

UTP List of Affiliates

This List of Affiliates is for the Nasdaq Vendor Agreement for UTP Level 1 Services from The Nasdaq Stock Market LLC, its subsidiaries and affiliates (collectively "Nasdaq").

An "**Affiliate**" is any entity that controls, is controlled by, or under common control with another entity.

By submitting the names of its Affiliate(s), Vendor agrees that the contact information set forth herein shall be deemed to be the contact information for each Affiliate, as may be modified by Vendor from time to time, and that VENDOR SHALL ASSUME ALL RESPONSIBILITY FOR AND WILL HOLD HARMLESS AND INDEMNIFY NASDAQ AGAINST ANY ACTION OR INACTION BY AN AFFILIATE AS IF SUCH ACTION OR INACTION WERE THAT OF VENDOR, AND, VENDOR AND ITS AFFILIATE(S) SHALL BE JOINTLY AND SEVERALLY LIABLE FOR ALL ACTIONS AND/OR INACTIONS OF THE OTHER(S).

This List of Affiliates supersedes any and all previously submitted List(s) of Affiliates. The same Vendor must submit the Vendor Agreement and the List of Affiliates.

Date:	
Vendor:	

Affiliated Entity Name	Address of Corporate Headquarters

Please attach a list for any additional Affiliates names and addresses if needed.

I certify that the information provided on this List of Affiliates is accurate.

VENDOR: (PRINT NAME OF FIRM)	
BY: (SIGNATURE)	
NAME OF SIGNATORY: (PRINT NAME OF SIGNATORY)	
TITLE: (AUTHORIZED OFFICER)	
DATE:	