

# Poly-Time Reductions

## Poly-Time Reductions

There are 3 types of problems:

- easy (polynomial time)

- hard (provably super polynomial time)

- we don't know

A convenient way to classify problems in the “grey zone” is polynomial time reduction

A problem  $Y$  is **poly-time reducible** to a problem  $X$  if there is an algorithm that solves any instance of  $Y$  making polynomially many elementary operations and polynomially many calls to a black-box solving  $X$

Denoted  $Y \leq X$

## Poly-Time Reductions (cntd)

### Lemma

Suppose  $Y \leq X$ . If  $X$  can be solved in polynomial time, then  $Y$  can be solved in polynomial time

### Lemma

Suppose  $Y \leq X$ . If  $Y$  cannot be solved in polynomial time, then  $X$  cannot be solved in polynomial time.

## Independent Set

A set of vertices is said to be **independent**, if no two of them are connected with an edge

### The Independent Set Problem

**Instance:**

A graph  $G$  and a number  $k$

**Objective:**

Does  $G$  contain an independent set of size  $k$ ?

Optimization vs. decision version

## Vertex Cover

A set of vertices is said to be a **vertex cover** if every edge of the graph has at least one end in it

### The Vertex Cover Problem

Instance:

A graph  $G$  and a number  $k$

Objective:

Does  $G$  contain a vertex cover of size  $k$ ?

## Independent Set vs. Vertex Cover

### Lemma

Let  $G = (V, E)$  be a graph. Then  $S$  is an independent set if and only if its complement  $V - S$  is a vertex cover.

### Proof

Obvious

### Theorem

Independent Set  $\leq$  Vertex Cover

and

Vertex Cover  $\leq$  Independent Set

## Satisfiability

**Boolean variable** is a variable that takes two values 0 and 1

**Literal** is a Boolean variable or its negation  $x$  or  $\bar{x}$

**Clause** is a disjunction of literals. The clause has length  $k$  if it contains  $k$  literals

**CNF** is a conjunction of clauses

A  $k$ -CNF is a CNF in which every clause has length at most  $k$

Let  $X$  be a set of Boolean variables

A **truth assignment** is a function  $v: X \rightarrow \{0,1\}$

The assignment  $v$  **satisfies** a clause  $C$  if it causes  $C$  to evaluate to 1

The assignment  $v$  **satisfies** a CNF if it satisfies every clause in it.

## Satisfiability (cntd)

### The Satisfiability Problem

Instance:

A CNF  $\Phi$

Objective:

Is  $\Phi$  satisfiable? That is, does there exist an assignment to variables of  $\Phi$  that satisfies  $\Phi$ ?

### The k-Satisfiability Problem

Instance:

A k-CNF  $\Phi$

Objective:

Is  $\Phi$  satisfiable? That is, does there exist an assignment to variables of  $\Phi$  that satisfies  $\Phi$ ?



## 3-SAT vs. Independent Set

### Theorem

$3\text{-SAT} \leq \text{Independent Set}$

### Proof

We can view the Satisfiability problem as follows:

- pick one literal from each clause,
- select an assignment that satisfies all selected literals  
(such an assignment will satisfy all clauses, and so the CNF)
- make sure that there are no conflicts, that is, you do not pick  $x$  from one clause and  $\bar{x}$  from another

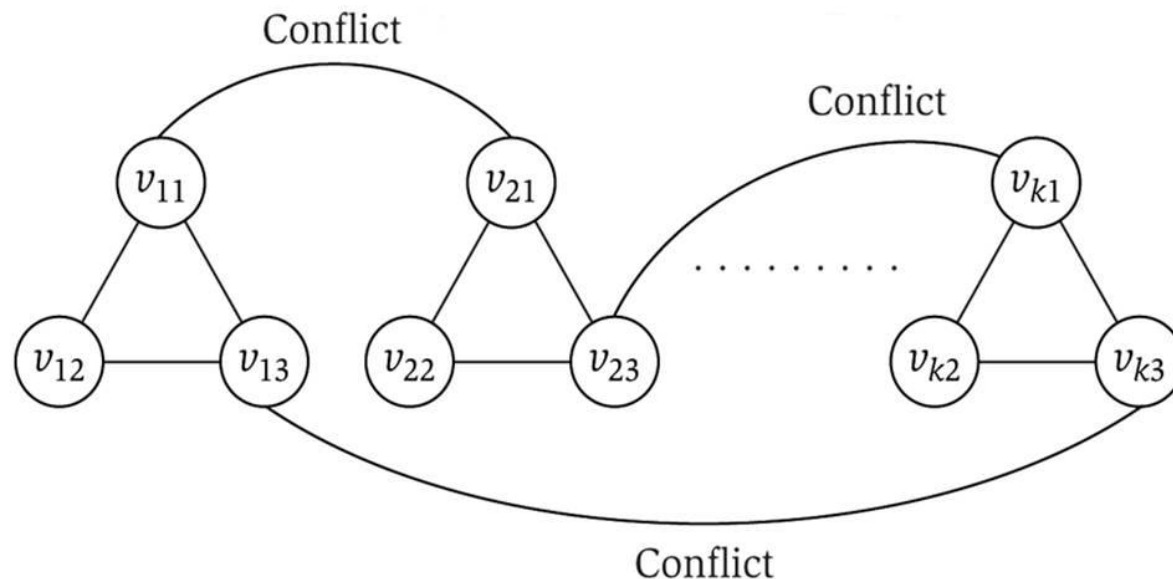
## 3-SAT vs. Independent Set (cntd)

The idea is to encode a CNF as a graph, and satisfying assignments as independent sets

Let a 3-SAT instance contains variables  $x_1, \dots, x_n$  and clauses  $C_1, \dots, C_k$

Graph  $G = (V, E)$  consists of  $3k$  vertices grouped into  $k$  triangles:

$v_{i1}, v_{i2}, v_{i3}$  so that  $v_{ij}$  corresponds (or labeled) with  $j$ -th literal of  $C_i$

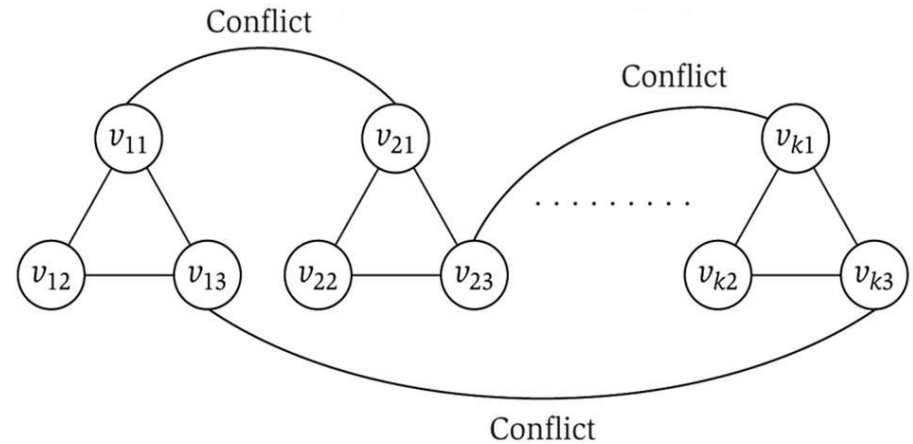


## 3-SAT vs. Independent Set (cntd)

For each pair of vertices whose labels are in conflict we add an edge

We show that the CNF has a satisfying assignment if and only if  $G$  has an independent set of size  $k$

At most one vertex in each triangle can be in an independent set, so the size of a set cannot be more than  $k$



## 3-SAT vs. Independent Set (cntd)

If there is a satisfying assignment,  
there is a satisfied literal in each  
clause (triangle).

Pick such a literal and include it  
into an independent set

As there are no conflicts, it is really an independent set

If there is an independent set  $S$  of size  $k$ , every triangle contains a  
vertex from  $S$

Choose an assignment so that all literals – labels of vertices from  $S$  –  
are satisfied.

It is possible, as they are not involved in any conflict

And it is a satisfying assignment

