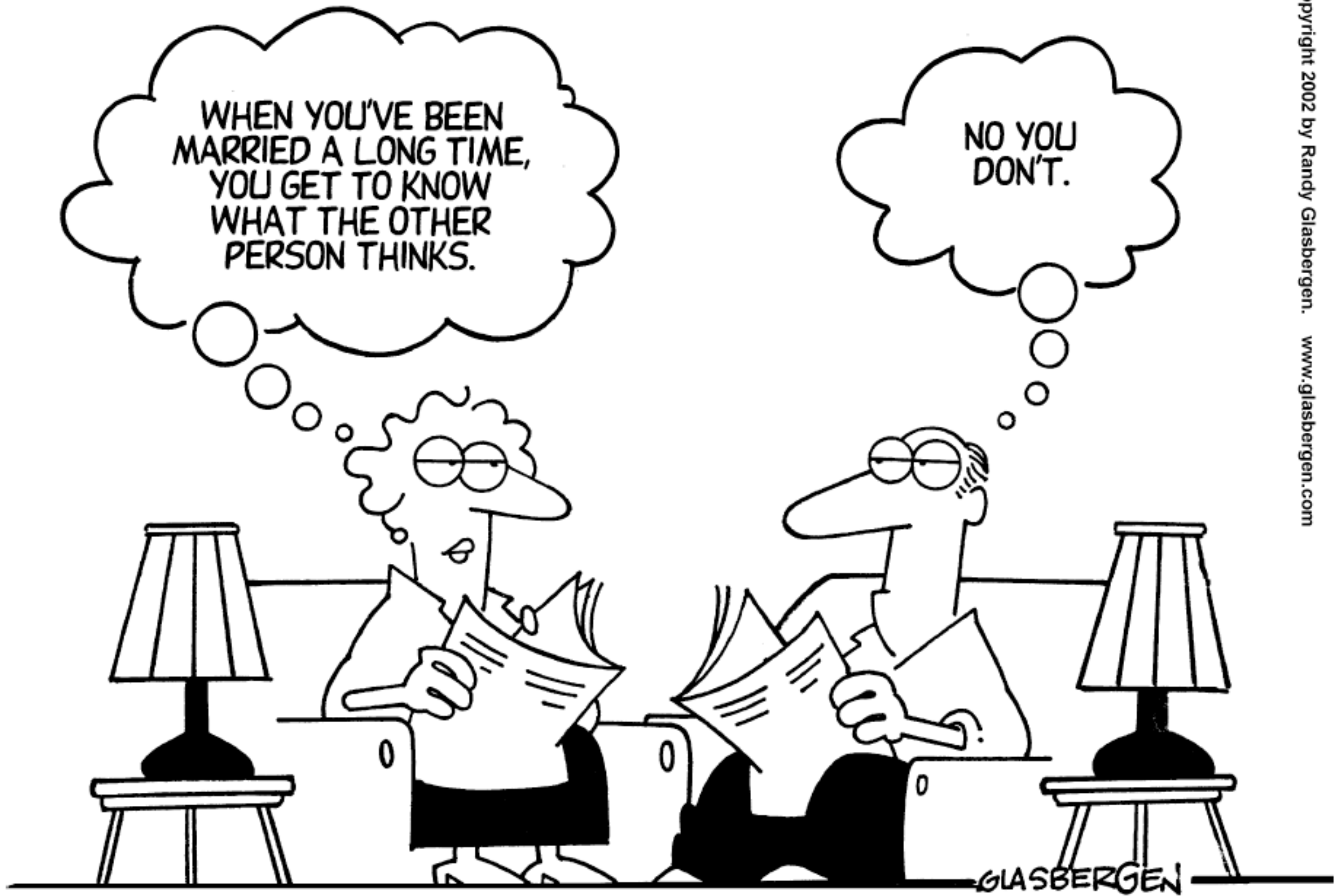
Fehr & Kraibich (2014) Neuroeconomics

Figuring out other people's internal states:

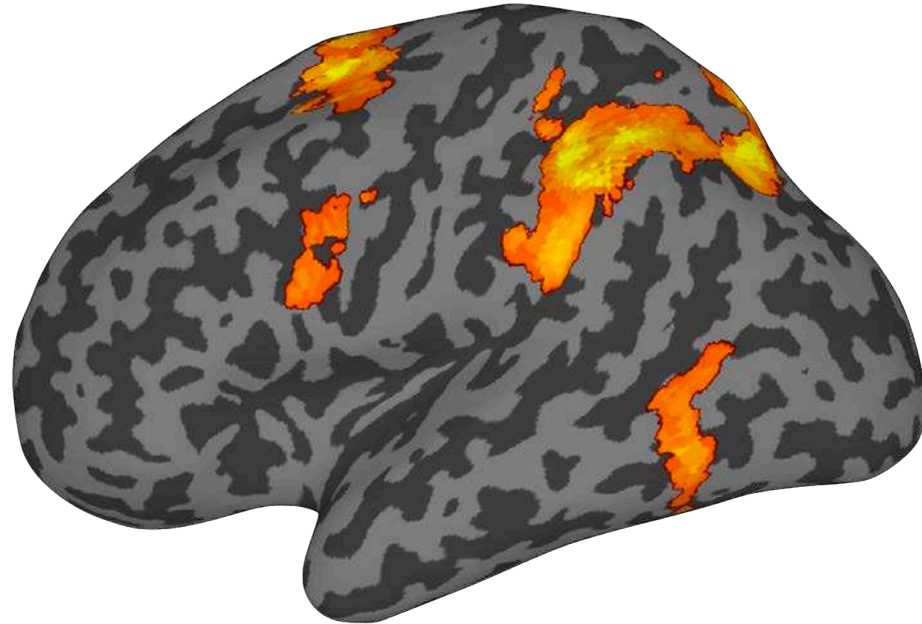
Simulation/empathy versus  
Theory of Mind



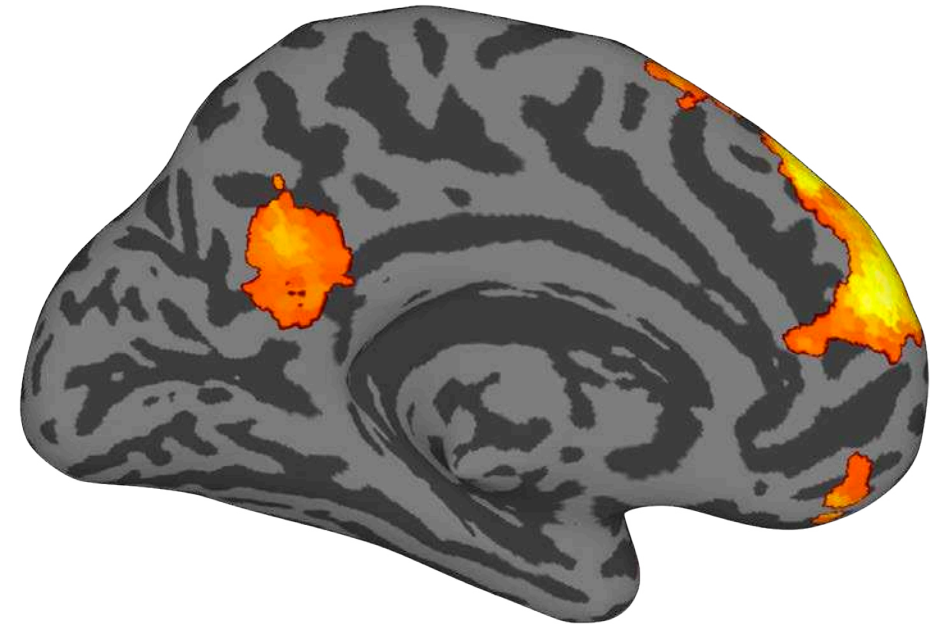




## Mirror Network

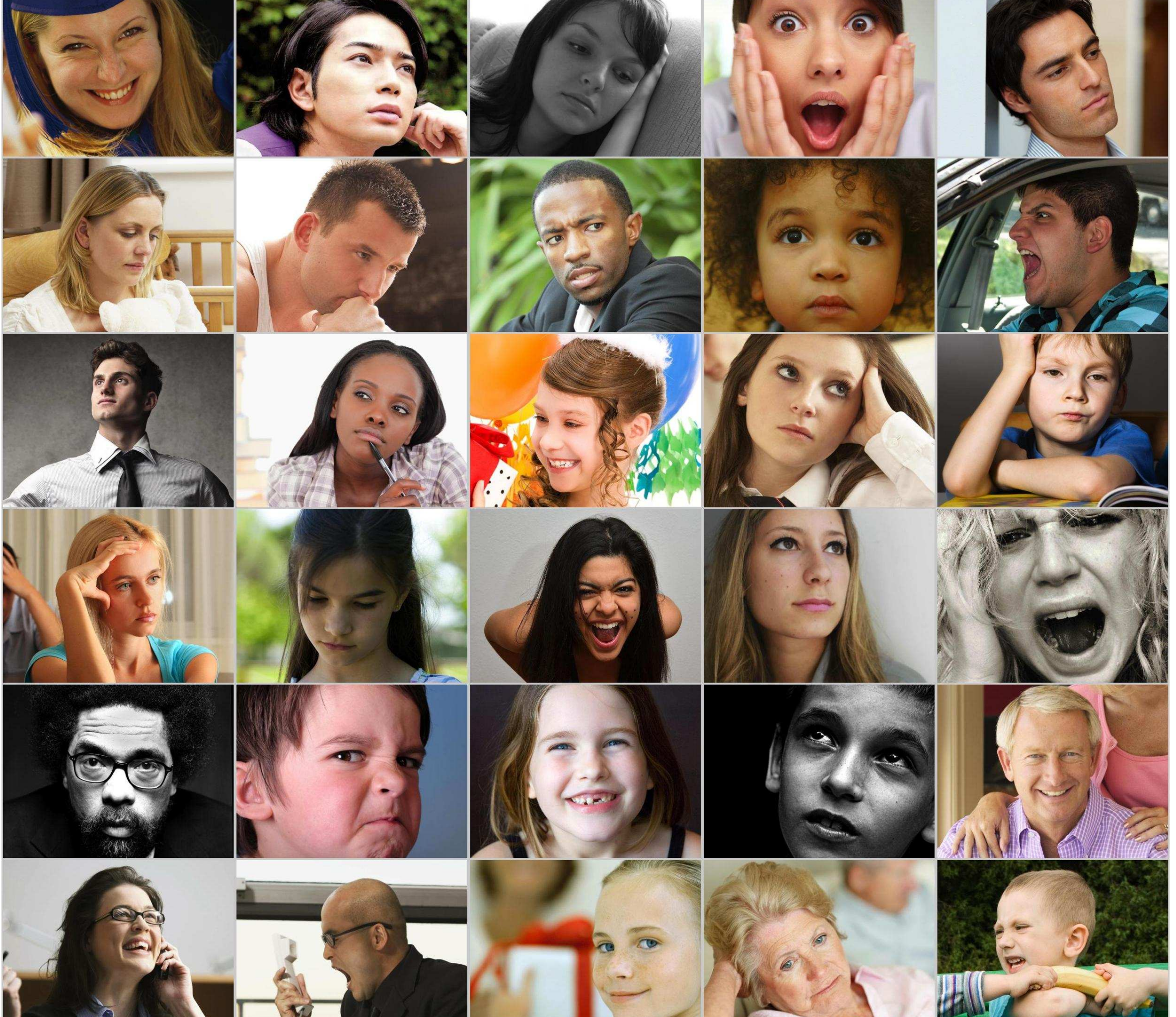


## Mentalizing Network

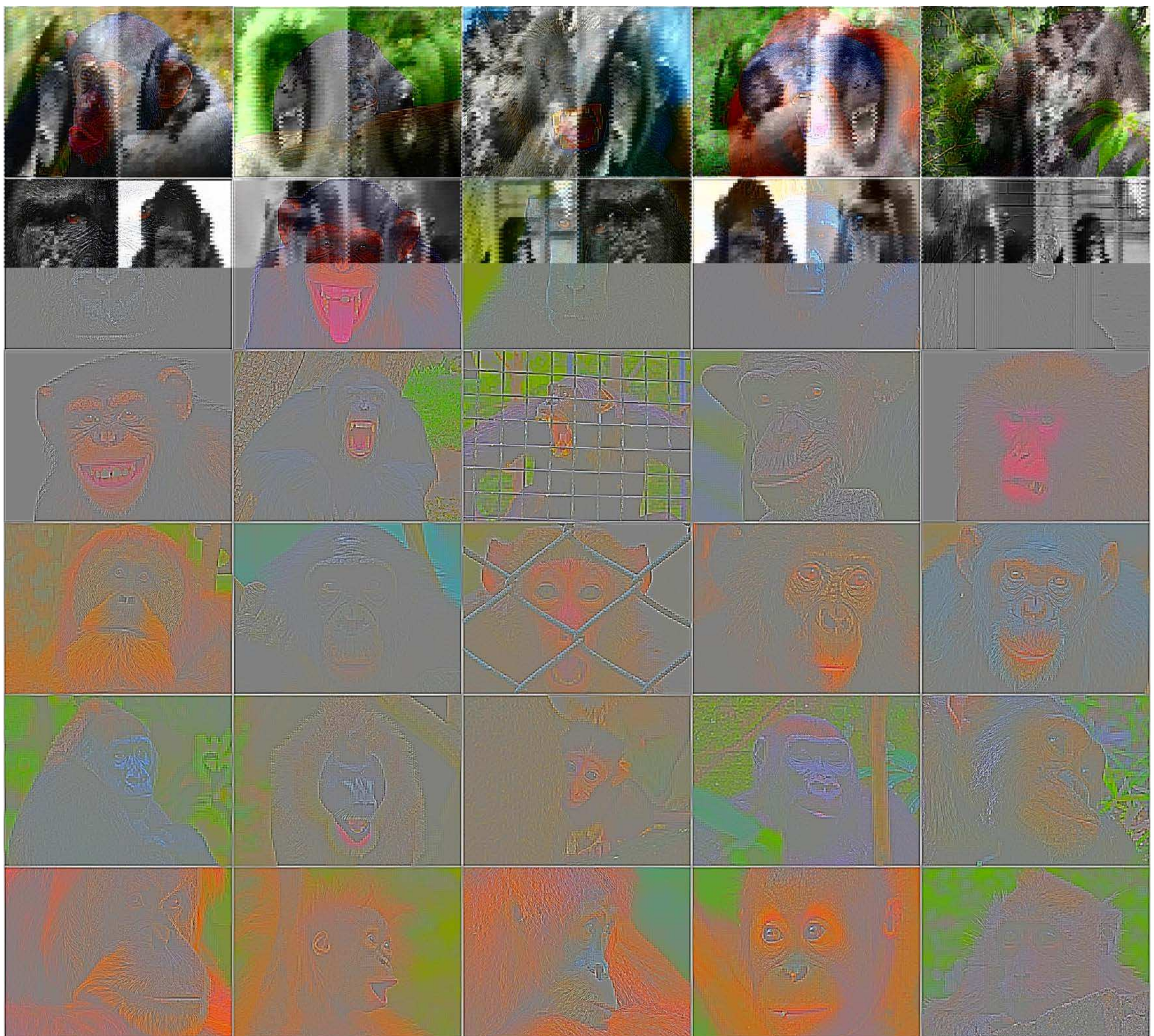


Van Overwalle & Baetens, Neuroimage 2009

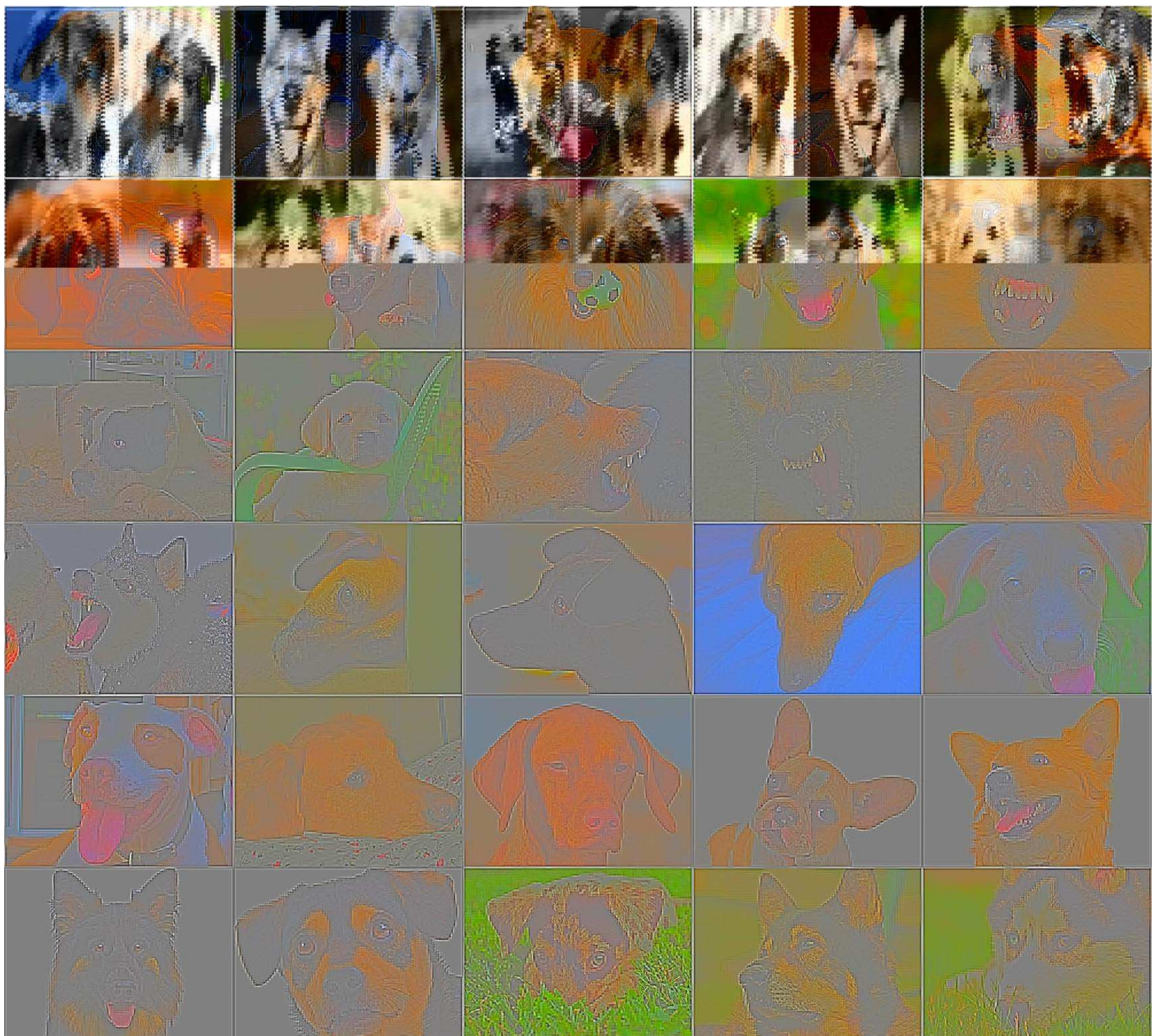




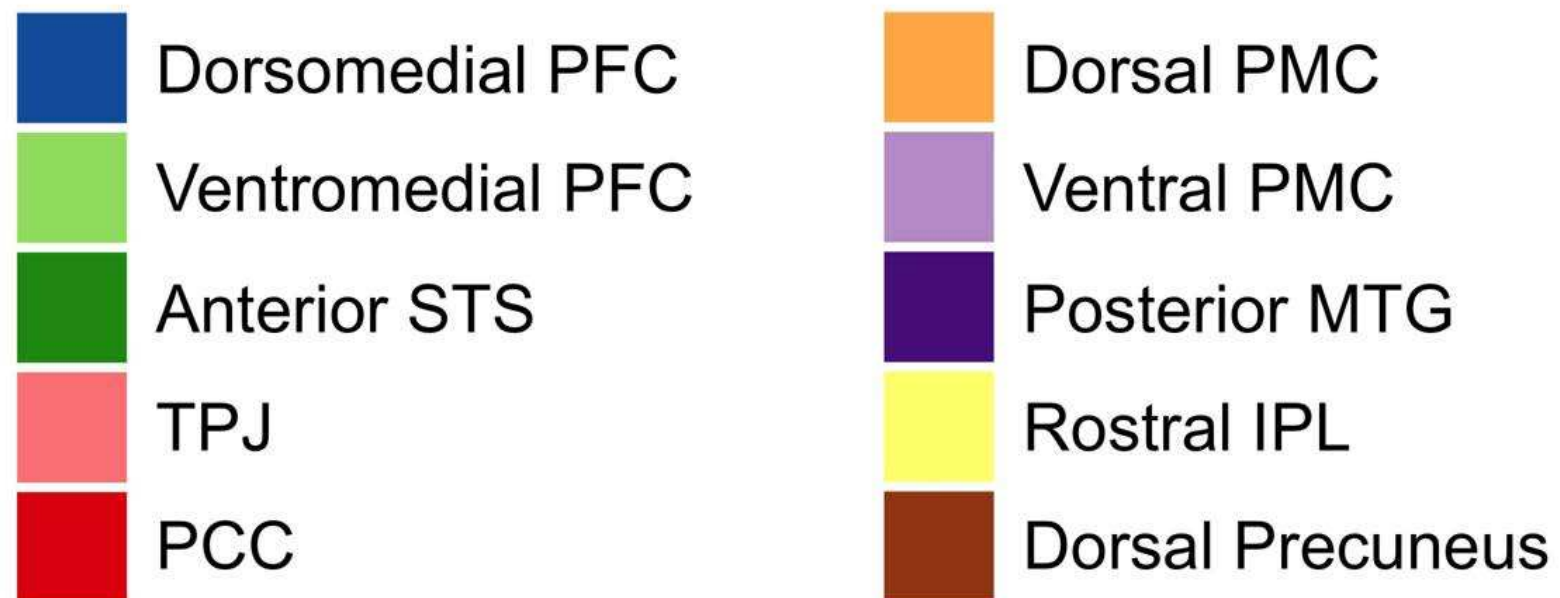
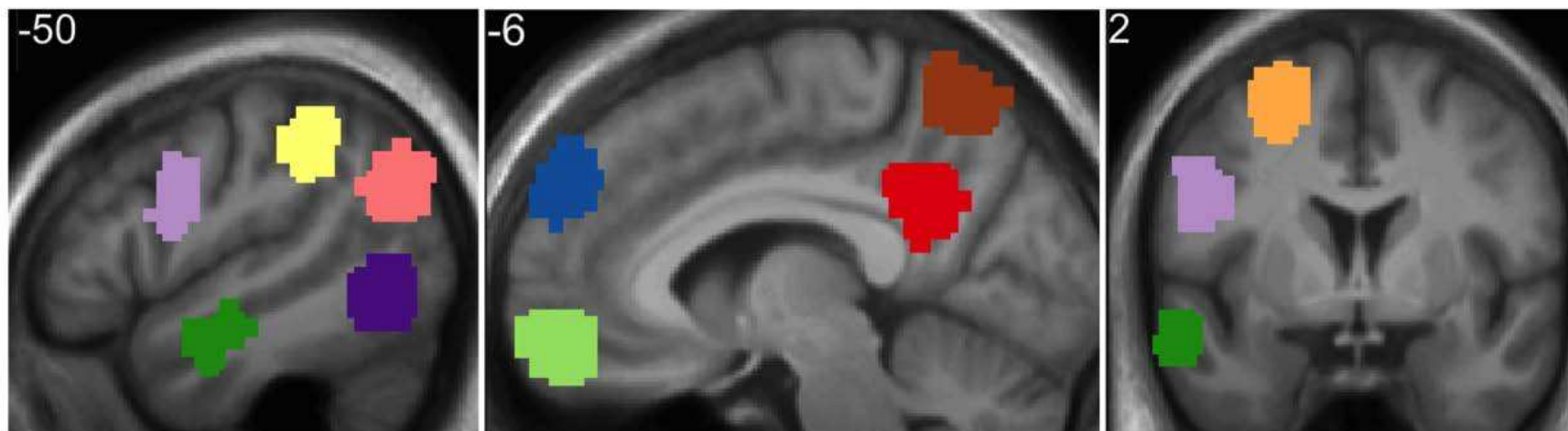








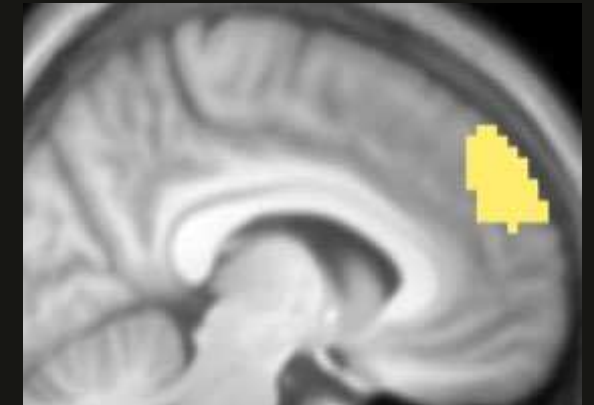






# Social vs. Non-Social attribution

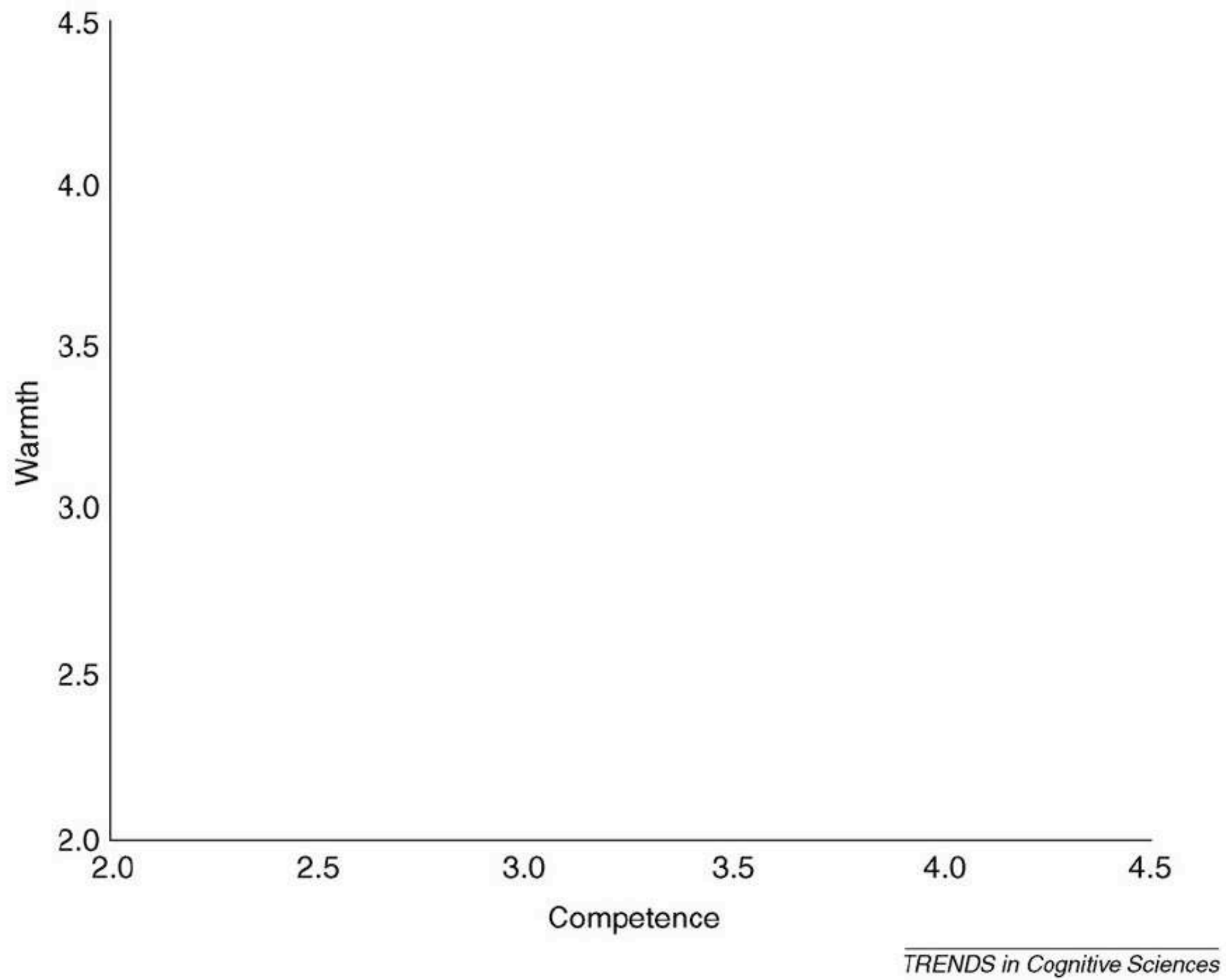
	Social	Nonsocial
		
Why	Is the person showing affection?	Is it the result of a rainstorm?
How	Is the person smiling?	Is the photo showing moving water?



Spunt & Adolphs (2014). *Psychological Science* 26: 724-736

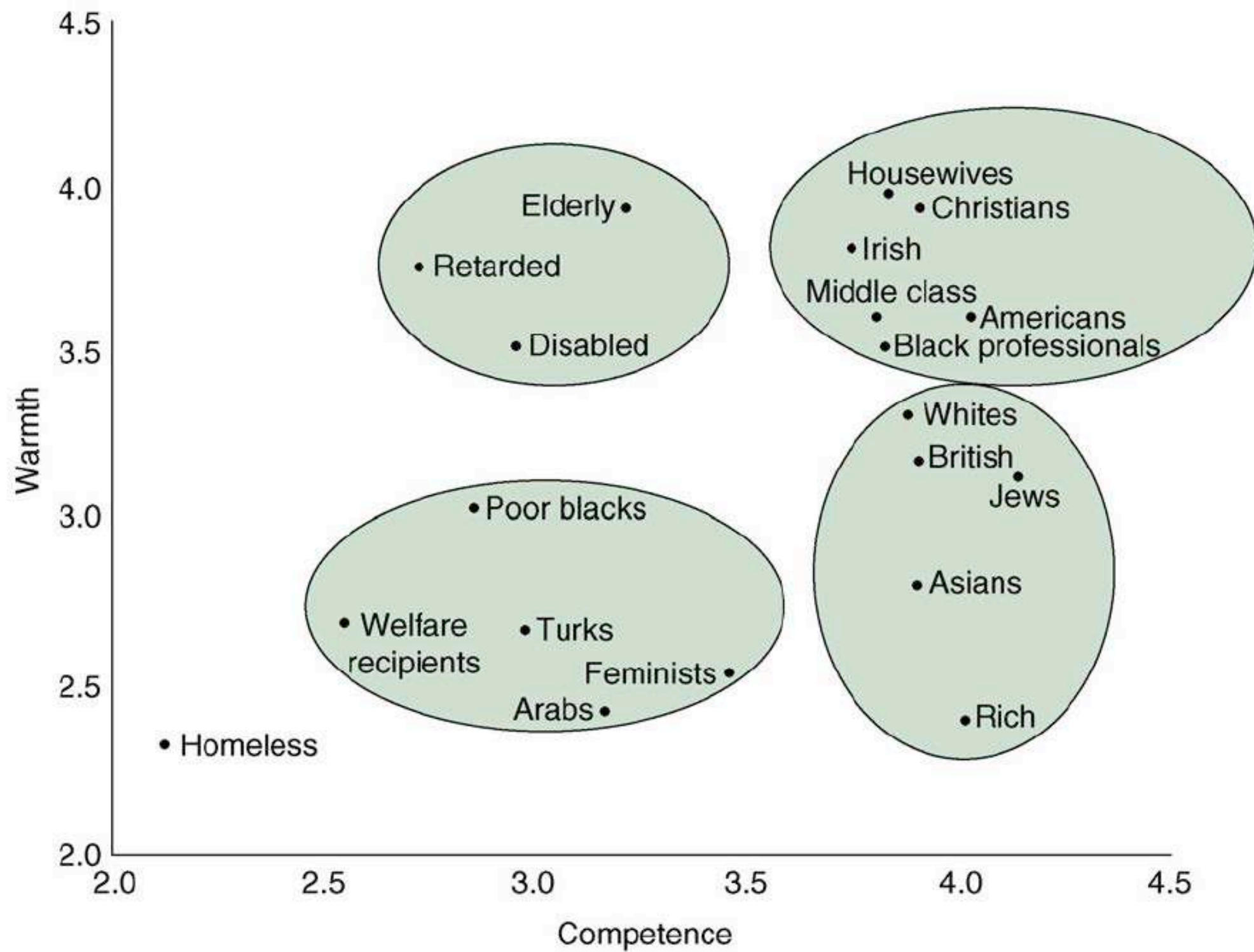
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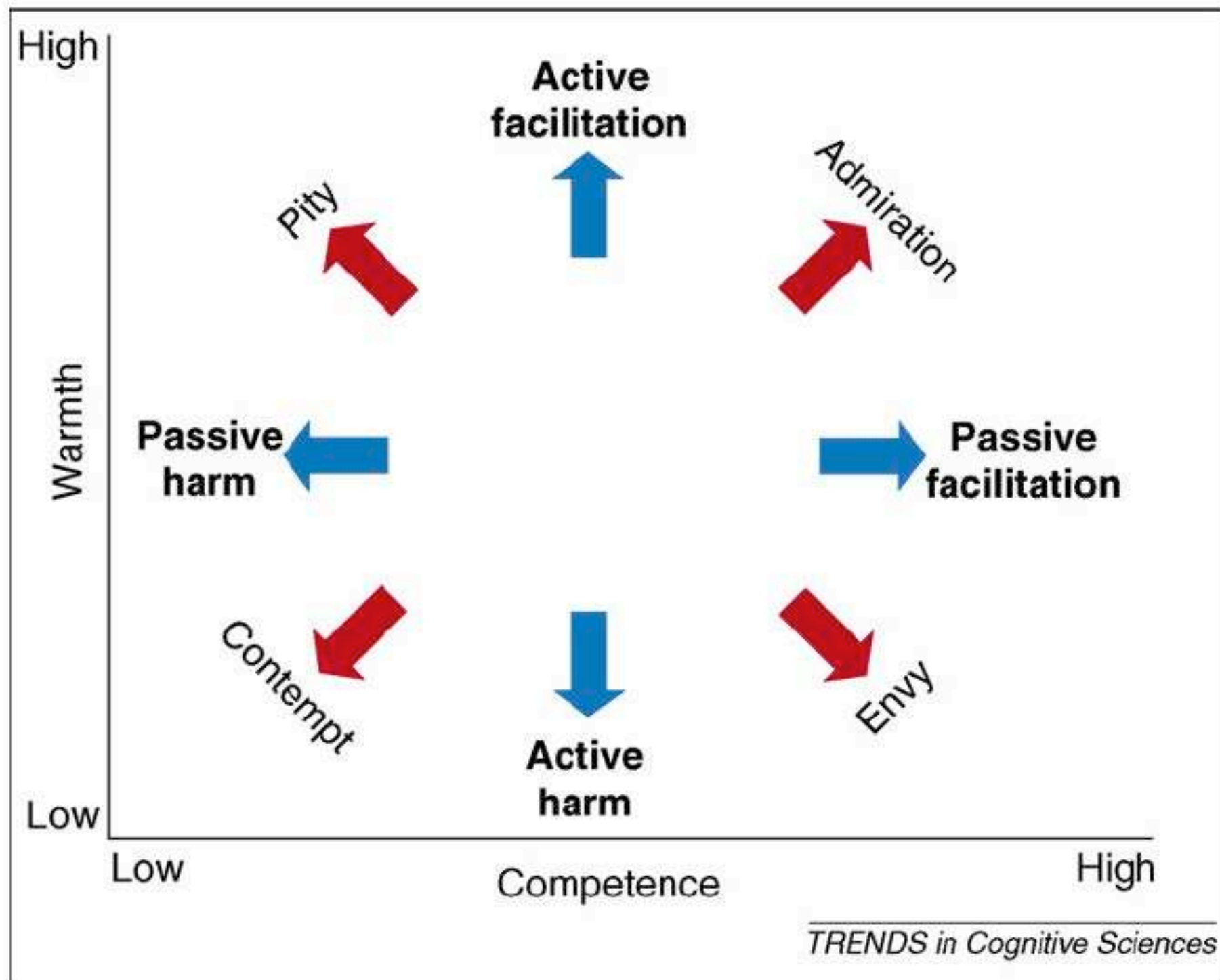


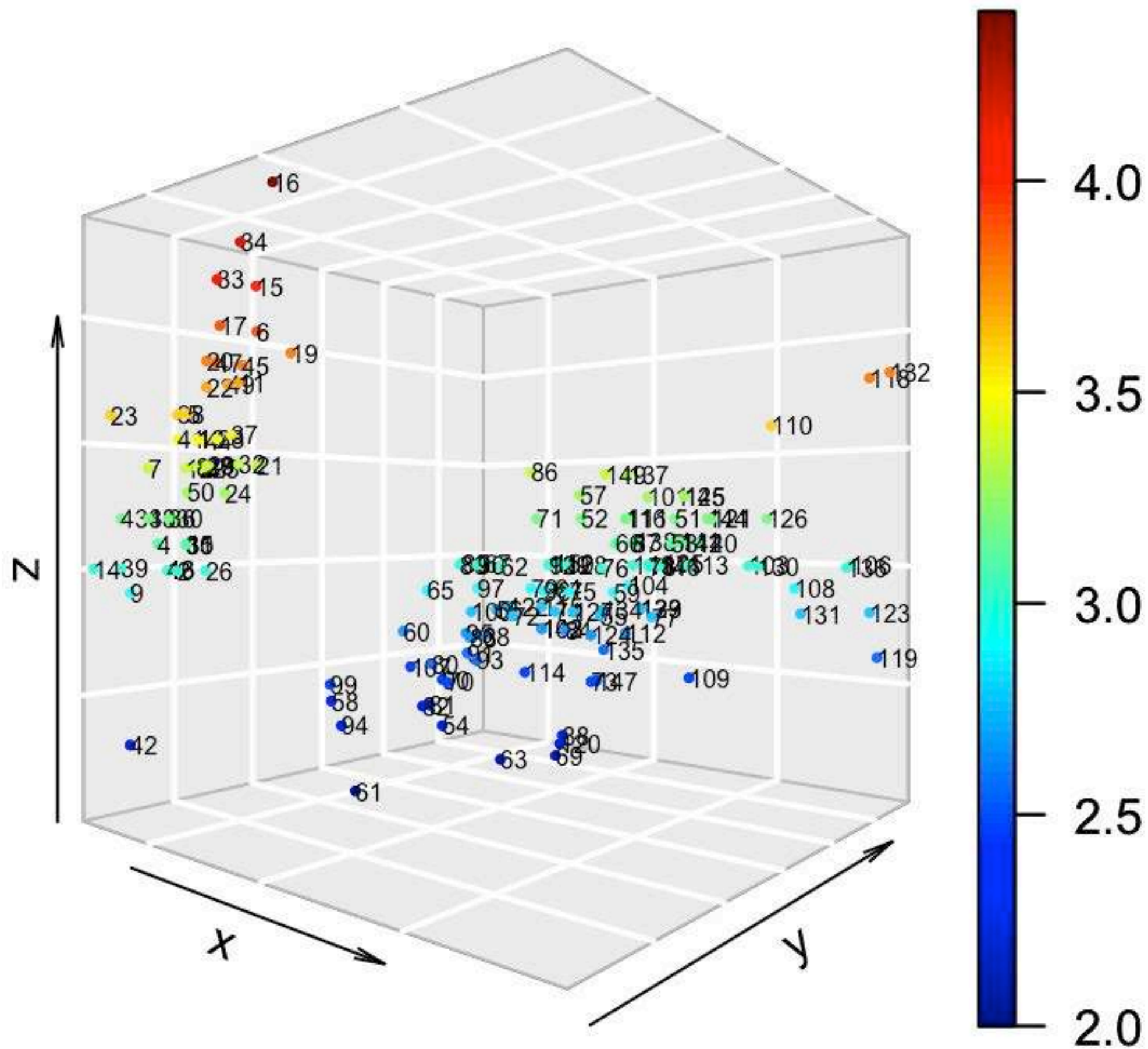
Susan Fiske et al., TICS (2006) 11:77-83



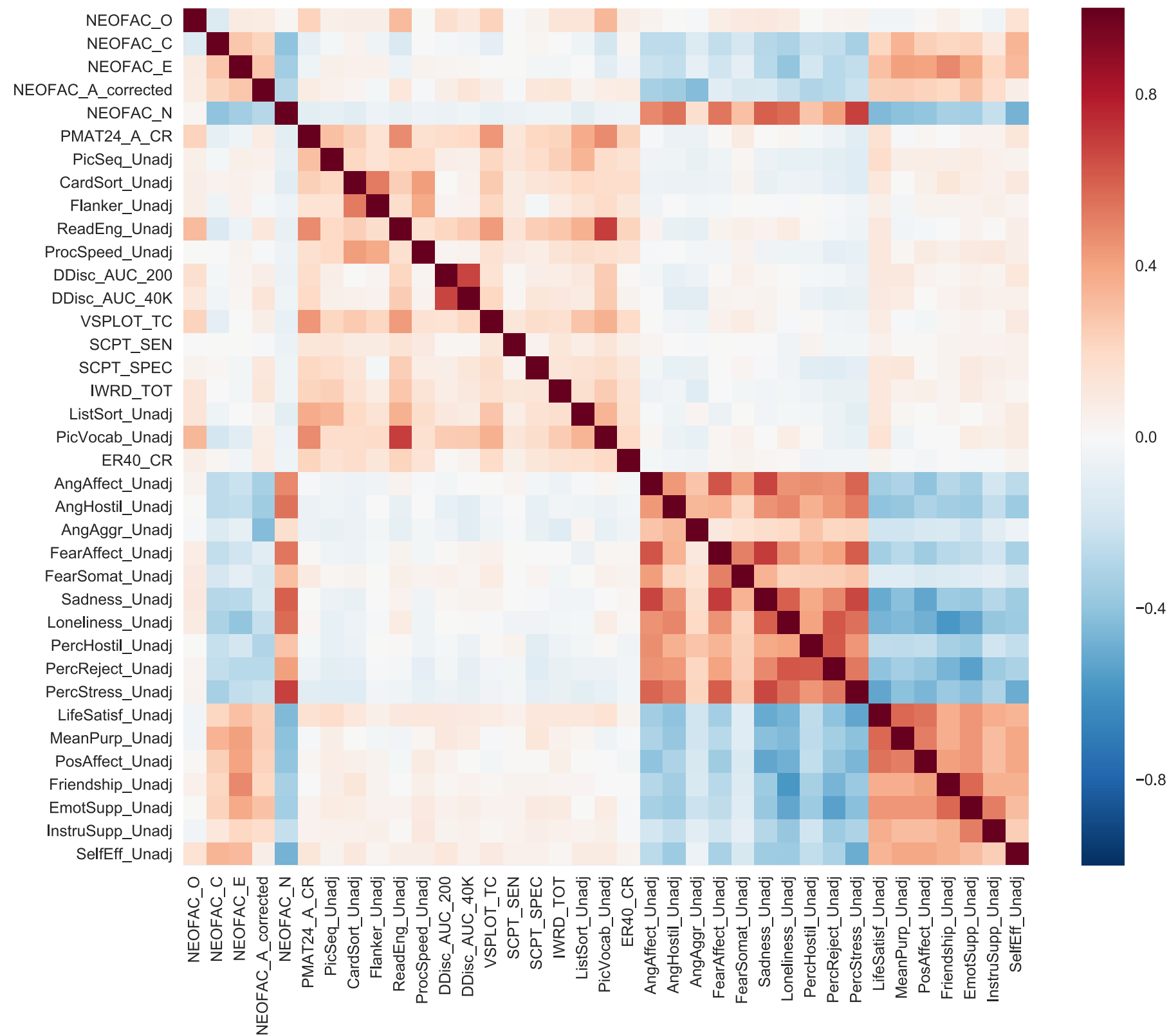


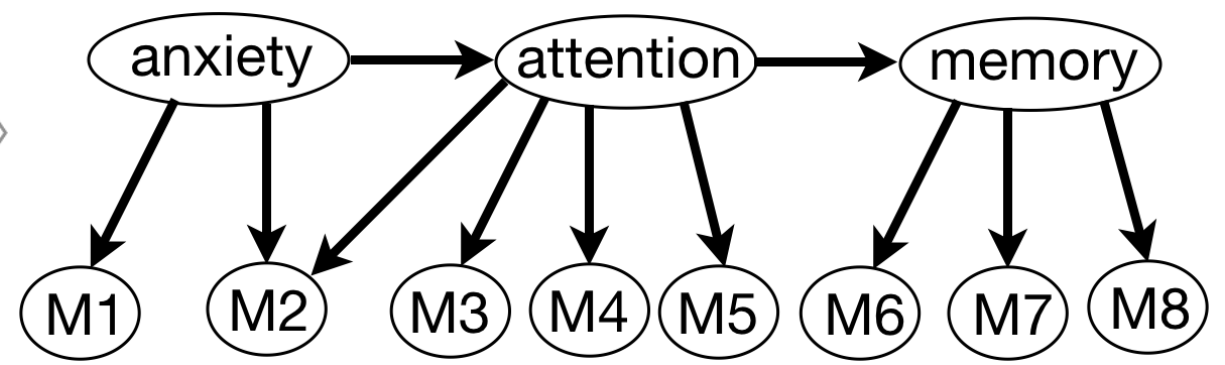
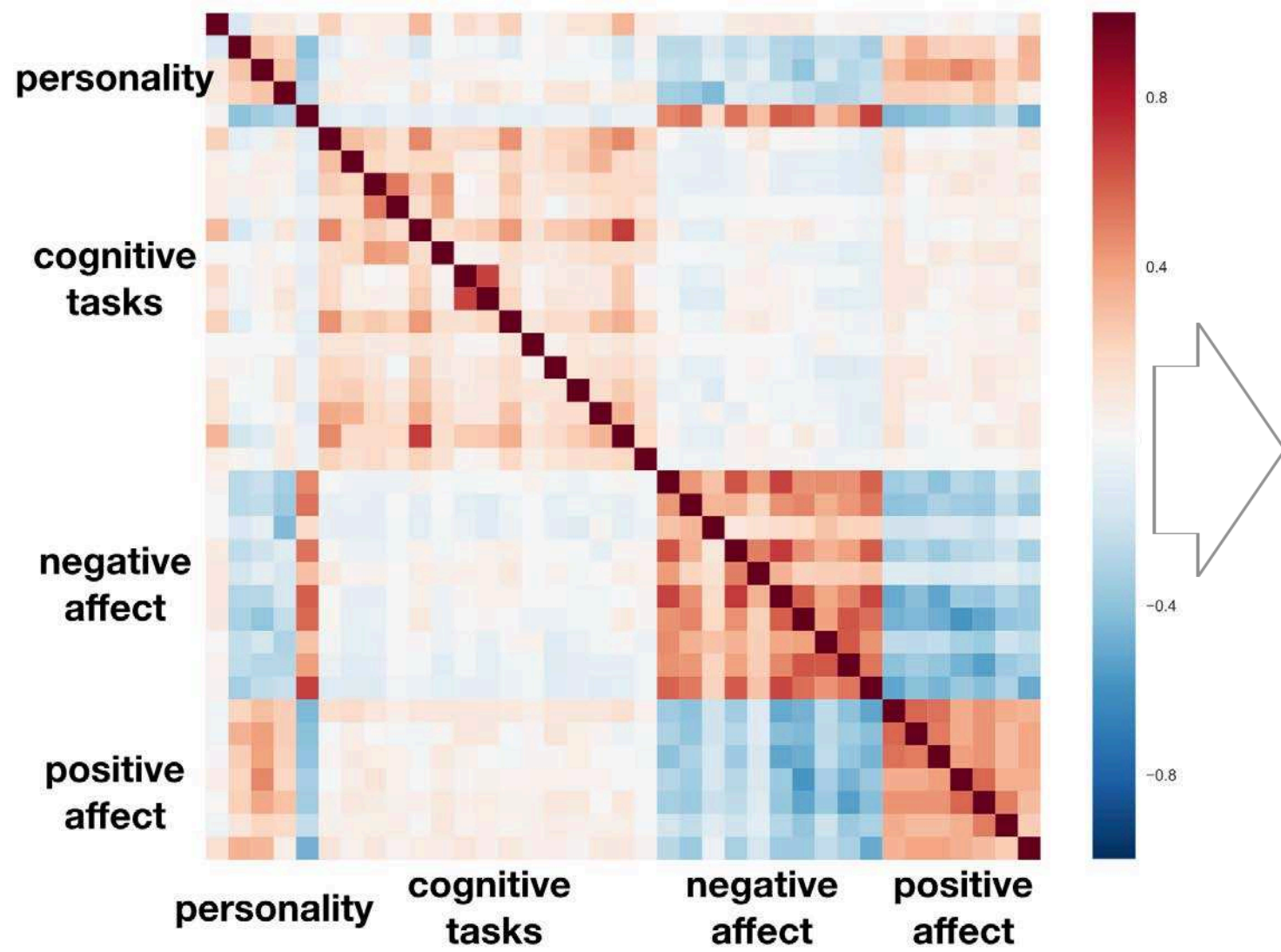
*TRENDS in Cognitive Sciences*











# Predicting personality traits from resting-state fMRI

Julien Dubois<sup>1,4,\*</sup>, Paola Galdi<sup>5,\*</sup>, Yanting Han<sup>2</sup>, Lynn K. Paul<sup>1</sup>, Ralph Adolphs<sup>1,2,3</sup>



# Case study: predicting personality traits from resting-state fMRI

- 2 sets of data: fMRI, personality
  - source: Human Connectome Project (N=1000)
  - are the measures valid?
  - are the measures reliable?
  - are the measures sensitive?
  - are the measures specific?
- 
- clean up the data: exclude outliers, bad sessions
  - decide how to process the data
  - set aside some data for replication
  - use cross-validation to test your model
  - use permutation statistics for significance tests

Metatraits:

Stability

Plasticity

Big five:

Neuroticism

Agreeableness

Conscientiousness

Extraversion

Openness/Intellect

Aspects:

Withdrawal

Volatility

Compassion

Politeness

Industriousness

Orderliness

Enthusiasm

Assertiveness

Openness

Intellect

Facets:

(number and identity of facets is uncertain; any list would be partially arbitrary)

**Openness to new experience:** Feelings, Ideas, Values, Actions, Fantasy, Aesthetics

**Conscientiousness:** Competence, Achievement striving, Self-discipline, Orderliness, Dutifulness, Deliberation

**Extraversion:** Gregariousness, Activity level, Assertiveness, Excitement seeking, Positive emotions, Warmth

**Agreeableness:** Trust, Altruism, Straightforwardness, Compliance, Modesty, Tender-mindedness

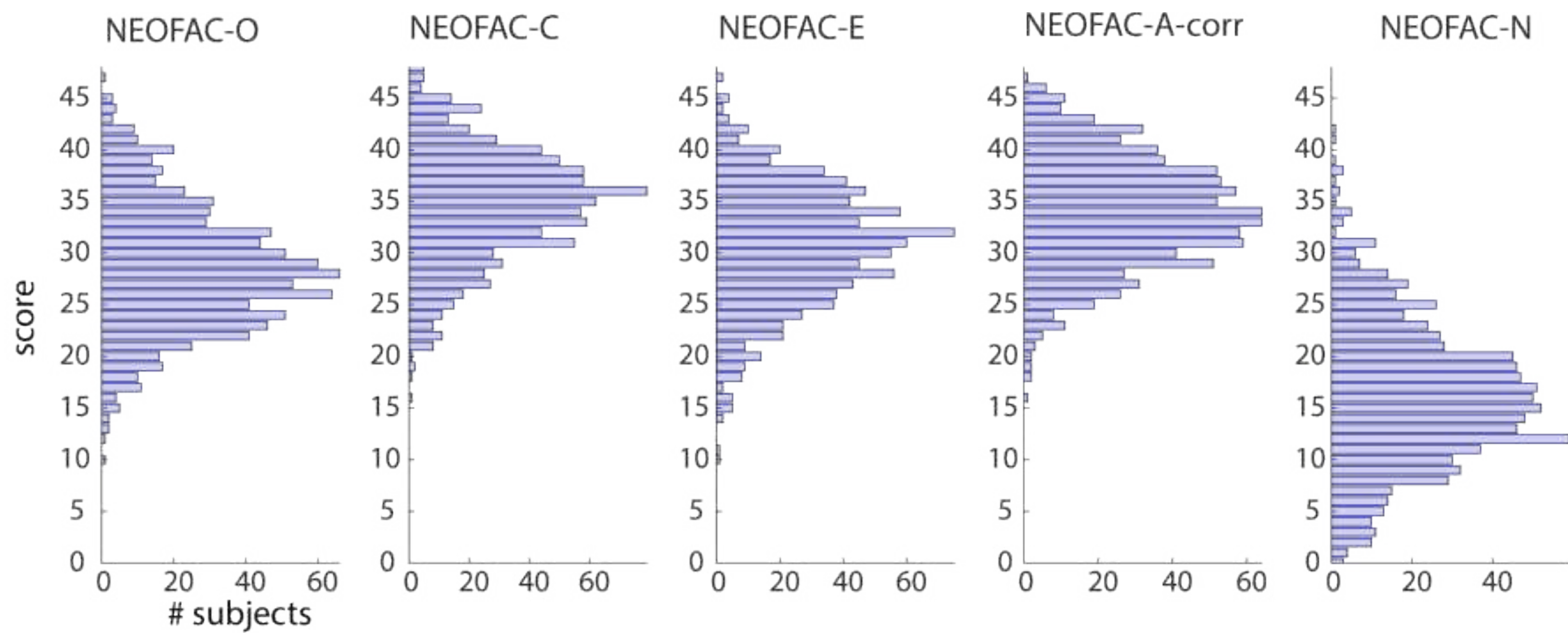
**Neuroticism:** Anxiety, Self-consciousness, Depression, Vulnerability, Impulsiveness, Angry hostility



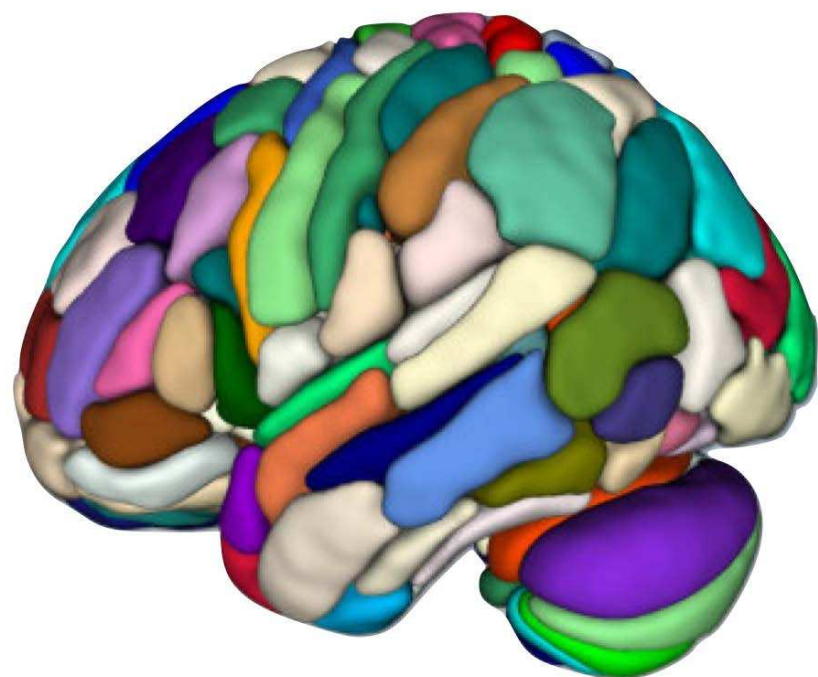
Personality dimension	Monozygotic (MZ; identical) twins	Dizygotic (DZ; fraternal) twins	Heritability estimate $h^2 = 2 (r_{\text{mz}} - r_{\text{dz}})$
Extraversion	0.56	0.28	56%
Neuroticism	0.53	0.13	80%
Agreeableness	0.42	0.19	46%
Conscientiousness	0.54	0.18	72%
Openness	0.54	0.35	38%

## NEO

- NEO-PI: 180 items
- NEO-FFI: selected 12 items for each of the 5 factors (60 items)
- test-retest reliability = 0.9
- internal consistency = 0.7-0.8





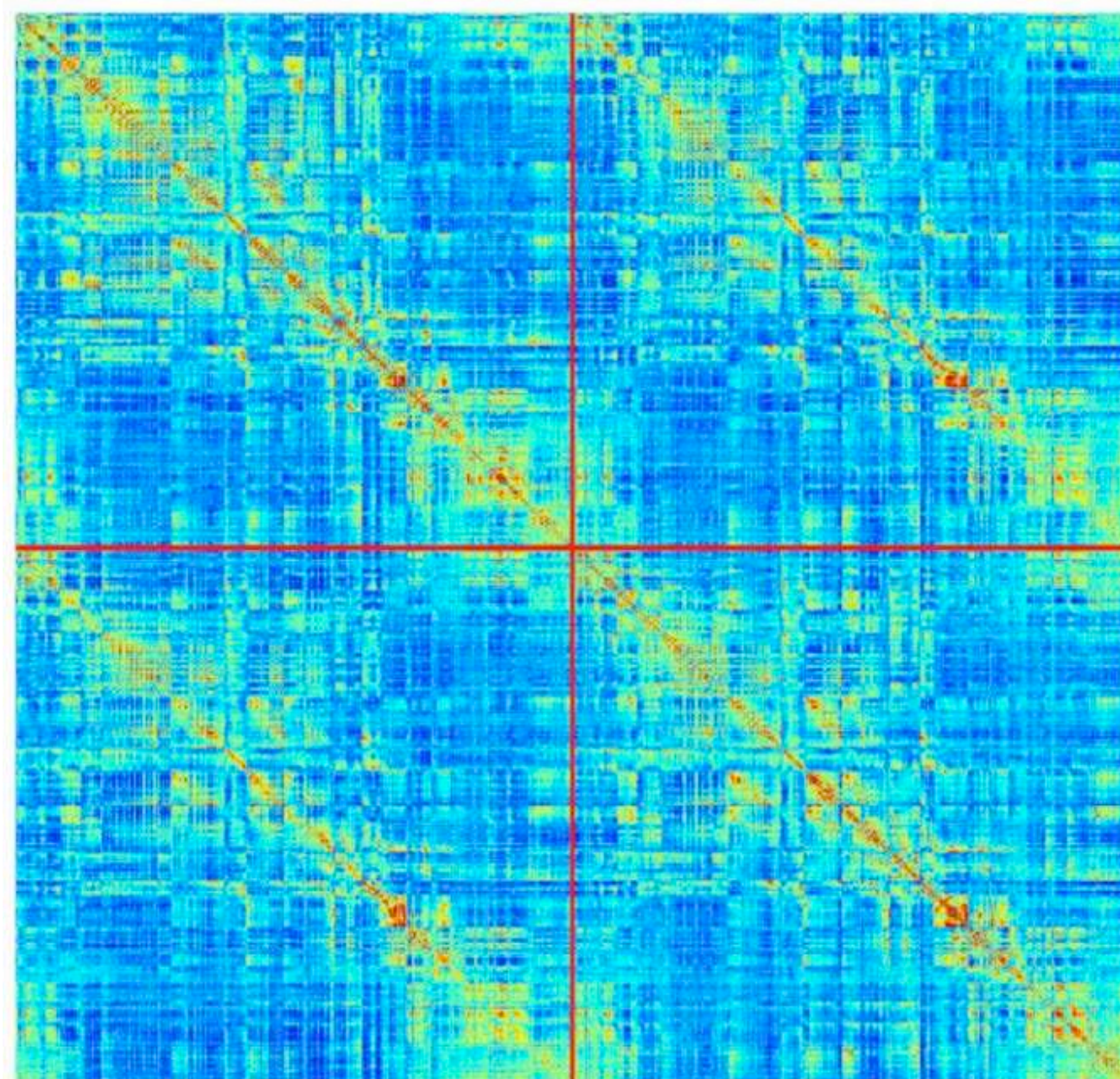


Left hemisphere

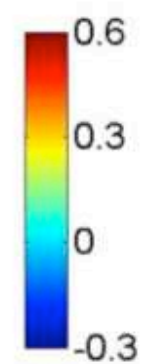
Right hemisphere

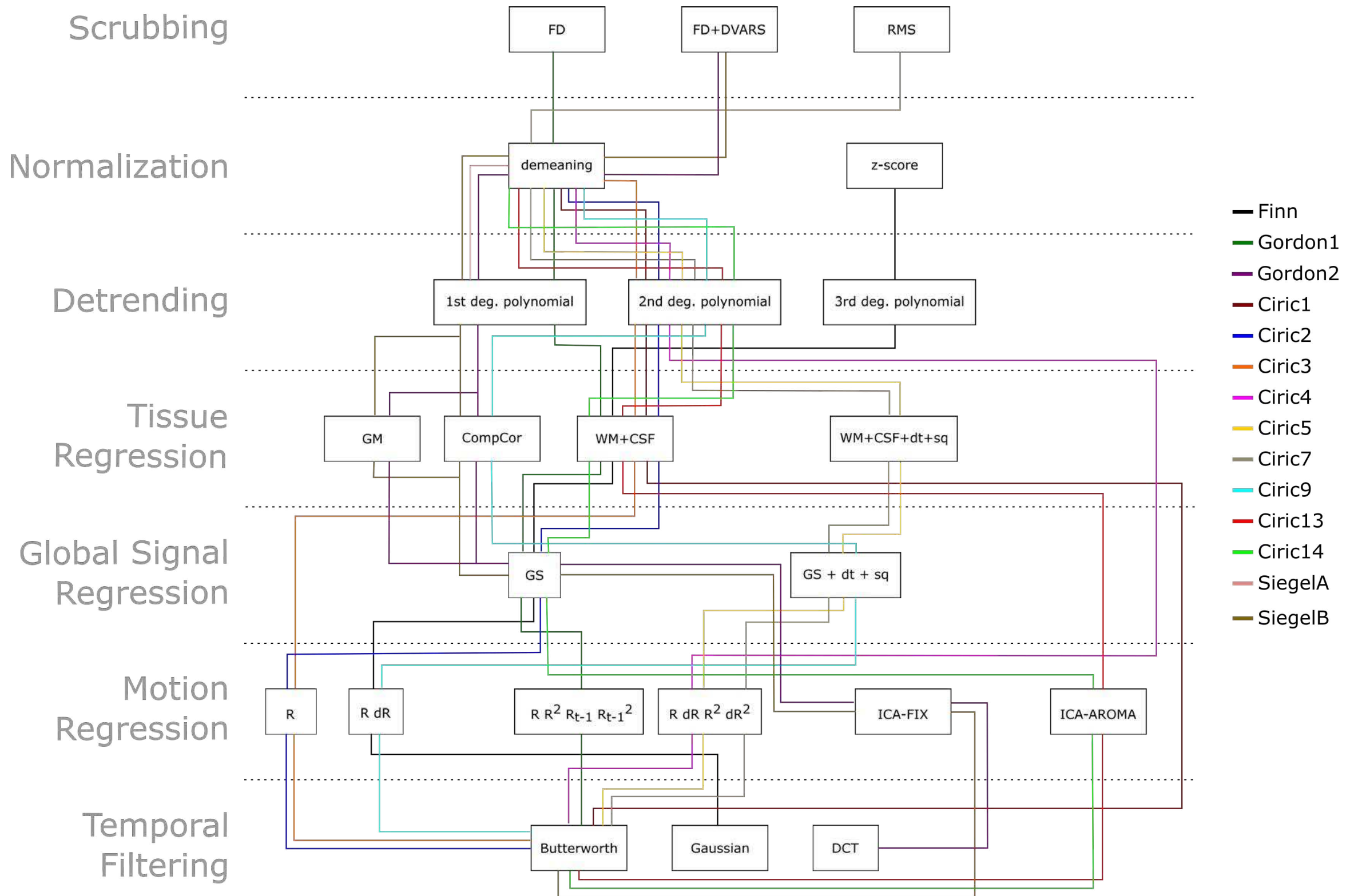
Left hemisphere

Right hemisphere

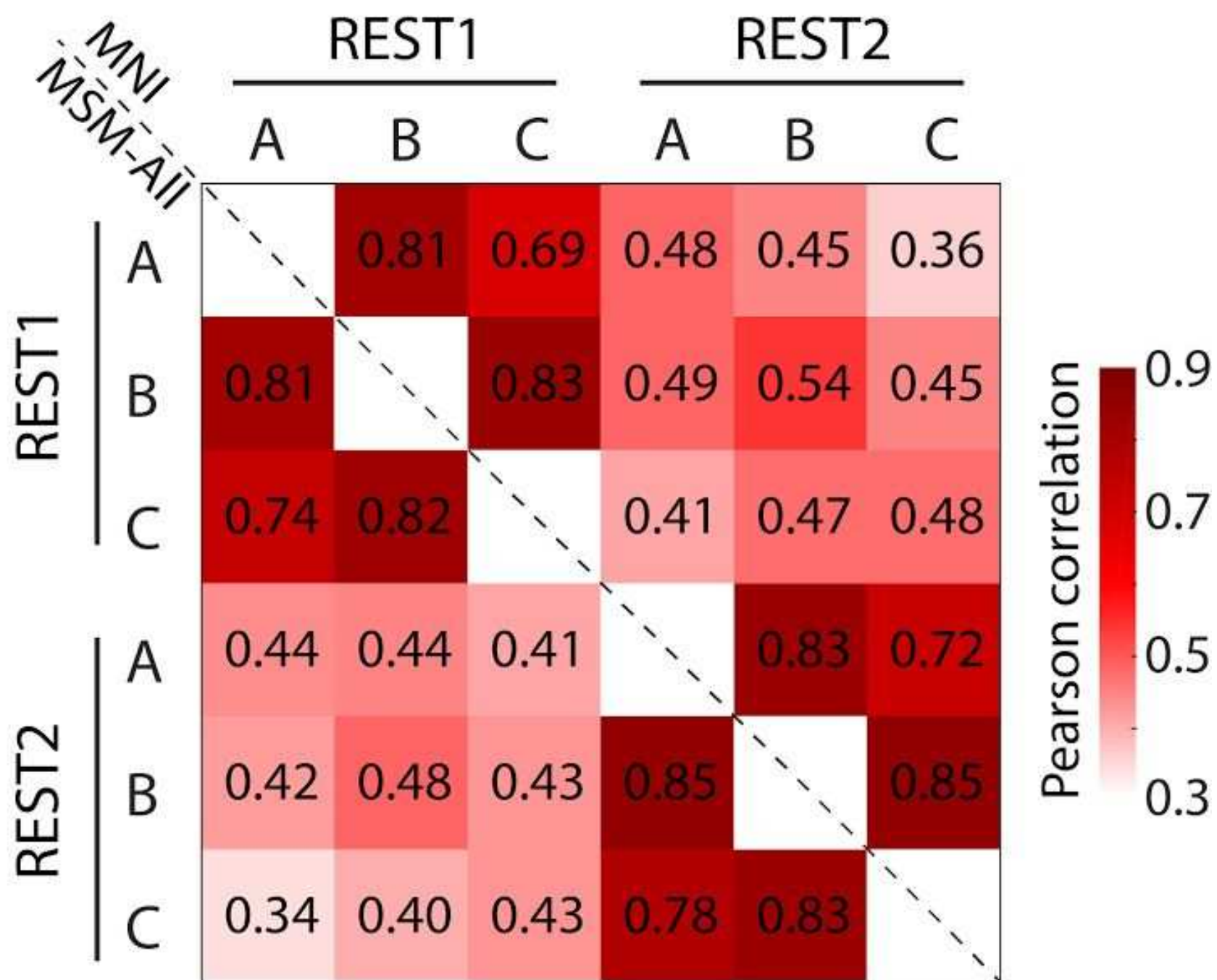


**rsFC**

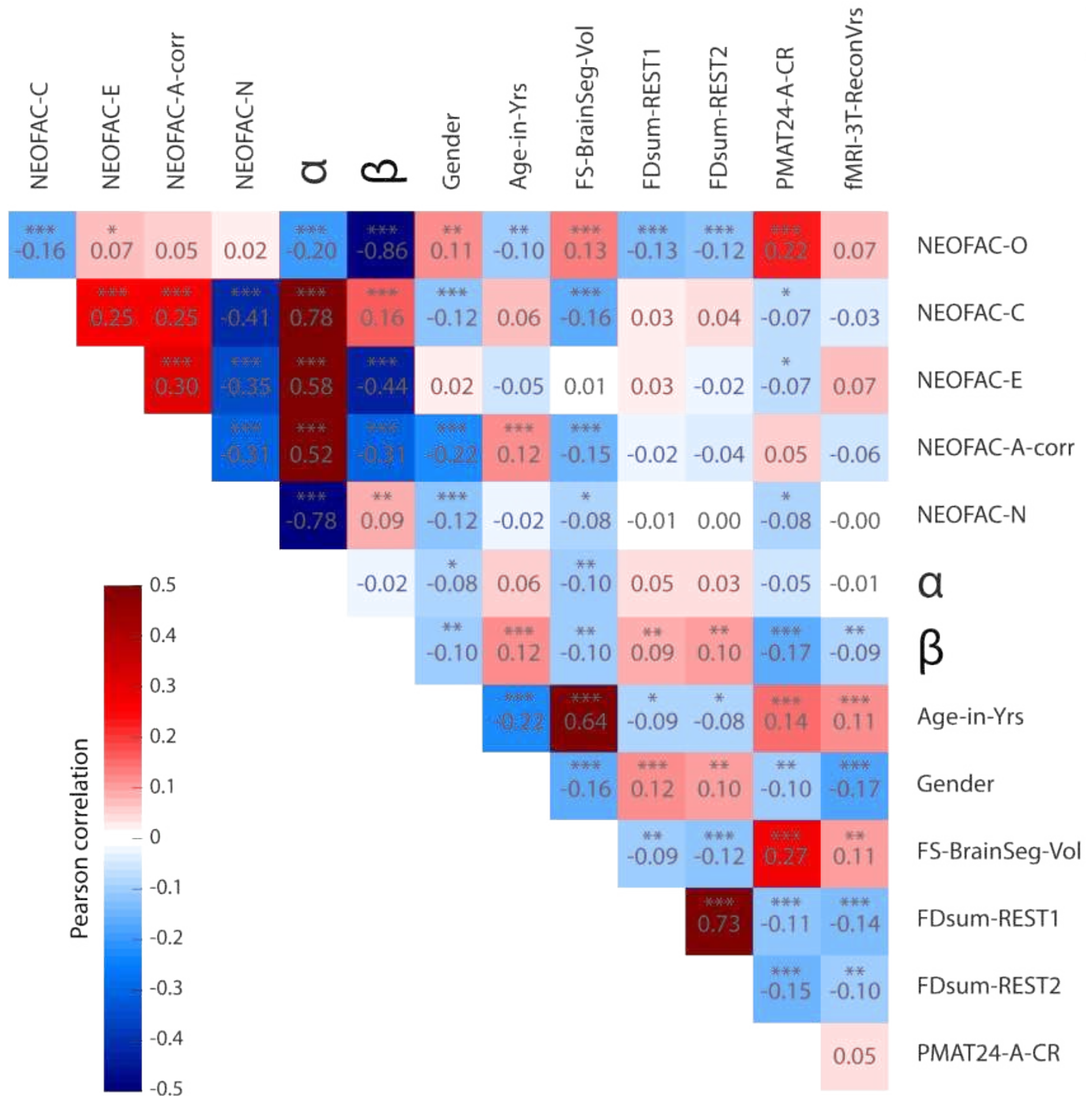


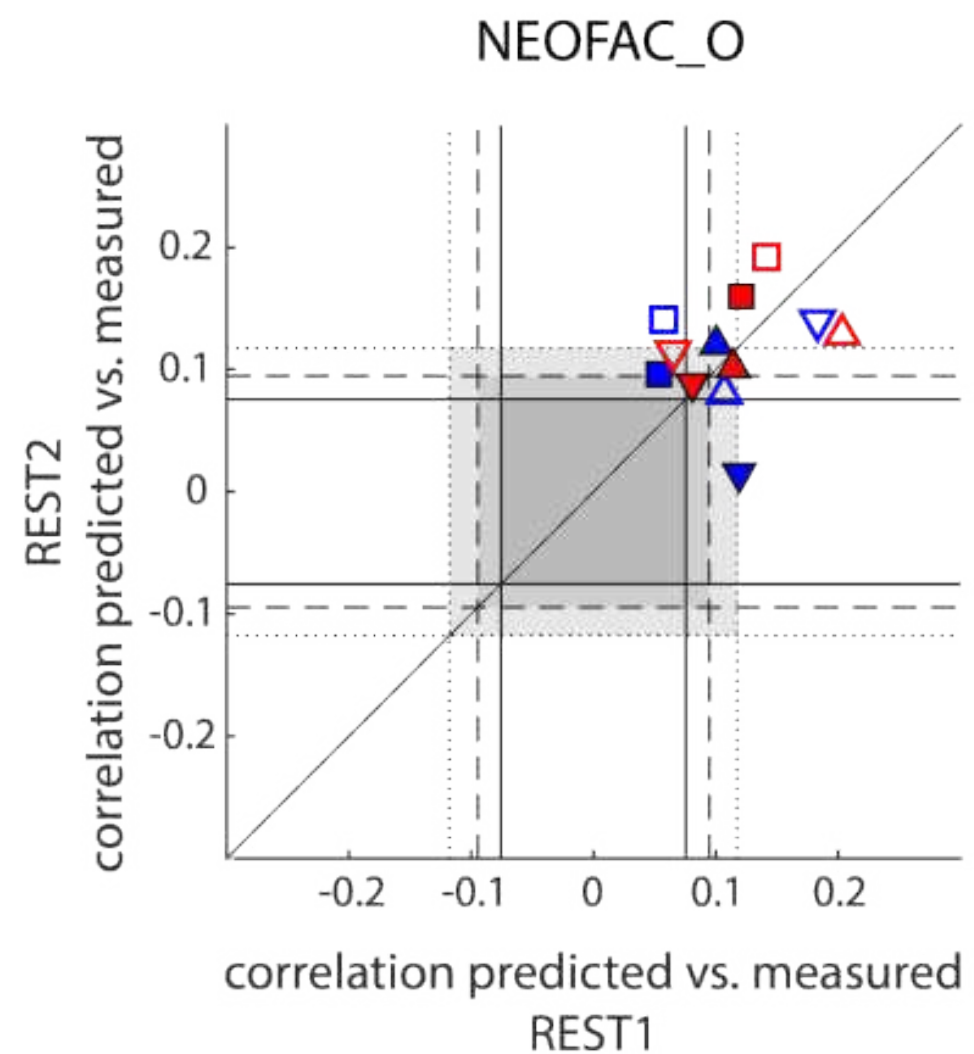
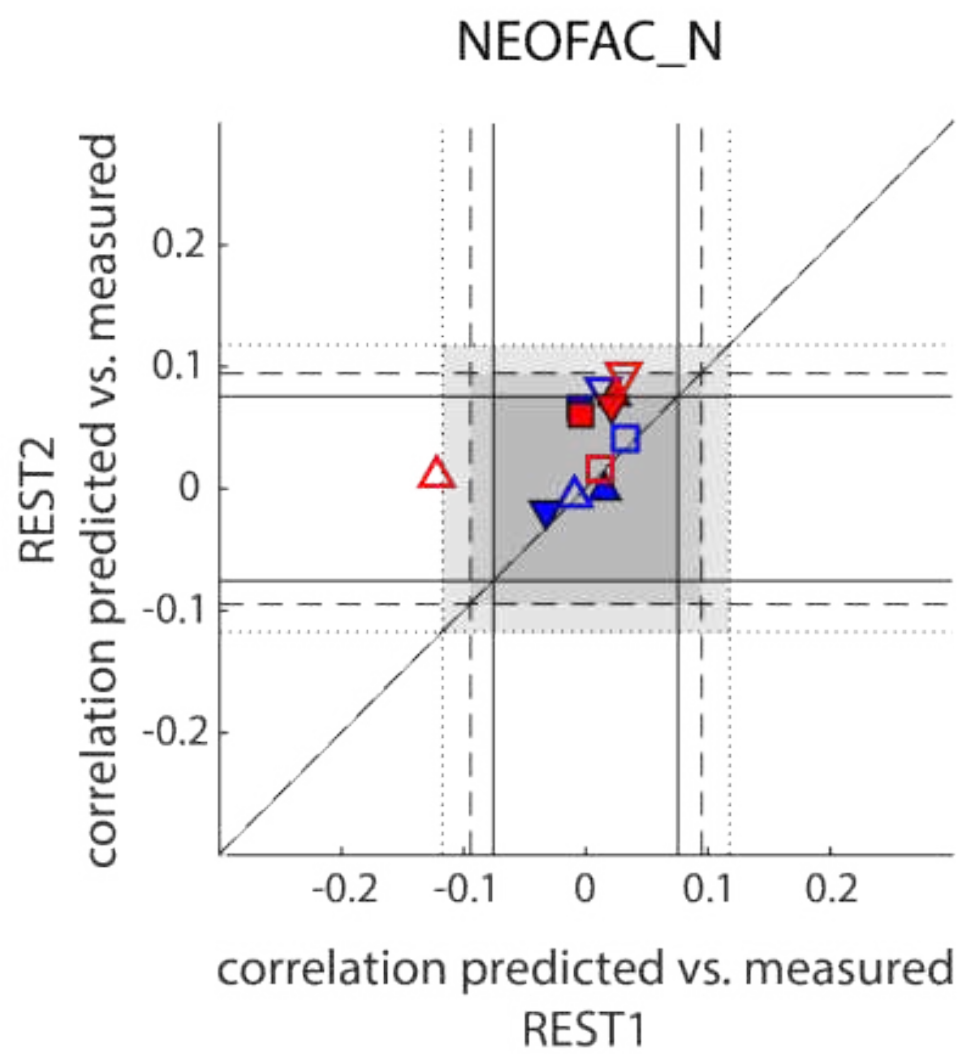












Analysis of resting-state fMRI correlation structure



Causal graph, anatomical features: further predictions



Testing in independent dataset



Lesion Studies

Discovery

Hypotheses

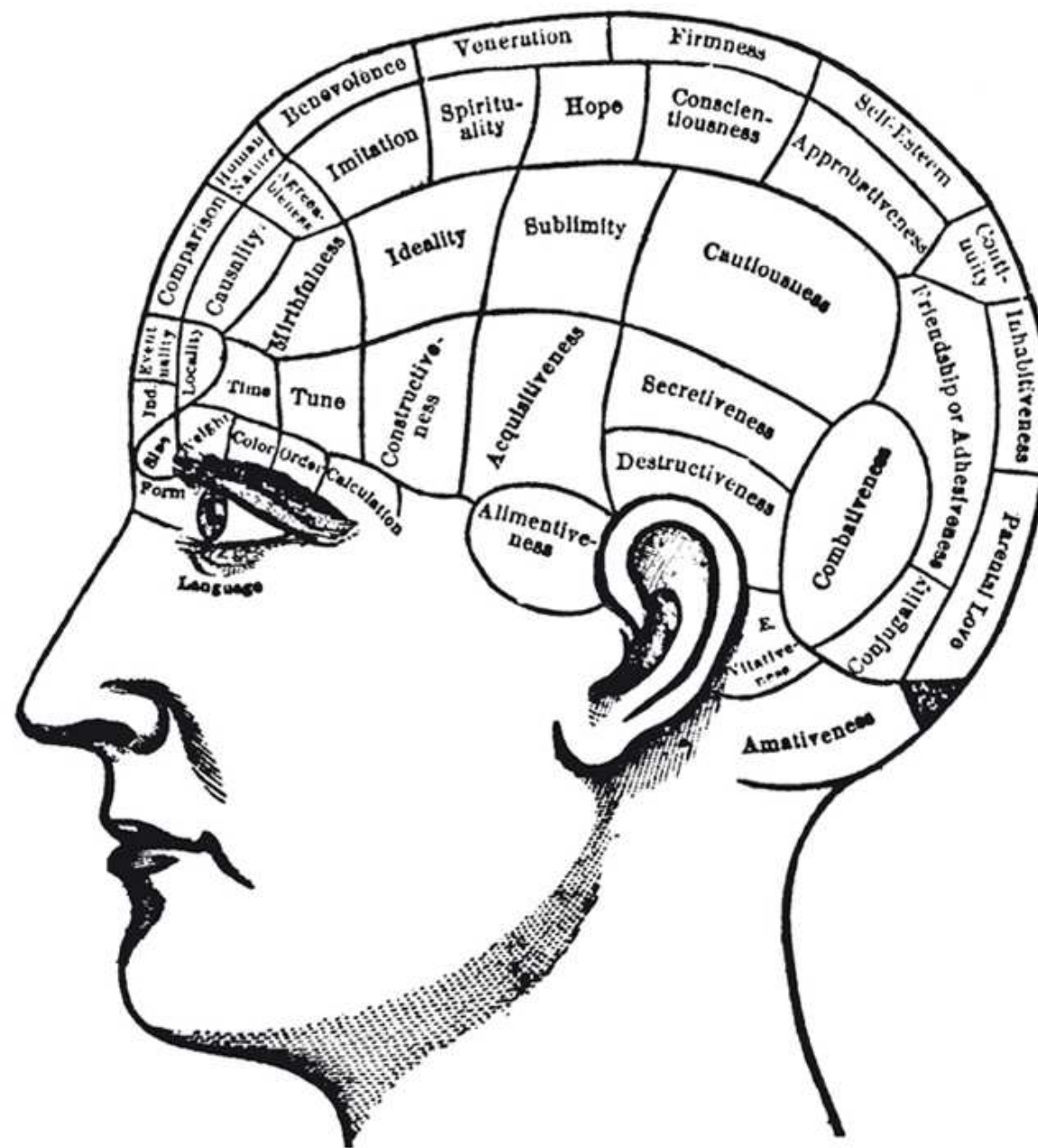
Reliability

Causality



## Takehome points:

- the social brain encompasses perception, cognition, action
- essentially all animals show social behavior
- this shows many parallel modules to aspects of human behavior
- certain species may be good model systems to study
- humans may be especially smart in the social domain
- empathy and mentalizing provide inferences about minds
- we evaluate others along a few basic dimensions
- but we don't know if those dimensions will be scientific



## Main open questions:

1. Is social cognition “domain-specific”?
2. Is there a “social brain”?
3. How is human social cognition unique?